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⑤④ **Miniature dual-mode, dielectric-loaded cavity filter.**

⑤⑦ A ceramic resonator element (27) having high Q, high dielectric constant, and a low temperature coefficient of resonant frequency is enclosed within a cavity (3) to form a composite microwave resonator having reduced dimensions and weight as compared to a simple cavity resonator. A pair of tuning screws (29, 31) extend into the cavity (3) along orthogonal axes to tune the structure to resonance along these axes at frequencies near the fundamental resonance of the ceramic element. Several such cavities (3, 5, 7) can be formed in a short length of waveguide by the use of transverse partitions at spaced intervals and coupling between cavities can be accomplished by using simple slot (25), cross (21) or circular irises. In each cavity, a mode-perturbing screw (33) is positioned along an axis 45° from each of the orthogonal tuning screws (29, 31), such that resonance along either of the orthogonal axes is coupled to excite resonance also along the other. The realization of complex filter functions requiring cross couplings is feasible by means of coupling separately to only one of the two orthogonal resonant modes in the cavities.

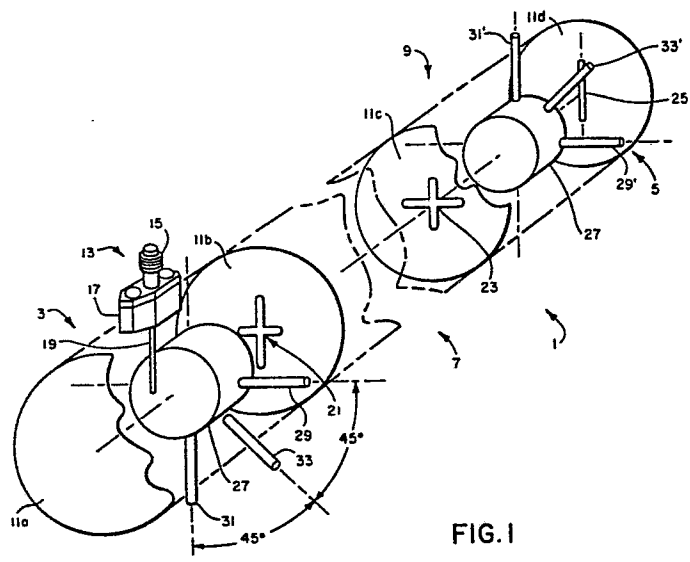


FIG. 1

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MINIATURE DUAL-MODE, DIELECTRIC-LOADED  
CAVITY FILTER

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The present invention relates to a microwave filter having particular application in transmitters and receivers designed to meet difficult requirements of minimum size, minimum weight, and tolerance of  
5 extreme environmental conditions. Such filters are thus suited for use in mobile, airborne, or satellite communication systems in which the requirement exists to sharply define a number of relatively narrow frequency bands or channels within a relatively broader  
10 portion of the frequency spectrum. Thus, filters to be described as embodiments of the present invention are especially useful in bandpass configurations which define the many adjacent channels utilized in satellite communication stations for both military and  
15 civilian purposes.

Such satellite communication stations have come to be used for a variety of purposes such as meteorological data gathering, ground surveillance, various kinds of telecommunication, and the retrans-  
20 mission of commercial television entertainment programs. Since the cost of placing a satellite in orbit is considerable, each satellite must serve as many communication purposes and cover as many frequency channels as possible. Consequently, the ability to  
25 realize complex and sophisticated filter functions in

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compact and lightweight filter units is a significant advance which permits the extension of frequency band coverage without an increase in size or weight.

Moreover, these advances are possible without relaxing  
5 the stringent requirements which must be met by such communication systems, including the requirement to maintain stable performance over a wide range of temperature.

Microwave filters have been proposed previously.

10 U.S. Patent 3,205,460 issued September 7, 1965 to E.W. Seeley et al discloses a microwave filter formed of rectangular waveguide dimensioned to be below cutoff at the frequencies for which the filter is designed.

However, a rectangular slab of dielectric extends from  
15 top to bottom of the waveguide at spaced intervals along the midplane line of the waveguide, such that a series of spaced susceptances is produced. Tuning screws were used to permit fine tuning of the filter. However, this patent contains no information concerning how to  
20 realize filter functions more complex than the simple iterative bandpass design which has been illustrated. In particular, there are no teachings as to how to employ dual mode operation, or as to ways to realize cross-couplings for filter designs which require them.

25 U.S. Patent 3,475,642 issued October 28, 1969 to A. Karp et al discloses a slow-wave structure in which a series of spaced discs of rutile ceramic extend along a waveguide. The patent contains no teachings of the advantages of using dual mode  
30 operation, and employs single mode operation in the  $TE_{01\delta}$  mode.

U.S. Patent 3,496,498 issued February 17,  
1970 to T. Kawahashi et al discloses a microwave  
35 filter in which a series of metal rods, each being dimensioned to be a quarter wavelength long at the

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frequencies of interest, is spaced along a waveguide structure to form the filter. The rods may be grooved to vary their electrical length without changing their physical length.

5 U.S. Patent 4,019,161 issued April 19, 1977 to Kimura et al discloses a temperature-compensated dielectric resonator device again utilizing single-mode operation in the  $TE_{01\delta}$  mode.

U.S. Patent 4,027,256 issued May 31, 1977 to  
10 Samuel Dixon discloses a type of wide-band ferrite limiter in which a ferrite rod extends axially along the centre of a cylindrical dielectric structure and through the centres of a plurality of dielectric resonator discs which are spaced along the resonant  
15 structure. The patent contains little of interest to the worker seeking to realize microwave filter functions in compact high performance filter units.

