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**(54) TEMPLATE FOR SELF ASSEMBLY AND METHOD OF MAKING A SELF ASSEMBLED PATTERN**

VORLAGE ZUR SELBSTANORDNUNG UND VERFAHREN ZUR HERSTELLUNG EINES SELBSTANGEORDNETEN MUSTER

MODÈLE POUR AUTOASSEMBLAGE ET PROCÉDÉ DE FABRICATION DE MOTIF AUTOASSEMBLÉ

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• **WU, Hengpeng**

**Hillsborough, New Jersey 08844 (US)**

(30) Priority: **13.05.2013 US 201313892890**

(74) Representative: **Rippel, Hans Christoph Patentanwälte**

**Isenbruck Bösl Hörschler PartG mbB**

**Eastsite One**

**Seckenheimer Landstrasse 4**

**68163 Mannheim (DE)**

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(73) Proprietor: **AZ Electronic Materials (Luxembourg) S.à.r.l.**

**1648 Luxembourg (LU)**

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**WO-A1-2013/160027 WO-A2-2008/097736**

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(72) Inventors:

• **KIM, Jihoon**

**North Wales, Pennsylvania 19454 (US)**

• **WAN, Jingxiu**

**Charlotte, North Carolina 28277 (US)**

• **MIYAZAKI, Shinji**

**Kakegawa, Shizuoka 437-1412 (JP)**

• **LIN, Guanyang**

**Whitehouse Station, New Jersey 08889 (US)**

• **CHENG J Y ET AL: "Templated Self-Assembly of Block Copolymers: Effect of Substrate Topography", ADVANCED MATERIALS, WILEY -**

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## Description

### FIELD OF THE INVENTION

**[0001]** The present application for patent is in the field of directed self assembly using block copolymers and more specifically is in the field of directed self assembly and pattern multiplication using a novel template.

### BACKGROUND

**[0002]** WO 2013/160027 A1 discloses a method to form a row of mutually spaced elongate lithography features along an axis on a substrate, for instance for use as contact electrodes for a NAND device.

**[0003]** WO 2013/050338 A1 discloses a graphoepitaxy template to align a self-assembled block polymer adapted to self-assemble into a 2-D array having parallel rows of discontinuous first domains extending parallel to a first axis, mutually spaced along an orthogonal second axis, and separated by a continuous second domain.

**[0004]** US 2010/0316849 A1 discloses a method to produce nanometer-sized features with directed assembly of block copolymers. The base substrate 28 is provided which can be silicon, silicon oxide, silicon nitride, silicon oxynitride, silicon oxy-carbide, among other materials. Then a neutral wetting material 30 is formed over the base substrate 28. A material layer 32 to be etched can then be formed over the neutral wetting material 30 and etched to form trenches 34. Portions of the material layer 32 form a spacer 36 between the trenches. Certain copolymers may be added to this pattern to cover the floor or the walls of the trenches made out of the material layer 32. The trenches comprise an essential topography which is responsible for the alignment of different blocks of copolymers that are added to this pattern. The process is a so-called graphoepitaxy process wherein different blocks of copolymers are aligned onto trenches due to their topographical differences.

**[0005]** WO 2008/09773 A2 discloses methods using block copolymer self-assembly for sub-lithographic patterning. The pattern is obtained by providing a substrate having a plurality of spacers to form a surface topography of weirs and trenches. Then, a first block copolymer and a second block copolymer having different inherent periodicities are applied. Then, a pattern is formed and either the exposed or unexposed portion of the resist is removed. The resist remaining on the substrate surface can be selectively wetted by one block of the first block copolymer. Thus, in such an embodiment, each trench has a floor that is neutral-wetting with respect to each block of the first block copolymer and two sidewalls that are selectively wetted by one block of the first block copolymer.

**[0006]** WO 2013/050338 A1 discloses a method to provide a patterned orientation template for a self-assemblable polymer. A method is provided, wherein a block copolymer is guided or directed onto a surface by

graphoepitaxy and chemical pre-patterning. Self-organization of a block copolymer is guided by topographical pre-patterning of the substrate.

**[0007]** Cheng et al., "Templated Self-Assembly of Block Copolymers: Effect of Substrate Topography", *Advanced Materials*, Vol. 15, No. 19, October 2, 2013, pages 1599 to 1602, discloses template self-assembly of block copolymers. Block copolymers are well-known examples of self-assembling systems, in which chemically distinct blocks microphase-separate into the periodic domains. Substrates with topographical features or chemical heterogeneities have previously been used to influence the position and/or orientation of block copolymer domains. A topographical consignment described in this document controls the row spacings and feature dimensions of templated block copolymers and further induces defect formation in the block copolymer arrays.

**[0008]** Directed self assembly (DSA) of block copolymers is a method useful for generating patterned features for the manufacture of microelectronic devices, wherein the features have critical dimensions (CD) on the order of 2-50 nm (nanometers). Templated DSA methods have been used to extend the resolution capabilities beyond what may be obtained with conventional lithography. In a conventional lithography approach, ultraviolet (UV) or other radiation may be used to expose an image, using a transmission or reflecting mask, onto a photoresist layer coated on a substrate. This approach is limited by such factors as the physics of diffraction and shot noise. On the other hand, DSA techniques, such as graphoepitaxy and chemoepitaxy, may enhance resolution while reducing CD variation, when used in conjunction with conventional lithography.

**[0009]** Block copolymers used in DSA may comprise a removable polymer block having a given repeat unit and one or more additional blocks that may remain after the first block is removed, each, respectively, having another repeat unit. In any case, the block copolymer is coated, on a substrate, and allowed to phase separate or align during annealing. The annealed polymer exhibits an inherent pitch or repeat distance, while the individual separated blocks each exhibit an inherent width, usually determined by their respective molar masses. While it is possible to ascertain the inherent pitch of the block copolymer and inherent widths of the individual blocks after an undirected annealing step, a more accurate and precise measurement is usually accomplished after directed self assembly.

**[0010]** As noted supra, DSA may be accomplished by graphoepitaxy or chemoepitaxy, *inter alia*. In graphoepitaxy, a block copolymer self organizes according to a substrate that may be patterned with conventional lithography (UV, Deep UV, e-beam, EUV, or ion beam, for example) to form repeating topographical features such as lines and spaces (L/S), extended or segmented trenches, extended or segmented rails, contact holes (CH) or other patterns useful in semiconductor manufacture. In one example of a L/S directed self assembly ar-

ray, a selected block copolymer, having approximately an integer number of inherent pitch distances of the block copolymer relative to the pitch distance between printed lithographic rails can form a self-aligned pattern between the rails that is an integral multiple of the rail-to-rail distance. Such an integral relationship may be termed commensurate or multiply commensurate. In addition, posts and linear and shaped segmented rails may be used to direct the self assembly of a block copolymer into more complex lithographic patterns. For example, Yang et al. in United States Patent No. 8,309,278 disclose a template for this purpose comprising a two-dimensional array of first posts, wherein the first posts are spaced apart from each other in a first direction by a first spacing  $L_x$  and in a second direction by a second spacing  $L_y$ , and a second post disposed near one of the first posts, wherein the second post is spaced apart from the one of the first posts by a third spacing, wherein the third spacing is different than the first spacing  $L_x$  and the second spacing  $L_y$ ; and a polymer pattern self-assembled on the template, wherein the first spacing  $L_x$  and/or the second spacing  $L_y$  is commensurate with an equilibrium periodicity  $L_a$ , commonly also known as  $L_0$ , of a block copolymer of the polymer pattern, and wherein the second post is disposed at a position of a bend in the polymer pattern.

**[0011]** In chemoepitaxy, a block copolymer self organizes according to a substrate that may be patterned (using UV, Deep UV, e-beam, EUV, or ion beam lithography, for example) to form repeating patterns of differing chemical affinity. These have little or no topographical patterning but can align the separated phases of the block copolymer by "pinning" one of the blocks to the region on the substrate to which that block has affinity. In one example of a line / space directed self assembly array, a selected block copolymer, having approximately an integer number of inherent pitch distances relative to the distance between patterned affinity areas, can form a self-aligned pattern between those areas that is an integral multiple of the rail-to-rail distance. Such a condition may be termed commensurate or multiply commensurate. Affinity regions may comprise a neutral region, having similar affinity for either of the blocks, punctuated by regions having an affinity for one of the polymer blocks. The affinity may be introduced to the surface using plasma etch processes, exposure to light or other radiation such as electron beams or ion beams, patterning with a standard lithographic material such as a photoresist, and depositing a thin layer on the surface using chemical vapor deposition, evaporation, sputtering or other deposition process or image-wise treating with a coupling agent such as a silylation agent. For example, in United States Patent No. 8,226,838, Cheng et al. disclose a method of forming polymer structures comprising: applying a solution of a diblock copolymer assembly comprising at least one diblock copolymer that forms lamellae, to a neutral surface of a substrate having a chemical pattern thereon, the chemical pattern comprising alternating pinning and

neutral regions that are chemically distinct and have a first spatial frequency given by the number of paired sets of pinning and neutral regions along a given direction on the substrate; and forming domains comprising blocks of the diblock copolymer, wherein the domains formed by lateral segregation of the blocks, wherein at least one domain has an affinity for the pinning regions and forms on the pinning region, and wherein the domains so formed are aligned with the underlying chemical pattern, wherein domains that do not form on the pinning region form adjacent to and are aligned with the domains formed on the pinning regions, such that a structure comprising repeating sets of domains is formed on the chemical pattern with a second spatial frequency given by the number of repeating sets of domains in the given direction, the second spatial frequency being at least twice that of the first spatial frequency.

**[0012]** Neutral layers are layers deposited on a substrate or the surface of a treated substrate which have little or no preferential affinity for either of the block segments of a block copolymer employed in directed self assembly. In graphoepitaxy, neutral layers within or surrounding lithographic features may allow the proper placement or orientation of block polymer segments which leads to the desired pattern. In chemoepitaxy, modification of selected areas of the neutral layer occurs so that they have affinity for one of the blocks of the block copolymer; thus pinning that block to the modified portion and resulting in the desired pattern and allowing the copolymer blocks to self-assemble on the neutral portion.

