

①⑫

EUROPEAN PATENT APPLICATION

②① Application number: **78300157.1**

⑤① Int. Cl.²: **H 01 L 29/04, H 01 L 27/15,**
H 01 L 21/208, G 02 F 1/09

②② Date of filing: **17.07.78**

③① Priority: **14.07.77 US 815720**

④③ Date of publication of application:
07.02.79 Bulletin 79/3

④④ Designated contracting states:
BE DE FR GB NL SE

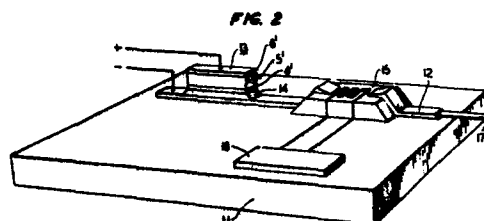
⑦① Applicant: **Western Electric Company, Incorporated**
222 Broadway New York
N.Y. 10038. (US)

⑦② Inventor: **Tien, Ping King**
19 Lisa Drive Chatham
New Jersey 07828. (US)

⑦④ Representative: **Watts, Christopher Malcolm Kelway, Dr. et al**
Western Electric Company Limited
5, Mornington Road Woodford Green
Essex, IG8 8TU. (GB)

⑤④ Devices including epitaxial layers of dissimilar crystalline materials and a method for selecting these materials for compatible epitaxial growth.

⑤⑦ A device includes a layer (12) of one material epitaxially grown on another material (11). The materials have lattice constants which are in the ratio of an integer other than unity. For example one material (12) may be a semiconductor and the other a garnet (11). Thus magneto-optic (15) and electro-optic (13) devices can be combined on the same substrate to form integrated-optics devices.



TITLE MODIFIED

see front page

- 1 -

P.K. Tien 44

DEVICES INCLUDING EPITAXIAL LAYERS OF
DISSIMILAR CRYSTALLINE MATERIALS

The invention relates to devices including a
5 first crystalline layer and a second crystalline layer
epitaxially formed on at least a portion of the first layer.

Epitaxial growth of crystals will be
understood to mean growth in which crystal line structure
of one crystal layer extends smoothly into an adjacent
10 crystal line structure of a second layer. In the prior art,
only materials the lattice constants of which were equal
or nearly equal were thought to be suitable for
epitaxial growth.

The purpose of epitaxial crystal growing has
15 been to provide extremely high quality crystals comprising
layers of different chemical composition, for use in
transistors, other semiconductor devices and integrated
optics devices. It has been found that nonepitaxial
crystal growth produces crystals with cracks, voids
20 and other defects that impair the operation of these
devices and result in their eventual failure.

Because nonepitaxial crystals were unsatis-
factory, and epitaxial growth was thought to be restricted
to combinations of materials with lattice constants that
25 matched exactly or nearly so, the prior art was unable
to combine chemical substances that, otherwise, could
offer promise of improved performance in a wide variety
28 of applications. For example, in the field of integrated

- 2 -

optics, it has not previously been possible to combine
III-V compounds for semiconductor devices and garnets
and other magnetically suitable materials, and therefore
it has not been possible to combine magnetic and electric
5 devices on the same substrate.

One prior art method of combining materials of
different lattice constants is disclosed in U.S. Patent No.
4,032,951, that discloses a method of epitaxial growth
in which a layer of graded chemical composition forms
10 a transition zone between two crystals of different
lattice constants.

With the present invention as claimed, materials
can be combined which were not previously considered
compatible for use in epitaxially grown devices. For
15 example, it is possible to combine semiconductor and
garnet layers in a single device. It is also possible to
combine, say, electro-optic devices and magneto-optic
devices on a single substrate to form integrated-optics
devices.

20 In the manufacture of electrical devices, also,
the method taught by this invention will offer better
choices of the materials. It is to be understood,
therefore, that although the invention is described in
the context of materials favourable for integrated optics,
25 it has general application to crystalline materials when
compatibility of epitaxially grown layers is desired.

Some embodiments of the invention will now be
described by way of example with reference to the
accompanying drawings of which:-

30 FIG. 1 shows an injection diode laser
constructed according to the invention;

FIG. 2 shows a pictorial view of an integrated
optical circuit including magnetic and optical devices;

FIG. 2A shows a section through FIG. 2; and

35 FIG. 3 shows a plot of the lattice constants
of the iron, aluminium and gallium garnets against ionic
radius of the added rare-earth element.

