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(54) Antitumor antibiotics.

(57) A novel antitumor antibiotic designated herein as BBM-2040 is produced by fermentation of Streptomyces sp. strain J576-99 (ATCC 39143). BBM-2040, which may be recovered from the fermentation broth in either a desmethanol (BBM-2040B) or methanol-adduct (BBM-2040A) form, inhibits gram-positive and acid-fast bacteria and inhibits the growth of tumors such as P388 leukemia in mice.

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BACKGROUND OF THE INVENTION

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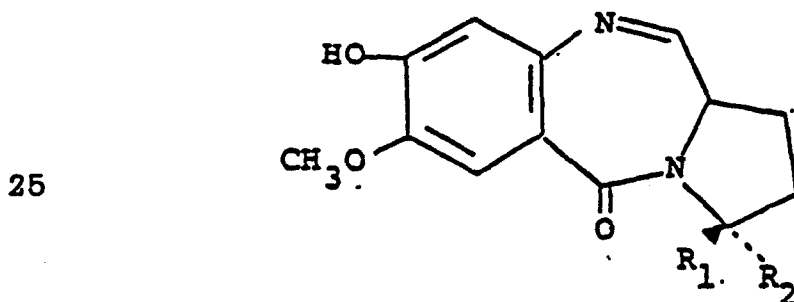
(1) Field of the Invention

This invention relates to novel pyrrolo[2,1-c]-  
[1,4]benzodiazepin-5-one compounds having antibacte-  
rial and antitumor activity and to their production  
10 by fermentation of a new microorganism.

(2) Description of the Prior Art

The antitumor antibiotics of the present invention  
are new members of the anthracycline-neothramycin  
15 group of antibiotics.

The antitumor antibiotics, neothramycin A and neo-  
thramycin B, are disclosed in J. Antibiotics 29 (1);  
93-96 (1976) and J. Antibiotics 30 (4): 340-343  
20 (1977) as having the structures



	$\frac{R_1}{H}$	$\frac{R_2}{OH}$
neothramycin A	H	OH
neothramycin B	OH	H

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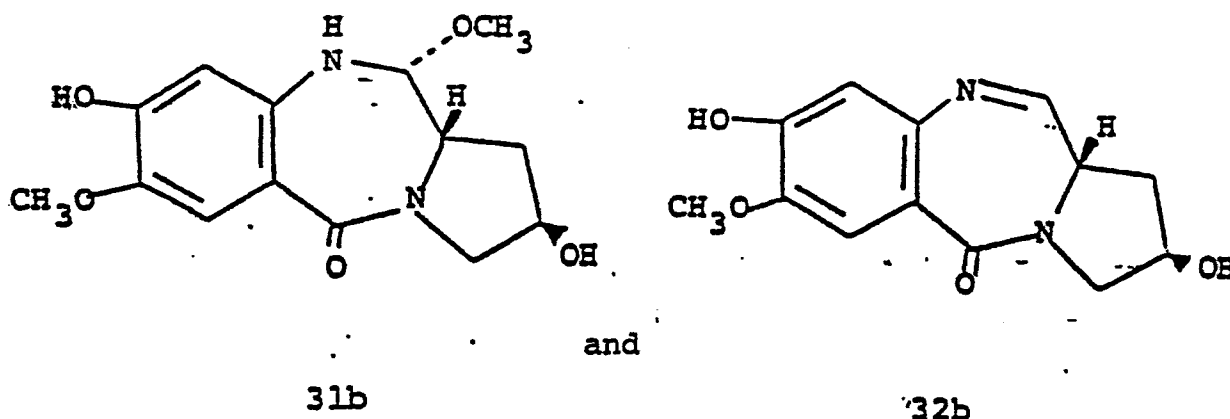
The antibiotic BBM-2040B of the present invention  
may be structurally differentiated from the neo-  
thramycins in the position of its hydroxyl group.  
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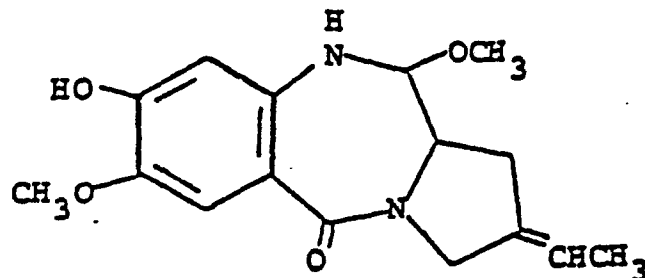
The diastereoisomers of BBM-2040A and B of the present invention have been disclosed in Symposium Papers of the 24th Symposium on the Chemistry of Natural Products (Osaka, Japan, October 13-16, 1981): Paper 72, pp. 552-559. Compounds 31b and 32b in this paper have the structures



