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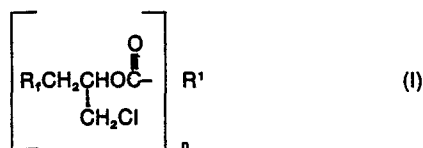
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Polyfluoroalkyl esters and their preparation and use.

Compounds having the formula:



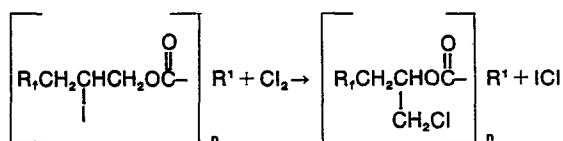
wherein

R_f is a saturated, monovalent, non-aromatic fluorinated aliphatic which is straight or branched chain or cyclic and contains at least 3 carbons;

R' is optionally substituted alkyl, aryl, aralkyl, cycloalkyl, or cycloalkenyl; and

n is a number from 1 to 4.

Process for preparing compounds (I):



The reaction can be carried out by melting the iodine-substituted polyfluoroalkyl ester and contacting the molten

ester with chlorine, or the iodine-substituted polyfluoroalkyl ester can be suspended or dissolved in a suitable liquid and reacted with chlorine. A suitable liquid is inert under the reaction conditions; e.g. alcohols or water should be avoided. Compounds wherein R' is alkenyl can also be prepared by the above-described process. Process of using compounds (I) to treat (a) textile materials so as to give them dry soil resistance and oil and water repellency, and (b) paper so as to make it repel water and oil.

TITLE

POLYFLUOROALKYL ESTERS AND THEIR PREPARATION AND USE

BACKGROUND OF THE INVENTIONField of the Invention

5 This invention relates to chloromethyl-substituted polyfluoroalkyl esters of polybasic acids and their use in treating a variety of substrates, such as textile fabrics and paper, so as to provide the substrate with soil resistance as well as water and oil
10 repellency. It relates further to a process for preparing the compounds of this invention wherein iodine-substituted polyfluoroalkyl esters are reacted with elemental chlorine in which the iodine-substituted esters are in the molten state or dissolved or suspended
15 in an inert liquid.

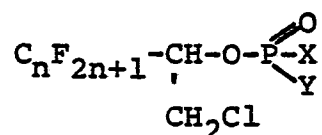
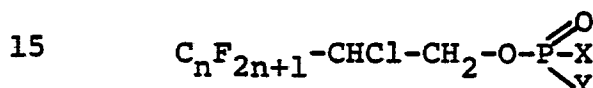
Description of the Prior Art

In recent years, polymers and other compounds containing highly-fluorinated segments have been used widely for imparting dry soil resistance as well as oil
20 and water repellency to textile substrates. A degree of resistance to dry, traffic-caused soiling in carpets prepared from synthetic fibers (such as polyesters, polyamides, polyacrylics) is said to be provided by fluoropolymeric coatings, e.g. polymers of perfluoroalkyl
25 acrylates and methacrylates. Because such coated fibers may support the advance of a flame more readily than an uncoated fiber, highly fluorinated mono- and polycarboxylic acid esters have been used to provide dry soil resistance and resistance to burning; U.S. Patents
30 3,923,715 and 4,029,585.

U.S. Patent 3,716,401 discloses and claims a process for rendering a vinyl surface oil resistant by applying thereto a polymeric composition containing a vinyl polymer dissolved in a volatile solvent and an
35 ester derived from perfluoroethanol and a mono- or polycarboxylic acid.

U.S. Patent 3,145,222 discloses and claims compounds having the formula $Y(C_nF_{2n})Q$ wherein Y is hydrogen, fluorine, chlorine or bromine; n is 1-20, q is $-CH_2CHIO_2CR$; $-CH_2CHI(CH_2)_mO(CH_2)_2CO_2H$; $-CH=CH(CH_2)_mO(CH_2)_2CO_2H$; $-CH_2CH_2(CH_2)_mO(CH_2)_2CO_2H$; or $-CH=CI(CH_2)_mCO_2H$; R is lower alkyl; and m is 0-14. Although the disclosure and claims do not otherwise contemplate such a compound, that patent also discloses the compound, $C_3F_7CH_2CHICH_2O_2CCH_3$, and indicates it may be hydrolyzed and treated with alkali to give a polyfluoroepoxy polymer intermediate.

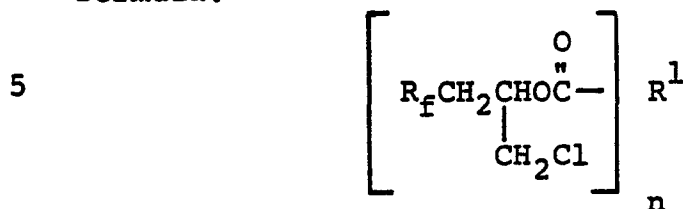
U.S. Patent 4,034,022 discloses isomers having the formula:



wherein C_nF_{2n+1} is a perfluorinated aliphatic chain, n is an integer from 2 to 18, X and Y are the same or different and are each a halogen atom, a hydroxyl radical, the group OM in which M is a metallic equivalent or an alkoxy, chloroalkoxy, hydroxypolyalkyleneoxy, aryloxy or -NZZ' group in which Z and Z' are the same or different and are each a hydrogen atom or an alkyl, cycloalkyl or aryl group. It is stated the compounds are liable to find use as emulsifying or foaming agents, particularly when a tensioactive agent resistant to acids and oxidants is needed, as leveling or anti-stain agents for polishes or emulsion paints, as corrosion inhibitors, solvent evaporation retarders or as hydrophobic and oleophobic agents.

SUMMARY OF THE INVENTION

This invention relates to compounds having the formula:



wherein

R_f is a fluorinated aliphatic containing at least 3 carbons;

R^1 is optionally substituted alkyl, aryl, alkenyl, aralkyl, cycloalkyl, or cycloalkenyl; and

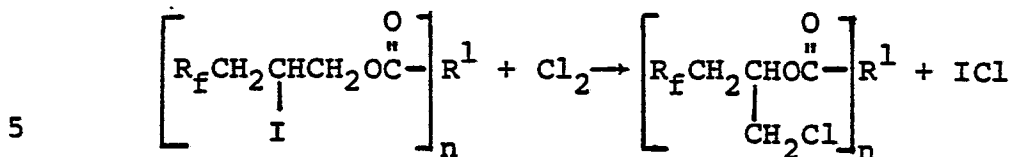
n is a number from 1 to 4, preferably 2 to 4.

It relates also to a method for preparing the compounds of this invention, and to a process of using compounds of this invention to treat textile materials so as to give them dry soil resistance and oil and water repellency. This invention relates still further to a process for the treatment of paper so as to make it repel water and oil.