U.S. Patent 4,028,652 issued June 7, 1977 to Wakino et al discloses a single-mode filter design in  
20 which a variety of differently shaped and dimensioned ceramic resonant elements are disclosed and described. The patent does not, however, suggest the use of dual-mode operation of any of the resonant structures.

U.S. Patent 4,142,164 issued February 27,  
25 1979 to Nishikawa et al discloses a dielectric resonator utilizing the  $TE_{01\delta}$  mode. The patent is primarily intended to cover the technique of fine tuning by the application of selected amounts of a synthetic resin which bonds to the ceramic resonator elements to  
30 incrementally alter their resonant frequencies. There is no suggestion to use dual-mode operation.

U.S. Patent 4,143,344 issued March 6, 1979 to Nishikawa et al discloses a microwave resonant structure which utilizes two modes in its operation. However,  
35 the modes utilized, using the nomenclature of this

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reference, are the  $H_{01\delta}$  and  $E_{11\delta}$ , modes which have very dissimilar field distributions. At least partly as a consequence of this fact, the reference contains no teachings as to how to control coupling to each of  
5 the modes, and therefore does not show how to realize one pole of a filter function with each of the modes. As a result, there would be no way within the teachings of this patent to realize a complex 6-pole response in a filter having only 3 resonators, as could be done if  
10 coupling to each of the modes could be independently controlled.

U.S. Patent 4,184,130 issued January 15, 1980 to Nishikawa et al discloses a filter design employing a single mode ( $TE_{01\delta}$ ) in a resonator which is coupled  
15 to a coaxial line by means of a short section of that line which has been made leaky by cutting apertures in the outer conductor.

U.S. Patent 4,197,514 issued April 8, 1980 to Kasuga et al discloses a microwave delay equalizer.  
20 There is no suggestion as to how to make miniature high performance filters which can realize complex filter functions.

In addition to the above prior art which utilizes solid, high dielectric constant resonant  
25 elements, there is a considerable body of generally earlier prior art in which unfilled cavity resonators of a variety of configurations were employed, sometimes with dual-mode operation. However, due to the unity dielectric constant of the resonant space, the  
30 resultant structures were relatively bulky.

Among this body of prior art relating to unfilled cavity resonators may be mentioned:

- U.S. Patent 3,697,898 to Blachier et al.
- U.S. Patent 3,969,692 to Williams et al.
- 35 U.S. Patent 4,060,779 to Atia et al.

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British Patent 1 133 801 to G. Craven.

The Williams et al patent discusses dual mode filters utilizing the conventional cavity resonators, while the British patent utilizes evanescent modes.

5 However, none of this prior art relating to unfilled cavity resonators contains any suggestion to significantly reduce the volume of the resonant structure by employing a resonator element of high dielectric constant as the principal component of the resonator, 10 while enclosing this element within a reduced-dimension cavity which would itself be below cutoff at the frequencies of interest were it not for the included resonator element.

An object of the present invention is the 15 provision of a microwave filter having reduced dimensions and weight as compared to prior art filters of comparable performance.

A further object of the present invention is the provision of a microwave filter which can readily 20 realize complex filter functions involving several or many poles, or cross-couplings between poles.

The present invention provides a miniaturized microwave filter comprising in combination:

a first composite microwave resonator 25 comprising a cavity resonator and, disposed within said cavity resonator, a dielectric resonator element made of a material having a high dielectric constant  $\epsilon$  and a high Q, said resonator element having a self-resonant frequency, the dimensions of said cavity resonator being 30 selected so as to cause said composite resonator to have a first order resonance at a frequency near said self-resonant frequency;

first tuning means to tune said composite resonator to resonance at a first frequency along a first 35 axis;

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second tuning means to tune said composite resonator to resonance at a second frequency along a second axis orthogonal to said first axis;

mode coupling means to cause mutual coupling  
5 between resonant energy on said first and second axes to thereby cause resonant energy on either of said axes to couple to and excite resonant energy on the other of said axes;

input means to couple microwave energy into  
10 said cavity resonator; and

output means to couple a portion of said resonant energy on one of said axes out of said cavity resonator.

In use, the filter preferably comprises a  
15 plurality of these compact filter units which utilize composite resonators operating simultaneously in each of two orthogonal resonant modes. Each of these orthogonal resonant modes is tunable independently of the other, such that each can be used to realize a  
20 separate pole of a filter function.

The composite resonators themselves comprise resonator elements made of a high dielectric constant  $\epsilon$  solid material and may comprise short cylindrical sections of a ceramic material, together with a surround-  
25 ing cavity resonator which is dimensioned small enough in comparison to the wavelengths involved that it would be well below cutoff but for the high dielectric constant resonator element within the cavity.

Capacitive probes or inductive irises may be  
30 used to provide coupling between several such composite resonators, and also to provide input and output coupling for the entire filter unit formed of these composite resonators. By suitably positioning these coupling devices with respect to the two orthogonal  
35 resonant modes, it is possible to achieve cross-

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coupling between any desired resonant modes, such that filter functions requiring such couplings can easily be realized.

Independent tuning of the orthogonal resonant  
5 modes may be achieved by the use of a pair of tuning screws projecting inwardly from the cavity wall along axes which are orthogonal to one another. Microwave resonance along either of these axes may be coupled to excite resonance along the other by a mode coupling  
10 screw projecting into the cavity along an axis which is at  $45^\circ$  to the orthogonal mode axes.

Excellent temperature stability may be achieved by choosing a resonator material having a temperature coefficient of resonant frequency which is  
15 nearly zero, and by selecting materials for the resonant cavity and the tuning screws such that thermal expansion of one is very nearly compensated by thermal expansion of the other.