and may be differentiated from BBM-2040A and B of the present invention in the configuration of the C-2 hydroxy group, i.e. BBM-2040A and B have the C-2 hydroxy group in the  $\alpha$ -configuration while the corresponding 31b and 32b diastereoisomers have the  $\beta$ -configuration at the C-2 hydroxy group. The present inventors have found that the  $\beta$ -hydroxy isomers described in the reference are essentially devoid of antitumor activity in the P388 mouse leukemia test while the  $\alpha$ -hydroxy isomers claimed in the present application have a marked activity against P388 mouse leukemia in this same screening test.

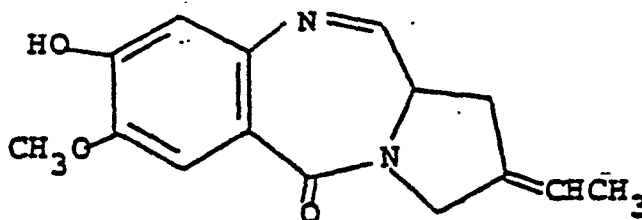
The antitumor antibiotic, tomaymycin, is disclosed in J. Antibiotics 25 (8): 437-444 (1972) and Chem. Pharm. Bull. 19 (11): 2289-2293 (1971) as being obtained by fermentation of *Streptomyces achromogenes* var. tomaymyceticus. Tomaymycin, which has the structure

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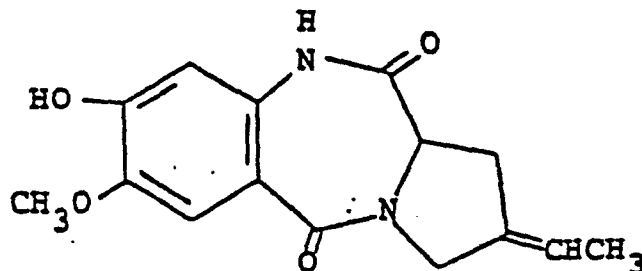
may be differentiated from BBM-2040A by the presence of the ethylidene group at the C-2 position.

The antitumor antibiotic, pretomaymycin, is disclosed in J. Antibiotics 25: 437 (1972) as having the structure



Pretomaymycin may be differentiated from BBM-2040B by the ethylidene group at the C-2 position.

The antitumor antibiotic, oxotomaymycin, having the formula



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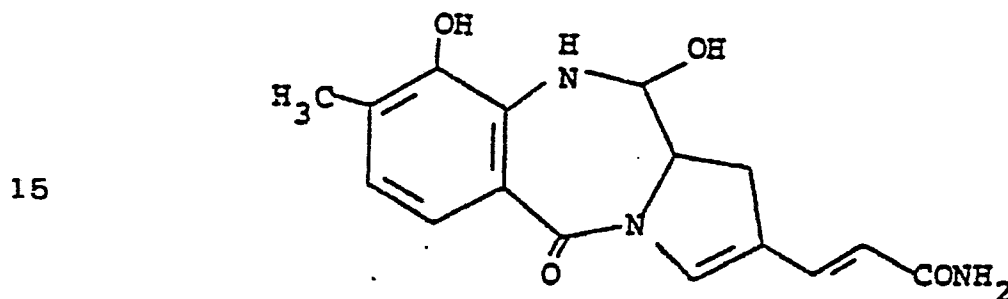
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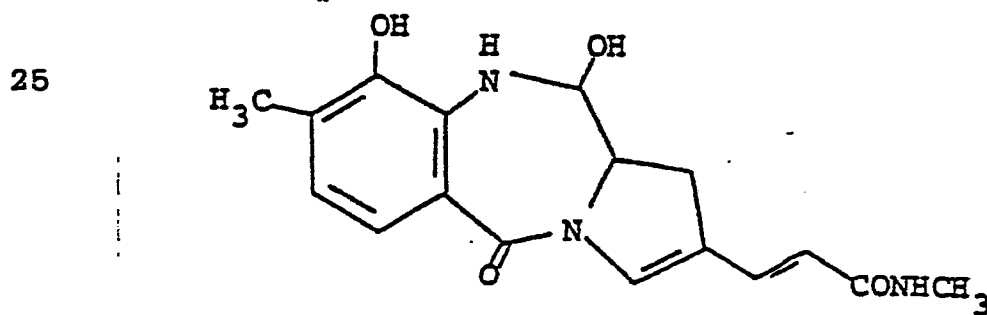
is disclosed in Chem. Pharm. Bull 19: 2289 (1971).