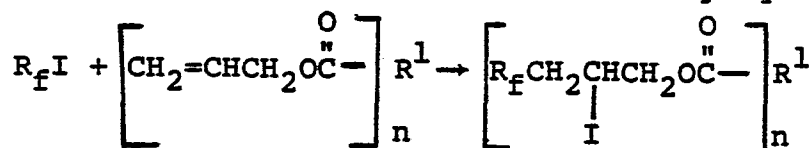
DETAILED DESCRIPTION OF THE INVENTION

R_f is a saturated, monovalent, non-aromatic, aliphatic radical. The chain may be straight, branched or cyclic, and may be interrupted by divalent oxygen atoms or trivalent nitrogen atoms bonded only to carbon atoms. A fully fluorinated group is preferred, but hydrogen or chlorine atoms may be present as substituents in the fluorinated aliphatic radical provided that not more than one atom of either is present in the radical for every two carbon atoms, and that the radical must at least contain a terminal perfluoromethyl group. In a preferred embodiment, the fluorinated aliphatic radical contains not more than 20 carbon atoms because such a large radical results in inefficient use of the fluorine content. In a more preferred embodiment, R_f is a perfluoroalkyl containing 3 to 20 carbons.

The compounds of this invention can be prepared by the reaction set forth in the following equation:



While most of the iodine will be present in the ultimate reaction mixture as ICl, some elemental iodine will be present. Moreover some ICl₃ may be present. The iodine substituted polyfluoroalkyl ester starting material for the preparation of the compounds of this invention can be prepared by the reaction set forth in the following equation:



A wide variety of allyl esters can be used according to this invention. In a preferred embodiment, R¹ is alkyl, aryl, aralkyl or cycloalkyl. Thus, for example, R¹ can be the residue remaining after esterification of citric, phthalic (o, m or p isomer), benzoic, succinic, chlorendic, benzene polycarboxylic acids, such as trimellitic, pyromellitic and the like.

The reaction of the iodine-substituted polyfluoroalkyl ester with chlorine can be carried out by melting the iodine-substituted polyfluoroalkyl ester and contacting the molten ester with chlorine, or the iodine-substituted polyfluoroalkyl ester can be suspended or dissolved in a suitable liquid and reacted with chlorine. A suitable liquid is inert under the reaction conditions; e.g. alcohols or water should be avoided since they would be expected to react. Preferred liquids include 1,1,2-trichloro-1,2,2-trifluoroethane, 1,1,1,2-tetrachloro-2,2-difluoroethane and tetrachloromethane. It is possible also to use chloroform. All such halocarbons function as solvents for the iodine substituted polyfluoroalkyl ester starting material. Although it is not necessary that the liquid medium be a solvent for that ester, it is preferred

that the ester be at least partially soluble in the liquid medium.

The reaction of the iodine-substituted polyfluoro-alkyl ester with chlorine is mildly exothermic, being
5 somewhat more exothermic at the start of the reaction than at a point near its completion. When a solvent is used for the ester starting material, reflux thereof provides effective control of the exotherm. The temperature of the reaction with chlorine is not
10 critical nor is pressure. One can run the reaction at a temperature between 0°C and the melting point of the iodine-substituted polyfluoroalkyl ester. Usually, the reaction is run at a temperature between about 40° and 55°C when a solvent is used, and between about 85°
15 and 90°C when the ester starting material is molten during the reaction with chlorine. Although the reaction, whether in solution or in molten form, is usually run at atmospheric pressure, elevated pressures can be used as well. Normally, the reaction is run until
20 essentially all of the covalently bound iodine is displaced from the ester.

Normally, a slight excess of chlorine, over that required to satisfy the reaction given above, is used. Introducing chlorine in excess of the amount which is
25 required to displace all iodine in the ester starting material will do no harm, but such an excess does nothing in aid of the reaction either. However, if the R^1 moiety has some tendency to react with chlorine, it may be desirable to avoid a large excess of chlorine.
30 In that way, if the R^1 moiety reacts relatively slowly with chlorine, it may be possible to carry the desired displacement of iodine to completion before a significant amount of chlorine reacts with the R^1 moiety.

35 After all of the iodine has been displaced from the ester, it is best to convert the iodine

chloride(s) and iodine, if any, to iodide and chloride ions. For example, one can add water and a soluble salt such as sodium bisulfite for that purpose.

The preparation of the iodine-substituted poly-
5 fluoroalkyl ester is carried out in the presence of a free radical initiator at temperatures in the range between about 50° and 140°C and at pressures between about 1 and 50 atmospheres. If the polyfluoroalkyl iodide or the allyl ester used in the reaction has a
10 boiling point below the desired reaction temperature, a pressure system would be used; otherwise, the reaction may be carried out at atmospheric pressure. The free radical initiator may be either an azo compound or a peroxy compound, e.g. α, α' -azobis-(isobutyro-
15 nitrile); 2,2'-azobis-(2,4-dimethylvaleronitrile); acetyl peroxide; benzoyl peroxide; di-t-butyl peroxide and the like.

The polyfluoroalkyl iodides can be prepared by a variety of reactions. See for example Brace et al.,
20 JACS, 73, 4016 (1951); Krespan, J. Org. Chem., 23, 2016 (1958); Haszeldine, J. Chem. Soc., 1949, 2856; 1952, 4259; 1953, 376; Hauptschein et al., JACS, 79, 2549 (1957).

The principal use of compounds of this invention
25 involves application of solutions or aqueous dispersions of said compounds to carpets, other woven or non-woven textiles, or paper. The desirable characteristics imparted by the application of said compounds include water repellency, oil repellency, and resistance
30 to soiling. The degree to which said desirable characteristics are achieved is evaluated in different ways for the different substrates.

For example, in the case of carpets, dry soil resistance provides a measure of the ability of the
35 carpet to retain its new appearance under normal traffic

conditions. In addition, oil and water repellency is required in carpets to provide resistance to staining by spilled liquids.

In most of the other end uses, achievement of the
5 desired effects is assayed simply by measuring oil and/or water repellency. That may be done by drop tests as reported in Tables 3, 4 and 6 by penetration as reported in Table 5.

Application of the novel compounds of this in-
10 vention from solution or aqueous dispersion to any of the foregoing substrates may be carried out in any known manner so as to deposit on the substrate from about 0.01% to 1.0% of the novel compound, based on the dry weight of the substrate. Preferably, application
15 of the novel compounds of this invention is made from an aqueous dispersion. Subject to the above-defined range of quantities of the novel compounds being deposited on the substrate, the aqueous dispersions of compounds of this invention can be blended with an
20 aqueous polymeric suspension. For example, addition of an aqueous suspension of polymethyl methacrylate, makes a composition which can be diluted with water for application to the various substrates contemplated by this invention. The presence of the polymeric suspension,
25 such as an aqueous polymethylmethacrylate, improves dry soil resistance. Such a dispersion, before dilution with water, will normally contain from about 2% to about 20% of fluorinated ester of this invention and between about 2% and about 40% of the polymer, dry basis, pro-
30 vided by the above-mentioned suspension. For application to textile substrates, such as carpets, the above-described dispersion is diluted still further with water. Application can be made by any known technique, such as padding, exhaust spraying, and the like.

