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(54) **Pressure sensitive copying system.**

(57) A pressure sensitive copying system having comparatively uniform thickness and resistant to smudging, which is suitable for spot-coated copying sheets such as chit is obtained by disposing a color forming components layer on the layer of a microcapsule protective agent which has been provided all over the paper sheet, at least one of the components being enclosed in microcapsules.

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PRESSURE SENSITIVE COPYING SYSTEM

1 This invention relates to a pressure sensitive
copying system and, more particularly, to a pressure
sensitive copying system having a microcapsule protective
layer and a method for preparing such a copying system.

5 A pressure sensitive copying system which
utilizes the color reaction between an electron donating
color former (hereinafter referred to simply as color
former) and an electron accepting color developer
(hereinafter referred to simply as color developer)
10 has been widely known. In such a system, a color image
is formed on a paper sheet by the application of
localized pressure to rupture the microcapsule wall
separating a color former and a color developer from
each other in the presence of a solvent, thus bringing
15 both reactants into contact with each other on the
paper sheet to take part in a color-forming reaction.

A typical pressure sensitive copying system is
composed of a paper sheet (generally called top sheet)
having on one side a layer of microcapsules containing
20 a color former solution and another paper sheet
(generally called undersheet) having on one side a
layer of a color developer. When the top sheet is
placed over the undersheet so that both layers may
confront with each other and a localized pressure is
25 applied by means of a writing instrument or the like

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1 to the surface of top sheet, the microcapsules are
ruptured to allow a color-forming reaction to take place,
forming a color image on the surface of the undersheet.
If a sheet (generally called intermediate sheet) having
5 on one side a color former layer and on another side a
color developer layer is inserted between the top sheet
and the undersheet so that the color developer layer
may confront with the color former layer of the top sheet
and the color former layer with the color developer
10 layer of the undersheet, a color image can be formed,
on applying a localized pressure to the top-sheet, on
both color developer bearing surfaces of the inter-
mediate sheet and the undersheet. More copies are
obtained by using more intermediate sheets.

15 There is also a well-known self-contained
pressure sensitive copying paper sheet having on
one side a layer containing a mixture of the aforementioned
three materials participating in causing the color
reaction to take place. This sheet also develops color
20 image on application of a localized pressure to said
layer.

The foregoing description pertains to a typical
pressure sensitive copying system. Among the three
materials participating in the color forming reaction,
25 the one which is microencapsulated can be any, so long
as any one of the color former, color developer and
solvent is kept separated from the others by the
microcapsule wall; that is, the material to be encapsulated

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1 can be a color former solution or a color developer
solution or, in some circumstances, a solvent alone.
The color developer can be microencapsulated as a solu-
tion, whether the color former is microencapsulated or
5 not. If the color former is encapsulated, the color
developer can be in the form of fine powder. The
solvent can be included in either or both of the micro-
capsules containing the color former and those containing
the color developer. The color former and the color
10 developer both in the form of fine powder can be used
in combination with microcapsules containing the
solvent alone.

A sheet bearing a layer of microencapsulated
solvent can be used in combination with a sheet
15 bearing a layer containing both the color former and the
color developer, either or both of which may be micro-
encapsulated or not. By arranging both sheets so that
both layers may confront with each other, a color
image is formed on the surface bearing the color former
20 and the color developer by applying a localized pressure.
In this case, a plurality of copies can be obtained by
coating the other side of a self-contained pressure
sensitive copying sheet with microcapsules enclosing the
color former and using the resulting sheet in combina-
25 tion with an undersheet. It is understandable that many
other variations of the combination are possible by
utilizing the color-forming reaction which takes place
upon the application of marking pressure in the presence

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1 of a solvent to the system comprising a color former and
a color developer which are separated from each other
by the wall of microcapsules.

The pressure sensitive copying system described
5 above has been widely used in various business forms,
slips, chits, record paper, computer paper, etc. and
proved to be useful. For this very reason, however,
further improvement in quality has been required in
various respects.

10 One of the disadvantages of the aforementioned
pressure sensitive copying system utilizing the rupture
of microcapsules on application of pressure is an
accidental rupture of the microcapsules, leading to
abnormal color formation (smudging) during the manufacture,
15 printing, and assembling of the copying sheets. The
elimination of such a disadvantage has long been
required.

