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(54) **Interesterification with a lipase enzyme as an interesterification catalyst.**

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**EP-A- 0 034 065 EP-A- 0 035 883**  
**EP-A- 0 064 855 EP-A- 0 069 599**  
**FR-A- 2 340 979 GB-A- 1 577 933**

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## Description

This invention relates to interesterification, using microbial 1,3-specific lipases as catalysts.

Intesterification is a process which is used in the oils and fats industry to modify the properties of triglyceride mixtures, in particular their consistency. In this process a catalyst such as sodium metal or sodium methoxide is used to promote acyl migration between glyceride molecules so that the products consist of glyceride mixtures in which the fatty acyl residues are randomly distributed amongst the glyceride molecules.

Extracellular microbial lipases (glycerol ester hydrolases) are enzymes which in nature catalyse the hydrolysis of fats to give free fatty acid, partial glycerides and glycerol. The reaction is reversible and the enzymes can be shown to catalyse the formation of glycerides from glycerol and free fatty acid under certain conditions. The synthetic reaction is of no significance in the biosynthesis of oils and fats.

The naturally-occurring triglycerides of long-chain fatty acids are water-insoluble, and lipases are characterised by the ability rapidly to catalyse the hydrolysis of ester bonds at the interface between the insoluble substrate phase and the aqueous phase in which the enzyme is soluble. Thus, the enzymes catalyse the hydrolysis of a wide range of insoluble fatty acid esters, although glycerides are normally the preferred substrates, while hydrolysis of water-soluble carboxylic acid esters by true lipases is very slow. The lipase reactions are reversible, and because of this reversibility hydrolysis and resynthesis of glycerides occurs when lipases are incubated with oils and fats. This hydrolysis and resynthesis causes acyl migration between glyceride molecules and gives interesterified products. Under conditions in which the amount of water in the reaction system is restricted, hydrolysis of the fat can be minimised so that lipase-catalysed interesterification becomes the dominant reaction.

Mixtures of triglycerides and free fatty acid can also be used as reactants for lipase-catalysed interesterification reactions. In these cases, free fatty acid exchanges with the acyl groups of the triglycerides to produce new triglycerides enriched in the added fatty acid. With non-specific lipases, enrichment of all three glyceride positions occurs, but with 1,3-specific lipases the reaction is confined to the 1- and 3-positions of the glycerides.

The microbial lipases can be placed in three groups according to their specificity of reactivity. The first group shows no marked specificity, both as regards the position on the glycerol molecule which is attacked and the nature of the fatty acid released. These lipases can catalyse the complete breakdown of triglycerides by hydrolysis to free fatty acid and glycerol, but diglycerides and monoglycerides appear as intermediates in the reaction. Examples of enzymes of this types are the lipases from **Candida cylindracea**, **Corynebacterium acnes** and **Staphylococcus aureus**.

The second group of lipases catalyses the specific release of a particular type of fatty acid from glyceride molecules. Most extracellular microbial lipases show little fatty acid specificity when incubated with natural oils and fats. However, the lipase produced by **Geotrichum candidum** has been shown to possess a very marked specificity for the hydrolysis of esters of a particular type of long-chain fatty acid. The substrate specificity of this enzyme has been studied by the groups of Alford, Jensen and Franzke, who showed that the lipase preferentially releases from triglycerides long-chain fatty acids containing a **cis**-double bond in the 9-position. Saturated fatty acids and unsaturated fatty acids without a double bond in the 9-position were only slowly released.

The third group of lipases which can be used in our process catalyses the release of fatty acid only from specific positions of glycerides. From lipases reactive in the 1- and 3-positions only, triglycerides are hydrolysed to give free fatty acids, 1,2(2,3)-diglycerides and 2-monoglycerides as reaction products. Because 1,2(2,3)-diglycerides, and especially 2-monoglycerides are chemically unstable and undergo acyl migration to give 1,3-diglycerides and 1(3)-monoglycerides, respectively, prolonged incubation of a fat with a 1,3-specific lipase will give complete breakdown of some of the triglycerides with the formation of glycerol. 1,3-specificity is common amongst microbial lipases, and examples of enzymes from this group are the lipases from **Aspergillus niger**, **Mucor javanicus** and various *Rhizopus* species. No example of an enzyme with 2-specificity has as yet been detected.