**[0013]** Both graphoepitaxy and chemoepitaxy have been demonstrated. However, each of these two methods has limited use in generating patterns with high resolution and low CD variation, for different reasons. For example, in graphoepitaxy, the placement accuracy and edge roughness of the block-copolymer domains deteriorates during pattern formation due to variation in thickness uniformity of the over-coating of polymer film and due to imperfections in the topographical pre-patterns. The graphoepitaxy process also typically results in formation of a half-width domain next to each of the sidewalls so that the pattern spacing across the subdivided channel is not uniform. While chemical epitaxy may allow a gain in CD control because of its lower topography, the options for image wise chemical modification of surfaces are limited, which may result in inadequate pinning of one of the blocks of the block copolymer.

**[0014]** Thus, due to the aforesaid limitations, there remains a need for a directed self assembly method that employs a template that is capable of providing strong image-wise pinning of one or more blocks of the block copolymer.

#### BRIEF DESCRIPTION OF THE DRAWINGS

**[0015]**

Figure 1 illustrates, in cross section, the overall

scheme of the directed self assembly process and template structures disclosed herein.

Figure 2 illustrates, in oblique projection, how pattern multiplication may be obtained from the pinning of self assembled features according to the process and template structures disclosed herein.

Figure 3 presents comparative data, illustrating the result of annealing of a block copolymer coated over trenches without and with the pinning material.

#### DETAILED DESCRIPTION OF THE DRAWINGS

**[0016]** Figure 1 illustrates, in cross section, the overall scheme of the directed self assembly process and template structures disclosed herein. In particular, Figure 1(a) represents a base, 11. Figure 1(b) represents a base, 11, coated with a neutral layer, 12. Figure 1(c) represents a base, 11, coated with a neutral layer, 12, wherein the neutral layer has been patterned selectively to provide trenches, 13. Figure 1(d) shows the structure of Figure 1(c), coated with a pinning material, 14, wherein the pinning material has a greater affinity for one of the two or more spontaneously separating blocks than for any other of the two or more spontaneously separating blocks of a selected block copolymer. Shown are the base, 11, the patterned neutral layer, 12, the interface created by the adhered pinning material, 15. Figure 1(e) represents the base, 11, and the patterned neutral layer, 12, wherein the trench surfaces are coated by the adhering pinning layer, 15. The remaining pinning material has been removed from the top surface of the neutral layer, as well as from the trenches leaving the pinning material on the trench surfaces and the neutral layer substantially exposed. Figure 1(f) shows the structure of Figure 1(e) coated with a selected diblock copolymer, 16, wherein the blocks are thermodynamically incompatible and tend to separate spontaneously from each other. Figure 1(g) represents the structure of Figure 1(e) coated with a diblock copolymer that has been allowed to anneal and separate into its respective blocks, 17 and 18; wherein the block, 17, has affinity to the pinning material on the surface of the trench, (may be obscured in the drawing). The block, 18, has less affinity to the pinning material, 15, and is, therefore, not pinned to that region. The blocks 17 and 18 also self organize over the neutral layer, 12, once the block, 17 is pinned by 15. The modified trench surfaces, 15, are spaced such that the distance between them is multiply (9X) commensurate with the characteristic pitch of the block copolymer. Figure 1(h) represents the structure of Figure 1(g) with the blocks 18 removed by wet etching, plasma etching or reactive ion etching, leaving the blocks, 19. The pattern density is thereby multiplied by a factor of 9, relative to the original pattern density represented by the trenches, 13.

**[0017]** Figure 2 illustrates, in oblique projection, how pattern multiplication may be obtained from the pinning

of self assembled features according to the process and template structures disclosed herein. Figure 2(a) represents the base, 21, coated by a neutral layer, 22. Figure 2(b) represents a patterned photoresist, 23, on the neutral layer 22. Figure 2(c) represents the etched neutral layer, 24, masked by the patterned photoresist, 23. Figure 2(d) represents the trench in the neutral layer and over the substrate surface after the photoresist has been removed. In one embodiment, the etching process has modified the surface of the neutral layer and the substrate surface to accommodate adhesion of the pinning material. Figure 2(e) represents the neutral layer and the trench coated with the pinning material, 26. Figure 2(f) represents the neutral layer with most of the pinning material removed except for the pinning material remaining as a coating on the trench surface, 27. Figure 2(g) represents the structure of Figure 2(f), coated with a selected block copolymer, 28. Figure 2(h) represents the structure of Figure 2(g), but with the block copolymer annealed into its respective domain blocks, 29, and 30. The pinning layer in the trench is seen as having directed the phase separation such that the phase, 29, is pinned to the sides and bottom of the modified trench surface and separated from the phases, 30.

**[0018]** Figure 3 presents comparative data, illustrating the result of annealing of a block copolymer coated over trenches without and with the pinning material. Figure 3(a) shows the results of a block copolymer coated over trenches but without treatment by the pinning material as in Figure 2(e). As can be seen, the pattern, thus produced, is not aligned to the trenches. Figure 3(b) shows a directed self assembly pattern made using the templates represented in Figure 1(e) or Figure 2(d), wherein the trenches are pitched at about 4X the characteristic domain pitch of the block copolymer, the natural periodicity  $L_0$ . Further experimental details are reported infra.

#### DETAILED DESCRIPTION

**[0019]** As used herein, the conjunction "and" is intended to be inclusive and the conjunction "or" is not intended to be exclusive unless otherwise indicated or required by the context. For example, the phrase "or, alternatively" is intended to be an exclusive or.

**[0020]** As used herein, the pitch on a given substrate is represented by a distance between a point on a given feature and an equivalent point on a neighboring feature. For repeating features, for example, the pitch is simply the repeat distance.

**[0021]** As used herein, "phase-separate" refers to the propensity of incompatible blocks of block copolymers to form discrete nano-phase-separated domains, also referred to as "nanodomains" and also simply as "domains". The blocks of the same monomer aggregate to form periodic domains, and the spacing and morphology of domains depends on the interaction and volume fraction among different blocks in the block copolymer. Domains of block copolymers can form during applying,

such as during a spin-casting step, during a heating step in which the block copolymer is annealed, or can be accomplished by another annealing step.

**[0022]** As used herein, the characteristic pitch of a block copolymer is the distance determined after annealing between a point on one of the blocks and an equivalent point on a neighboring similar block. For example, in a diblock copolymer, A-B in which the blocks are separated into parallel lines, the characteristic pitch might be the perpendicular distance between the leading edge of a given B block and the leading edge of a neighboring B block.

**[0023]** As used herein, the term "surface affinity" or, simply "affinity" is understood to refer to the surface interaction energy between two substances. Surface affinities may be considered in absolute terms or relative to some other state. By convention, lower energy interactions such as negative energy values are characteristic of higher affinity and higher energy interactions are characteristic of lower affinity. A neutral affinity surface is one that exhibits a relatively small difference in surface interaction energy for one material or block (in a block copolymer) relative to another. One measure of surface affinity is the degree to which one material can "wet" another. For example, if a surface has substantially the same surface affinity to both block components A and B of a block copolymer, such a surface is considered a neutral affinity surface or a non-preferential surface, i.e., both block components A and B can wet or have affinities to such a surface. In contrast, if a surface has significantly different surface affinities for the block components A and B, such a surface is then considered a preferential or high affinity surface, i.e., one of block components A and B can wet such a surface, significantly more than the other.

**[0024]** Disclosed herein is a template for directing a pattern in a block copolymer film, said block copolymer having two or more spontaneously separating blocks, said template comprising: a substrate comprising a base and a neutral coating on the base, said neutral coating having an array comprising two or more trenches etched therein; said trenches having walls and floors; and a pinning material in the trenches, said pinning material having a greater affinity for one of the two or more spontaneously separating blocks than for any other of the two or more spontaneously separating blocks; wherein the patterns of differing chemical affinity have little or no topographical patterning but can align the separated phases of the block copolymer by pinning one of the blocks to the region on the substrate to which that block has affinity, and wherein each block in the block copolymer has a characteristic length, wherein the block copolymer has a characteristic pitch, wherein the pinning material is coated on the walls and floors of the trenches, and wherein the trenches are dimensioned in a manner effective to direct a pattern in a block copolymer film.

**[0025]** Further disclosed herein is a process for directing a multiplied pattern in a block copolymer film, said process comprising: selecting a block copolymer having

two or more spontaneously separating blocks; providing a base having a neutral coating on the base, and a pattern in the neutral coating, said pattern comprising an array of two or more trenches, said trenches having walls; providing a pinning material in the trenches to form a prepared substrate, said pinning material having a greater affinity for one of the two or more spontaneously separating blocks than for any other of the two or more spontaneously separating blocks; wherein the patterns of differing chemical affinity have little or no topographical patterning but can align the separated phases of the block copolymer by pinning one of the blocks to the region on the substrate to which that block has affinity, and coating the block copolymer on the prepared substrate; wherein each block in the block copolymer has a characteristic length, wherein the block copolymer has a characteristic pitch, wherein the pinning material is coated on the walls of the trenches, and wherein the trenches are dimensioned in a manner effective to direct a pattern in a block copolymer film.

**[0026]** Still further disclosed herein is a process for directing a multiplied pattern in a block copolymer film, said process comprising: selecting a block copolymer having two or more spontaneously separating blocks; providing a base having a neutral coating thereon; forming a pattern in the neutral coating by a lithographic process; wherein the lithographic process comprises (i) coating, exposing, and developing a photoresist to produce a photoresist pattern; (ii) etching the neutral layer using the photoresist pattern as a mask; and (iii) stripping the photoresist with solvent(s) or solvent(s) vapor; said pattern in the neutral coating comprising an array of two or more trenches, said trenches having walls; providing a pinning material in the trenches to form a prepared substrate, said pinning material having a greater affinity for one of the two or more spontaneously separating blocks than for any other of the two or more spontaneously separating blocks; wherein the patterns of differing chemical affinity have little or no topographical patterning but can align the separated phases of the block copolymer by pinning one of the blocks to the region on the substrate to which that block has affinity, and coating the block copolymer on the prepared substrate; wherein each block in the block copolymer has a characteristic length, wherein the block copolymer has a characteristic pitch, wherein the pinning material is coated on the walls of the trenches, and wherein the trenches are dimensioned in a manner effective to direct a pattern in a block copolymer film.