35 After a compound (or compounds) of this invention, as a solution or dispersion and optionally containing other components such as, for example, poly(methylmeth-

acrylate), has been applied to the desired substrate, it will usually be dried to remove water and/or solvent. Normally, drying is effected by heating to about 120-170°C, although higher or lower temperatures may be used. In particular, drying at ambient temperature is frequently sufficient, although heating is usually preferred to hasten the drying. Furthermore, repellency effects frequently are improved by heat treatment beyond that required for drying. It appears that such treatment at least partially melts the composition of this invention so that it spreads and more effectively coats the substrate.

The oil repellency test used herein is an adaptation of AATCC Test Method 118-1978. Oil repellency is defined as the ability of a substrate to resist wetting by oily liquids. According to the test method, drops of standard test liquids, consisting of a selected series of hydrocarbons with varying surface tensions, are placed on the substrate and observed for wetting. The oil repellency rating is the highest numbered test liquid which will not wet the surface of the substrate within a period of 30 seconds. Wetting of the surface of the substrate is normally evident by a darkening thereof at the interface. On black or dark surfaces, wetting can be detected by a loss of "sparkle" within the drop. The standard test liquids are set forth in Table 1.

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35

Table 1
Standard Test Liquids

5	Oil Repellency		Composition
	Rating	Number	
		1	"Nujol"
		2	65/35 "Nujol"/n-hexadecane by volume at 70°F (21°C)
		3	n-hexadecane
10		4	n-tetradecane
		5	n-dodecane
		6	n-decane
		7	n-octane
		8	n-heptane
15	"Nujol" is the trademark of Plough, Inc., for a mineral oil having a Saybolt viscosity of 360/390 at 100°F (38°C) and a specific gravity of 0.880/0.900 at 60°F (15°C).		

20 The water repellency test provides an index of aqueous stain resistance in that, generally, the higher the water repellency rating, the better the resistance to staining by water-based substances. Like the oil repellency rating, the water repellency rating is the highest numbered test liquid which will not wet the

25 surface of the substrate in a specified amount of time, in this case 10 seconds. The standard test solutions are those of Table 2.

30

35

Table 2

Standard Test Solutions			Flash Point	
Water Repellency Rating Number	Composition		(TCC)	
	% Isopropanol*	%H ₂ O**	°C	°F
5	1	2	98	-
	2	5	95	50
	3	10	90	40
	4	20	80	28
	5	30	70	19

10 * Reagent Grade, percentage by volume

** Distilled

In accordance with the test procedure, one begins with the lowest numbered test liquid (Water Repellency Rating No. 1), and carefully places one drop thereof at each of three locations on the surface of the substrate. If after 10 seconds two of the three drops are still visible in spherical or hemi-spherical form, drops of the next higher numbered test liquid are placed in an adjacent site and observed for 10 seconds. The above-described procedure is continued until at least two or the three drops of the test liquid fail to remain spherical or hemi-spherical 10 seconds after application.

25 The following examples are given by way of illustration, not by way of limitation. Unless otherwise indicated, all parts and percentages are by weight; temperatures are expressed in degrees Centigrade and pressures in mm of Hg.

30 In some of the examples that follow, the term MPI has been used as an abbreviation for Mixed Perfluoro-alkyl Iodides of the formula, C_nF_{2n+1}I, having the following composition (average n = 8):

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	<u>n</u>	<u>Weight % (Approximate)</u>
	4	1-2
	6	27-28
	8	32-34
5	10	20-22
	12	8-11
	14	4-5
	16	1-2
	>16	small amounts

10 It is not necessary that mixed perfluoroalkyl iodides be used in making compounds of this invention. In addition, mixed perfluoroalkyl iodides other than the foregoing can be used, e.g. a mixture of the above formula having the composition (average $n = 6$):

	<u>n</u>	<u>Weight % (Approximate)</u>
15	4	3
	6	52
	8	30
	10	11
20	12	3
	14	1

25 In the examples that follow, the term ABI is an abbreviation for 2,2'-azobis-(isobutyronitrile). Moreover, in those examples, the term "deoxygenated" means that the material so treated was stirred overnight at ambient temperature under a current of nitrogen or stirred for at least one hour at about 60°C under a current of nitrogen. In the examples, "nonionic surfactant" means the product of the reaction of 15 moles of ethylene oxide with 1 mole of a mixture of n-dodecanol-1, n-tetradecanol-1, and n-hexadecanol-1. "Arquad" 18-50 means a 50% solution of octadecyl trimethyl ammonium chloride in water.

35

Example 1Preparation of Adduct

	MPI	146	g
	Triallyl trimellitate	26.4	g
5	ABI	1.65	g

The MPI and the triallyl trimellitate were mixed and deoxygenated. Then, while maintaining a nitrogen atmosphere, the ABI was added portionwise over a period of about 24 hours on the following time

10 schedule:

	<u>Elapsed Time</u> (Hours)	<u>Tempera-</u> <u>ture</u>	<u>ABI</u> <u>Addition</u>
	0	65°C	0.2 g
	2-1/3	64°C	0.2 g
15	3-1/12	67°C	0.2 g
	5-5/6*	64-72°C	0.4 g
	24	raised to 99°C	<u>0.65 g</u>
		Total	1.65 g

20 24-3/4 reaction terminated

* The reaction mass was heated so that the temperature was raised to 72°C.

Preparation of Dispersion and Testing on Nylon Carpet

	Adduct	100	g
25	Methylisobutyl ketone	50	g
	"Arquad" 18-50	6	g
	Nonionic surfactant	3	g
	Water	about 125	g
	2-Methyl-2,4-pentanediol	0.5	g

30 All components were combined at 50-80°C in a blender, agitated therein for about 10 minutes, and then passed twice through a Manton-Gaulin homogenizer (2 stages, 500 and 6000 psi). The methyl isobutyl ketone was then distilled out, along
35 with some water, using a partial vacuum and temperatures up to about 55°C.