38 As an illustration of a device constructed

- 3 -

according to the invention, consider the double
heterostructure injection diode laser shown in FIG. 1,
in which a first crystalline layer, garnet substrate
1, which is suitable for magneto-optic devices, has an
5 epitaxially grown second crystalline layer of an n-type
III-V semiconductor 2 that is conductive and serves both
as the n-buffer layer and as one contact. The double
heterostructure of the diode laser includes an active
region 3, p-cladding layer 4, p^+ layer 5 and contact
10 6 in conventional fashion.

15

20

25

30

35

38

1 Illustratively, substrate 1 is Yttrium Aluminum
Garnet (YAG) having a lattice constant of 12 Angstroms and
the III-V compound of layer 2 is AlInAs, compounded to have
a lattice constant of 6 Angstroms and an energy gap of
5 .68 eV, capable of emitting radiation at 1.82 μ m.

 In FIG. 2, an integrated-optics device for
generating an optical carrier, modulating the carrier and
transmitting the modulated carrier into a fiber-optic
waveguide is shown in which substrate 11 and thin film
10 waveguide 12 are formed of a garnet and a III-V compound
respectively, with lattice constants adjusted for an
integral ratio.

 Laser 13 is another version of the semiconductor
injection laser known as the twin-guide laser, in which
15 laser light generated in active layer 14 is coupled to
waveguide 12 below, through a tapered transition.
Waveguide 12 also serves as one of the electric contacts of
the laser. Layers 4', 5', and 6' are equivalent to layers
4, 5, and 6 in FIG. 1. The radiation from laser 13 then
20 travels through waveguide 12 into and out of a magneto-optic
switch 15 which is formed from a garnet-based material
directly on garnet substrate 11. The method of the coupling
using tapered edges of the films and the magneto-optic switch
described here are earlier inventions of the present inventor
25 (U.S. Patent 3,764,195 and 4,806,226). The laser, switch
and waveguides of various shapes can be grown on the garnet
substrate by the method of "selective growth" which is well
known in epitaxial technology. Switch 15, controlled by
electronics logic circuit 16, illustratively a time-division
30 multiplexer that combines input bit streams (arriving on
contacts not shown), forms a modulated radiation beam that
continues through waveguide 12 to optical fiber 17 for
transmission.

 FIG. 2A shows a section along waveguide 12 through
35 the centerline of devices 13 and 15 and of waveguide 12,
indicating by cross-hatching the garnet and semiconductor
components of the device. In particular, active region 14

1 of laser 13 and waveguide 12 are both formed from III-V
semiconductors (differently doped), and megneto-optic
switch 15 and substrate 11 are formed from garnet-based
compounds.

5 FIG. 3 shows a graph plotting the lattice constants
of all the iron, gallium and aluminum garnets against ionic
radius of the added rare-earth element. Individual elements
are indicated at the appropriate ionic radius, and the
positions of three well-known garnets are indicated by
10 circles - GGG (Gd - Ga - Garnet), YAG (Y - Al - Garnet) and
LuAG (Lu - Al - Garnet).

The graph provides the numerical value of the
lattice constant of a particular garnet compound, so that an
appropriate III-V semiconductor may be found to provide an
15 integral ratio of lattice constants. The method of
calculating the composition of a III-V compound that has a
particular lattice constant is a straightforward application
of Vegard's law and is well known in the art. (See Physics
of III-V Compounds, Madelung and Meyerhofer, Wiley, N.Y.,
20 1964, page 272).

As an example, combinations of a garnet substrate
with a III-V semiconductor compound are indicated in Table
I, which shows for each of three garnets the lattice
constants of the garnet, a ternary or quaternary III-V
25 semiconductor compound with lattice constant half that of
the garnet, and the wavelength of light emitted by a laser
formed from that III-V compound. Other combinations of
garnets and III-V compounds will be apparent to those
skilled in the art.

30 In addition to the injection laser described above
and shown in FIG. 1, optically pumped lasers may be formed
from the materials shown in Table I. The garnets are
transparent and lossless at wavelengths considered. The
indices of refraction differ considerably ($n = 1.8$ or 1.9
35 for the garnets, and $n > 3.2$ for the III-V compounds) so
that excellent waveguides and lasers can be made.

1 In addition to the production of solid state
lasers, the invention may be used for the production of
light-emitting diodes of desired frequency, where the
frequency of the light emitted depends on the chemical
5 composition of the device and therefore on the lattice
constant.