**[0027]** In a further embodiment, at least one of the two or more trenches may be divided segmentally along the length of the trench, wherein the length of the trench may be linear or nonlinear.

**[0028]** The trenches may be dimensioned in various ways so as to be effective in directing a pattern in the block copolymer film. For example, the trenches may be pitched at a distance that is approximately an integral number of characteristic pitch distances in the selected block copolymer. Selection of the pitch of the trenches

may depend on the desired level of tolerable error. For example, a trench pitch distance having a smaller number of characteristic pitch distances based on the block copolymer may lead to fewer self assembly errors than a trench pitch distance having a larger number of characteristic pitch distances based on the block copolymer. In addition, the widths of the trenches play a role in pattern replication. For example, the width of a trench may vary from one characteristic width of one of the blocks in the block copolymer to the summed width of several adjacent characteristic widths. It is understood that the characteristic widths of the blocks may differ and would therefore need to be summed accordingly. While it is not always necessary for trench widths to be equivalent to the sum of one or more characteristic widths in the block copolymer, it is usually desirable for high affinity interactions to occur at the surfaces of the trenches as depicted, for example in Figure 1(g) or Figure 2(h).

**[0029]** Neutral layers are characterized in that they do not have a preferential affinity for either of the blocks in a block copolymer. Accordingly, a neutral layer may, without limitation, comprise the same monomer repeat units as the block copolymer but randomized or synthesized in such a way that the monomers are approximately uniformly distributed across the polymer chains. For example, instead of random chains it may be desirable to use alternating polymers in which monomer A alternates with monomer B in the polymer chain. Neutral layers may be functionalized polymer brushes, cross-linkable polymers, random copolymers having similar repeat units to those used in the block copolymer being used or blends of homopolymers, each respectively having similar monomers to those in the block copolymer being used.

**[0030]** Producing polymer neutral layers in which the monomers are at least approximately uniformly distributed may be accomplished in several ways. For example, if free radical polymerization is used, it may be desirable to feed the individual monomers to the reaction vessel in such a way as to account for their individual reactivities. Without intending to be bound by theory, it may be desirable to feed a monomer, A, that is relatively more reactive with other A monomers into the reaction vessel more slowly such that the reaction is effectively "starved" of A throughout the reaction. This allows reaction of monomer A with another monomer, B, before more A is introduced. Other polymerization methods may be useful in producing polymers in which the distribution across the polymer chain is approximately uniform. Non limiting examples of such methods include living free radical polymerization, anionic polymerization, cationic polymerization, condensation polymerization, plasma polymerization, metal catalyzed polymerization including metal-locene polymerization, metathesis polymerization.

**[0031]** Neutral layers may also include monomers and additives for crosslinking. Such materials may include, without limitation, aminoplast crosslinkers such as melamines and glycolurils, epoxy and oxetane resins, urethane resins, formaldehyde resins, cage amine materials

such as hexamethylene tetramine, polyols.

**[0032]** Polymers suitable for neutral layers will depend on the particular block copolymer chosen. However, neutral layer materials may comprise monomer repeat units that comprise, without limitation styrene, 4-vinyl-1,2-dihydrocyclobutabenzene, 4-vinyl pyridine, butadiene, isoprene, methyl (meth)acrylate, polyethylene oxide, polyethylene-propylene, polycaprolactone, polycaprolactam, poly-t-butyl (meth)acrylate, propylene oxide, tetrahydropyran, dimethylsiloxane, maleic anhydride, itaconic anhydride, malimide, maleic acid, itaconic acid, caproic acid, lactic acid, glycine, ethylene, propylene, 1-butene, 1,3-butadiene, norbornene, 4-hydroxy styrene, 4-acetoxy styrene, 4-methylstyrene, alpha-methylstyrene, ethyl (meth)acrylate, n-propyl (meth)acrylate, isopropyl (meth)acrylate, n-butyl (meth)acrylate, isobutyl (meth)acrylate, n-pentyl (meth)acrylate, isopentyl (meth)acrylate, neopentyl (meth)acrylate, n-hexyl (meth)acrylate, cyclohexyl (meth)acrylate, isobornyl (meth)acrylate, hydroxyethyl (meth)acrylate or a copolymer comprising at least one of the above.

**[0033]** Further, without limitation, copolymers used as neutral layers may comprise poly(methylmethacrylate-styrene) poly(butadiene-butylmethacrylate), poly(butadiene-dimethylsiloxane), poly(butadiene-methylmethacrylate), poly(butadiene-vinylpyridine), poly(isoprene-methylmethacrylate), (polyisoprene-vinylpyridine), poly(butylacrylate-methylmethacrylate), poly(butylacrylate-vinylpyridine), (polyhexylacrylate-vinylpyridine), poly(isobutylene-butylmethacrylate), poly(isobutylene-dimethoxysiloxane), poly(isobutylene-methylmethacrylate), poly(isobutylene-vinylpyridine), poly(isoprene-ethyleneoxide), poly(butylmethacrylate-butylacrylate), poly(butylmethacrylate-vinylpyridine), poly(ethylene-methylmethacrylate), poly(methylmethacrylate-butylacrylate), poly(methylmethacrylate-butylmethacrylate), poly(styrene-butadiene), poly(styrene-butylacrylate), poly(styrene-butylmethacrylate), poly(styrene-butylstyrene), poly(styrene-dimethoxysiloxane), poly(styrene-isoprene), poly(styrene-methylmethacrylate), poly(styrene-vinylpyridine), poly(ethylene-vinylpyridine), poly(vinylpyridine-methylmethacrylate), poly(ethyleneoxide-isoprene), poly(ethyleneoxide-butadiene), poly(ethyleneoxide-styrene), or poly(ethyleneoxide-methylmethacrylate).

**[0034]** Further and without limitation, neutral layers may have non functionalized chain ends or may be telechelic polymers with functionalized chain ends wherein the functional group(s) at the end of the polymer chain may be, without limitation, chosen from an alcohol group, an ester group, a carbonate group, a carboxylic acid group, a phosphonic acid group, a sulfonic acid group, an amine group, an amide or imide group, an epoxy group, a silyl group, an alkoxy-silyl group, an alkylsilyl group, an aminosilane group, an isocyanate group, a thiocyanate group, or an isothiocyanate group.

**[0035]** The pinning material may be a pure polymer or a polymer blend. Moreover, the pinning material may

comprise a polymer blended with oligomers, monomers or other small molecules such as leveling agents, dissolution modifiers, flexibilizers, plasticizers. In addition, the polymer may be, a telechelic polymer which, without limitation may be monofunctional or difunctional, wherein the functional group(s) at the end of the polymer chain may be, without limitation, chosen from an alcohol group, an ester group, a carbonate group, a carboxylic acid group, a phosphonic acid group, a sulfonic acid group, an amine group, an amide or imide group, an epoxy group, a silyl group, an alkoxysilyl group, an alkylsilyl group, an aminosilane group, an isocyanate group, a thiocyanate group, or an isothiocyanate group. Herein, a polymer pinning material is understood to include homopolymers and copolymers, monodisperse polymers, polydisperse polymers, oligomers star polymers. The monomer repeat units in the pinning material may be the same or similar to those in one of the blocks in the selected block copolymer. For example, if the selected block copolymer is (b)-polystyrene-(b)-polymethylmethacrylate, the pinning material could comprise polystyrene or polymethyl methacrylate or polymers having similar repeat units such as poly-4-methylstyrene or polymethyl acrylate, which may have functional end groups as described above. The molecular weight of the polymer in the pinning may be, for example 800 - 500,000 Daltons. As a further example, the molecular weight of the polymer in the pinning material may be between 2,000 - 200,000 Daltons. As a still further example, the molecular weight of the polymer in the pinning material may be between 10,000 - 100,000 Daltons.

**[0036]** The pinning material may be introduced into the trenches by applying a solution comprising the pinning material in a suitable solvent to the substrate (having the base and the imaged neutral layer) by any acceptable coating method such as spin coating, spray coating, dip coating, roller coating. Although not being bound by theory, the pinning material may interact with the surfaces of the trench such that after removal of the pinning material a coating remains on the surface the trench. The coated substrate may then be baked to remove the residual solvent. Alternatively, a curable formulation may be applied and cured by thermal or photo curing depending on the chemistry. Once the pinning material is coated on the substrate, the material not in the trenches may be removed by washing the coated substrate with a suitable solvent until the pinning material is washed from the neutral layer but remains coated over the trench surfaces. Alternatively, the coated substrate may be subjected to chemical mechanical polishing in a damascene - type process. As a further alternative, the coated substrate may be subjected to a plasma or reactive-ion etch process that removes the pinning material down to the neutral layer, leaving the pinning material in the trenches.

**[0037]** Suitable solvents for the pinning layer formulation include glycol ether acetates, esters,  $\alpha$ -hydroxy esters,  $\alpha$ -alkoxy esters alcohols, ketones, amides, imides, ethers, ether esters, ether alcohols. Specifically, solvents

may include, without limitation, ethylene glycol monoethyl ether acetate, propylene glycol monomethyl ether acetate (PGMEA), ethyl-3-ethoxypropionate, methyl-3-methoxypropionate, n-butyl acetate, amyl acetate, cyclohexyl acetate, 3-methoxybutyl acetate, 3-ethoxyethyl propionate, 3-ethoxymethyl propionate, 3-methoxymethyl propionate, methyl acetoacetate, ethyl acetoacetate, methyl pyruvate, ethyl pyruvate, propylene glycol monomethyl ether propionate, propylene glycol monoethyl ether propionate, methyl ethyl ketone, methyl amyl ketone, cyclohexanone, cyclopentanone, diacetone alcohol, ethylene glycol monomethyl ether, ethylene glycol monoethyl ether, diethylene glycol monomethyl ether, diethylene glycol monoethyl ether, 3-methyl-3-methoxybutanol, N-methylpyrrolidone, dimethylsulfoxide,  $\gamma$ -butyrolactone,  $\gamma$ -valerolactone,  $\delta$ -valerolactone, propylene glycol methyl ether acetate, propylene glycol ethyl ether acetate, propylene glycol propyl ether acetate, methyl lactate, ethyl lactate, propyl lactate, and tetramethylene sulfone. The solvents may be used alone or as mixtures. In addition, similar solvents and solvent blends may be used to rinse the pinning layer from the neutral layer and leaving behind the trench whose surface is coated with the pinning material.