If a non-specific lipase is used to catalyse the interesterification of a triglyceride mixture, the triglycerides produced are similar to those obtained by chemical interesterification. However, with a 1,3-specific lipase as catalyst, acyl migration is confined to the 1- and 3-positions and a mixture of triglycerides which is unobtainable by chemical interesterification is produced.

In our FR-A-2340979 a method of interesterification is described, using both specific and non-specific lipase enzymes as catalysts in interesterification processes. In EP-A-0035883 a method of interesterification is described, using lipase enzymes as catalysts in conditions from which it is apparent that contact times substantially greater than two hours have been proposed. In EP-A-0034065 a similar process is disclosed.

From the Examples it can be calculated that contact times of less than two hours were also applied. However, the conversion rates in these cases were not high.

The interesterification reaction is accompanied by the formation as by-products of diglyceride and additional free fatty acid. Examination of the products formed during a stirred tank interesterification reaction using a 1,3-specific enzyme as catalyst shows that most of the diglyceride and additional free fatty acid is formed in the first hour of the reaction. During this period an equilibrium between triglycerides, water, 1,2-diglyceride and free fatty acid is established. The subsequent slow generation of more diglyceride can be attributed to the formation of 1,3-diglyceride by a slow isomerisation reaction. This isomerisation reaction leads to a loss of total triglyceride, and in some cases to a lowering of the proportion of valuable triglycerides in the total triglyceride fraction as a result of an interesterification of 1,3-diglyceride with triglyceride. Because the generation of some of the by-products is dependent on the slow isomerisation reaction an advantage can be gained by use of reaction conditions in which the contact time between the reactants and the catalyst is short. These conditions are readily achieved in a continuously operated packed bed reactor. In this way, short reaction times can be combined with high conversion rates while the amount of by-products in the end product remains limited.

The present invention therefore provides a continuous interesterification process in which a water-insoluble organic liquid comprising fatty reactants including a fatty acid glyceride ester is contacted with a 1,3-specific lipase enzyme as interesterification catalyst and a small amount of water to activate the catalyst, wherein the catalyst is packed in a fixed bed in which the catalyst is contacted at flow rates providing a mean residence time of 1-30 minutes to effect interesterification. Because of the short residence time, very little isomerisation of 1,2-diglyceride to 1,3-diglyceride occurs and consequently the yield of triglyceride obtained from a packed bed reactor in accordance with the invention is higher than that obtained from batch processes in stirred tanks. Preferably, the mean residence time is from 10 to 30 minutes, particularly about 20 minutes and preferably at 10 °C to 60 °C, preferably 20 °C to 50 °C. Mean residence time is defined by Levenspiel in Chemical Reaction Engineering, 2nd Ed., (1972), Wiley, at page 528, and measures the time occupied by the reaction liquid in the voids of the bed. It therefore measures the contact time of the liquid with the catalyst.

The ability to produce novel triglyceride mixtures using positionally specific lipases is of interest to the oils and fats industry because some of these mixtures have properties which make them valuable. This is illustrated by the following.

1,3-specific lipase-catalysed interesterification of 1,3-dipalmitoyl-2-monoleine (POP), which is the major triglyceride of the mid-fraction of palm oil, with either stearic acid or tristearin gives products enriched in the valuable 1 - palmitoyl - 3 - stearoyl - 2 - monoleine (POST) and 1,3 - distearoyl - 2 - monoleine (StOSt). POST and StOSt are the important components of cocoabutter, and therefore it is possible by the interesterification reaction to produce a valuable cocoabutter equivalent from cheap starting materials.

The catalysts used for enzymatic interesterification are suitably prepared by addition of solvent such as acetone, ethanol or methanol to a slurry of an inorganic particulate material such as kieselguhr, hydroxylapatite or alumina in buffered lipase solution. The precipitated enzyme coats the inorganic particles, and the lipase-coated particles are collected by filtration, dried and stored in a dried form. In the dried form the particles are inactive as interesterification catalysts, and to obtain catalytic activity it is necessary to activate the catalyst. Such processes are described in British patent specification No. 1,577,933, European patent specification No. 0 034 065, European patent specification No. 0 069 599 and European patent specification No. 0 064 855, in which the particles are activated by addition of about 10% water prior to their use in the interesterification reaction systems. The reaction is conducted in the presence of a small amount of water dissolved in the organic phase. For this purpose at least part of the liquid may be presaturated, for example by contact with a packed bed of an inert particulate material, e.g. Celite, preferably to an amount from 40 to 70% of the saturation amount, which is preferably less than 1%. In any event, the solubility of water in the reaction medium should be limited to retain the activity of the catalyst.