 It is also possible to apply the invention to
electrical devices other than those considered above, so
that new combinations of compounds will be possible in the
10 fabrication of transistors and other electronic devices.

Wavelengths of III-V Semiconductor Lasers
with Lattice Constant Half that of a Garnet

5

III-V Ternary Compounds

<u>Garnet Compound</u>		<u>III-V Semiconductor</u> (Compounded for Half the Garnet Lattice Constant)	
Garnet	Lattice	Composition	Wavelength
<u>Composition</u>	<u>Constant</u>		
LuAG	11.91Å	$Al_x In_{1-x} As$	1.19μm
		$InP_x As_{1-x}$	1.24
		$GaAs_x Sb_{1-x}$	1.79
YAG	12Å	$InGa_{1-x} As_x$	1.90
		$Al_x In_{1-x} As$	1.82μm
		$InP_x As_{1-x}$	2
GGG	12.38Å	$GaAs_x Sb_{1-x}$	1.87
		$InGa_{1-x} As_x$	2.43
		$InAs_x Sb_{1-x}$	6.9μm

25

III-V Quarternary Compounds

<u>Garnet Compound</u>		<u>III-V Semiconductor</u> (Compounded for Half the Garnet Lattice Constant)	
Garnet	Lattice	Composition	Wavelength
<u>Composition</u>	<u>Constant</u>		
LuAG	11.91Å	$(Al_x In_{1-x})_y Ga_{1-y} As$	1.19 - 1.90μm
		$Al_x In_{1-x} P_y As_{1-y}$	1.19 - 1.24
YAG	12Å	$(Al_x In_{1-x})_y Ga_{1-y} As$	1.82 - 2.43μm
		$Al_x In_{1-x} P_y As_{1-y}$	1.82 - 2
GGG	12.38Å	$(Al_x Ga_{1-x})_y In_{1-y} Sb$	1.16 - 1.29μm
		$Al_x In_{1-x} As_y Sb_{1-y}$	.79 - 3.44

35

- 1 -

CLAIMS

1. A device including a first crystalline layer (1,11) having a first lattice constant A_1 and a second crystalline layer (2,12) epitaxially formed on
5 at least a portion of the first layer and having a second lattice constant A_2 characterised in that one of the two ratios A_1/A_2 and A_2/A_1 of the first and second lattice constants is substantially equal to an integer other than unity.
- 10 2. A device as claimed in claim 1 wherein at least one of the layers (2,12) is of a semiconductor material.
3. A device as claimed in claim 2 wherein the semiconductor is a compound comprising elements from
15 groups III and V of the periodic table of elements.
4. A device as claimed in any of the preceding claims wherein at least one of the layers (1, 11) is of a garnet material.
5. A device as claimed in claim 4 as
20 dependent on claim 3 wherein the garnet material is lutetium - aluminium - garnet, yttrium - aluminium - garnet or gadolinium - gallium - garnet and the semiconductor material is a substituted III - V compound having a lattice constant substantially equal to half the lattice
25 constant of the garnet.
6. A device as claimed in any of the preceding claims comprising at least two optical devices (13,15) epitaxially grown respectively on the second layer and directly on the first layer.
- 30 7. A device as claimed in claim 6 wherein one of the devices (15) is a magneto-optical device.
8. A device as claimed in claim 6 or claim 7 wherein one of the devices (13) is an electro-optical device.
- 35 9. A device as claimed in claim 8 wherein the electro-optical device is a light-emitting device.
10. A device as claimed in claim 9 wherein the
38 light-emitting device is a solid-state laser.

- 2 -

11. A method of selecting crystalline substances for compatible epitaxial growth in which a first crystalline substance for forming a first crystalline layer has a first lattice constant A_1 and a second crystalline substance for forming a second crystalline epitaxial layer has a second lattice constant A_2 characterised in that one of the two ratios A_1/A_2 and A_2/A_1 of the first and second lattice constants is substantially equal to an integer other than unity.