5 Oxotomaymycin differs from the BBM-2040 antibiotics in the presence of the 2-ethylidene group and the presence of the carbonyl group at C-11.

10 Among the members of the anthramycin group of antitumor antibiotics are anthramycin having the formula



20 which is disclosed in J. Am. Chem. Soc. 87: 5791 (1965), mazethramycin having the formula

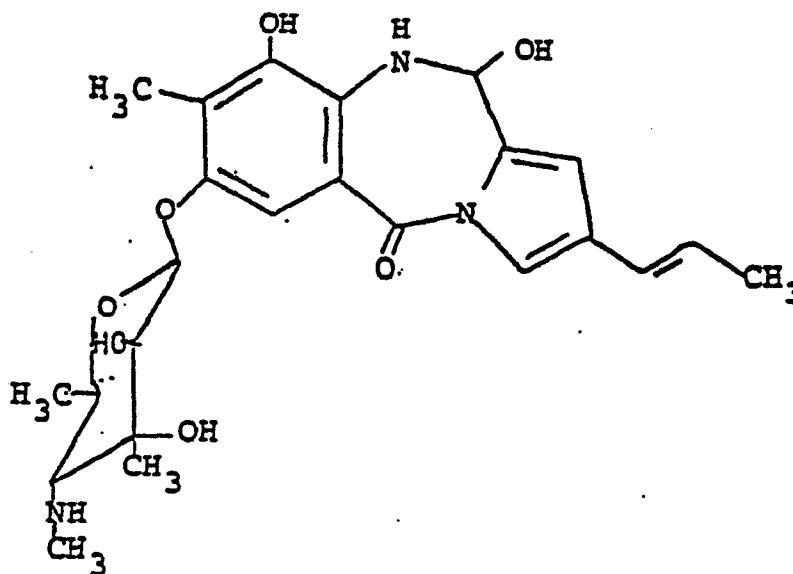


which is disclosed in J. Antibiotics 33(6): 665-667 (1980) and sibiromycin of the formula

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which is disclosed in J. Antibiotics 27(11): 866-873 (1974) and J. Antibiotics 25(11): 668-673 (1972).

20 An extensive comparison of anthramycin, tomaymycin and sibiromycin is found in J. Antibiotics 30 (5): 349-370 (1977).

25 SUMMARY OF THE INVENTION

There is provided by the present invention a new pyrrolobenzodiazepine antibiotic designated herein as BBM-2040, said antibiotic being prepared by cultivating  
30 a new strain of Streptomyces designated Streptomyces sp. strain J576-99 (ATCC 39143) in an aqueous nutrient medium containing assimilable sources of carbon and nitrogen under submerged aerobic conditions until a substantial amount of BBM-2040 is produced by said  
35 organism in said culture medium and then recovering the BBM-2040 antibiotic from the culture medium.

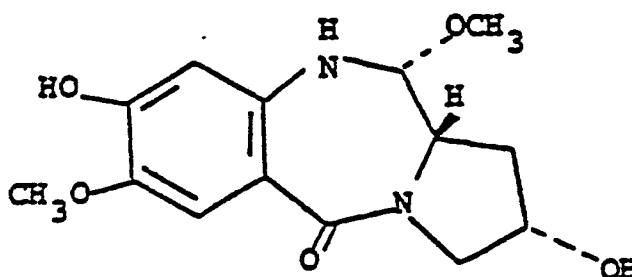
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The new BBM-2040 antibiotic of the present invention  
5 may be recovered from the fermentation broth either as a  
methanol-adduct form of the structure