To overcome the above difficulty a micro-
capsule protective agent has been used in admixture with
20 microcapsules in coating the latter on the sheet. Such
agents disclosed in various patents include finely
powdered cellulose (U.S. Patent 2,711,375), starch
particles (Brit. Patent 1,232,347; Japanese Patent
Publication Nos. 1,176/72 and 33,204/73), glass beads
25 (U.S. Patent 2,655,453), and thermally expandable polymer
particles (microspheres) (Japanese Patent Application
Laid-open No. 32,013/73). Those protective agents
having a particle size larger than that of microcapsules

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1 are usable if not satisfactorily, while those having a
specific gravity different too greatly from that of the
microcapsules or having a broad particle size distribution.
are undesirable, because it is difficult to maintain
5 constancy in the quality of the coated sheet owing to an
increased tendency of the microcapsules and the protective
agent to segregate gradually in the coating composition
while being applied to the sheet. On the other hand,
some types of microspheres and granular polyolefins
10 have favorable specific gravities and particle sizes,
but they are insufficient in strength and are markedly
expensive if a product having a narrow particle size
distribution is required. As described above, there are
still a number of problems to be solved in using the
15 protective agent.

There is another method for the use of protec-
tive agents, characterized in that microcapsules and the
protective agent are applied separately to the sheet
instead of applying them in a mixture as described
20 above. Japanese Patent Application Laid-open No.
15,709/77 disclosed a method in which microcapsules are
coated on the color forming areas and subsequently the
protective agent is overlaid. This method has dis-
advantages in that feathering of the impressed image
25 is apt to occur and that when the coating composition
containing microcapsules and the composition containing
a protective agent are applied by the method of spot
coating by means of printing machine, the coated areas

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1 are increased in thickness and become more sensible to
accidental application of pressure, causing difficulty in
handling the coated sheets.

An object of this invention is to provide a
5 pressure sensitive copying system suitable for spot-
coated copying sheets such as charts.

Another object of this invention is to provide
a pressure sensitive copying system having comparatively
uniform thickness and resistant to abnormal color formation
10 (smudging).

According to this invention there is provided
a pressure sensitive copying system including paper sheets
and, disposed thereon in selected areas, a stratum of
pressure sensitive color forming composition comprising
15 a combination of an electron donating color former,
an electron accepting color developer, and a solvent, at
least one of the components of said combination being
enclosed in microcapsules which are protected by a micro-
capsule protective agent, which is characterized in
20 that an underlayer containing the microcapsule protective
agent is disposed all over the paper sheet which is to
bear said color forming stratum, and a layer containing
at least one of the components of said combination is
laid over said underlayer in the selected areas.

25 The pressure sensitive copying system of
this invention is manufactured by providing on a paper
sheet an underlayer containing a microcapsule protective
agent so as to cover entire surface of the paper sheet

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1 and subsequently disposing, by coating or printing, on
image forming areas of said underlayer at least one
member of the group consisting of an electron donating
color former, an electron accepting color developer and
5 a solvent for both of said color former and color developer,
at least one of the members of said group being micro-
encapsulated.

Thus, in the pressure sensitive copying
system of this invention, an underlayer containing a
10 microcapsule protective agent is coated all over the
surfaces (including the image forming areas) of the
aforementioned top sheet, intermediate sheet and
undersheet as well as various other types of copying sheets
and said underlayer is overlaid with layers containing
15 the materials participating in the color forming
reaction, each alone or in combinations. In a pressure
sensitive copying system including a plurality of image
forming sheets, at least one of the sheets, preferably
the top sheet or the intermediate sheet, can be prepared
20 according to this invention.

Another feature of the present invention is
such that either the color former or the color developer
can be included in the base sheet or in the layer contain-
ing the microcapsule protective agent.