As in the method described therein, preferably the interesterification process of the present invention is carried out at 0-60 °C in solution in an inert organic solvent, particularly a hydrocarbon or mixture thereof, in a concentration of reactants from 5-50% by weight. Any free fatty acid in the reactant solution is preferably present in an amount of 10%-50% by weight of the triglyceride present. The reaction is applicable to a wide range of triglyceride oils and fats of animal, vegetable or marine origin and may be applied also to their fractionated and hydrogenated derivatives and to synthetic glycerides. Examples of oil include palm and shea. Fatty acids used preferably are saturated and contain 3 or 4 to 20 carbon atoms, particularly myristic, palmitic and stearic acids.

### Example 1

A mixture of a liquid fraction of refined, neutralized shea oil fractionated at 5 °C from three times its weight of acetone, and stearic acid in the ratio 5:1, was dissolved in 2.8 parts by volume of petrol ether of BP 100 to 120 °C and pumped at a rate of 33 ml/hour and a temperature of 40 °C, successively up a water saturation column and a reaction column of similar size in which the mixture was rearranged. Both columns were maintained at 40 °C by water jackets. The saturation column was packed with 5 grammes of acid-washed Celite carrying 4.5 ml adsorbed water to saturate the feedstock. The reaction column was packed with 7 grammes of catalyst comprising **Mucor miehei** lipase precipitated on Celite and prepared in accordance with the method described in British patent specification No. 1,509,543. The lipase activity was 1.0 U/mg and the catalyst was pre-activated by treatment with 0.7 ml water. The mean residence time in the reaction column was 28 min.

### Example 2

Example 1 was repeated, using as feedstock a mixture of a liquid fraction of palm oil, neutralised and pre-treated in hexane solution with silica to remove polar impurities, with half its weight of stearic acid, the mixture being dissolved to form a 1:3.3 w/v solution in the petroleum ether.

The saturation column contained 4 grammes of acid-washed Celite carrying 3.6 ml water and the reaction column 7.5 grammes of a **Rhizopus japonicus** lipase catalyst precipitated as described, on to Celite with an activity of 2.1 U/mg. The catalyst was pre-activated by adsorption of 0.75 ml of water.

The flow rate of the reaction column was 45 ml/hour giving a residence time of 22 minutes.

After running for 12 hours the product solutions from Examples 1 and 2 were collected, solvent removed and the oil products neutralised by methanol extraction. 470 grammes of the oil product were fractionated in acetone containing 0.5% water, in a 3-litre glass jacketed vessel fitted with a scraped surface stirrer. An StOSt-rich fraction was crystallised from the shea product using a solvent:oil ratio of 5:1. The solution was stirred at 40 °C for an hour, cooled thereafter at a rate of 60 °C/hour to 12 °C and maintained at that temperature for an hour before the precipitated crystals were filtered off and washed twice with 940 grammes of acetone, giving a product yield of 27%.

The palm product was also fractionated, but in two stages, to recover a POST-rich mid-fraction. In the first fractionation stage the neutralised oil product was dissolved in the aqueous acetone in the ratio 1:3 (w/w), held for an hour at 40 °C and cooled to 20 °C at a rate of 60 °C per hour. After holding for 1 hour at 20 °C the crystals which formed were filtered off and washed with 740 ml of acetone, 37 grammes of crystals being removed. The liquid fraction was again fractionated, this time in a solution in 1:8 (w/w) aqueous acetone at 10 °C after similar cooling and holding procedures, recovering an overall yield of 40% of POST-rich crystals, calculated on the original neutralised product.

The products from Examples 1 and 2 were compared with those from reactions in which the same catalysts were used batchwise, by dispersion in the feedstock solution. 450 grammes of the palm oil fraction and 225 grammes of stearic acid were dispersed in 1620 ml 100-120 °C petroleum ether with 35 grammes of the **Rhizopus japonicus** catalyst of activity 2.1 U/mg, prepared as described and pre-activated with 3.5 ml of water, for 4 hours.

1 kg of the shea oil and 0.2 kg of stearic acid in 3.61 litres at 100-120 °C petrol ether were stirred for 8 1/3 hours with 100 grammes of the **Mucor miehei** catalyst, pre-activated by the addition of 10 ml of water. Both batch reactions were carried out at 40 °C and product recovery was as described for the packed bed reaction.