10

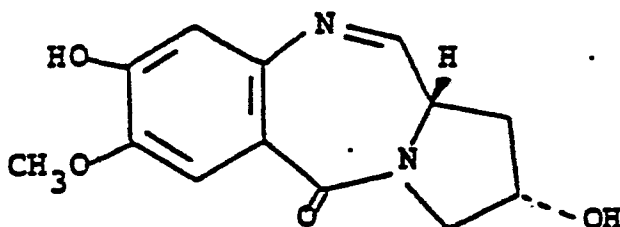


15

BBM-2040A

or as the originally formed desmethanol form of the  
structure

20



25

BBM-2040B

depending on the isolation procedure used. As used  
herein and in the claims, the term "BBM-2040" refers  
30 to the BBM-2040 antibiotic in either the methanol-adduct  
form or the desmethanol form.

The BBM-2040 antibiotics of the present invention  
inhibit the activity of various gram-positive and  
35 acid-fast bacteria. In addition they inhibit the growth

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of mammalian tumors such as P388 leukemia in mice.

- 5 The new antibiotics, therefore, may be used as anti-bacterial agents or as antitumor agents for inhibiting mammalian tumors.

10 DESCRIPTION OF THE DRAWINGS

FIG. 1 shows the infrared absorption spectrum of BBM-2040A (KBr pellet).

- 15 FIG. 2 shows the infrared absorption spectrum of BBM-2040B (KBr pellet).

FIG. 3 shows the PMR spectrum of BBM-2040A in pyridine-d<sub>5</sub> (60 MHz).

FIG. 4 shows the PMR spectrum of BBM-2040B in pyridine-d<sub>5</sub> (60 MHz).

- 20 FIG. 5 shows the ultraviolet absorption spectrum of BBM-2040A in acetonitrile, 0,1N HCl-acetonitrile (1:9 v/v) and 0,1N NaOH-acetonitrile (1:9 v/v).

- FIG 6 shows the ultraviolet absorption spectrum of BBM-2040B in acetonitrile, 0,1N HCl-acetonitrile (1:9 v/v) and 0,1N NaOH-acetonitrile (1:9 v/v).
- 25

DETAILED DESCRIPTION

- 30 This invention relates to novel antitumor antibiotics designated herein as BBM-2040A and BBM-2040B and to their preparation by fermentation of a new strain of Streptomyces designated Streptomyces sp. strain J576-99, The above-mentioned producing organism was
- 35 isolated from a soil sample collected in Puerto Chicama, Peru. A biologically pure culture of the



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organism has been deposited with the American Type Culture Collection, Washington, D.C., and added to its permanent collection of microorganisms as ATCC 39143.

#### THE MICROORGANISM

10 The actinomycete strain No. J576-99 was isolated from a soil sample and prepared by conventional procedures as a biologically pure culture for characterization. Strain J576-99 produces long, branched, aerial mycelium (0,5  $\mu$ m in width) which is not fragmented. Spore-chains are formed monopodially or at the hyphal tip of the aerial mycelium. Short, straight or hooked spore-chains containing 3 to 10 spores in a chain are produced on organic agar media such as Bennett's agar and oatmeal agar. Long, irregularly coiled, open-spiralled or flexuous spore-chains containing 10-40 spores in a chain are formed on chemically defined media such as Czapek's sucrose-nitrate agar. Tight coils or loops at the tip of the spore-chain are often observed as a compact globose body. After maturation, a bead-like intermittent spore arrangement is commonly observed. The spores are spherical, oval or elliptical in shape (0.6-1.0 x 0.6-1.5  $\mu$ m) and have a smooth surface. Sporangium, motile spore and scleroticum are not produced.

30

Strain J567-99 grows well on ISP media and other commonly used media. Aerial mycelia are formed abundantly on Czapek's sucrose-nitrate agar, inorganic salts-starch agar and Bennett's agar, but poorly on yeast extract-malt extract agar and oatmeal agar.

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The mass color of aerial mycelium is white to yellowish  
 5 white. Substrate mycelia are yellowish to light brown.  
 Melanoid and other diffusible pigment are not produced.  
 Temperature for moderate growth ranges from 20 °C to  
 47 °C. No growth is seen at 50 °C. It is highly tolerant  
 to sodium chloride and grows at NaCl concentration of  
 10 15 % or less. The cultural and physiological  
 characteristics of strain J 576-99 are shown in Tables  
 1 and 2, respectively. The pattern of carbohydrate  
 utilization by the strain is shown in Table 3.