25 When an encapsulated solvent is used, it is
possible to coat both the color former and the color
developer on one side of an undersheet or an intermediate
sheet and assemble such a sheet together with a top

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1 sheet or an intermediate sheet carrying a layer of the
microencapsulated solvent so that the layer of micro-
encapsulated solvent may confront with the layer contain-
ing the color former and the color developer. It is
5 also possible to prepare a self-contained pressure
sensitive copying sheet by coating one side of a paper
sheet with the microencapsulated solvent, a color former
and a color developer. In these cases, at least one of
the color former and the color developer can be in-
10 corporated into the sheet which is to be coated with a
microcapsule protective agent or can be coated together
with a microcapsule protective agent. In such cases the
time required for the image color to reach its maximum
density is slightly prolonged and the maximum density is
15 slightly decreased.

When a solution of a color former is micro-
encapsulated, it is possible to coat a color developer
on one side of an undersheet or an intermediate sheet
and assemble such a sheet together with a top sheet or
20 an intermediate sheet carrying a layer of the microcapsules
so that this layer of the microcapsules may confront
with the coating layer of the color developer. It is
also possible to prepare a self-contained pressure
sensitive copying sheet by coating one side of a
25 paper sheet with microcapsules and a color developer.
In these cases, the paper sheet to be coated with a micro-
capsule protective agent can be incorporated with the
color developer or coated with the color developer

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1 together with a microcapsule protective agent. In such
cases the time required for the image color to reach its
maximum density is relatively short and the maximum
density is rather high.

5 Further, when a solution of a color developer
is microencapsulated, it is possible to coat a color
former on one side of an undersheet or an intermediate
sheet and assemble such a sheet together with a top
sheet or an intermediate sheet carrying the microcapsules
10 so that the layer of these microcapsules may confront
with the color former layer. It is also possible to
prepare a self-contained pressure sensitive copying
sheet by coating one side of a paper sheet with micro-
capsules and the color former. In these cases, the
15 paper sheet to be coated with a microcapsule protective
agent can be incorporated with the color former or
coated with the color former together with the micro-
capsule protective agent. In such cases the time required
for the image color to reach its maximum density is
20 relatively short.

As a variation of the above modes of embodying
the present invention, use may be made of a color former
solution and a color developer solution, both being
microencapsulated. The two kinds of microcapsules are
25 coated as a mixture or in two consecutive layers on an
undersheet or on one side of an intermediate sheet
having a precoating layer of the color developer or
having no such a precoating layer, and the resulting

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1 coated sheets are assembled so that the coating layers
may confront with each other. A self-contained pressure
sensitive sheet may also be prepared by coating both
kinds of microcapsules as a mixture or in two consecutive
5 layers. Many other combinations of the component
materials are possible without departing from the spirit
and scope of this invention, provided that the base
sheet carries a continuous undercoating layer of a
microcapsule protective agent.

10 The reasons for the comparatively uniform
thickness of the copying sheet prepared according to
this invention by spot-coating an image forming stratum
on selected areas of an undercoating of a microcapsule
protective agent seem to be a reduced difference in
15 thickness between the image forming areas and the
image non-forming areas as well as the penetration of
microcapsules into voids between the particles of
microcapsule protective agent.

The color former for use in the copying system
20 of this invention can be any compound capable of forming
color by reacting with a suitable color developer.
Examples of suitable color formers include triarylmethane
compounds such as 3,3-bis(p-dimethylaminophenyl)-6-
dimethylaminophthalide (generally called crystal violet
25 lactone), 3,3-bis(p-dimethylaminophenyl)phthalide,
3-(p-dimethylaminophenyl)-3-(1,2-dimethylindol-3-yl)-
phthalide, 3-(p-dimethylaminophenyl)-3-(2-methylindol-
3-yl)phthalide, 3-(p-dimethylaminophenyl)-3-(2-

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1 methylindol-3-yl)phthalide, 3,3-bis(9-ethylcarbazol-
3-yl)-5-dimethylaminophthalide, etc.; dimethylmethane
compounds such as 4,4'-bisdimethylaminobenzhydrin benzyl
ether, N-halophenylleucoauramine, etc.; xanthene compounds
5 such as rhodamine B anilinolactam, 3-dimethylamino-7-
methoxyfluorane, 3-diethylamino-7-chlorofluorane, 3-
diethylamino-6,8-dimethylfluorane, 3-dimethylamino-7-
methylaminofluorane, 3-7-diethylaminofluorane, 3-di-
ethylamino-7-dibenzylaminofluorane, 3-diethylamino-
10 chloroethylmethylaminofluorane, etc.; thiazine compounds
such as benzoylleucomethylene blue, p-nitrobenzylleuco-
methylene blue, etc.; and spiro compounds such as 3-
methylspirodinaphthopyrane, 3-ethylspirodinaphthopyrane,
3,3'-dichlorospirodinaphthopyrane, 3-propylspirobenzo-
15 pyrane, etc. These color formers are used each alone
or in mixtures.