Features and advantages of the present  
20 invention will become clearer from a consideration of the following detailed description of a preferred embodiment when taken in conjunction with the accompanying drawings, in which:

Figure 1 is a phantom perspective view  
25 illustrating an elliptic-function multiple-cavity filter embodying the features of the present invention;

Figure 2 is a cross-sectional view, partly schematic in form, illustrating a theoretical model useful in calculating resonant frequencies of the filter  
30 sections in accordance with the present invention;

Figure 3 is a cross-sectional view, partly schematic in form, illustrating a theoretical model useful in calculating axial electromagnetic field distribution in the filter cavities of the present  
35 invention; and

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Figure 4 is a graphical representation of the passband performance of an 8-pole quasi-elliptic filter function when realized according to the teachings of the present invention.

5            In Figure 1, a multi-cavity filter 1 embodying features of the present invention is shown. Filter 1 is shown to comprise an input cavity 3, an output cavity 5, and one or more intermediate cavities 7, which are indicated more-or-less schematically in the broken  
10 region between cavities 3 and 5. Cavities 3, 5 and 7 may all be electrically defined within a short length of cylindrical waveguide 9 by a series of spaced, transversely extending cavity endwalls 11a, b, c, and d. These endwalls and waveguide 9 may be made of invar  
15 or graphite-fiber-reinforced plastic (GFRP) or of any other known material from which waveguide hardware is commonly made. Furthermore, waveguide 9 and endwalls 11a-d may be surface plated with a highly conductive material such as silver, which may be applied by  
20 sputtering onto the

surfaces thereof. Endwalls 11a-d may be joined to the interior wall of waveguide 9 by any known brazing or soldering technique, or by other known bonding techniques as appropriate to the materials concerned.

An input coupling device in the form of a probe assembly 13 is used to couple microwave energy from an external source (not shown) into input cavity 3. As shown in Fig. 1, probe assembly 13 includes a coaxial input connector 15, an insulative mounting block 17, and a capacitive probe 19. Microwave energy coupled to probe 19 is radiated therefrom into input cavity 3, where microwave resonance is excited in the hybrid  $HE_{1,1}$  mode. From input cavity 3, microwave energy is further coupled into intermediate cavities 7 by a first iris 21 of cruciform shape, and from intermediate cavities 7 into output cavity 5 by a second iris 23, also of cruciform shape. Finally, energy is coupled from output cavity 5 into a waveguide system (not shown) by an output iris 25 of simple slot configuration.

Within each of cavities 3, 5, and 7 is disposed a dielectric resonator element 27 made of a material possessing a high dielectric constant, a high Q, and a low temperature coefficient of resonant frequency. Resonator element 27 is cylindrical in form as shown, such that together with cylindrical cavities 3, 5, and 7, composite resonators of axially symmetric shape are formed. Resonator elements 27 may be made of a variety of materials such as rutile, barium tetratitanate ( $BaTi_4O_9$ ), related ceramic compounds such as the  $Ba_2Ti_9O_{20}$  compound which was developed by Bell Laboratories, or a series of barium zirconate ceramic compounds which are available from Murata Mfg. Co. under the tradename Resomics.

The best of such materials form ceramic resonator elements possessing the desirable combination of high dielectric constant ( $>35$ ), high Q ( $\geq 7500$ ), and a low temperature coefficient of resonant frequency ( $<15$  for barium tetratitanate and as low as 0.5 for Resomics, in ppm/ $^{\circ}C$ ). With careful design and choice of materials for cavities 3, 5, and 7, the composite resonators formed by the combination of cavity and resonator element can also possess a high Q and a low temperature coefficient of resonant frequency, while the high dielectric constant of the resonator element

concentrates the electromagnetic field of resonant energy within the dielectric element, thus significantly reducing the physical size of the composite resonator as compared to "empty" cavity resonators designed for the same resonant  
5 frequency.

Although, as noted above, each cylindrical resonator element together with the cylindrical cavity in which it is disposed, forms a composite resonator having axial symmetry, each of these composite resonators is provided with means  
10 to tune it to resonance along each of a pair of orthogonal axes. Thus, in Fig.1 a first tuning screw 29 projects into input cavity 3 along a first axis which intersects the axis of cavity 3 and resonator element 27 at substantially a 90° angle thereto. A second tuning screw 31 similarly projects  
15 into cavity 3 along a second axis which is rotationally displaced from the first axis by 90°. Tuning screws 29 and 31 serve to tune cavity 3 to resonance in each of two orthogonal HE<sub>111</sub> resonant modes along the first and second axes respectively. Since the amount of projection of screws 29  
20 and 31 is independently adjustable, each of the two orthogonal modes can be separately tuned to a precisely selected resonant frequency, such that input cavity 3 can provide a realization of two of the poles of a complex filter function.

In order to provide a variable amount of coupling  
25 between the two orthogonal resonant modes in cavity 3, a third tuning screw or mode coupling screw 33 is provided extending into cavity 3 along a third axis which is substantially midway between the first two axes or at an angle of 45° thereto. Screw 33 serves to perturb the electromagnetic  
30 field of resonant energy within the cavity such that resonance along either the first or second axis is coupled to excite resonance along the other as well. Moreover, the degree of such coupling is variable by varying the amount by which screw 33 projects into cavity 3.