15

# T A B L E 1

## Cultural characteristics of strain No. J576-99

20	Tryptone-yeast extract broth (ISP No.1)	G moderate growth and formation of floccose, pale yellow pellets
25	Sucrose-nitrate agar (Czapek's agar)	G abundant R yellowish white (92) to moderate yellowish brown (77) A abundant, white (263) to yellowish white (92) D none
30	Glucose-asparagine agar	G poor R yellowish white (92) A none D none

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Glycerol-asparagine

5 agar (ISP No. 5)

G moderate

R yellowish white (92) to  
grayish yellow (90)

A moderate, white (263) to  
yellowish white (92)

10

D none

Inorganic salts-starch  
agar (ISP No. 4)

G abundant

R pale yellow (89) to moderate  
olive brown (95)

15

A abundant, white (263) to  
yellowish white (92)

D none

Tyrosine agar  
(ISP No. 7)

G abundant

20

R yellow white (92) to moderate  
yellowish brown (77)

A moderate, white (263) to  
yellowish white (92)

D none

25 Nutrient agar

G poor to moderate

R yellowish white (92) to  
pale yellow (89)

A poor, white (263) to  
yellowish white (92)

30

D none

Yeast extract-malt  
extract agar (ISP No.2)

G abundant

R pale yellow (89) to dark  
orange yellow (72)

35

A poor to moderate, white (263)

D moderate yellowish brown (77)

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Table 1, continued

5	Oatmeal agar (ISP No. 3)	G	poor to moderate
		R	yellowish white (92)
		A	poor, white (263)
		D	none
10	Bennett's agar	G	abundant
		R	dark orange yellow (72) to moderate yellowish brown (77)
		A	abundant, white (263) to yellowish white (92)
		D	None
15	Peptone-yeast extract- iron agar (ISP No.6)	G	poor to moderate
		R	grayish yellow (90)
		A	poor, white (263) to yellowish white (92)
		D	light olive brown (94).
20	* observed after incubation at 28°C for 3 weeks		
	** Abbreviation: G = growth; R = reverse color;		
	A= aerial mycelium; D = diffusible pigment		
	*** Color and number in parenthesis follow the color		
	standard in "Kelly, K.L. & D.B. Judd: ISCC-NBS		
25	color name charts illustrated with Centroid Colors.		
	US Dept. of Comm. Cir. 553, Washington, D.C.,		
	Nov., 1975".		

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TABLE 2Physiological characteristics of strain No. J576-99

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	<u>Test</u>	<u>Response</u>	<u>Method and medium</u>
	Range of temperature for growth	Maximal growth at 28 °C to 43 °C.	Bennett's agar
10		Moderate growth at 20°C and 47 °C. No growth at 10 °C and 50 °C	
	Gelatine liquefaction	Liquefied	Glucose-peptone-gelatine medium
15	Starch hydrolysis	Hydrolyzed	Starch agar plate
	Reactions in skimmed milk	Not coagulated and not peptonized	Difco skimmed milk
20	Formation of melanoid pigment	Not produced	Tyrosine agar, petone-yeast-iron agar and tryptone-yeast extract broth
	Nitrate reduction	Negative	Czapek's glucose-nitrate broth and glucose-yeast extract broth
25	pH tolerance	Growth at pH 5.0 to 10. No growth at 4.5	Yeast extract-malt extract agar
30	NaCl tolerance	Highly tolerant Growth at 15 % or less	1 % yeast extract, 2% soluble starch, 1.5% agar
	Lysozyme tolerance	Highly tolerant Growth at 0.1, 0.01, 0.001 and 0.0001 %.	Trypticase soy broth plus 1.5 % agar
35			

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TABLE 3

5

Utilization of carbon sources by strain J576-99

	Glycerol	+
	D(-)-Arabinose	+
10	L(+)-Arabinose	+
	D-Xylose	+
	D-Ribose	+
	L-Rhamnose	+
	D-Glucose	+
15	D-Galactose	+
	D-Fructose	+
	D-Mannose	+
	L(-)-Sorbitol	-
	Sucrose	+
20	Lactose	+
	Cellobiose	+
	Melibiose	-
	Trehalose	+
	Raffinose	+
25	D(+)-Mannitol	-
	Soluble starch	+
	Cellulose	-
	Dulcitol	-
	Inositol	+
30	D-Mannitol	+
	D-Sorbitol	+
	Salicin	+

Basal medium: Pridham-Gottlieb's inorganic medium  
35 Observed after incubation at 28 °C for 3 weeks.