A portion of the finished dispersion was diluted with water and mixed with acetic acid and an aqueous dispersion of polymethylmethacrylate (PMMA). The PMMA in the dispersion had an inherent viscosity of about 0.7 (0.5 g of PMMA in 100 ml of acetone at 30°C), and was made up of particles having an average size of about 0.06 micron. The mixed dispersion comprising the adduct, acetic acid, PMMA and water was then sprayed onto the face of nylon carpet so that the face fibers of the carpet received 0.055% of fluorine (in covalently bound form), 0.186% of polymethylmethacrylate, 0.01% of acetic acid, and about 25% of H₂O based on the weight of the fiber. The carpet was dried for 30 minutes in a forced air oven at 270°F. Samples of the carpet were tested for oil and water repellency. Samples thereof were also tested for dry soil resistance by being placed in a heavily-travelled hallway along with untreated samples of the same carpet, being rated for soil-resistance in comparison with the untreated carpet. The results of testing of the carpet samples are set forth in Table 3.

Another portion of the finished dispersion was diluted with water and mixed with acetic acid. It was then sprayed onto the face of nylon carpet so that the face fibers thereof received 0.055% of fluorine (in covalently bound form), 0.01% of acetic acid and about 25% of water. The treated carpet was then dried and tested as described above, giving the data set forth in Table 4.

Example 2

Preparation of Triallyl Citrate Adduct

MPI	820	g
Triallyl citrate	161	g
ABI	9.5	g

The MPI and 47 ml of the triallyl citrate were

mixed and deoxygenated overnight. The next day, the following schedule was followed:

	Elapsed Time (min)	Tempera- ture (°C)	ABI Addi- tion (g)	Triallyl Citrate Ad- dition (ml)
5	0	25 (heating)	0.5	
	30	64		
	45	62		5
	70	65		20
	88	65		10
10	96	64		10
	125	66		15
	146	71	1.0	
	158	72		remainder
	158-270	72-91		
15	330	81	2.0	
	375	81	2.0	
	414	81	4.0	
	441	81	Shut down overnight (cold time not counted)	
	441-486	88-100		
20	486	95	Reaction terminated	

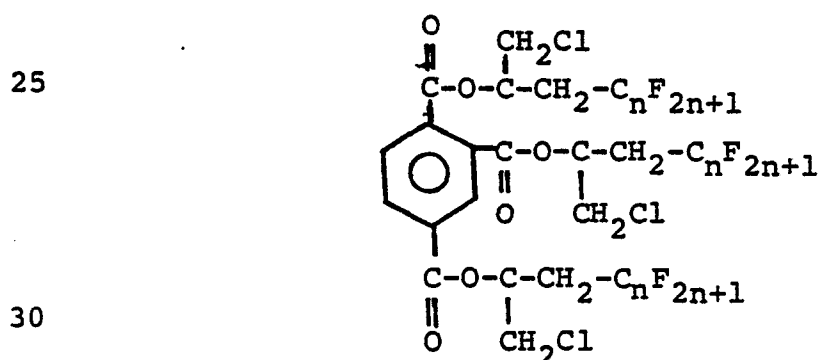
Dispersions of the product of this example, with and without polymethyl methacrylate, were prepared by the procedures described in Example 1. Separate portions thereof were applied to carpet samples and tested as in Example 1, the results thereof being recited in Tables 3 and 4.

Example 3

In a round-bottom flask fitted with a reflux condenser maintained at 0°C were placed 320 g of the product of Example 1 and 120 ml of 1,1,2-trichloro-1,2,2-trifluoroethane. The mixture was stirred at 48-52°C and 36 g of chlorine gas were introduced below the surface of the liquid over a period of 75 minutes. This mixture was left at room temperature overnight. The next morning, the temperature was held in the

range of 43-52° while 40 ml of water and then 140 ml of a saturated aqueous solution of sodium bisulfite were added. The temperature was raised to 80° to distill out the 1,1,2-trichloro-1,2,2-trifluoro-ethane. At the same temperature, 100 ml of methyl isobutyl ketone were added, followed by about 147 ml of 20% aqueous sodium hydroxide to bring the pH to 5.4. Then, 120 ml of methyl isobutyl ketone and 60 g of $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ were added, and after the latter dissolved, the lower aqueous layer was removed in a separatory funnel. To the organic layer was added 200 ml of a hot aqueous solution of $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ (saturated at about 60°C). After mixing well at 80°C, the lower aqueous layer was discarded. The organic layer was washed with 200 ml of water at 80°C, and the product in methyl isobutyl ketone formed the bottom layer. The upper layer was discarded, and after evaporation of part of the methyl isobutyl ketone in the bottom layer, 339 g of residue were obtained. A sample thereof was dried in a vacuum oven and found to be 67.5% non-volatile. That corresponds to 229 g of dry product which is 83% of the theoretical yield.

The structure of the product is as follows:



wherein n is the same as given in the definition of MPI. The structure of the product was established by NMR spectroscopy and is supported by elemental analysis. The latter gives the following results for n with an average value of 8:

16

	<u>Element</u>	<u>Calc. %</u>	<u>Found %</u>
	C	29.7	31.0
	H	1.2	1.4
	F	57.1	55
5	Cl	6.3	6.1
	I	0	0.4

Preparation of Dispersion and Testing on Carpet

10 A dispersion was prepared as described in Example 1, using 352 g of the 67.5% solids methyl isobutyl ketone solution described above. The other ingredients were:

	Water	100	ml
	"Arquad" 18-50	18	g
15	Nonionic Surfactant	73	g
	2-Methyl-2,4-pentanediol	0.5	g

Homogenization, removal of methyl isobutyl ketone, application to carpet (with and without polymethyl methacrylate) and testing were carried out as described
 20 in Example 1. Test results are set forth in Tables 3 and 4.

Example 4

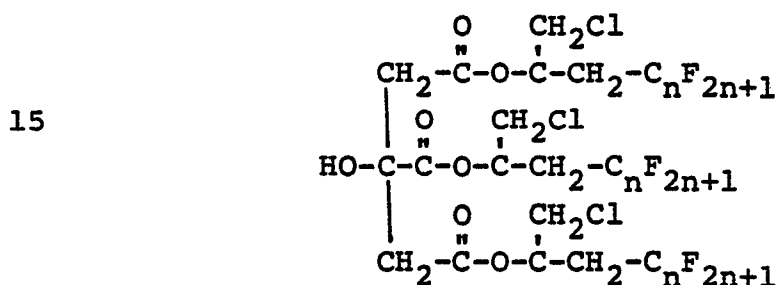
Chlorination of the Product of Example 2

25 In a 500 ml round-bottom flask fitted with a reflux condenser maintained at 0° were placed 160 g of the product of Example 2 and 60 ml of 1,1,2-trichloro-1,2,2-trifluoroethane. The mixture was stirred at 48-51° and 24 g of chlorine gas were introduced below the surface over a period of about 75 minutes. Then, 20 ml
 30 of water was poured down the condenser, followed by 80 ml of a saturated aqueous solution of sodium bisulfite added slowly. The reflux condenser was then removed and the flask was heated to 84-85° and held at that temperature for about 15 minutes to distill out
 35 the chlorofluorocarbon. Next, was added 90 ml of methyl isobutyl ketone. The aqueous lower layer was removed by

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means of a separatory funnel. The organic layer was washed with 100 ml of hot, concentrated magnesium sulfate solution. Isopropanol (50 ml) was added to facilitate the separation of the aqueous phase. The final wash was 100 ml of water to which 20 ml of isopropanol was added. The resulting solution of the product in methyl isobutyl ketone weighed 237 g and was 56.4% non-volatile, corresponding to 134 g of dry product, 97% of the theoretical yield.