**[0038]** Within a trench, the physical morphology of the pinning material may be highly conformal to the walls of the trench or may exhibit a tendency to impart some planarity to the trench. Such characteristics may depend on the molecular weight of the pinning material. For example, without intending to be bound by theory, pinning materials having weight average molecular weights greater than about 20,000 Daltons, may provide more conformal coatings to the original coated neutral layer; thus leaving more conformal coatings after the solvent rinse. On the other hand, pinning materials having molecular weights less than about 20,000 Daltons may show more planarizing behavior in the original coating, presenting the opportunity of producing a relatively more planar coated trench after rinsing.

**[0039]** As noted supra, the pinning material may comprise a nonfunctionalized or telechelic polymer. Such a polymer may comprise one or more repeat units chosen from a substituted or unsubstituted styrene, a (meth)acrylate, a substituted or unsubstituted vinyl pyridine, a diene, one or more olefins, an unsaturated anhydride, an unsaturated diacid, an unsaturated acid-ester, one or more olefin oxides, a siloxane, a homopolyester, a heteropolyester couple, a homopolyamide, a heteropolyamide couple or combinations thereof. Wherein a homopolyester comprises monomer repeat units deriving from both alcohol and acid functional groups on the same repeat unit, and a heteropolyester comprises coupled monomer repeat units, one member of the couple deriving from two or more acid groups and one member of the couple deriving from two or more alcohol groups. Wherein a homopolyamide comprises monomer repeat units deriving from both amine and acid functional groups on the same repeat unit, and a heteropolyester compris-

es coupled monomer repeat units, one member of the couple deriving from two or more acid groups and one member of the couple deriving from two or more amine groups. Wherein acid groups may be carboxylic phosphonic or sulfonic acids.

**[0040]** The pinning material may comprise a nonfunctionalized or telechelic polymer, having repeat units chosen from, without limitation, styrene, 4-vinyl pyridine, butadiene, isoprene, methyl (meth)acrylate, polyethylene oxide, polyethylene-propylene, polycaprolactone, polycaprolactam, poly-t-butyl (meth)acrylate, propylene oxide, tetrahydropyran, dimethylsiloxane, maleic anhydride, itaconic anhydride, maleimide, maleic acid, itaconic acid, caproic acid, lactic acid, glycine, ethylene, propylene, 1-butene, 1,3-butadiene, norbornene, 4-hydroxy styrene, 4-acetoxy styrene, 4-methylstyrene, alpha-methylstyrene, ethyl (meth)acrylate, n-propyl (meth)acrylate, isopropyl (meth)acrylate, n-butyl (meth)acrylate, isobutyl (meth)acrylate, n-pentyl (meth)acrylate, isopentyl (meth)acrylate, neopentyl (meth)acrylate, n-hexyl (meth)acrylate, cyclohexyl (meth)acrylate, isobornyl (meth)acrylate, hydroxyethyl (meth)acrylate or a copolymer comprising at least one of the above.

**[0041]** The trenches in the neutral layer can be introduced using normal lithographic techniques, such as near-UV, 248 nm immersion and dry, 193 nm immersion and dry, 157 nm, EUV, x-ray, e-beam, ion beam. Either positive or negative photoresists may be used. Once the lithographic pattern is printed, the underlying neutral layer may be etched by wet etching, reactive ion etching, or plasma etching. The neutral layer may also be etched directly by such techniques as electron or ion milling. In addition, the trenches may be introduced into the neutral layer by imprint lithography.

**[0042]** In situations where photoresist is used, removal of the photoresist after etching the neutral layer and without damaging the neutral layer, may be effected successfully using solvents and solvent vapors comprising lactones or any commercial photoresist strippers such as AZ® 400T. Without limitation, such lactones may include  $\gamma$ -butyrolactone,  $\gamma$ -valerolactone,  $\delta$ -valerolactone, 3-methyl- $\gamma$ -butyrolactone, 2-methyl- $\gamma$ -butyrolactone, 2-methyl- $\gamma$ -valerolactone, 3-methyl- $\gamma$ -valerolactone, 4-methyl- $\gamma$ -valerolactone, 2-methyl- $\delta$ -valerolactone, 3-methyl- $\delta$ -valerolactone or mixtures thereof. These lactone solvents may be used alone, in solutions with cosolvents and diluents or in sequential steps with solvents and diluents. Suitable cosolvents, solvents and diluents may include glycol ether acetates, esters,  $\alpha$ -hydroxy esters,  $\alpha$ -alkoxy ester alcohols, ketones, amides, imides, ethers, ether esters, ether alcohols. Specifically, solvents may include, without limitation, ethylene glycol monoethyl ether acetate, propylene glycol monomethyl ether acetate (PGMEA), ethyl-3-ethoxypropionate, methyl-3-methoxypropionate, toluene, mixed xylenes, o-xylene, m-xylene, p-xylene, anisole, n-butyl acetate, amyl acetate, cyclohexyl acetate, 3-methoxybutyl acetate, 3-ethoxyethyl propionate, 3-ethoxymethyl propionate, 3-

methoxymethyl propionate, methyl acetoacetate, ethyl acetoacetate, methyl pyruvate, ethyl pyruvate, propylene glycol monomethyl ether propionate, propylene glycol monoethyl ether propionate, methyl ethyl ketone, methyl amyl ketone, cyclohexanone, cyclopentanone, diacetone alcohol, ethylene glycol monomethyl ether, ethylene glycol monoethyl ether, diethylene glycol monomethyl ether, diethylene glycol monoethyl ether, 3-methyl-3-methoxybutanol, N-methylpyrrolidone, dimethylsulfoxide, propylene glycol methyl ether acetate, propylene glycol ethyl ether acetate, propylene glycol propyl ether acetate, methyl lactate, ethyl lactate, propyl lactate, butyl lactate, and tetramethylene sulfone. The solvents may be used alone or as mixtures.

**[0043]** The base may comprise any flat material, such as a semiconductor substrate including gallium arsenide, indium phosphide, or silicon. Moreover, the semiconductor substrate may be coated with any material or collection of materials encountered in known semiconductor, electronic or optical processing, such as antireflective coatings. Examples include, without limitation, silicon dioxide, silicon monoxide, silicon nitride, silicon oxynitride, silicon carbide, aluminum, copper, titanium, titanium nitride, tantalum nitride, aluminum, copper, titanium, chromium, zirconium oxide or europium oxide, polysilicon, ceramics, perovskites, or ferroelectric polymers. In addition, these substrates may be coated with antireflective coating(s).

**[0044]** The blocks of organic block copolymer may comprise repeat units derived from monomers such as C<sub>2-30</sub> olefins, (meth)acrylate monomers derived from C<sub>1-30</sub> alcohols, inorganic-containing monomers including those based on Si, Ge, Ti, Fe, Al. Block copolymers can comprise one block of monomeric units which are etch resistant and another block of monomeric units that are highly etchable. Monomers based on C<sub>2-30</sub> olefins can make up a block of high etch resistance alone or do so in combination with one other olefinic monomer. Specific example of olefinic monomers of this type are ethylene, propylene, 1-butene, 1,3-butadiene, isoprene, dihydropyran, norbornene, maleic anhydride, styrene, 4-hydroxy styrene, 4-acetoxy styrene, 4-methylstyrene, alpha-methylstyrene or mixtures thereof. Examples of highly etchable units can be derived from (meth)acrylate monomers such as (meth)acrylate, methyl (meth)acrylate, ethyl (meth)acrylate, n-propyl (meth)acrylate, isopropyl (meth)acrylate, n-butyl (meth)acrylate, isobutyl (meth)acrylate, n-pentyl (meth)acrylate, isopentyl (meth)acrylate, neopentyl (meth)acrylate, n-hexyl (meth)acrylate, cyclohexyl (meth)acrylate, isobornyl (meth)acrylate, hydroxyethyl (meth)acrylate or mixtures thereof. The characteristic domain pitch of the block copolymer, Lo, may range from 2nm to 200nm, or 5nm to 100nm, or from 10nm to 50nm.

**[0045]** An illustrative example of a block copolymer containing one type of high etch resistant repeat unit would be a polystyrene block containing only repeat units derived from styrene and another type of highly etchable



polymethylmethacrylate block containing only repeat units derived from methylmethacrylate. These together would form the block copolymer poly(styrene-b-methylmethacrylate), where b refers to block.

**[0046]** More specifically, non-limiting examples of block copolymers that are useful for graphoepitaxy, chemoepitaxy or pinned chemoepitaxy as used for directed self assembly on a patterned neutral layer, are poly(styrene-b-vinyl pyridine), poly(styrene-b-butadiene), poly(styrene-b-isoprene), poly(styrene-b-methylmethacrylate), poly(styrene-b-alkenyl aromatics), poly(isoprene-b-ethylene oxide), poly(styrene-b-(ethylene-propylene)), poly(ethylene oxide-b-caprolactone), poly(butadiene-b-ethylene oxide), poly(styrene-b-t-butyl(meth)acrylate), poly(methyl methacrylate-b-t-butylmethacrylate), poly(ethylene oxide-b-propylene oxide), poly(styrene-b-tetrahydrofuran), poly(styrene-b-isoprene-b-ethylene oxide), poly(styrene-b-dimethylsiloxane), poly(methyl methacrylate-b-dimethylsiloxane), or a combination comprising at least one of the above described block copolymers. All these polymeric materials share in common the presence of at least one block which is rich in repeat units resistant to etching techniques typically employed in manufacturing IC devices and at least one block which etches rapidly under these same conditions. This allows for the directed self assembled polymer to pattern transfer onto the substrate to affect either pattern rectification or pattern multiplication.