35 As noted above, waveguide 9 may be formed of a variety of known materials. One particularly satisfactory material is thin (0.3 to 1.0mm) Invar, which can be used to form the cavity resonators and endwalls 11a-d. The low temperature coefficient of expansion (=1.6 ppm/°C) and fine machinability  
40 of this material contribute to the stability and perform-

ance of the finished filter. When Invar is used for the waveguide and endwalls, brazing may be carried out using a "NiOro" brazing alloy consisting of 18% nickel and 82% gold. Similarly, the material used to form the three screws 29, 31, and 33 can be selected in consideration of the temperature coefficient of resonant frequency of resonator element 27 and the temperature coefficient of expansion of the material used for construction of the cavities so that the temperature coefficient of resonant frequency of the composite resonator is as near zero as possible. When Invar is used for the cavity structure, in combination with a resonator element having a coefficient of 0.5 ppm/°C, brass or Invar can be successfully used as materials for the tuning and mode coupling screws. With different choices of material for the cavities, or a different temperature coefficient of resonant frequency of the resonator element, other materials such as aluminum may be found useful in securing a near-zero temperature coefficient for the composite resonator.

Although not shown in Fig. 1, resonator elements 27 can be successfully mounted in cavities 3, 5, and 7 by a variety of insulative mounting means which generally take the form of pads or short columns of low-loss insulator material such as polystyrene or PTFE. However, the best performance has been obtained by the use of mountings made of a low-loss polystyrene foam.

Each of cavities 3, 5, and 7 is similarly equipped with first and second tuning screws extending along orthogonal axes and a mode coupling screw extending along a third axis which is at substantially a 45° angle to the first and second axes. These screws have not been shown for the intermediate cavity 7, while they have been illustrated as 29', 31', and 33' for output cavity 5, where the primed numbers correspond to like-numbered parts in cavity 3. Further, although screws 29', 31', and 33' have been illustrated in an alternative orientation with respect to the central axis of the cavities, it is to be understood that their function is not altered thereby, and the orthogonal first and second axes remain in the same position as in the case of input cavity 3.

Similarly, each cavity is equipped with means to couple microwave energy into and out of the cavity. With the exception of probe assembly 13 in input cavity 3, these means all comprise one or another variety of iris in the embodiment of Fig. 1. However, the coupling means could be entirely capacitive probes, or inductive irises, or any combination of the two. Further, although irises 21 and 23 have been illustrated as cruciform in shape, such that they function as orthogonal slot irises to couple to each of the two orthogonal modes in the respective cavities, other forms of iris could be used, depending on the nature of the inter-cavity coupling required by the filter function being realized.

In Fig. 2 is shown a simple theoretical model useful in calculating the resonant frequency of each composite resonator, such that it is possible to accurately design each of the composite resonators needed to realize a complex filter function. In Fig. 2, the composite resonator is modeled as a dielectric cylinder 35 having a radius  $R$  and being made of a material having a dielectric constant  $\epsilon$ , coaxially surrounded by a cylindrical conductive wall 37 representing the inner surface of a circular waveguide of radius  $R_s$ . In the development which follows, the dielectric-filled region in Fig. 2, marked "1" in the drawing, will be denoted by the subscript 1 following the respective parameters. Similarly, the region marked "2" in the drawing between radius  $R$  and radius  $R_s$  will be assumed to be evacuated and to have a dielectric constant equivalent to free-space permittivity  $\epsilon_0$ . When referring to this region, the subscript 2 will be used.

Using the approach developed by A. D. Yaghjian and E. T. Kornhauser in "A Modal Analysis of the Dielectric Rod Antenna Excited by the  $HE_{111}$  Mode", IEEE Trans. on Antennas and Propagation, Vol. AP-20, No.2, March 1972, the longitudinal components of the electromagnetic field in regions "1" and "2" can be expressed in the form:

$$\begin{aligned}
 E_{z1} &= A(K_R I_a - I_R K_a) J_1(hr) \cos\theta e^{-j\gamma_i z} \quad \text{and} \\
 H_{z1} &= B(K_R' I_a - I_R' K_a) J_1(hr) \sin\theta e^{-j\gamma_i z} \quad \text{in region "1",} \\
 \text{and} \quad E_{z2} &= A[K_R I_1(pr) - I_R K_1(pr)] J_1(hr) \cos\theta e^{-j\gamma_i z} \quad \text{and} \\
 H_{z2} &= B[K_R' I_1(pr) - I_R' K_1(pr)] J_1(hr) \sin\theta e^{-j\gamma_i z} \quad \text{in region}
 \end{aligned}$$

"2", where

R = Radius of the dielectric cylinder 35

R<sub>S</sub> = Radius of the conductive wall 37

γ<sub>i</sub> = Propagation constant in z-direction

5 λ<sub>0</sub> = Free-space wavelength corresponding to the resonant frequency f<sub>0</sub>

J<sub>1</sub> = Bessel function of first kind, first order

K<sub>n</sub> = Modified Hankel function of n-th order

I<sub>n</sub> = Modified Bessel function

10 All the differentiation is in respect to the argument of the function.

$$I_a = I_1(pR)$$

$$I_k = I_1(pR_S)$$

$$K_a = K_1(pR)$$

15  $K_R = K_1(pR_S)$

By considering that the angular (tangential) components of magnetic and electric field must be continuous at the interface between regions "1" and "2" (i.e., at radius R), and introducing for simplicity the relations:

20  $A_1 = K_R I_a - I_R K_a$

$$A_2 = K_R' I_a' - I_R' K_a'$$

$$B_1 = K_R' I_a - I_R' K_a$$

$$B_2 = K_R I_a' - I_R K_a'$$

$$J = J_1(hR)$$

25 we can obtain the following transcendental equation:

$$\left[ \frac{\epsilon}{p} A_1 J' + \frac{B_2 J}{h} \right] \left[ \frac{J' B_1}{p} + \frac{A_2 J}{h} \right] - \left[ \frac{\gamma_i^2}{\omega^2 \mu_0 \epsilon_0 R^2} A_1 B_1 J^2 \right] \left[ \frac{1}{p^2} + \frac{1}{h^2} \right]^2 = 0 \quad [1]$$