Fatty acid analysis of the neutralised products by methyl ester method showed a significant increase in stearate content, reflecting a substantially complete degree of interaction with the stearic acid reactant. The palm oil feedstock increased from 4.3% to 28.3% in Example 1 and to 28.7% in the batch reaction. Yield of POST fraction from the batch palm product was 36%.

The batch test produced markedly higher free fatty acid in the crude product from both oils and a substantially higher diglyceride content in the neutralised product, reflected in a significantly lower yield of total triglycerides in the batch reaction.

Analysis of individual triglycerides by silver phase High Pressure Liquid Chromatography method of the fractionated products from both the batch and packed bed reactors showed no significant difference from the composition of a commercially available shea fraction, also obtained by fractionation from acetone, which exhibited the following analysis:

S<sub>3</sub> 2.2%; SOS 77.5%; SSO 1.8%; SLnS 8.3%; SOO 5.9%; others 3.5%.

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This was confirmed by determination of solids content of the product fractions by pulse NMR which indicated no significant differences in characteristics. Jensen cooling curves were also obtained from the shea-fractionated product and on blends with equal parts of palm mid-fraction. Although all the Jensen data indicated good products, the packed bed product was superior to that from the batch reaction and closely  
5 comparable with the commercial shea product.

The batch and packed bed palm products were closely similar in composition to one another and to cocoabutter itself.

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Further particulars of the shea product are given in Tables 1 and 2.

TABLE 1

	Total triglycerides				Triglycerides normalised to 100%			Fractionation	
	Diglycerides triglycerides				SOS	SLns	S00	SSS*	Yield Diglyceride
	% FFA	%	%	%					%
Feed	2.1	0.5	97.4	10.0	5.0	51.5	8.5	-	-
Product	23.3	3.5	73.2	27.4	7.1	33.1	10.4	27	0.5
Batch product	29.2	9.5	61.3	24.9	7.0	33.1	12.6	21	3.9

\* includes triterpene alcohol esters

TABLE 2

	Jensen cooling curve parameter of stearin				Jensen cooling curve parameter of stearin/PMF 50:50			
	Tmax	Tmin	T	Tmax	Tmin	T	Tmax	Tmin
Stearin ex packed bed	36.5	28.1	8.4	54	11	43	20.0	24.5
Stearin ex batch	35.6	28.7	6.9	55	12	43	28.3	24.8
Commercial shea stearin	36.7	28.4	8.3	62	13	49	29.5	24.4
							5.5	24
							57	26
							3.5	61
							66	26
							40	35

## Claims

1. Continuous interesterification process in which a water-insoluble organic liquid comprising fatty reactants including a fatty acid glyceride ester is contacted with a 1,3-specific lipase enzyme as interesterification catalyst and a small amount of water to activate the catalyst, wherein the catalyst is

packed in a fixed bed, characterised in that the catalyst is contacted at flow rates providing a mean residence time of 1-30 minutes to effect interesterification.

2. Process according to Claim 1, in which the ester comprises a glyceride.
3. Process according to any of the preceding Claims, wherein the reactants are dissolved in an inert organic solvent immiscible with water.
4. Process according to Claim 1, wherein the lipase comprises **Aspergillus niger** or **Mucor** or **Rhizopus** species.
5. Process according to any of the preceding Claims, wherein the fatty reactants comprise a mixture of fatty acid glycerides and free fatty acid whereby triglycerides containing the free fatty acid are produced.
6. Process according to Claim 5, wherein the fatty acid comprises myristic, palmitic or stearic acid.
7. Process according to Claim 1, wherein the glyceride ester comprises a naturally-occurring glyceride oil or fat or derivative thereof.
8. Process according to Claim 7, wherein the fatty reactant comprises palm oil or shea oil or derivatives thereof.
9. Process according to any of the preceding Claims, in which water is added to the catalyst before use to activate the catalyst.
10. Process according to any of the preceding Claims, wherein the catalyst comprises an enzyme supported on inert particulate material.
11. Process according to any of the preceding Claims, in which water is dissolved in the organic liquid to maintain catalyst activity in an amount from 40 to 70% of saturation.