**[0047]** Block copolymers of the present disclosure have a weight-averaged molecular weight ( $M_w$ ) in the range of 3,000 to 500,000 g/mol and a number averaged molecular weight ( $M_n$ ) of 1,000 to 60,000 and a polydispersity ( $M_w/M_n$ ) of 1.01 to 6, or 1.01 to 2 or 1.01 to 1.3. Molecular weight, both  $M_w$  and  $M_n$ , can be determined by, for example, gel permeation chromatography calibrated against polystyrene standards. This ensures that the polymer blocks have enough mobility to undergo self assembly when applied to a given surface either spontaneously, or by using a purely thermal treatment, or through a thermal process which is assisted by the absorption of solvent vapor into the polymer framework to increase flow of segments enabling self assembly to occur.

**[0048]** Suitable solvents for block copolymer formulations can vary with the solubility requirements of the block copolymer. Non limiting examples of such solvents include glycol ether acetates, esters,  $\alpha$ -hydroxy esters,  $\alpha$ -alkoxy ester alcohols, ketones, amides, imides, ethers, ether esters, ether alcohols. Specifically, solvents may include, without limitation, ethylene glycol monoethyl ether acetate, propylene glycol monomethyl ether acetate (PGMEA), ethyl-3-ethoxypropionate, methyl-3-methoxypropionate, toluene, mixed xylenes, o-xylene, m-xylene, p-xylene, anisole, n-butyl acetate, amyl acetate, cyclohexyl acetate, 3-methoxybutyl acetate, 3-ethoxyethyl propionate, 3-ethoxymethyl propionate, 3-methoxymethyl propionate, methyl acetoacetate, ethyl acetoacetate, methyl pyruvate, ethyl pyruvate, propylene

glycol monomethyl ether propionate, propylene glycol monoethyl ether propionate, methyl ethyl ketone, methyl amyl ketone, cyclohexanone, cyclopentanone, diacetone alcohol, ethylene glycol monomethyl ether, ethylene glycol monoethyl ether, diethylene glycol monomethyl ether, diethylene glycol monoethyl ether, 3-methyl-3-methoxybutanol, N-methylpyrrolidone, dimethylsulfoxide,  $\gamma$ -butyrolactone,  $\gamma$ -valerolactone,  $\delta$ -valerolactone, propylene glycol methyl ether acetate, propylene glycol ethyl ether acetate, propylene glycol propyl ether acetate, methyl lactate, ethyl lactate, propyl lactate, butyl lactate, and tetramethylene sulfone. The solvents may be used alone or as mixtures.

**[0049]** The block copolymer composition can comprise additional components and/or additives selected from the group consisting of: inorganic-containing polymers; additives including small molecules, inorganic-containing molecules, surfactants, photoacid generators, thermal acid generators, quenchers, hardeners, cross-linkers, chain extenders; and combinations comprising at least one of the foregoing, wherein one or more of the additional components and/or additives co-assemble with the block copolymer to form the block copolymer assembly.

**[0050]** The block copolymer composition is applied to the substrate described supra which has been defined on a surface by conventional lithography. The neutral surface may be a crosslinked coating or otherwise incapable of being dissolved by the solvents used to cast coatings on top of it. Upon application and solvent removal, the block copolymer then undergoes self assembly directed by the specific pattern formed by conventional lithographic processing over the neutral layer through either actual topographical features or a patterned chemical difference of the substrate surface created by conventional lithographic process.

**[0051]** The application of the block copolymer by spinning techniques (including spin drying) can suffice to form the self directed block copolymer assembly. Other methods of self directed domain formation can occur during applying, baking, annealing, or during a combination of one or more of these operations. In this way, an oriented block copolymer assembly is prepared by the above method, having phase-separated domains that comprise cylindrical domains oriented perpendicular to the neutral surface, or that comprise lamellar domains oriented perpendicular to the neutral surface. Frequently, the phase-separated domains are lamellar domains oriented perpendicular to the neutral surface, which provide parallel line/space patterns in the block copolymer assembly. The domains, so oriented, are desirably thermally stable under further processing conditions. Thus, after coating a layer of a block copolymer assembly including a useful diblock copolymer such as, for example, poly(styrene-b-methyl methacrylate), and optionally baking and/or annealing, the domains of the block copolymer will form on and remain perpendicular to the neutral surface, giving highly resistant and highly etchable regions on the sur-

face of the substrate, which can be further pattern transferred in the substrate layers. The directed self assembled block copolymer pattern is transferred into the underlying substrate using known techniques. In one example wet or plasma etching could be used with optional UV exposure. Wet etching could be with acetic acid. Standard plasma etch process, such as a plasma comprising oxygen may be used; additionally argon, carbon monoxide, carbon dioxide, CF<sub>4</sub>, CHF<sub>3</sub>, may be present in the plasma. The block copolymer is then coated over a chemically modified neutral layer and annealed to form domains perpendicular to the substrate surface. One of the domains is then removed to form a pattern on the surface of the substrate.

## EXAMPLES

### Comparative Example 1

**[0052]** A silicon wafer was coated at 1500 rpm with Si-antireflective coating (ARC) obtained from Shinetsu Chemical Co. Ltd. (SHB A940) and baked at 220 °C for 90s to form a base. A solution of 0.4% w/w hydroxyl-terminated cross-linkable poly [styrene-random-vinylbenzocyclobutene-random-methylmethacrylate] (X-PS-r-PMMA-OH) solution in propylene glycol monomethyl ether acetate (PGMEA) solvent was coated at 1500 rpm and baked at 250°C for 120s on the base. Fujifilm Electronic Materials (FAiRS M190 NTD) photoresist was coated at 1500 rpm and baked at 100°C for 60s. After exposure on a 193nm immersion lithography tool (ASML NXT-1950i scanner, NA:1.35, dipole illumination (35X, 0.76/0.66), post exposure bake was performed at 100°C for 60s. The trench pattern was created in the neutral layer by plasma etching with oxygen and argon gas system (pressure: 50mtorr, 15sccm (O<sub>2</sub>), 100sccm (Ar), power: 50W). The photoresist was stripped using a stream of gamma valerolactone (GVL), followed by a stream of PGMEA at room temperature and spin dried. A 1.4 % w/w solution of poly(styrene-block-methyl methacrylate) (PS-b-PMMA) polymer (Lo of 30nm) in PGMEA solvent was coated at 1500 rpm and annealed at 250°C for 5 minutes in air to produce the pattern of Figure 3(a). An examination of the fingerprint pattern in the figure indicated little guided self assembly took place in the block copolymer and was not acceptable.

### Example 2

**[0053]** The experiment was repeated as in the Comparative Example except that prior to the application of the block copolymer, (PS-b-PMMA), a solution of pinning layer material, 2 % w/w hydroxyl-terminated polystyrene (PS) in n-butyl acetate (n-BA) was coated at 1500rpm and baked at 130 °C for 2 min. A PGMEA solvent was used to rinse the coated pinning material from the surface of the neutral layer leaving a portion of the pinning material coating the trench. The wafer was then baked on

a hotplate at 130 °C for 2 min. Subsequently, the block copolymer solution and the wafer sample was processed as supra. An inspection of fingerprint pattern as shown in Figure 3(b) indicated successful self assembled direction of block copolymer features.

**[0054]** Although the present invention has been shown and described with reference to particular examples, various changes and modifications which are obvious to persons skilled in the art to which the invention pertains are deemed to lie within the scope and contemplation of the subject matter set forth in the appended claims.

## Claims

1. A template for directing a pattern in a block copolymer film, said block copolymer having two or more spontaneously separating blocks, said template comprising:

- a. a substrate comprising a base and a neutral coating on the base, said neutral coating having an array comprising two or more trenches etched therein; said trenches having walls; and
- b. a pinning material in the trenches, said pinning material having a greater affinity for one of the two or more spontaneously separating blocks than for any other of the two or more spontaneously separating blocks; wherein the patterns of differing chemical affinity have little or no topographical patterning but can align the separated phases of the block copolymer by pinning one of the blocks to the region on the substrate to which that block has affinity, and wherein each block in the block copolymer has a characteristic length, wherein the block copolymer has a characteristic pitch, wherein the pinning material is coated on the walls of the trenches, and wherein the trenches are dimensioned in a manner effective to direct a pattern in a block copolymer film.

2. The template of Claim 1, wherein the pinning material comprises a conformal coating on the walls of the two or more trenches.

3. The template of Claim 1 or 2, wherein the pinning material comprises a polymer, said polymer comprising a repeat unit common to one of the blocks in the block copolymer to be coated on the template.

4. The template of one of Claims 1 to 3, wherein the pinning material comprises a polymer, said polymer comprising a repeat unit selected from a group consisting of substituted styrene, unsubstituted styrene, (meth)acrylate, substituted vinyl pyridine, unsubstituted vinyl pyridine, a diene, one or more olefins, an unsaturated anhydride, an unsaturated diacid, an

unsaturated acid-ester, one or more olefin oxides, a siloxane, a homopolyester, a heteropolyester couple, a homopolyamide couple, a heteropolyamide couple or combinations thereof.

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5. The template of Claim 4, wherein the pinning material comprises a monofunctional or difunctional telechelic polymer, comprising a reactive end group selected from a group consisting of an alcohol group, an ester group, a carbonate group, a carboxylic acid group, a phosphonic acid group, a sulfonic acid group, an amine group, an amide or imide group, an epoxy group, a silyl group, an alkoxy-silyl group, an alkylsilyl group, an aminosilane group, a nitrile group, an isocyanate group, a thiocyanate group, and an isothiocyanate group.
  6. The template of one of Claims 1 to 5, wherein the trenches are pitched at one or more selected pitch distances and where the one or more selected pitch distances separating the two or more trenches are chosen to be an approximately integral number of characteristic pitch distances in the block copolymer.
  7. The template of one of Claims 1 to 6, wherein the width of at least one of the trenches is a factor of about 0.1 to about 2.0 times the characteristic pitch of the selected pitch of the block copolymer.
  8. A process for directing a multiplied pattern in a block copolymer film, said process comprising:
    - a. selecting a block copolymer having two or more spontaneously separating blocks;
    - b. providing a base having a neutral coating on the base, and a pattern in the neutral coating, said pattern comprising an array of two or more trenches, said trenches having walls;
    - c. providing a pinning material, in the trenches to form a prepared substrate, said pinning material having a greater affinity for one of the two or more spontaneously separating blocks than for any other of the two or more spontaneously separating blocks; wherein the patterns of differing chemical affinity have little or no topographical patterning but can align the separated phases of the block copolymer by pinning one of the blocks to the region on the substrate to which that block has affinity, and
    - d. coating the block copolymer on the prepared substrate;
 wherein each block in the block copolymer has a characteristic length, wherein the block copolymer has a characteristic pitch, wherein the pinning material is coated on the walls of the trenches, and wherein the trenches are dimensioned in a manner effective to direct a pattern in a block copolymer film.