The structure of the product, established by NMR spectroscopy and elemental analysis, is



The average value of n in the MPI used as starting material is 8, and therefore the average empirical formula is $\text{C}_{39}\text{H}_{17}\text{F}_{51}\text{O}_7\text{Cl}_3$. The dried material was analyzed:

	Element	Calc. %	Found %
25	C	28.0	28.95
	H	1.0	1.2
	F	57.9	55.4
	Cl	6.4	6.4
	I	0	0.4

30

The foregoing elemental analysis gives satisfactory agreement with the theoretical, and supports the structure set forth above.

Preparation of the Dispersion and Testing on Carpet

The dispersion was made as described in Example 1, using 179 g of the methyl isobutyl ketone solution

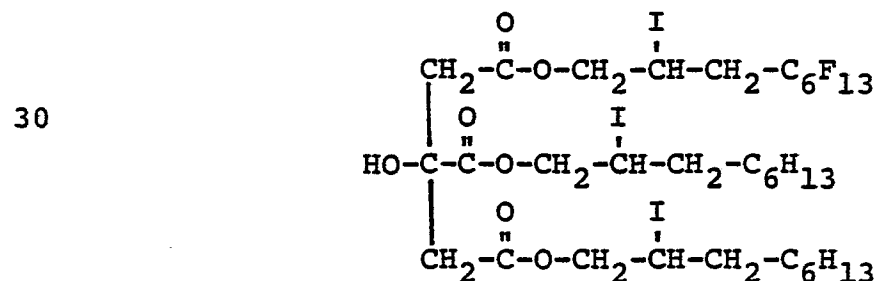
produced as described above. The other ingredients were as described in Example 1, except that no methyl isobutyl ketone was added per se. The dispersion was distilled under vacuum to remove the methyl isobutyl ketone and applied to nylon carpet as hereinbefore described. The results of testing of the treated carpet are set forth in Tables 3 and 4.

Example 5

	$C_6F_{13}I$	200.7 g
10	Triallyl citrate	31.2 g
	Isooctane	31.2 g
	2,2'-azobis-(isobutyronitrile)	3.3 g

All of the above ingredients were combined and deoxygenated. Then the mixture was stirred at 67-74° for 70 minutes, followed by 150 minutes at 70-75° and then for 80 minutes at 79-83°. During the first twenty minutes of the period, the reaction was exothermic and required cooling (after initial heating to bring to reaction temperature). Later, heating was again required.

Volatile materials (87 g) were then removed on the steam bath with aspirator vacuum, using a rotary evaporator. The volatile materials consisted of isooctane (25 g) and perfluorohexyl iodide (62 g). Thus, three mole proportions of perfluoroalkyl iodide had been consumed per mole of triallyl citrate, indicating that the structure of the product is:



Examination of the product by nuclear magnetic

resonance indicated that 94% of the allyl double bonds had been converted to the following structural moiety: $-\text{CF}_2-\text{CH}_2-\text{CHI}-\text{CH}_2-$.

The product was dispersed in water and tested on carpet as described in Example 1. The results are set forth in Tables 3 and 4.

Example 6

Preparation of Adduct

	MPI	154	g
10	Diallylphthalate	34	g
	ABI	1.7	g

The MPI and the diallylphthalate were mixed and deoxygenated. Then, while maintaining a nitrogen atmosphere, the ABI was added and temperature was controlled according to the following schedule:

	<u>Elapsed Time (min)</u>	<u>Temperature (°C)</u>	<u>ABI Addition</u>
	0	heating from 25	0.1 g
	15	60	
	15-43	60-68	
20	43	68	0.1 g
	59	69.5	0.2 g
	59-85	69-76.5	
	85	71.5	0.3 g
	103	71	0.5 g
25	103-148	69.5-84	
	148	79	0.5 g
	212	74.5-100	
	212	91	reaction terminated

Preparation of Dispersion and Testing on Carpet

This was carried out as described in Example 1 and the results are recorded in Table 3.

Example 7

Preparation of Adduct

	MPI	110	g
35	Allyl benzoate	32	g
	ABI	3.55	g

The procedure was as described in Example 6, with the following schedule for temperature and addition of ABI.

	Elapsed Time (min)	Temperature (°C)	ABI Ad- dition
5	0	61	0.1 g
	54	60	0.1 g
	122	60	0.1 g
	198	60	0.4 g
10	303	61	0.4 g
	720	61 cooled	
	0 (after rewarming)	56	
	12	59	0.4 g
	130	60	temperature control reset to 71°
15	244	71	0.4 g
	439	71	0.4 g
	840	72 cooled	
	0 (after rewarming)	56	
	45	56-80	
20	150	80	1.05 g
	150-745	80-90	briefly cooled to 58° at 258
	745	90	reaction terminated

Preparation of emulsion and testing on carpet were carried out as described in Example 1 and the results are recorded in Table 3.

Example 8

Preparation of the adduct was carried out essentially as described in Example 7, with the following exceptions:

MPI was replaced by $C_8F_{17}I$; and the adduct was purified by recrystallization from isopropanol.

Chlorination of the Adduct

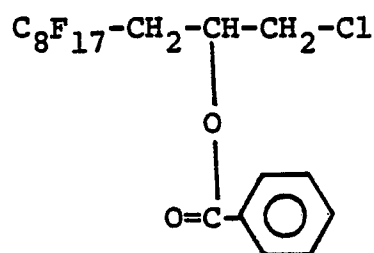
The equipment was the same as used in Example 4. The materials charged were:

21

Adduct (purified)	125 g
1,1,2-Trichloro-1,2,2-trifluoroethane	50 ml

Chlorine gas (15 g) was introduced during two hours, while the flask contents were maintained between 39° and 55°. Thereafter, 20 ml of water were added, followed by 80 ml of a saturated aqueous solution of sodium bisulfite. The mixture separated into 2 layers and was let stand for 25 days.