The color developers capable of forming color
upon reacting with a color former includes inorganic
acidic substances such as acid clay, activated acid
20 clay, attapulgate, kaolin, aluminum silicate; phenolic
substances such as various alkyl-substituted phenols,
4,4'-(1-methylethylidene)bisphenol, phenol-aldehyde
condensation products, etc.; aromatic carboxylic
acids such as benzoic acid, chlorobenzoic acid, toluic
25 acid, salicylic acid, 5-tert-butylsalicylic acid,
3,5-di-tert-butylsalicylic acid, 3,5-di(a-methylbenzyl)-
salicylic acid, etc.; and metal salts of above-noted
aromatic carboxylic acids such as copper, lead, magnesium,

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1 calcium, zinc, aluminum, tin, and nickel salts. These
color developers are used each alone or in mixtures.

In enclosing a color former or a color developer
within microcapsules, frequently a solution of the color
5 former or color developer in a suitable solvent is
microencapsulated. Such solvents are those capable of
dissolving color formers and color developers. Suitable
known solvents include mineral oils such as kerosene
and paraffin oil; animal oils such as fish oil, lard,
10 etc.; vegetable oils such as peanut oil, linseed oil,
soybean oil, castor oil, etc.; and synthetic oils such
as those of the alkylnaphthalene type, diarylalkane
type, alkylbiphenyl type, hydrogenated terphenyl type,
triaryldimethane type, phenylene oxide type, alkylbenzene
15 type, benzylnaphthalene type, diarylalkylene type,
arylindane type, and esters of dibasic fatty acids.

A variety of encapsulation processes have
been known, of which famous processes are coacervation
(U.S. Patent Nos. 2,800,457, 2,800,458 and 3,041,288;
20 Japanese Patent Publication Nos. 27,227/64 and 43,547/73),
interfacial polymerization (Brit. Patent 950,443;
U.S. Patent 3,208,951; Japanese Patent Publication
Nos. 23,709/68 and 11,772/69) and in situ process
(Japanese Patent Publication Nos. 12,380/62 and 30,282/71).

25 The microcapsule protective agents used in this
invention are aforementioned powdered cellulose, starch
particles, glass beads, microspheres; polymer particles
such as particles of polyolefins, nylon, polyvinyl

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1 chloride, polymethyl methacrylate and tetrafluoroethylene-
hexafluoroethylene copolymers; and inorganic particles
such as powdered aluminum, powdered zinc, atomized
copper-lead alloy, atomized bronze, powdered titanium,
5 white carbon, calcium carbonate, calcium tungstate and
zinc sulfide. Those materials which can be used as
protective agents have a larger particle size than that
of microcapsules. Preferred are those particles which
are spherical in shape and colorless.

10 The microcapsule protective agents are
admixed with proper amounts of suitable binders and, if
necessary, other additives and applied to a base paper
sheet by a suitable method. Blade coating and air knife
coating are generally used in view of productivity and
15 for other reasons. Other known coating methods such as
bar coating, rod coating, roller coating, fountain
coating, gravure coating, spray coating, dip coating
and extrusion coating can also be used.

Microcapsules are admixed with necessary
20 amounts of suitable binders and applied to a base paper
sheet having the precoating of microcapsule protective
agents. Although blade coating and air knife coating are
frequently used similarly to the coating of micro-
capsule protective agents, other coating methods such
25 as bar coating, rod coating, roller coating, fountain
coating, gravure coating, spray coating, dip coating and
extrusion coating can be employed. Spot printing is also
possible by suitable coating or printing methods.

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The invention is illustrated below with reference to Examples which are presented by way of mere illustration, but not by way of limitation.

Example 1

A dispersion containing 43% of microcapsules, 3.7 μ m in average particle diameter, containing a solution of 2% by weight of 3,3-bis(p-dimethylaminophenyl)-6-dimethylaminophthalide (crystal violet lactone) in 1-phenyl-1-xylylethane was prepared according to the usual in situ method.