30 Assuming that dielectric cylinder 35 is either short circuited by an electric wall or open circuited by a magnetic wall: γ<sub>i</sub>L=π, and γ<sub>i</sub>=π/L. From this relation and equation [1] immediately above, the resonant frequencies of the HE<sub>111</sub> mode can be calculated. In these calculations, L is the actual length of the resonator element, while μ<sub>0</sub> is free-space permeability. The p and h parameters in equation [1] are defined as follows:

$$h^2 = \epsilon (2\pi/\lambda_0)^2 - \gamma_i^2 \text{ and } p^2 = \gamma_i^2 - (2\pi/\lambda_0)^2.$$

Calculations of resonant frequency based on equation [1] above have proven to be sufficiently accurate to be useful. Their agreement with measured resonant frequencies is reasonably good so long as the ratio of diameter to length of the resonator element is less than about 3. However, it was felt that a still closer agreement between predicted and measured results was desirable.

In Fig.3, a second theoretical model useful in analyzing the axial distribution of electromagnetic field for the purpose of refining the calculations of resonant frequency is illustrated. A detailed analysis of the resonances of such a structure has been published by E. O. Amman and R. J. Morris in the paper "Tunable Dielectric-Loaded Microwave Cavities Capable of High Q and High Filling Factor", IEEE Trans. MTT-11, pp. 528-542, November 1963.

Briefly stated, it is possible to analyze the HE<sub>111</sub> resonance of this structure by separation of this hybrid mode into its linear TE and TM mode-components. In Fig. 3, the region occupied by resonator element 27' has been labeled region "1" as before, while the region beyond the ends of dielectric has been labeled region "3". Using Maxwell's equations to analyze the field within these regions, and matching tangential components of the field at z=±L/2, it is possible to derive the transcendental equation:

$$Y_i \tan Y_i L/2 - Y_0 \coth Y_0 s = 0 \quad [2]$$

Equation [2] applies for the TE EVEN mode, for which E<sub>z</sub> = 0, and H<sub>z</sub> is symmetrical about the plane z = 0. The parameters in equation [2] are defined as follows:

$$Y_i^2 = (2\pi/\lambda_0)^2 \epsilon - (2\pi/\lambda_c)^2$$

$$Y_0^2 = (2\pi/\lambda_c)^2 - (2\pi/\lambda_0)^2$$

λ<sub>c</sub> = cut-off wavelength for the particular waveguide mode, as determined by geometry and mode order.

s = distance from transverse metal wall 37.

It can be shown that equations [1] and [2] form a set of coupled equations from which the values of f<sub>0</sub> and Y<sub>i</sub> can be determined, thus providing values of the resonant frequencies. To verify the validity of the resonator model, data was measured for several samples of high-ε, low-loss reso-

nators. This data, showing especially a high degree of correlation between theoretically predicted and measured resonant frequency, is presented below:

Resonator material	Dielectric constant $\epsilon$	Resonator radius, inch	Resonator length, inch	Freq. theor. MHz	Freq. meas. MHz
Resomics C	37.6	.394	.315	3576	3368
Resomics C	37.6	.316	.273	4181	4196
Resomics E	38.2	.267	.222	4789	4994
Resomics C	37.6	.200	.180	6116	6255
Resomics C	37.6	.212	.182	5844	6182
Barium Tetratitanate	37.25	.336	.215	4115	4225

The correlation between theoretically predicted and experimentally measured resonant frequencies for these samples, all of which had values of  $\epsilon$  near 38, and for frequencies in the range of 3 - 6 GHz, is thus within 5%.

Turning to Fig. 4, the actual passband performance of an 8-pole, quasi-elliptic bandpass filter built according to the teachings of the present invention is illustrated. Fig. 4 is actually representative of the performance of a filter constructed in accordance with the embodiment of Fig. 1 of this application, using a total of only four cavities, (such that intermediate cavities 7 are two in number).

A rejection curve 39 in Fig. 4 shows the frequency response of the filter on a highly magnified frequency scale which is centered on the narrow passband region at approximately 4.2 GHz. As curve 39 illustrates, the passband of this filter is bounded by steep skirts 41, providing almost an ideal bandpass characteristic.

An insertion loss curve 43 in Fig. 4 shows the passband region of curve 39 on a 20-times magnified amplitude scale to reveal the insertion loss of the filter within the passband region. As curve 43 illustrates, the insertion

loss for this filter is less than 1.0 dB over most of the passband, again indicating a very high level of performance.

Finally, Fig. 4 shows reflected power in the form of a return loss curve 45, which is similar to a curve of VSWR for the filter, except that the amplitude is plotted on a logarithmic (dB) scale. Curve 45 reveals quite clearly the presence and frequency-spacing of the 8 poles of this filter by means of eight corresponding peaks 47 on the trace of curve 45. Curve 45 thus serves as a check of the accuracy of the realization of the filter function upon which this filter was based.

The performance revealed by the curves of Fig. 4 is indicative of a very high-Q, low loss design. In the past such performance has been achieved only by the use of low-loss unfilled cavity resonators in this frequency range. While the electrical performance of such resonators was thus entirely satisfactory, their physical size and weight prevented their utilization in many applications, and exacted too heavy a toll in others when they were used. However, the use of composite resonators employing a high-Q, high- $\epsilon$  resonator element operating in a cavity resonator of considerably reduced size in accordance with the teachings of the present invention can be expected to permit the realization of high performance filters in units so compact and lightweight as to make their use in the most demanding applications a reality.