The layers were separated, the the lower layer was washed with three 200-ml portions of water, to the last of which was added 10 ml of isopropanol. The chlorofluorocarbon was then evaporated in a vacuum oven at 90-100°. The product was a clear, amber, viscous liquid and weighed 102 g, representing a 94% yield of:



The product crystallized within a day. The structure was established by elemental analysis and NMR spectroscopy, both proton and Carbon-13. The elemental analysis gave the following results:

	Calc. %	Found %
C	35.0	34.9
F	52.4	51.7
H	1.6	1.4
Cl	5.8	6.4
I	0.0	0.3

To a 400-ml Hastelloy C-lined bomb was added 10 g of the foregoing product, 10 ml of pyridine and 50 ml of isopropanol. The bomb was chilled to about (-)75°C and evacuated to about 3 mm pressure. Then, the bomb was closed and heated to 160°C for 4 hours. The bomb was then cooled. The contents were a

homogeneous dark amber liquid. Of this liquid, 0.4 g was taken and mixed with 100 ml of water to give a rather turbid solution or suspension. The foregoing solution or suspension has a surface tension of 22.4 dynes/cm., measured by the ring method using a Du Nouy tensiometer.

Example 9

In a round-bottom flask equipped with an ice-water-cooled reflux condenser were placed 74 g of the product of Example 6 and 30 ml of 1,1,2-trichloro-1,2,2-trifluoroethane. The mixture was maintained at 51°C while 15 g of chlorine was added below the surface over a period of 53 minutes. Then, 40 ml of a saturated aqueous solution of sodium bisulfite were added, dropwise, followed by sufficient 30% aqueous sodium hydroxide to bring the pH to about 6 (about 35 ml). The temperature was then raised to 85° to distill out 1,1,2-trichloro-1,2,2-trifluoroethane. The resulting mixture was transferred to a separatory funnel and 50 ml of methyl isobutyl ketone were added. After shaking, the lower layer was discarded and 50 ml of a saturated aqueous solution of magnesium sulfate and 20 ml of isopropanol were added and the mixture was shaken again. The lower layer was again discarded and 50 ml of hot water were added. After shaking, the solution of the product (lower layer) was drawn off.

The product solution was evaporated in vacuum (removing methyl isobutyl ketone) until it weighed 69 grams. Fifty-seven grams of the resulting product were mixed with:

"Arquad" 18-50	3	g
Nonionic surfactant	1.5	g
2-Methyl-2,4-pentanediol	0.25	g
Water	approx. 60	ml

The resulting mixture was well agitated in a blender,

then diluted with water and mixed with acetic acid. That mixture was sprayed onto nylon carpet so that the face fibers received 0.055% of fluorine (in covalently bound form), 0.01% of acetic acid, and 25% of water based on the weight of the fiber. The carpet was dried and tested as described in Example 1. The test data are set forth in Table 4.

Table 3 (With PMMA)

Ex. No.	Dry Soil Resistance*	Oil Repellency	Water Repellency
1	N-C ^(a)	5	5
2	C-M ^(a)	5	5
3	N-C	5	6
4	M	5-6	5-6
5	N-C ^(a)	5	5
6	C	5	5
7	N	0	3
Untreated Carpet	-	0	0

* Degree of superiority over untreated carpet

E = equal

N = noticeably better

C = considerably better

M = much better

(a) = 0.11% PMMA

Table 4 (Without PMMA)

Ex. No.	Dry Soil Resistance*	Oil Repellency	Water Repellency
1	N-C	5	5
2	C	5	5
3	S*	5	5
4	N	5	5
5	MW**	4	5
9	M	3-4	5

* S = slightly better

** MW = much worse

Tests on Non-woven Fabrics

The diluted dispersions tested on non-woven fabric were:

5 A. The product of Example 3, dispersed in water as described in Example 3, methyl isobutyl ketone removed as described in said Example. That product was then diluted with water to contain 0.035% of fluorine.

B. The product of Example 4, treated as described in A to contain 0.035% fluorine.

10 C. The product of Example 9, in the form of a 60% solution in methyl isobutyl ketone, was dispersed in water as described in Example 4. After distillation of the methyl isobutyl ketone, the dispersion was diluted to contain 0.035% fluorine.

15 The non-woven fabric was a 60% polyester/40% wood pulp fabric intended for use in operating-room garments. The diluted dispersions were padded onto the non-woven fabric to obtain a wet pick-up of $193 \pm 5\%$. They were then dried by passing twice through a mangle heated to 20 325°F, the heat being applied once on each side of the fabric, with a contact time in the mangle of 75 seconds.

The treated fabrics, as well as an untreated sample, were subjected to the DART* 80.9 test, in which a sample of the fabric is cut to the size of the disc of 25 a standard two-piece mason jar lid. A one-quart mason jar is charged with 600 ml of water containing 0.9% NaCl; the disc is clamped to the top of the jar and the jar is inverted. The time for water to penetrate the disc is recorded as set forth in Table 5.

30

Table 5

<u>Dilute Dispersion Used</u>	<u>Time for Penetration</u>
A	>60 min.
B	31 min.
C	5 min.
35 None	instantaneous

* Disposables Association Recommended Test

Tests on Kraft Paper

The treating dispersions used were the same type as described in "Tests on Non-woven," but of different concentration. The paper, Claremont un-
 5 bleached Kraft weighing 20 lbs/100 sq ft, was padded with the dispersions as listed to give $138 \pm 2\%$ wet pick-up. The paper was then dried by two passes through a 220°F mangle, once with each side up. Contact time was 75 seconds. The treated papers
 10 and an untreated control were given the AATCC oil repellency test, and the results are given in Table 6.

Table 6

	<u>Dispersion</u>	<u>Synthesized Active Ingredient</u>	<u>Treated Paper</u>	
			<u>Fluorine Oil Content</u>	<u>Repellency</u>
15	D	Ex. 3	0.08%	4-5
	E	3	0.04%	1-2
	F	4	0.08%	5
	G	4	0.04%	1-2
	H	9	0.08%	5
20	J	9	0.04%	4
	Untreated paper	-	0.00%	0

Example 10Chlorination of Triallyl Trimellitate Adduct Without Solvent

25	Product of Example 1	984 g
	Chlorine	117 g
	Saturated aqueous sodium bisulfite	290 ml
	30% Aqueous sodium hydroxide	455 ml

The product of Example 1 (984 g) was charged to
 30 a 3-liter round-bottom flask with bottom outlet, furnished with a heating mantle and a cold-finger for tap-water cooling. Nitrogen was passed through the flask while the contents were heated to 85°C to melt. The contents of the flask were agitated while chlorine
 35 gas was introduced below the surface of the liquid as detailed below.