#### Patentansprüche

1. Kontinuierliches Umesterungsverfahren, bei dem eine wasserunlösliche organische Flüssigkeit mit einem Gehalt an Fettreaktionsteilnehmern, einschließlich an einem Fettsäureglyceridester, mit einem 1,3 spezifischen Lipase-Enzym als Umesterungskatalysator und mit einer der Aktivierung des Katalysators dienenden kleinen Wassermenge in Kontakt gebracht wird, wobei der Katalysator in einem Festbett gelagert ist, dadurch gekennzeichnet, daß der Katalysator bei Strömungsgeschwindigkeiten, in Kontakt gebracht wird, die eine mittlere Verweilzeit von 1 bis 30 min zur Bewirkung der Umesterung ergeben.
2. Verfahren nach Anspruch 1, bei dem der Ester ein Glycerid umfaßt.
3. Verfahren nach einem der vorhergehenden Ansprüche, bei dem die Reaktionsteilnehmer in einem inerten organischen mit Wasser nicht mischbaren Lösungsmittel gelöst sind.
4. Verfahren nach Anspruch 1, bei dem die Lipase **Aspergillus niger**- oder **Mucor**- oder **Rhizopus**-Spezien umfaßt.
5. Verfahren nach einem der vorhergehenden Ansprüche, bei dem die Fettreaktionsteilnehmer eine Mischung von Fettsäureglyceriden und freier Fettsäure sind, wodurch Triglyceride gebildet werden, die freie Fettsäure enthalten.
6. Verfahren nach Anspruch 5, bei dem die Fettsäure Myristin-, Palmitin- oder Stearinsäure umfaßt.
7. Verfahren nach Anspruch 1, bei dem der Glyceridester ein natürlich vorkommendes Glyceridöl oder -fett oder ein Derivat davon umfaßt.

8. Verfahren nach Anspruch 7, bei dem der Fettreaktionsteilnehmer Palmöl oder Sheaöl oder Derivate davon umfaßt.
9. Verfahren nach einem der vorhergehenden Ansprüche, bei dem vor der Verwendung Wasser zum Katalysator zugefügt wird, um diesen zu aktivieren.
10. Verfahren nach einem der vorhergehenden Ansprüche, bei dem der Katalysator ein Enzym umfaßt, das aus einem inerten feinteiligen Material abgeschieden ist.
11. Verfahren nach einem der vorhergehenden Ansprüche, bei dem zur Aufrechterhaltung der Katalysatoraktivität Wasser in der organischen Flüssigkeit in einer Menge von 40 bis 70 % der Sättigung gelöst ist.

## Revendications

1. Procédé continu d'interestérification, selon lequel on met en contact un liquide organique insoluble dans l'eau comprenant des réactifs gras notamment un ester de glycéryde d'acide gras avec une enzyme lipase à titre de catalyseur d'interestérification et une petite quantité d'eau pour activer le catalyseur, dans lequel on garnit le catalyseur en lit fixe, caractérise en ce qu'on met en contact le catalyseur à des débits assurant une durée moyenne de séjour de 1 à 30 minutes pour effectuer l'interestérification.
2. Procédé selon la revendication, dans lequel l'ester comprend un glycéride.
3. Procédé selon la revendication 1 ou 2, dans lequel on dissout les réactifs dans un solvant organique inerte non miscible avec l'eau.
4. Procédé selon la revendication 1, dans lequel la lipase comprend Aspergillus niger, Mucor ou Rhizopus.
5. Procédé selon l'une quelconque des revendications précédentes, dans lequel les réactifs gras comprennent un mélange de glycérides d'acides gras et un acide gras libre de sorte qu'on obtient des triglycérides contenant l'acide gras libre.
6. Procédé selon la revendication 5, dans lequel l'acide gras comprend l'acide myristique, palmitique ou stéarique.
7. Procédé selon la revendication 1, dans lequel l'ester de glycéride est une huile ou graisse de glycéride naturelle ou un dérivé de celles-ci.
8. Procédé selon la revendication 7, dans lequel le réactif gras comprend une huile de palme ou une huile de Galam ou des dérivés de celles-ci.
9. Procédé selon l'une quelconque des revendications précédentes, dans lequel on ajoute de l'eau au catalyseur avant l'emploi pour activer le catalyseur.
10. Procédé selon l'une quelconque des revendications précédentes, dans lequel le catalyseur comprend une enzyme sur un support particulaire inerte.
11. Procédé selon l'une quelconque des revendications précédentes, dans lequel on dissout de l'eau dans le liquide organique pour maintenir l'activité du catalyseur, en une quantité de 40 à 70% de la saturation.