9. The process of Claim 8, wherein providing a pinning material in the trenches comprises:
  - a. depositing a layer of pinning material on the patterned neutral coating; wherein said layer at least partially fills the trenches;
  - b. removing a portion of said layer of pinning material, leaving a remaining portion in the trenches.
10. The process of Claim 8 or 9, wherein the pinning material is as defined in one of Claims 2 to 5 and/or wherein the trenches are as defined in one of Claims 6 or 7.
11. A process for directing a multiplied pattern in a block copolymer film, said process comprising:
  - a. selecting a block copolymer having two or more spontaneously separating blocks;
  - b. providing a base having a neutral coating thereon;
  - c. forming a pattern in the neutral coating by a lithographic process; wherein the lithographic process comprises (i) coating, exposing, and developing a photoresist to produce a photoresist pattern; (ii) etching the neutral layer using the photoresist pattern as a mask; and (iii) stripping the photoresist with a solvent or solvent vapor; said pattern in the neutral coating comprising an array of two or more trenches, said trenches having walls;
  - d. providing a pinning material, in the trenches to form a prepared substrate, said pinning material having a greater affinity for one of the two or more spontaneously separating blocks than for any other of the two or more spontaneously separating blocks; wherein the patterns of differing chemical affinity have little or no topographical patterning but can align the separated phases of the block copolymer by pinning one of the blocks to the region on the substrate to which that block has affinity, and
  - e. coating the block copolymer on the prepared substrate;
 wherein each block in the block copolymer has a characteristic length, wherein the block copolymer has a characteristic pitch, wherein the pinning material is coated on the walls of the trenches, and wherein the trenches are dimensioned in a manner effective to direct a pattern in a block copolymer film.
12. The process of Claim 11, wherein the solvent or solvent vapor comprises a lactone.
13. The process of Claim 12, wherein the lactone is selected from a group consisting of  $\gamma$ -butyrolactone,  $\gamma$ -

valerolactone,  $\delta$ -valerolactone, 3-methyl- $\gamma$ -butyrolactone, 2-methyl- $\gamma$ -butyrolactone, 2-methyl- $\gamma$ -valerolactone, 3-methyl- $\gamma$ -valerolactone, 4-methyl- $\gamma$ -valerolactone, 2-methyl- $\delta$ -valerolactone, 3-methyl- $\delta$ -valerolactone and mixtures thereof.

14. The process of one of Claims 11 to 13, wherein the solvent or solvent vapor comprises a lactone blended with an ether, an ester, an ether-ester, an ether alcohol, an ester alcohol or a diester.

15. The process of Claim 14, wherein the lactone is selected from a group consisting of  $\gamma$ -butyrolactone,  $\gamma$ -valerolactone,  $\delta$ -valerolactone, 3-methyl- $\gamma$ -butyrolactone, 2-methyl- $\gamma$ -butyrolactone, 2-methyl- $\gamma$ -valerolactone, 3-methyl- $\gamma$ -valerolactone, 4-methyl- $\gamma$ -valerolactone, 2-methyl- $\delta$ -valerolactone, 3-methyl- $\delta$ -valerolactone and mixtures thereof.

### Patentansprüche

1. Schablone zum Lenken eines Musters in einem Blockcopolymerfilm, wobei das Blockcopolymer zwei oder mehrere sich spontan trennende Blöcke hat, wobei die Schablone umfasst:

a. ein Substrat umfassend eine Basis und eine neutrale Beschichtung auf der Basis, wobei die neutrale Beschichtung eine Anordnung umfassend zwei oder mehr Gräben darin eingätzt hat; wobei die Gräben Wände haben; und

b. ein anheftendes Material in den Gräben, wobei das anheftende Material eine höhere Affinität zu einem der zwei oder mehreren sich spontan trennenden Blöcken hat als für irgendeinen anderen der zwei oder mehreren sich spontan trennenden Blöcken; wobei die Muster der unterschiedlichen chemischen Affinität wenig oder keine topografische Strukturierung haben, aber die getrennten Phasen des Blockcopolymer durch Anheften eines der Blöcke an den Bereich des Substrats, zu dem dieser Block Affinität hat, ausrichten können, und

wobei jeder Block in dem Blockcopolymer eine charakteristische Länge hat, wobei das Blockcopolymer eine charakteristische Teilung hat, wobei das anheftende Material auf die Wände der Gräben beschichtet ist, und wobei die Gräben in einer Weise dimensioniert sind, die wirksam ist, ein Muster in einem Blockcopolymerfilm zu lenken.

2. Schablone gemäß Anspruch 1, wobei das anheftende Material einen Schutzlack auf den Wänden der zwei oder mehr Gräben umfasst.

3. Schablone gemäß Anspruch 1 oder 2, wobei das

anheftende Material ein Polymer umfasst, wobei das Polymer eine Wiederholeinheit umfasst, die für einen der Blöcke in dem Blockcopolymer üblich ist, um auf die Schablone beschichtet zu werden.

4. Schablone gemäß einem der Ansprüche 1 bis 3, wobei das anheftende Material ein Polymer umfasst, wobei das Polymer eine Wiederholeinheit umfasst, die ausgewählt ist aus einer Gruppe bestehend aus substituiertem Styrol, unsubstituiertem Styrol, (Meth)acrylat, substituiertem Vinylpyridin, unsubstituiertem Vinylpyridin, einem Dien, einem oder mehreren Olefinen, einem ungesättigten Anhydrid, einer ungesättigten Disäure, einem ungesättigten Säureester, einem oder mehreren Olefinoxiden, einem Siloxan, einem Homopolyester, einem Heteropolyesterpaar, einem Homopolyamidpaar, einem Heteropolyamidpaar oder Kombinationen davon.

5. Schablone gemäß Anspruch 4, wobei das anheftende Material ein monofunktionales oder difunktionales telechelisches Polymer umfassend eine reaktive Endgruppe umfasst, die ausgewählt ist aus einer Gruppe bestehend aus einer Alkoholgruppe, einer Estergruppe, einer Carbonatgruppe, einer Carbonsäuregruppe, einer Phosphonsäuregruppe, einer Sulfonsäuregruppe, einer Amingruppe, einer Amid- oder Imidgruppe, einer Epoxygruppe, einer Silylgruppe, einer Alkoxysilylgruppe, einer Alkylsilylgruppe, einer Aminosilangruppe, einer Nitrilgruppe, einer Isocyanatgruppe, einer Thiocyanatgruppe, und einer Isothiocyanatgruppe.

6. Schablone gemäß einem der Ansprüche 1 bis 5, wobei die Gräben bei einem oder mehreren Teilungsabständen geteilt sind, und wobei der eine oder die mehreren ausgewählten Teilungsabstände, die die zwei oder mehreren Gräben trennen, ausgewählt sind, ungefähr eine ganze Zahl charakteristischer Teilungsabstände in dem Blockcopolymer zu sein.

7. Schablone gemäß einem der Ansprüche 1 bis 6, wobei die Breite mindestens eines der Gräben ein Faktor von etwa 0,1 bis 2,0 mal die charakteristische Teilung der gewählten Teilung des Blockcopolymer ist.

8. Verfahren zum Lenken eines vervielfältigten Musters in einem Blockcopolymerfilm, wobei das Verfahren umfasst:

a. Auswählen eines Blockcopolymer mit zwei oder mehreren sich spontan trennenden Blöcken;

b. Bereitstellen einer Basis mit einer neutralen Beschichtung auf der Basis, und eines Musters in der neutralen Beschichtung, wobei das Muster eine Anordnung von zwei oder mehr Gräben