On the other hand, a mixture was prepared from 10 parts by weight of an aqueous solution containing 10% by weight of polyvinyl alcohol (DVA-117 of Kuraray Co.), 10 parts by weight of wheat starch, used as microcapsule protective agent, and 60 parts by weight of water. The mixture was uniformly coated by a coating rod (mayer bar) on a paper sheet at a rate of 1 g/m² in terms of solids (after drying at 105°C for 2 minutes).

Next, a mixture was prepared from 10 parts by weight (in terms of solids) of the above microcapsule dispersion and 10 parts by weight of an aqueous solution containing 10% by weight of polyvinyl alcohol. The mixture was overcoated by means of a coating rod on the above paper sheet undercoated with the wheat starch at a rate of 3 g/m² in terms of solids after drying at 105°C for 2 minutes. The coated sheet was dried at 105°C for 2 minutes and used as top sheet. The top sheet was

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1 superposed on a commercial pressure sensitive undersheet
(containing a p-phenylphenol resin) and a downward
marking pressure was applied to the top sheet by means
of a ball-pointed pen to obtain an impression image which
5 was found to be sharp and clear.

A "top sheet" prepared as above was placed
with capsule-coated side upward on a flat surface of a
table. A commercial "undersheet" was superposed with
the coated side downward on the "top sheet". A 300 g
10 metal weight, 10 cm² in bottom area, was placed on the
"undersheet". After the "top sheet" had been fixed on
the table, the "undersheet" carrying the weight was
moved horizontally for a distance of 50 cm and the coated
side of the "undersheet" was examined for the density of
15 color image by means of a color difference meter
(supplied by Nippon Denshoku Kogyo Co.) and was found to
be 75.5. [Note: The scale on the meter covered from
0 to 100. A smaller figure means a higher color density
(the same applies hereinafter)].

20 Comparative Example 1

This experiment was carried out to demonstrate,
by comparison, the effectiveness of the microcapsule
protective agent used in Experiment 1.

A "top sheet" was prepared in the same manner
25 as in Example 1, except that the wheat starch was not
used. In the same manner as in Example 1, the color
density of the image formed by the weight was determined

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1 by means of a color difference meter and was found to be
39.4, indicating that the use of a microcapsule protective
agent is very effective; the color formation due to
rubbing was markedly less in Example 1 than in Comparative
5 Example 1.

Example 2

This experiment was carried out to demonstrate
that a copying sheet with fairly good profile is obtained
from a base sheet provided with an undercoating of a
10 microcapsule protective agent.

A dispersion of microcapsules prepared in the
same manner as in Example 1 was spray-dried to obtain
a powder. A mixture was prepared by mixing together
50 parts by weight of said microcapsules in powder form,
15 and 50 parts of an ethanol solution containing 12% by
weight of ethylcellulose.

The above mixture was coated by means of a
rubber printing plate on the right half portion of a
paper sheet having an undercoating of wheat starch as
20 in Example 1. Twenty sheets coated in the same manner
were superposed one over another so that the coated
portion of each sheet may be in the same relative posi-
tion. The total thickness of the uncoated portions
was 1.264 mm, while that of the microcapsule-bearing
25 portions was 1.280 mm, as measured by means of a micro-
meter, indicating that the difference was very small.

By the addition of an additional amount of the

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1 microcapsule protective agent to the microcapsule
dispersion, there was obtained a color image with more
improved neatness and decreased staining.

Comparative Example 2

5 This experiment was carried out to demon-
strate by comparison with the results obtained in Example
2 that the undercoating of a microcapsule protective
agent has advantageous effect on the profile of paper
sheets locally coated with microcapsules.

10 A mixture was prepared by mixing together
40 parts of the capsule powder prepared as in Example 2,
12 parts of wheat starch, and 48 parts of ethanol solu-
tion containing 12% by weight of ethylcellulose.
The mixture was coated by means of a rubber printing
15 plate on the right half portion of the same paper
sheet as used in Example 2. Twenty sheets coated in
the same manner were superposed one over another so that
the coated portion of each sheet may be in the same
relative position. The total thickness of the uncoated
20 portions was 1.183 mm, while that of the microcapsule-
bearing portions was 1.274 mm, as measured by means of a
micrometer, indicating that the profile of a paper sheet
is improved when the microcapsules were spot-printed on
the undercoating of a microcapsule protective agent.