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Purified cell-wall of strain J576-99 contains LL-  
5 ~~diaminopimelic~~ acid and glycine, and the whole cell  
hydrolyzate contains ribose and mannose but lacks  
other diagnostic sugars. The chemical composition of  
strain J576-99 indicates that it belongs to the  
actinomycete of cell-wall Type I.

10

Although the spore and spore-chain morphology of strain  
J576-99 resembles that of non-streptomycetes genera  
such as Actinomadura, the cultural and physiological  
characteristics of strain J576-99 and its Type I  
15 cell-wall composition indicate that strain J576-99  
might be classified as belonging to the genus  
Streptomyces. According to the descriptions of  
Bergey's Manual (8th ed., 1974), strain J576-99  
should be placed in the species group, spirales, white  
20 series, non-chromogenic and smooth spore surface, which  
includes 17 species. Based on the ISP (International  
Streptomyces Project) species descriptions, strain  
J576-99 resembles *S. albus*, *S. almquisti*, *S. cacaoi* and  
*S. ragoon* in its predominant formation of short  
25 spore-chains, but differs in the carbon source  
utilization pattern. The carbohydrate utilization  
pattern of strain J576-99 is similar to that of  
*S. herbescens* and *S. ochraceiscleroticus*, but differences  
are seen in that *S. herbescens* has green-colored  
30 substrate mycelium and *S. ochraceiscleroticus*  
forms white, yellow, red or gray aerial mycelium and  
Chainia type sclerotium. Thus, strain J576-99 is  
considered to be a new species of the species group  
17.41f (Bergey's Manual, 8th ed.).

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It is to be understood that for the production of the  
5 BBM-2040 antibiotics, the present invention, though  
described in detail with reference to the particular  
strain *Streptomyces* sp. strain J576-99 (ATCC 39143),  
is not limited to this microorganism or to micro-  
organisms fully described by the cultural characteristics  
10 disclosed herein. It is specifically intended that the  
invention embraces strain J576-99 and all natural and  
artificial BBM-2040-producing variants and mutants  
thereof.

15

#### Antibiotic Production

The BBM-2040 antibiotics of the present invention may  
be prepared by cultivating a BBM-2040-producing strain  
20 of the genus *Streptomyces*, preferably a strain of  
*Streptomyces* sp. having the identifying characteristics  
of ATCC 39143 or a variant or mutant thereof, in a  
conventional aqueous nutrient medium containing known  
nutritional sources for actinomycetes, i.e. assimilable  
25 sources of carbon and nitrogen plus optional inorganic  
salts and other known growth factors. Submerged aerobic  
conditions are preferably employed for the production  
of large quantities of antibiotic, although for pro-  
duction of limited amounts, surface cultures and bottles  
30 may also be used. The general procedures used for the  
cultivation of other actinomycetes are applicable to  
the present invention.

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The nutrient medium should contain an appropriate  
5 assimilable carbon source such as glycerol, arabinose,  
xylose, ribose, glucose, fructose, sucrose, lactose,  
soluble starch, mannitol or sorbitol. As nitrogen sources,  
ammonium chloride, ammonium sulfate, urea, ammonium nitrate,  
sodium nitrate, etc. may be used either alone or in  
10 combination with organic nitrogen sources such as  
peptone, meat extract, yeast extract, corn steep liquor,  
soybean powder, cotton seed flour, etc. There may also  
be added if necessary nutrient inorganic salts to  
provide sources of sodium, potassium, calcium, ammonium,  
15 phosphate, sulfate, chloride, bromide, carbonate, zinc,  
magnesium, manganese, cobalt, iron, and the like.