- umfasst, wobei die Gräben Wände haben;
- c. Bereitstellen eines anheftenden Materials in den Gräben um ein vorbereitetes Substrat zu bilden, wobei das anheftende Material eine höhere Affinität zu einem der zwei oder mehreren sich spontan trennenden Blöcken hat als für irgendeinen der anderen der zwei oder mehreren sich spontan trennenden Blöcke; wobei die Muster der unterschiedlichen chemischen Affinität wenig oder keine topografische Strukturierung haben, aber die getrennten Phasen des Blockcopolymers durch Anheften eines der Blöcke an den Bereich des Substrats, zu dem der Block Affinität hat, ausrichten können, und
- d. Beschichten des Blockcopolymers auf das vorbereitete Substrat;
- wobei jeder Block in dem Blockcopolymer eine charakteristische Länge hat, wobei das Blockcopolymer eine charakteristische Teilung hat, wobei das anheftende Material auf die Wände der Gräben beschichtet ist, und wobei die Gräben in einer Weise dimensioniert sind, die wirksam ist, ein Muster in einem Blockcopolymerfilm zu lenken.
9. Verfahren gemäß Anspruch 8, wobei das Bereitstellen eines anheftenden Materials in den Gräben umfasst:
- a. Abscheiden einer Schicht anheftenden Materials auf der strukturierten neutralen Beschichtung; wobei die Schicht mindestens teilweise die Gräben füllt;
- b. Entfernen eines Teils der Schicht anheftenden Materials, eine verbleibende Portion in den Gräben lassend.
10. Verfahren gemäß Anspruch 8 oder 9, wobei das anheftende Material wie in einem der Ansprüche 2 bis 5 definiert ist und/oder wobei die Gräben wie in einem der Ansprüche 6 oder 7 definiert sind.
11. Verfahren zum Lenken eines vervielfältigten Musters in einem Blockcopolymerfilm, wobei das Verfahren umfasst:
- a. Auswählen eines Blockcopolymers mit einem oder mehreren sich spontan trennenden Blöcken;
- b. Bereitstellen einer Basis mit einer neutralen Beschichtung darauf;
- c. Bilden eines Musters in der neutralen Beschichtung durch ein lithografisches Verfahren; wobei das lithografische Verfahren umfasst (i) Beschichten, Belichten, und Entwickeln eines Fotolacks, um ein Fotolackmuster herzustellen; (ii) Ätzen der neutralen Schicht unter Verwendung des Fotolackmusters als eine Maske; und
- (iii) Abziehen des Fotolacks mit einem Lösungsmittel oder Lösungsmitteldampf; wobei das Muster in der neutralen Beschichtung eine neue Anordnung von zwei oder mehreren Gräben umfasst, wobei die Gräben Wände haben;
- d. Bereitstellen eines anheftenden Materials in den Gräben, um ein vorbereitetes Substrat zu bilden, wobei das anheftende Material eine höhere Affinität zu einem der zwei oder mehreren sich spontan trennenden Blöcken hat als zu irgendeinem anderen der zwei oder mehreren sich spontan trennenden Blöcke; wobei die Muster der unterschiedlichen chemischen Affinität wenig oder keine topografische Strukturierung haben, aber die getrennten Phasen des Blockcopolymers durch Anheften eines der Blöcke an den Bereich des Substrats, zu dem dieser Block Affinität hat, ausrichten können, und
- e. Beschichten des Blockcopolymers auf dem vorbereiteten Substrat;
- wobei jeder Block in dem Blockcopolymer eine charakteristische Länge hat, wobei das Blockcopolymer eine charakteristische Teilung hat, wobei das anheftende Material auf die Wände der Gräben beschichtet ist, und wobei die Gräben in einer Weise dimensioniert sind, die wirksam ist, ein Muster in einem Blockcopolymerfilm zu lenken.
12. Verfahren gemäß Anspruch 11, wobei das Lösungsmittel oder der Lösungsmitteldampf ein Lacton umfasst.
13. Verfahren gemäß Anspruch 12, wobei das Lacton ausgewählt ist aus einer Gruppe bestehend aus  $\gamma$ -Butyrolacton,  $\gamma$ -Valerolacton,  $\delta$ -Valerolacton, 3-Methyl- $\gamma$ -butyrolacton, 2-Methyl- $\gamma$ -butyrolacton, 2-Methyl- $\gamma$ -valerolacton, 3-Methyl- $\gamma$ -valerolacton, 4-Methyl- $\gamma$ -valerolacton, 2-Methyl- $\delta$ -valerolacton, 3-Methyl- $\delta$ -valerolacton und Mischungen davon.
14. Verfahren gemäß einem der Ansprüche 11 bis 13, wobei das Lösungsmittel oder der Lösungsmitteldampf ein Lacton vermischt mit einem Ether, einem Ester, einem Ether-Ester, einem Etheralkohol, einem Esteralkohol oder einem Diester umfasst.
15. Verfahren gemäß Anspruch 14, wobei das Lacton ausgewählt ist aus einer Gruppe bestehend aus  $\gamma$ -Butyrolacton,  $\gamma$ -Valerolacton,  $\delta$ -Valerolacton, 3-Methyl- $\gamma$ -butyrolacton, 2-Methyl- $\gamma$ -butyrolacton, 2-Methyl- $\gamma$ -valerolacton, 3-Methyl- $\gamma$ -valerolacton, 4-Methyl- $\gamma$ -valerolacton, 2-Methyl- $\delta$ -valerolacton, 3-Methyl- $\delta$ -valerolacton und Mischungen davon.

## Revendications

1. Modèle pour l'orientation d'un motif dans un film de copolymère à blocs, ledit copolymère à blocs comportant deux blocs ou plus qui se séparent spontanément, ledit modèle comprenant :
  - a. un substrat comprenant une base et un revêtement neutre sur la base, ledit revêtement neutre comportant un réseau comprenant deux tranchées ou plus qui y sont gravées ; lesdites tranchées comportant des parois ; et
  - b. un matériau d'ancrage dans les tranchées, ledit matériau d'ancrage ayant une plus grande affinité pour l'un desdits deux blocs ou plus qui se séparent spontanément que pour tout autre desdits deux blocs ou plus qui se séparent spontanément ; les motifs ayant une différente affinité chimique présentant une structure topographique minimale, voire nulle, mais pouvant aligner les phases séparées du copolymère à blocs par ancrage d'un des blocs à la région sur le substrat pour laquelle ce bloc a une affinité, et

dans lequel chaque bloc dans le copolymère à blocs a une longueur caractéristique, dans lequel le copolymère à blocs a un pas caractéristique, dans lequel le matériau d'ancrage est appliqué de façon à former un revêtement sur les parois des tranchées, et dans lequel les tranchées sont dimensionnées d'une manière efficace pour orienter un motif dans un film de copolymère à blocs.
2. Modèle selon la revendication 1, dans lequel le matériau d'ancrage forme un revêtement épousant les contours des parois desdites deux tranchées ou plus.
3. Modèle selon la revendication 1 ou 2, dans lequel le matériau d'ancrage comprend un polymère, ledit polymère comprenant une unité de répétition en commun avec l'un des blocs dans le copolymère à blocs destiné à être appliqué de façon à former un revêtement sur le modèle.
4. Modèle selon l'une des revendications 1 à 3, dans lequel le matériau d'ancrage comprend un polymère, ledit polymère comprenant une unité de répétition sélectionnée dans un groupe constitué d'un styrène substitué, d'un styrène non substitué, d'un (méth)acrylate, d'une vinylpyridine substituée, d'une vinylpyridine non substituée, d'un diène, d'une ou plusieurs oléfines, d'un anhydride insaturé, d'un diacide insaturé, d'un acide-ester insaturé, d'un ou plusieurs oxydes d'oléfines, d'un siloxane, d'un homopolymère, d'un couple hétéropolymère, d'un couple homopolymère, d'un couple hétéropolymère, ou de combinaisons de ceux-ci.
5. Modèle selon la revendication 4, dans lequel le matériau d'ancrage comprend un polymère téléchélique monofonctionnel ou difonctionnel, comprenant un groupe terminal réactif sélectionné dans un groupe constitué d'un groupe alcool, d'un groupe ester, d'un groupe carbonate, d'un groupe acide carboxylique, d'un groupe acide phosphonique, d'un groupe acide sulfonique, d'un groupe amine, d'un groupe amide ou imide, d'un groupe époxy, d'un groupe silyle, d'un groupe alcoxysilyle, d'un groupe alkylsilyle, d'un groupe aminosilane, d'un groupe nitrile, d'un groupe isocyanate, d'un groupe thiocyanate, et d'un groupe isothiocyanate.
6. Modèle selon l'une des revendications 1 à 5, dans lequel les tranchées sont séparées d'une ou plusieurs distances de pas sélectionnées et dans lequel lesdites une ou plusieurs distances de pas sélectionnées séparant lesdites deux tranchées ou plus sont choisies pour être un nombre approximativement entier de distances de pas caractéristiques dans le copolymère à blocs.
7. Modèle selon l'une des revendications 1 à 6, dans lequel la largeur d'au moins l'une des tranchées est un facteur d'environ 0,1 à environ 2,0 fois le pas caractéristique du pas sélectionné du copolymère à blocs.
8. Procédé d'orientation d'un motif répétitif dans un film de copolymère à blocs, ledit procédé comprenant :
  - a. la sélection d'un copolymère à blocs comportant deux blocs ou plus qui se séparent spontanément ;
  - b. la fourniture d'une base comportant un revêtement neutre sur la base, et la formation d'un motif dans le revêtement neutre, ledit motif formant un réseau de deux tranchées ou plus, lesdites tranchées comportant des parois ;
  - c. la disposition d'un matériau d'ancrage dans les tranchées pour former un substrat préparé, ledit matériau d'ancrage ayant une plus grande affinité pour l'un desdits deux blocs ou plus qui se séparent spontanément que pour tout autre desdits deux blocs ou plus qui se séparent spontanément ; les motifs ayant une différente affinité chimique présentant une structure topographique minimale, voire nulle, mais pouvant aligner les phases séparées du copolymère à blocs par ancrage d'un des blocs à la région sur le substrat pour laquelle ce bloc a une affinité, et
  - d. l'application du copolymère à blocs de façon à former un revêtement sur le substrat préparé ;

dans lequel chaque bloc dans le copolymère à blocs a une longueur caractéristique, dans lequel le copolymère à blocs a un pas caractéristique, dans lequel

le matériau d'ancrage est appliqué de façon à former un revêtement sur les parois des tranchées, et dans lequel les tranchées sont dimensionnées d'une manière efficace pour orienter un motif dans un film de copolymère à blocs.

9. Procédé selon la revendication 8, dans lequel la disposition d'un matériau d'ancrage dans les tranchées comprend :

- a. le dépôt d'une couche de matériau d'ancrage sur le revêtement neutre à motifs ; ladite couche remplissant au moins en partie les tranchées ;
- b. l'enlèvement d'une partie de ladite couche de matériau d'ancrage, en laissant une partie restant dans les tranchées.

10. Procédé selon la revendication 8 ou 9, dans lequel le matériau d'ancrage est tel que défini dans l'une des revendications 2 à 5 et/ou dans lequel les tranchées sont telles que définies dans l'une des revendications 6 ou 7.

11. Procédé d'orientation d'un motif répétitif dans un film de copolymère à blocs, ledit procédé comprenant :

- a. la sélection d'un copolymère à blocs comportant deux blocs ou plus qui se séparent spontanément ;
- b. la fourniture d'une base comportant un revêtement neutre sur ladite base ;
- c. la formation d'un motif dans le revêtement neutre par un procédé lithographique ; le procédé lithographique comprenant (i) l'application de façon à former un revêtement, l'exposition et le développement d'un photorésist pour produire un motif de photorésist ; (ii) la gravure de la couche neutre en utilisant le motif de photorésist comme masque ; et (iii) l'enlèvement du photorésist par décapage avec un solvant ou une vapeur de solvant ; ledit motif dans le revêtement neutre formant un réseau de deux tranchées ou plus, lesdites tranchées comportant des parois ;
- d. la disposition d'un matériau d'ancrage dans les tranchées pour former un substrat préparé, ledit matériau d'ancrage ayant une plus grande affinité pour l'un desdits deux blocs ou plus qui se séparent spontanément que pour tout autre desdits deux blocs ou plus qui se séparent spontanément ; les motifs ayant une différente affinité chimique présentant une structure topographique minime, voire nulle, mais pouvant aligner les phases séparées du copolymère à blocs par ancrage d'un des blocs à la région sur le substrat pour laquelle ce bloc a une affinité ; et
- e. l'application du copolymère à blocs de façon à former un revêtement sur le substrat préparé ;

dans lequel chaque bloc dans le copolymère à blocs a une longueur caractéristique, dans lequel le copolymère à blocs a un pas caractéristique, dans lequel le matériau d'ancrage est appliqué de façon à former un revêtement sur les parois des tranchées, et dans lequel les tranchées sont dimensionnées d'une manière efficace pour orienter un motif dans un film de copolymère à blocs.