25 Comparative Example 3

This experiment was carried out to compare the



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1 printing quality of copying sheets prepared by coating a
paper sheet with microcapsules and a microcapsule protec-
tive agent as a mixture or separately in two consecutive
layers.

5 A top sheet I was prepared in the same manner
as in Example 1. A top sheet II was prepared in the same
manner as in Example 1, except that the same micro-
capsule coating composition as used in Example 1 was
coated on a paper sheet at a rate of 3 g/m^2 (after
10 drying at 105°C for 2 minutes) and subsequently a wheat
starch coating composition was coated over said under-
coating at a rate of 1 g/m^2 (after drying at 105°C
for 2 minutes). A top sheet III was prepared by coating
a paper sheet with a mixture of 10 parts of the
15 same microcapsule coating composition and 8 parts of the
same wheat starch coating composition as used in Example
1 by means of a coating rod at a rate of 4 g/m^2 (after
drying at 105°C for 2 minutes).

Each of the top sheets I, II and III was
20 superposed on a commercial undersheet (containing p-
phenylphenol resin) and impressed by means of a type
with flush face and an IBM-895 typewriter at an
intensity 5. The color density of the impressed image
was measured as in Example 1. The densities corres-
25 ponding to I, II and III were 31.5, 33.3 and 31.6,
respectively.

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1 Example 3

This Example relates to a self-contained pressure sensitive copying sheet having an undercoat of a mixture of a color developer and microcapsule
5 protective agents.

A mixture was prepared from 10 parts of aluminum hydroxide, 40 parts of wheat starch, 10 parts (in terms of solids) of a dispersion of p-phenylphenol resin (p-phenylphenol-formaldehyde condensation product),
10 50 parts of a 10% aqueous solution of polyvinyl alcohol (PVA-117 of Kuraray Co.), and 50 parts of water. A paper sheet was coated by means of a coating rod with the above mixture at a rate of 8 g/m^2 (after drying at 105°C for 2 minutes) and subsequently with the same microcapsule
15 coating composition (admixed with the polyvinyl alcohol solution) as used in Example 1 at a rate of 2 g/m^2 (after drying at 105°C for 2 minutes).

The above self-contained pressure sensitive copying sheet was impressed by means of a type with flush
20 face and an IBM-895 type-writer at an intensity of 5. The color density of the impressed image was found to be 35.1. Further, the color formed due to rubbing was examined as in Example 1. The color density was found to be 81.5, indicating the effectiveness of the micro-
25 capsule protective agent.

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CLAIMS

1. A pressure sensitive copying system including paper sheets and, disposed thereon in selected areas, a stratum of pressure sensitive color forming composition comprising a combination of an electron donating color former, an electron accepting color developer, and a solvent, at least one of the components of said combination being enclosed in microcapsules which are protected by a microcapsule protective agent, which is characterized in that an underlayer containing the microcapsule protective agent is disposed all over the paper sheet which is to bear said color forming stratum, and a layer containing at least one of the components of said combination is laid over said underlayer in the selected areas.
2. A pressure sensitive copying system according to Claim 1, wherein the microcapsule-protective agent-containing layer is disposed under the layer of microcapsules enclosing the electron donating color former and the solvent.
3. A pressure sensitive copying system according to Claim 1, wherein a microcapsule-protective agent-containing layer is disposed under the layer containing a mixture of the electron accepting color developer and microcapsules enclosing the electron donating color former and the solvent.
4. A pressure sensitive copying system according to Claim 1, wherein the microcapsule-protective agent-containing layer contains the electron donating color

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former or the electron accepting color developer which is not enclosed by microcapsules and is disposed under the layer containing microcapsules of either the electron accepting color developer or the electron donating color former.

5. A pressure sensitive copying system according to claim 1, wherein the electron donating color former or the electron accepting color developer which is not enclosed by microcapsules is contained in the paper sheet over which microcapsule-protective agent-containing agent layer is disposed.