10

15

20

25

30

35

38

1/2

FIG. 1

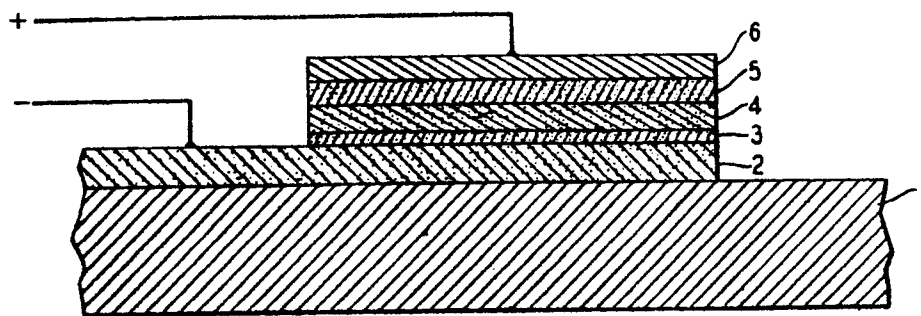


FIG. 2

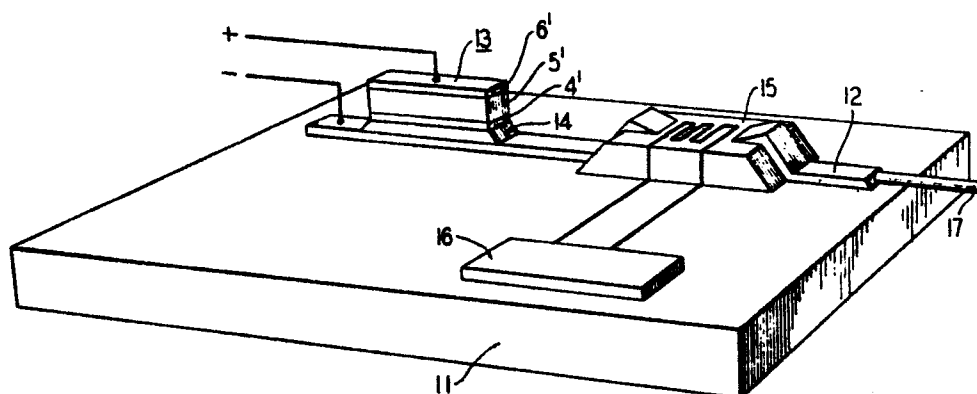
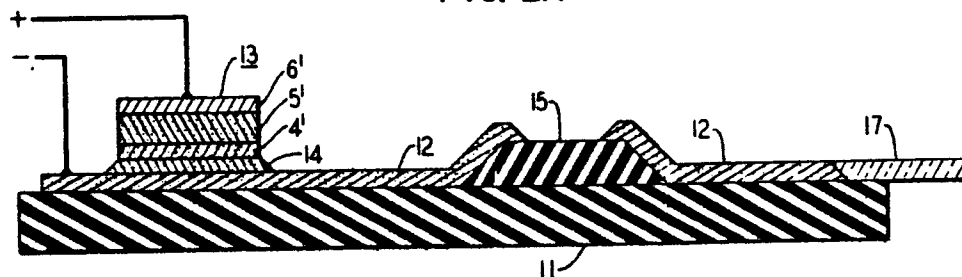
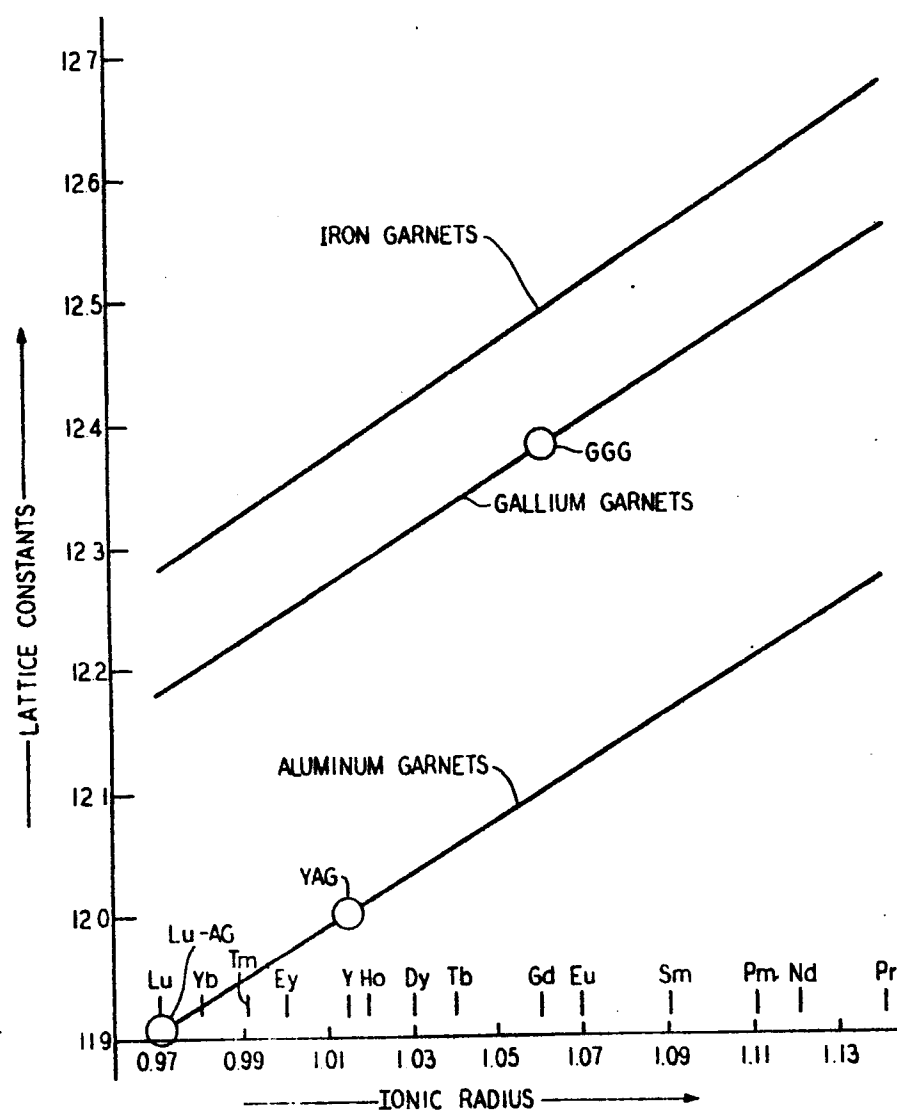