12. Procédé selon la revendication 11, dans lequel le solvant ou la vapeur de solvant, comprend une lactone.

13. Procédé selon la revendication 12, dans lequel la lactone est sélectionnée dans un groupe constitué de la  $\gamma$ -butyrolactone, de la  $\gamma$ -valérolactone, de la  $\delta$ -valérolactone, de la 3-méthyl- $\gamma$ -butyrolactone, de la 2-méthyl- $\gamma$ -butyrolactone, de la 2-méthyl- $\gamma$ -valérolactone, de la 4-méthyl- $\gamma$ -valérolactone, de la 2-méthyl- $\delta$ -valérolactone, de la 3-méthyl- $\delta$ -valérolactone, et de mélanges de celles-ci.

14. Procédé selon l'une des revendications 11 à 13, dans lequel le solvant ou la vapeur de solvant. comprend une lactone mélangée avec un éther, un ester, un éther-ester, un éther-alcool, un ester-alcool ou un diester.

15. Procédé selon la revendication 14, dans lequel la lactone est sélectionnée dans un groupe constitué de la  $\gamma$ -butyrolactone, de la  $\gamma$ -valérolactone, de la  $\delta$ -valérolactone, de la 3-méthyl- $\gamma$ -butyrolactone, de la 2-méthyl- $\gamma$ -butyrolactone, de la 2-méthyl- $\gamma$ -valérolactone, de la 3-méthyl- $\gamma$ -valérolactone, de la 4-méthyl- $\gamma$ -valérolactone, de la 2-méthyl- $\delta$ -valérolactone, de la 3-méthyl- $\delta$ -valérolactone, et de mélanges de celles-ci.

# Figure 1

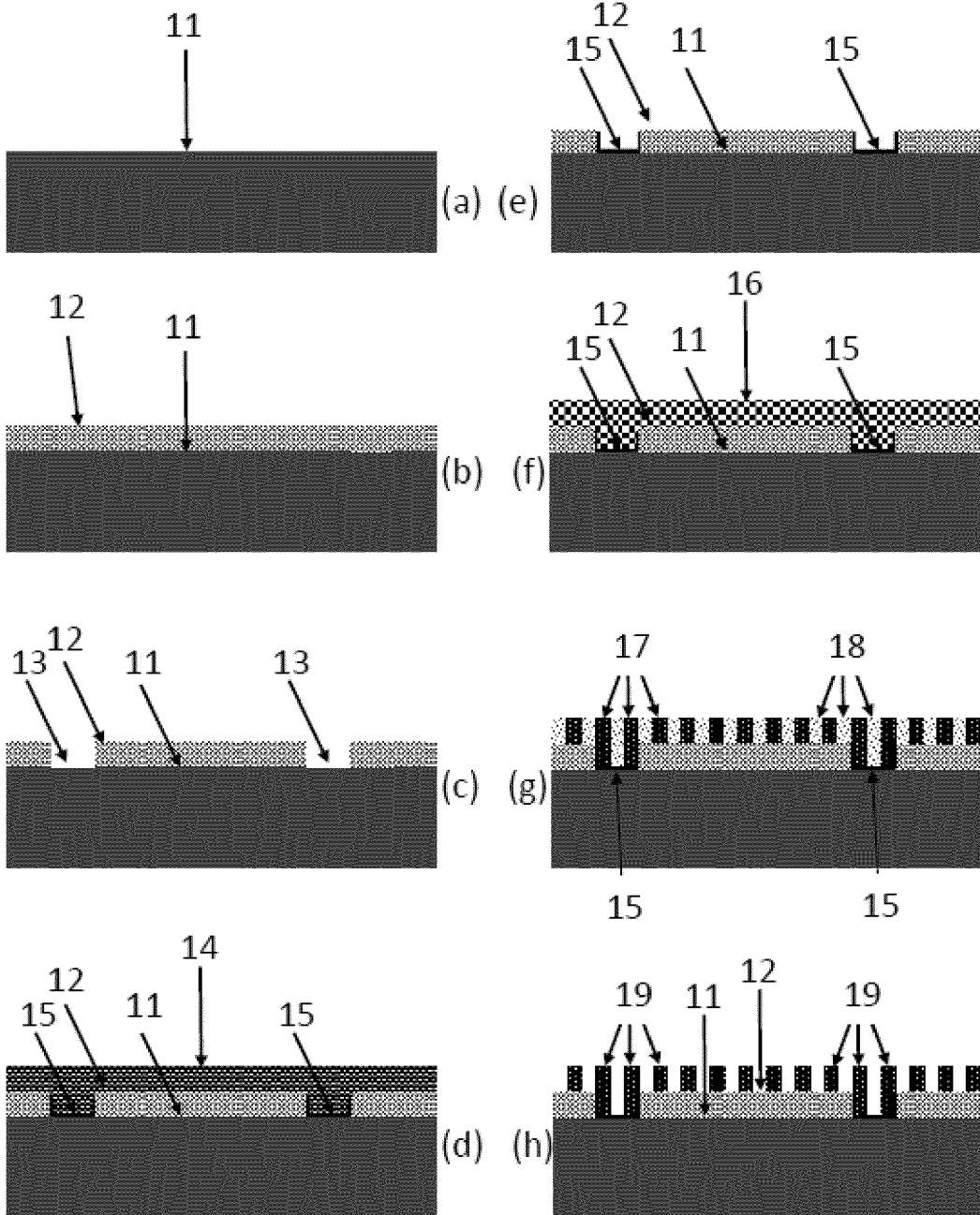
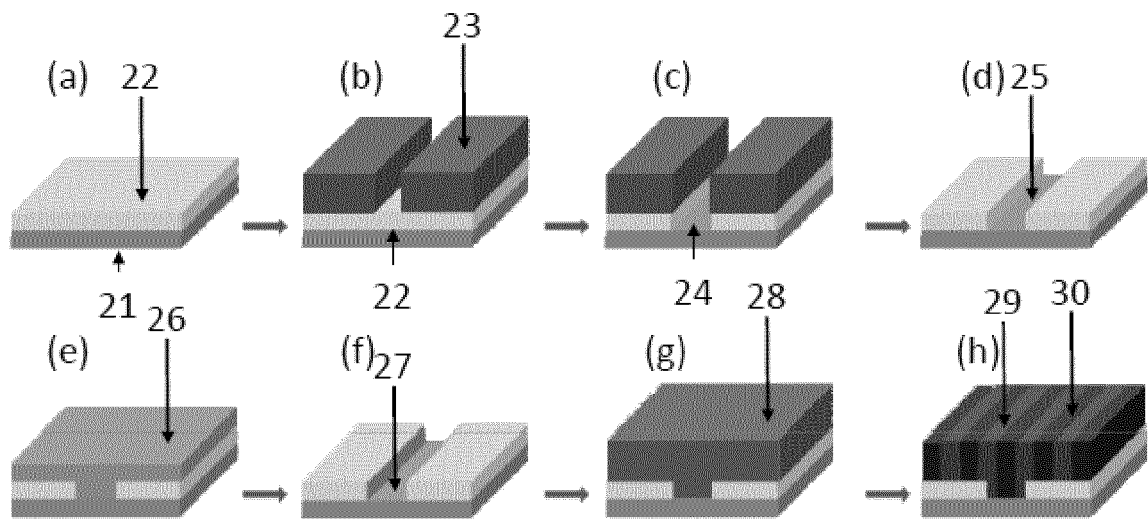
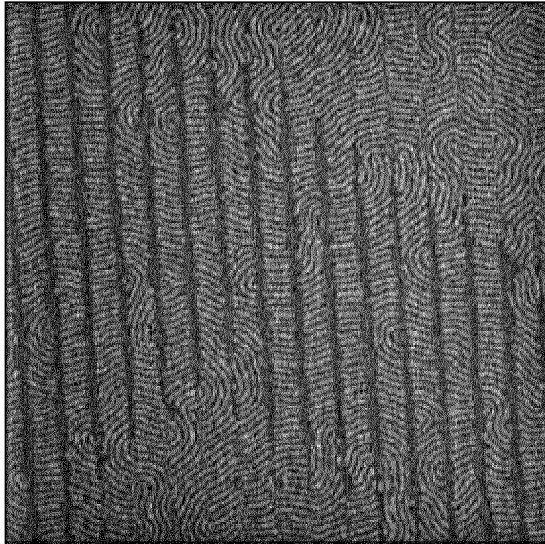




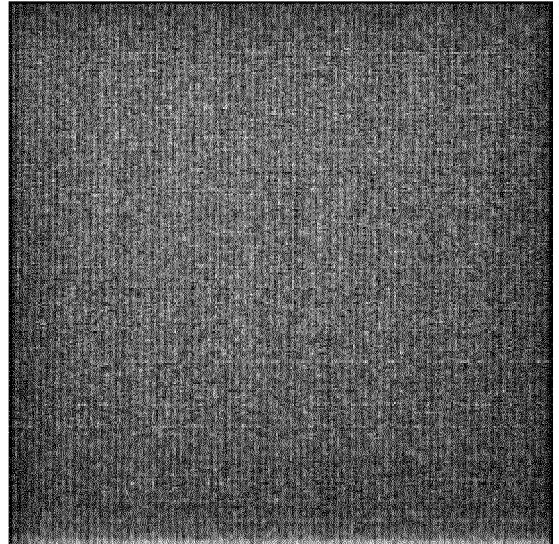
Figure 2



# Figure 3



(a)



(b)

**REFERENCES CITED IN THE DESCRIPTION**

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