Although the invention of this application has been described with some particularity by reference to a set of preferred embodiments which comprise the best mode contemplated by the inventor for carrying out his invention, it will be obvious to those skilled in the art that many changes could be made and many apparently different embodiments thus derived. For example, although the invention has been disclosed in an embodiment which utilizes cylindrical resonator elements disposed in cylindrical cavity resonators, the invention is not limited to this geometry. In fact, other axially symmetric configurations such as a square cross-section normal to the composite resonator axis could be used for either the

dielectric resonator element or the cavity resonator or for both. Similarly, although fabrication technology and thermal problems at present have been quite successfully solved by the use of thin-wall Invar cavity structures, it is anticipated that other materials may seem more advantageous in the future as their fabrication technologies and temperature-compensation problems are more fully developed and resolved.

*[Faint, illegible text]*

CLAIMS:

1. A miniaturized microwave filter comprising in combination:

a first composite microwave resonator comprising a cavity resonator (3) and, disposed within said cavity resonator, a dielectric resonator element (27) made of a material having a high dielectric constant  $\epsilon$  and a high Q, said resonator element having a self-resonant frequency, the dimensions of said cavity resonator being selected so as to cause said composite resonator to have a first order resonance at a frequency near said self-resonant frequency;

first tuning means (29) to tune said composite resonator to resonance at a first frequency along a first axis;

second tuning means (31) to tune said composite resonator to resonance at a second frequency along a second axis orthogonal to said first axis;

mode coupling means (33) to cause mutual coupling between resonant energy on said first and second axes to thereby cause resonant energy on either of said axes to couple to and excite resonant energy on the other of said axes;

input means (13) to couple microwave energy into said cavity resonator; and

output means (21) to couple a portion of said resonant energy on one of said axes out of said cavity resonator.

2. A filter according to claim 1 wherein said cavity resonator is a cylindrical cavity, and wherein said first and second axes intersect the axis of said cylindrical cavity, and said resonator element is disposed generally on said cavity axis.

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3. A filter according to claim 1 wherein said resonances on said first and second axes are resonances in the  $HE_{111}$  mode.

4. A filter according to claim 2 wherein said resonator element is cylindrical and is disposed with its axis generally collinear with said cavity axis.

5. A filter according to claim 1 wherein said resonator element is made of a material selected from the class consisting of rutile, barium tetratitanate ( $BaTi_4O_9$ ),  $Ba_2Ti_9O_{20}$  and barium zirconate compounds.

6. A filter according to claim 1 wherein said resonator element is selected to have a temperature coefficient  $\leq 1$  ppm/ $^{\circ}C$ , and wherein said cavity resonator is made of Invar.

7. A filter according to claim 1 wherein said first tuning means is adjustable to selectably vary the frequency of resonance along said first axis.

8. A filter according to claim 7 wherein said first tuning means comprises an adjustable susceptance extending along said first axis from a wall of said cavity resonator toward said resonator element.

9. A filter according to claim 8 wherein said adjustable susceptance comprises a tuning screw extending through said wall of said cavity resonator.

10. A filter according to claim 1 wherein said mode coupling means comprises an adjustable susceptance disposed along a third axis generally equi-angularly spaced from said first and second axes.

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11. A filter according to claim 10 wherein said mode coupling means comprises a mode coupling screw extending through a wall of said cavity resonator toward said resonator element along said third axis, and wherein said third axis is angularly spaced from each of said first and second axes by substantially  $45^{\circ}$ .

12. A filter according to claim 6 wherein said first and second tuning means and said mode coupling means comprise independently adjustable susceptances made of a material selected to compensate for temperature variations in the resonant frequency of said composite resonator, and to thereby maintain a temperature coefficient of resonant frequency of said composite resonator of  $\leq 1$  ppm/ $^{\circ}$ C.

13. A filter according to claim 12 wherein said material is selected from the class consisting of brass, Invar, and aluminum.