	Elapsed Time (min)	Temperature (°C)	Action and Remarks
	0	82	started Cl ₂
	30	84	14 g Cl ₂ in
5	65	83	37 g Cl ₂ in
	90	76	61 g Cl ₂ in
	145	61	117 g Cl ₂ in. Cl ₂ stopped and N ₂ sweep started
	160	60	added 250 ml water
10	162	52	started addition of NaHSO ₃ solution
	162-200	52-79 (fluctuated)	
	200	68	stopped addition of NaHSO ₃ solution
15	201	67	started addition of NaOH solution
	220	63	stopped addition of NaOH solution pH = 3.7

20 Agitation was stopped and the mixture was allowed to cool to room temperature and stand overnight. The following morning, the mixture was heated to 70°C and the upper, aqueous layer was siphoned off. Water (400 ml) and 490 ml of methyl isobutyl ketone were added, and the mixture was heated with agitation

25 from 55 to 68°C. Agitation was stopped and the upper, aqueous layer was siphoned off. Water (400 ml, preheated to 75-80°C) was added and the mixture was agitated for 15 minutes at 70°-80°C. Agitation was stopped and the lower, organic layer was drained out.

30 It weighed 1245 g. A sample was dried in vacuum oven at 90-100°C for one hour and showed 64.7% non-volatiles, corresponding to 806 g of product, a 95% yield. NMR (proton) examination of the vacuum-dried product confirmed that the product of Example 3 had been

35 duplicated.

Example 11Chlorination of Triallyl Citrate Adduct Without Solvent

	Product of Example 2	399.6 g
5	Chlorine	45 g
	Saturated aqueous sodium bisulfite	210 ml
	30% Aqueous sodium hydroxide	105 ml

A one-liter round-bottom flask with bottom outlet was used. A mantle (Glas-Col) was used for heating.

- 10 The product of Example 2 was charged to the flask at room temperature and was melted under nitrogen. Then, the contents of the flask were agitated while chlorine gas was introduced below the liquid surface, according to the following schedule:

15

	Elapsed Time (min)	Temperature (°C)	Action and Remarks
	0	83	Cl ₂ started
	20	85	11 g Cl ₂ in
20	50	83	29 g Cl ₂ in
	78	83	45 g Cl ₂ in. Cl ₂ stopped. N ₂ sweep started
	100	83	Added 200 ml H ₂ O
	101	58	reheating to 80-85°
25	110	81	started addition of NaHSO ₃ solution
	125	84	finished addition of NaHSO ₃ solution
	130	83	started addition of NaOH solution
30	160		finished addition of NaOH solution
	170	85	pH = 3.5

- Agitation was stopped at this point and the upper, aqueous layer was siphoned off. Then, 300 ml. water were added and the mixture was reheated to 88°C with agitation. Agitation was stopped and the molten product (330 g) was drained off the bottom. A sample

of the product was dried at 100°C in a vacuum oven and showed 95% non-volatiles. That represents 91% of the theoretical yield. Elemental analysis gave the following results:

5	<u>Element</u>	<u>Calc. %</u>	<u>Found %</u>
	C	28.2	31.62
	Cl	6.4	6.4
	F	58.4	56.6
	H	1.2	0.98
10	I	0.0	0.76

NMR (proton) and elemental analysis indicate the product has the structure given in Example 4.

Example 12

Preparation of MPI/Diallyl Isophthalate (DAIP) Adduct

15	MPI	8655 g
	DAIP	1980 g
	ABI	60 g

All of the MPI was placed in a round-bottom flask, set in a water bath for temperature control. Of the
 20 DAIP, 600 ml. were added and the mixture was de-oxygenated. The mixture was heated to $72 \pm 3^\circ\text{C}$, and the following schedule of additions was followed:

	<u>Elapsed Time</u>	<u>ABI Addition(g)</u>	<u>DAIP Addition (ml)</u>
	0	6	
25	58		200
	60	6	
	89		200
	118		200
	120	6	
30	178		200
	180	6	
	238		200
	240	6	
	298		remainder
35	300	6	
	360	6	
	420	6	

<u>Elapsed Time</u>	<u>ABI Addition(g)</u>	<u>DAIP Addition (ml)</u>
480	6	
540	6	
1410	temperature raised to 76° (material was partially frozen)	
5 1410-1450	temperature gradually raised to 82° to melt product	
1485	reaction terminated	

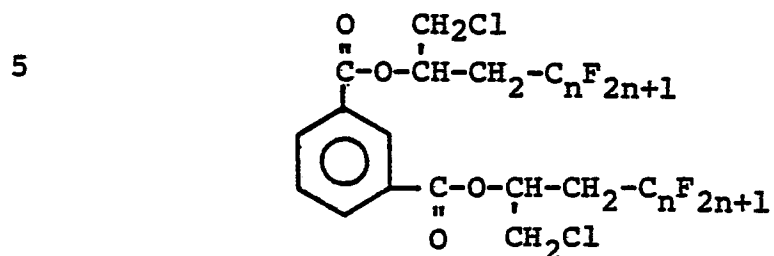
Chlorination of Adduct

- 10 The above-described adduct (4000 g) and 1,1,2-tri-chloro-1,2,2-trifluoro ethane (2775 g.) were placed in a round-bottom flask with bottom outlet and reflux condenser and heated until stirrable, approximately 40°C.
- 15 Chlorine (520 g) was added below the surface of the liquid over a 3.5 hour period, while the temperature was maintained between 35 and 50°C., then 1 liter of water was added. Over a period of 2.5 hours, 2200 ml. of a saturated aqueous sodium bisulfite solution were added at a temperature in the range between 32-50°C.
- 20 While cooling so as to maintain the temperature in the range between 30 and 50°C, 30% aqueous sodium hydroxide was added. When after 1.5 hours, the pH reached 5.4, addition of the aqueous sodium hydroxide was terminated, 1865 ml. thereof having been used.
- 25 During the addition of the sodium hydroxide solution, some salts formed inside the neck of the flask. One liter of water was used in periodically rinsing the salts from the neck of the flask. The mixture was let stand for 0.5 hour and then the top layer (aqueous)
- 30 was drawn off. Water (2900 ml.), 1,1,2-trichloro-1,2,2-trifluoromethane (250 ml), isopropanol (250 ml.) were added and the mixture was agitated and then allowed to stand. The product, in solution in chloro-fluorocarbon (a pale amber solution) was drawn off the
- 35 bottom. The product, weighing 534 g was 57.4% solids (by drying in vacuum oven, 90-100°C), corresponding to

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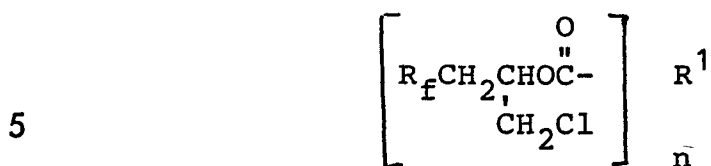
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3072 g. of product, a 83.6% yield. The product, in solution, is a very pale amber liquid. NMR is consistent with the following structure:



1 CLAIMS

1. A compound having the formula:



wherein

R_f is a saturated, monovalent, non-aromatic fluorinated aliphatic which is straight or branched chain or cyclic and contains at least 3 carbons;

R^1 is optionally substituted alkyl, aryl, aralkyl, cycloalkyl, or cycloalkenyl; and

n is a number from 1 to 4.