Production of the BBM-2040 antibiotics can be effected  
at any temperature conducive to satisfactory growth of  
20 the producing organism, i.e. ~20-47°C, and is con-  
veniently carried out at a temperature of around  
27-32 °C. Ordinarily, optimum production is obtained  
in shaker flasks after incubation periods of about  
five days. When tank fermentation is to be carried out,  
25 it is desirable to produce a vegetative inoculum in a  
nutrient broth by inoculating the broth culture with  
a slant or soil culture or a lyophilized culture of  
the organism. After obtaining an active inoculum in  
this manner, it is transferred aseptically to the  
30 fermentation tank medium. Antibiotic production may  
be monitored by the paper disc-agar diffusion assay  
using *Bacillus subtilis* M45 (Rec<sup>-</sup> mutant; Mutation Res.  
16: 165-174 (1972)) as the test organism.

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ISOLATION AND PURIFICATION

5 The BBM-2040 antibiotic of the present invention may be obtained from the fermentation broth in two different forms, A and B, according to the procedures used for the extraction and purification of the antibiotic. Structural studies have revealed that BBM-2040A is a  
10 methanol adduct form of BBM-2040B. Therefore, the antibiotic may be recovered in the des-methanol form (BBM-2040B) by avoiding use of the methanol in the extraction and chromatographic purification procedure, while the methanol adduct form (BBM-2040A) is obtained  
15 by following the same general extraction and purification procedure, but using methanol as an extraction solvent and eluant.

## Isolation of BBM-2040A: Illustrative Procedure

20

When fermentation is complete, the harvested broth is separated into mycelial cake and broth supernatant, for example, by using filtration or centrifugation. The mycelial cake is stirred with methanol and the  
25 methanol extract then concentrated to an aqueous solution. The broth supernate is subjected to chromatographic separation, for example, by applying it to a column of a nonionic, macroreticula polymer resin such as DIAION HP-20 (Trademark of Mitsubishi  
30 Chemical Industries, Japan) and developing with a suitable methanol-containing solvent system (e.g. n-butanol:methanol:water (2:1:1 v/v)) to elute the antibiotic activity. The active eluate may then be concentrated to an aqueous concentrate and combined  
35 with the aqueous concentrate derived from the mycelial extract. The mixture of concentrates is then washed

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with a solvent in which the BBM-2040 antibiotics is  
5 substantially insoluble (e.g. ethyl acetate) and  
extracted with a suitable solvent, e.g. n-butanol.  
The solvent extract may then be concentrated to  
provide crude BBM-2040 as a brownish solid. The  
10 impure product may be purified by dissolving in  
methanol and subjecting the methanolic solution to  
silica gel column chromatography using, for example,  
a mixture of ethyl acetate-methanol (9/1 v/v) as  
the eluant. Elution of the purified BBM-2040A may  
be monitored by bioassay and by UV absorption at 254 nm.  
15 Active fractions may be combined, concentrated in vacuo  
and lyophilized to provide substantially pure BBM-2040A.  
Further purification can be accomplished by repeating the  
chromatographic purification procedure and/or by  
crystallization from a suitable solvent such as  
20 methanol.

#### Isolation of BBM-2040B: Illustrative Procedure

When fermentation is complete, the harvested broth is  
25 separated into mycelial cake and broth supernatant, for  
example by using filtration or centrifugation. The  
mycelial cake is stirred with aqueous acetone, and  
insoluble materials are removed by filtration. The  
filtrate is then concentrated to an aqueous solution which  
30 is combined with the broth supernate and subjected  
to chromatographic separation, for example by passing  
the filtrate through a column of a nonionic, macro-  
reticular polymer resin such as DIAION HP-20 and  
developing with a non-methanolic solvent such as  
35 aqueous acetone. The active eluate is then concentrated  
to an aqueous solution and extracted with a suitable

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non-methanolic solvent such as n-butanol. The solvent  
5 extract may be concentrated to a crude solid of  
BBM-2040B. The crude BBM-2040B may be purified by  
dissolving in a suitable non-methanolic solvent such  
as aqueous acetonitrile and subjecting such BBM-2040B  
10 solution to silica gel column chromatography using  
a non-methanolic eluant such as aqueous acetonitrile.  
Elution of the purified BBM-2040B may be monitored  
by bioassay and thin layer chromatography ( $\text{SiO}_2$ ; ethyl  
acetate:methanol (4/1 v/v)). Active fractions may be  
combined, concentrated and lyophilized to provide  
15 substantially pure BBM-2040B. Since BBM-2040B is  
relatively unstable in solutions, the above-described  
chromatographic purification procedure is preferably  
carried out at temperatures below room temperature,  
for example at about 5 °C.