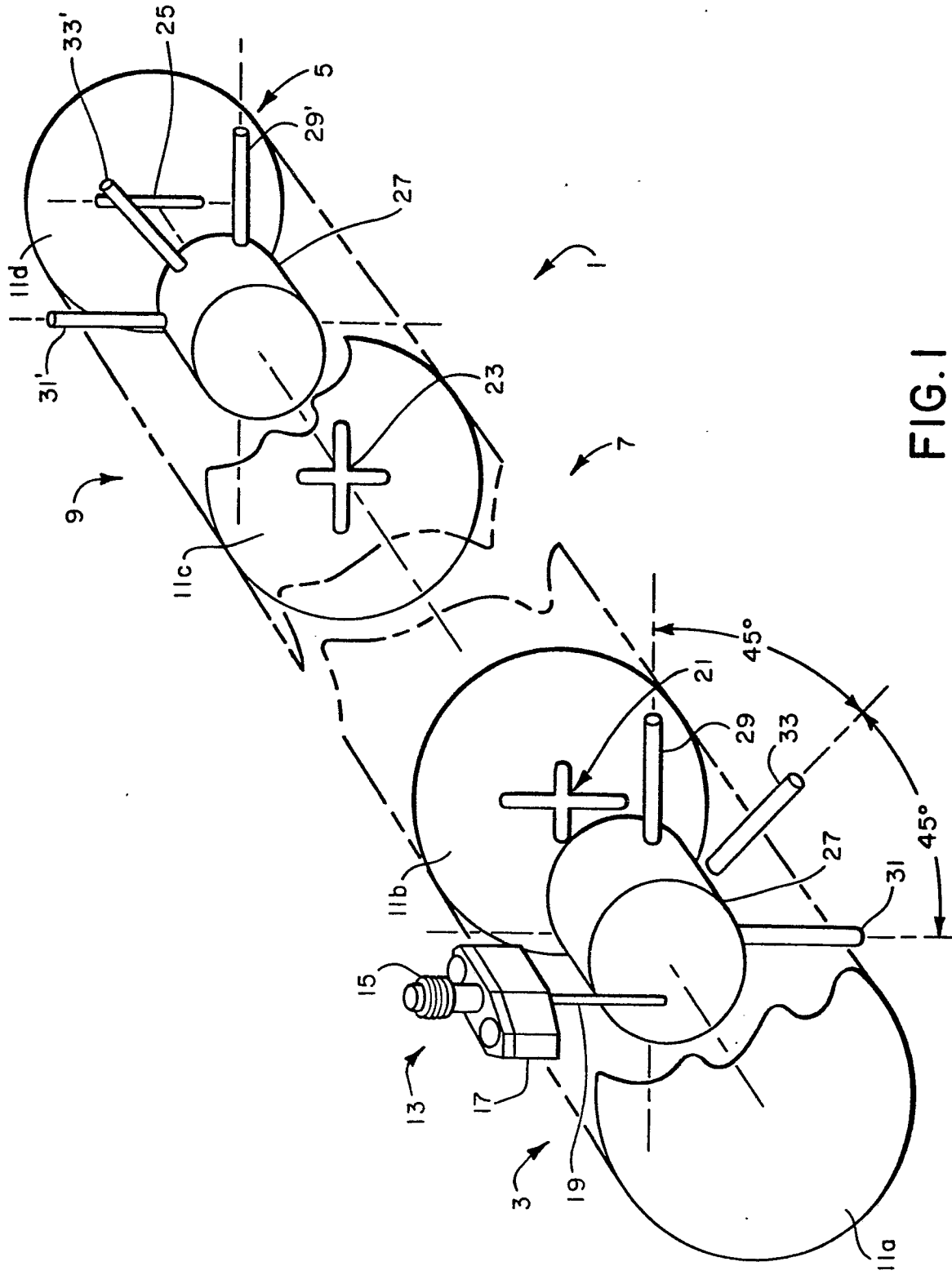


FIG. 1

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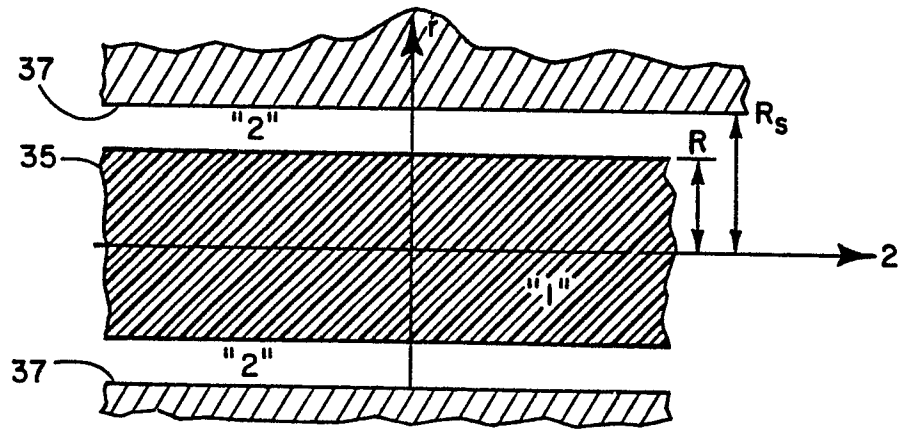