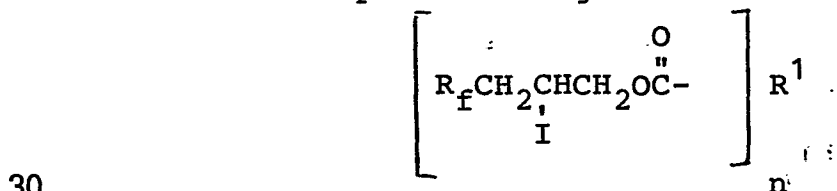
2. A compound of Claim 1 wherein R_f is a perfluoroalkyl containing 3 to 20 carbons; R^1 is optionally substituted alkyl, aryl, aralkyl or cycloalkyl and n is 2 to 4.

3. A compound of Claim 2 wherein R^1 is derived from citric, o-, m- or p-phthalic acid, succinic, chlorendic or a benzene polycarboxylic acid.

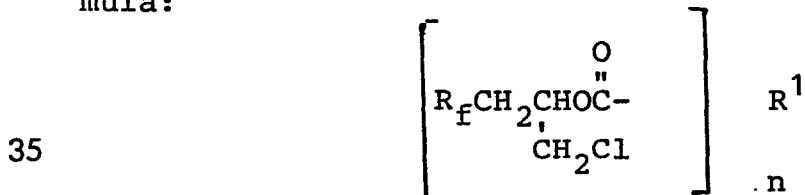
4. A compound of any one of Claims 1 to 3 wherein R_f contains 4 to 16 carbons.

5. A compound according to Claim 3 wherein R_f has an average value of 6 to 8 carbons.

6. A process which comprises reacting chlorine with a reactant compound having the formula:



so as to produce an end-product compound having the formula:



wherein

1 R_f is a saturated, monovalent, non-aromatic
fluorinated aliphatic which is straight or branched chain
or cyclic and contains at least 3 carbons;

R^1 is optionally substituted alkyl, aryl, al-
5 kenyl, aralkyl, cycloalkyl, or cycloalkenyl; and

n is a number from 1 to 4;

during said reaction, said reactant compound being in
molten form or dissolved or suspended in a liquid medium
which is inert under the reaction conditions.

10 7. A process of Claim 6 wherein R_f is a per-
fluoroalkyl containing 3 to 20 carbons; R^1 is optionally
substituted alkyl, aryl, aralkyl or cycloalkyl and n is
2 to 4.

15 8. A process of Claim 7 wherein R_f is a per-
fluoroalkyl containing 3 to 20 carbons; R^1 is derived from
citric, o-, m- or p-phthalic acid, succinic, chlorendic,
or a benzene polycarboxylic acid and n is 2 to 4.

9. A process of any one of Claims 6 to 8
wherein R_f contains 4 to 16 carbons.

20 10. A process of claim 9 wherein R_f has an
average value of 6 to 8 carbons.

11. A process for imparting oil- or water-
repellency, or dry soil resistance to a substrate which
comprises applying to the substrate at least one compound
25 of Claim 1.

12. A process of Claim 11 wherein R_f is a per-
fluoroalkyl containing 3 to 20 carbons; R^1 is optionally
substituted alkyl, aryl, aralkyl or cycloalkyl and n is
30 2 to 4.

13. A process of Claim 12 wherein R^1 is derived
from citric, o-, m- or p-phthalic acid, succinic, chlor-
endic, or a benzene polycarboxylic acid.

14. A process of any one of Claims 11 to 13
wherein R_f contains 4 to 16 carbons.

35 15. A process of Claim 13 wherein R_f has an
average value of 6 to 8 carbons.

1 16. A substrate having at least one compound of
Claim 1 deposited thereon and exhibiting oil- or water-
repellency or dry soil resistance.

5 17. A substrate of Claim 16 wherein R_f is a per-
fluoroalkyl containing 3 to 20 carbons; R^1 is optionally
substituted alkyl, aryl, aralkyl or cycloalkyl and n is
2 to 4.

10 18. A substrate of Claim 17 wherein R^1 is
derived from citric, o-, m- or p-phthalic acid, succinic,
chlorendic, or a benzene polycarboxylic acid.

15 19. A substrate of any of Claims 16 to 18
wherein R_f contains 4 to 16 carbons.

20 20. A substrate of Claim 13 wherein R_f has an
average value of 6 to 8 carbons.

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European Patent
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EUROPEAN SEARCH REPORT

0068040

Application number
EP 81105066.5

DOCUMENTS CONSIDERED TO BE RELEVANT			CLASSIFICATION OF THE APPLICATION (Int. Cl.)
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	
A	<p><u>US - A - 3 811 933</u> (M.W. UFFNER et al.)</p> <p>* Column 2; claims *</p> <p>--</p>	1,11,16	<p>C 07 C 69/63</p> <p>C 07 C 69/704</p> <p>C 07 C 69/753</p> <p>C 07 C 69/76</p> <p>C 07 C 69/78</p>
A	<p><u>US - A - 3 752 847</u> (J.C. FLETCHER et al.)</p> <p>* Abstract *</p> <p>--</p>	1	<p>C 07 C 69/80</p> <p>C 07 C 69/82</p> <p>C 07 C 67/287</p> <p>D 21 H 1/40</p>
A	<p><u>GB - A - 1 543 081</u> (ALLIED CHEMICAL CORPORATION)</p> <p>* Claim 1 *</p> <p>----</p>	1	<p>D 21 H 3/08</p> <p>D 06 M 13/16</p>
			<p>TECHNICAL FIELDS SEARCHED (Int. Cl.)</p>
			<p>C 07 C 67/00</p> <p>C 07 C 69/00</p> <p>D 21 H</p> <p>D 06 M</p>
			<p>CATEGORY OF CITED DOCUMENTS</p>
			<p>X: particularly relevant</p> <p>A: technological background</p> <p>O: non-written disclosure</p> <p>P: intermediate document</p> <p>T: theory or principle underlying the invention</p> <p>E: conflicting application</p> <p>D: document cited in the application</p> <p>L: citation for other reasons</p>
			<p>&: member of the same patent family, corresponding document</p>
X	The present search report has been drawn up for all claims		
Place of search		Date of completion of the search	Examiner
WIEN		17-02-1982	HOFBAUER