FIG. 2A



2/2

FIG. 3





European Patent
Office

EUROPEAN SEARCH REPORT

0000638

Application number

EP 78 30 0157

DOCUMENTS CONSIDERED TO BE RELEVANT			CLASSIFICATION OF THE APPLICATION (Int. Cl.)
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	
A	<u>BE - A - 751 978</u> (NORTH AMERICA ROCKWELL CORP.) * Page 1, paragraph 1 - page 2, paragraph 2; page 17, table; claims 1-4, 8-10, 21, 22 *	1, 11	
	--		
A	<u>DE - A - 2 454 183</u> (A. GOETZBERGER) * Patentansprüche 1-2 *	11	

			TECHNICAL FIELDS SEARCHED (Int. Cl.)



European Patent
Office

EUROPEAN SEARCH REPORT

0000638

Application number

EP 78 30 0157

DOCUMENTS CONSIDERED TO BE RELEVANT			CLASSIFICATION OF THE APPLICATION (Int. Cl. ³)
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	
	IBM TECHNICAL DISCLOSURE BULLETIN, vol. 15, nr. 1, june 1972, New York, USA, E.A.GIESS et al. "Growth of single crystal $MgGa_2O_4$ Spinel", pages 151-152 * Page 151, paragraph 1 * --- <u>FR - A - 2 280 166 (SIEMENS)</u> * Claims 1-3; figure 1 * ---	1-3 1,5-10	H 01 L 29/04 H 01 L 27/15 H 01 L 21/208 G 02 F 1/09
A	IEEE TRANSACTIONS ON MICROWAVE THEORY AND TECHNIQUES, vol. MTT-23, nr. 1, january 1975, New York USA, J.WARNER "Nonreciprocal magneto-optic waveguides", pages 70-78 * Figure 4 * ---	6-8	TECHNICAL FIELDS SEARCHED (Int.Cl.³) H 01 L 29/04 H 01 L 27/15 H 01 L 21/208 H 01 L 21/205 G 02 F 1/09 B 01 J 17/20 B 01 J 17/28
A	APPLIED PHYSICS LETTERS, vol. 21, nr. 5, 1 September 1972, New York, USA P.K.THIEEN et al. "Optical waveguides of single-crystal garnet films", pages 207-209 * Page 207, abstract; page 207, column 1, paragraph 1 * ---	1,6	CATEGORY OF CITED DOCUMENTS X: particularly relevant A: technological background O: non-written disclosure P: intermediate document T: theory or principle underlying the invention E: conflicting application D: document cited in the application L: citation for other reasons
A	<u>US - A - 3 922 703 (RCA)</u> * Claims 1-3; figures 1,2 * --- ./.	6	&: member of the same patent family, corresponding document
☒ The present search report has been drawn up for all claims			
Place of search		Date of completion of the search	Examiner
The Hague		05-10-1978	VANCRAEYNES