FIG. 2

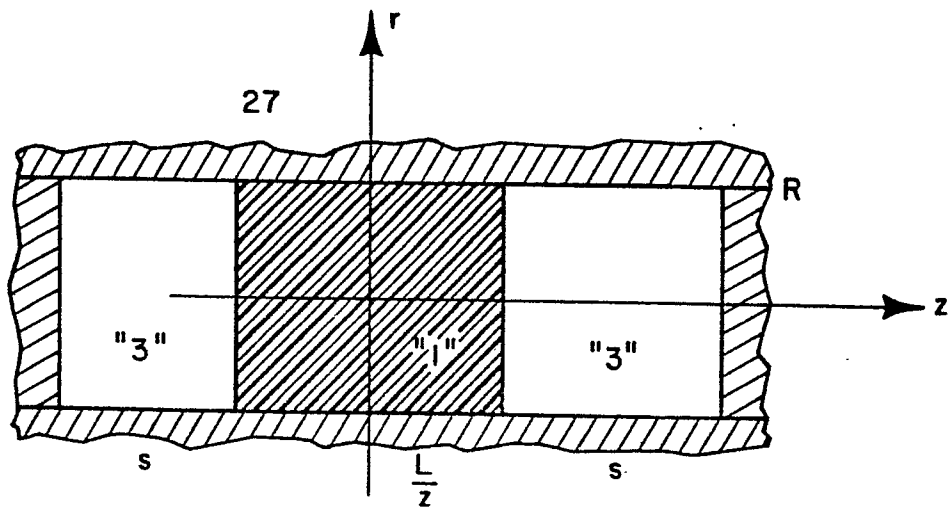
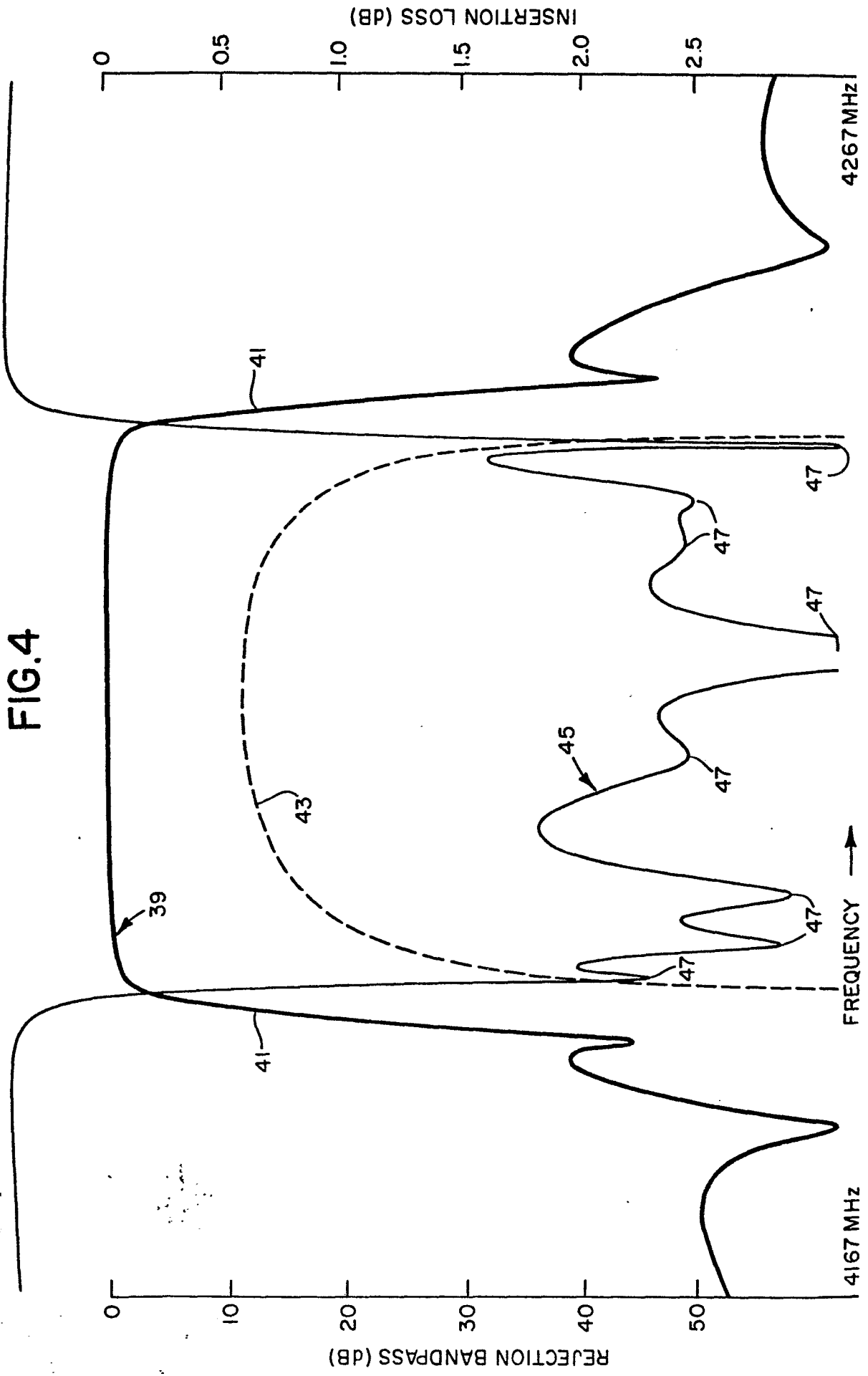


FIG. 3





DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl. 3)
X	ELECTRONICS LETTERS, vol. 16, no. 17, 14th August 1980, pages 646-647, Hitchin, Herts (GB); P.GUILLON et al.: "Dielectric resonator dual modes filter" *The whole document*	1-4, 10, 11	H 01 P 1/208 H 01 P 7/10
Y	---	5-9, 12, 13	
Y	5th EUROPEAN MICROWAVE CONFERENCE, 1st to 4th September 1975, pages 407-411, Sevenoaks, Kent (GB); G.PFITZENMAIER: "A waveguide multiplexer with dual-mode filters for satellite use" *Page 409, paragraph 4 and figures 4 and 5*	1, 6-13	
Y	---	5	
Y	6th EUROPEAN MICROWAVE CONFERENCE, 14th to 17th September 1976, pages 664-668, Sevenoaks, Kent (GB); J.R.MAHIEU: "Low conversion losses up and down converters, using dielectric resonators for application with millimetric telecommunication systems" *Figures 1 and 2*		TECHNICAL FIELDS SEARCHED (Int. Cl. 3)  H 01 P
A	---	1, 7-13	
A	L'ONDE ELECTRIQUE, vol. 60, no. 2, February 1980, pages 57-64, Paris (FR); P.DESCHAMPS et al.: "Les filtres elliptiques a cavites hyperfrequences dans les satellites" *The whole document*		
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The present search report has been drawn up for all claims			
Place of search		Date of completion of the search	Examiner
THE HAGUE		10-08-1982	LAUGEL, R.M.L.
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DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl. 3)
A	IEEE TRANSACTIONS ON MICROWAVE THEORY AND TECHNIQUES, vol. MTT-28, no. 10, October 1980, pages 1077-1085, New York (USA); Y.KOBAYASHI et al.: "Resonant modes of a dielectric rod resonator short-circuited at both ends by parallel conducting plates" *The whole document*	3	
A	IEEE MTT-S INTERNATIONAL MICROWAVE SYMPOSIUM DIGEST, 21st-23rd June 1977, pages 290-293, New York (USA); J.K.PLOURDE et al.: "Microwave dielectric resonator filters utilizing Ba <sub>2</sub> Ti <sub>9</sub> O <sub>20</sub> ceramics" *The whole document*	5,6,12	
			TECHNICAL FIELDS SEARCHED (Int. Cl. 3)
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 10-08-1982	Examiner LAUGEL R.M.L.
CATEGORY OF CITED DOCUMENTS		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document	
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document			