20

#### Physico-chemical Properties

BBM-2040A and BBM-2040B are readily soluble in methanol,  
25 ethanol, n-butanol and pyridine, slightly soluble in  
ethyl acetate, acetone and water and practically  
insoluble in benzene, chloroform and n-hexane. Both  
forms of the antibiotic give positive reactions with  
ferric chloride, Rydon-Smith and ninhydrin (weak  
30 brownishpink) reagents, but are negative to Sakaguchi,  
Ehrlich and anthrone reactions. Molecular formulae  
of  $\text{C}_{14}\text{H}_{18}\text{N}_2\text{O}_5$  and  $\text{C}_{13}\text{H}_{14}\text{N}_2\text{O}_4$  were assigned to  
BBM-2040A and B, respectively, based on the  $^{13}\text{C}$ -NMR  
and mass spectral data and microanalysis. Physico-  
35 chemical properties of BBM-2040A and B are summarized  
in Tables 4, 5 and 6. The IR spectra of BBM-2040A and B  
(in KBr pellet) are shown in Fig.'s 1 and 2.

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TABLE 4

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Physico-chemical properties of BBM-2040A and B

	BBM-2040A	BBM-2040B
Nature	Colorless needles	White amorphous powder
M.p.	161-163°C (dec.)	134-136 °C (dec.)
10 $[\alpha]_D^{26}$ (c 0,11; pyridine)	+350°	+552°
Molecular formula	$C_{14}H_{18}N_2O_5$	$C_{13}H_{14}N_2O_4$
Microanalysis	<u>calc'd</u> <u>found</u>	<u>calc'd</u> <u>found</u>
15 C %	57.13    56.85	59.54
H %	6,16    6,16	5,38
N %	9.52    9.33	10.68
Mass spectrum m/z	294(M <sup>+</sup> ), 262, 242, 262(M <sup>+</sup> ), 242, 219, 178, 150, 122, 86, etc.	,150, 122, 86, etc.
20		

UV spectrum:  $\lambda_{max}$  in nm ( $\epsilon$ )

	in CH <sub>3</sub> CN	in N/10HCl·90%CH <sub>3</sub> CN	in N/10NaOH·90%CH <sub>3</sub> CN
25			
BBM-2040A	223 (23,800)	221 (19,200)	230 (18,000)
	233 <sup>sh</sup> (21,600)	260 <sup>sh</sup> ( 7,900)	254 <sup>sh</sup> (15,100)
	256 <sup>sh</sup> ( 6,800)	290 <sup>sh</sup> ( 2,800)	287 (14,000)
	323 ( 3,900)	320 ( 1,200)	317 (10,100)
30			
BBM-2040B	225 (19,400)	222 (16,600)	234 (17,900)
	234 <sup>sh</sup> (17,800)	260 <sup>sh</sup> ( 7,100)	253 (17,300)
	258 <sup>sh</sup> ( 7,400)	290 <sup>sh</sup> ( 2,900)	288 (12,600)
	312 ( 2,900)	323 ( 1,900)	318 (11,300)

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Table 5

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PMR ( 360 MHz) of BBM-2040A (in pyridine-d<sub>5</sub>)

	Chemical	Proton	Coupling	Assignment
	shift $\delta$ (ppm)		multiplicity (J:Hz)	
10				
	2.39	1H	m	H <sub>1A</sub>
	2.57	1H	m	H <sub>1B</sub>
	3.30	3H	s	C <sub>11</sub> -OCH <sub>3</sub>
	3.75	3H	s	C <sub>7</sub> -OCH <sub>3</sub>
15	4.08	1H	t (8.1)	H <sub>11a</sub>
	4.14	1H	dd (12.0 & 5.8)	H <sub>3A</sub>
	4.48	1H	dd (12.0 & 6.0)	H <sub>3B</sub>
	4.53	1H	m	H <sub>2</sub>
	4.77	1H	d (J=6.4)	H <sub>11</sub>
20	6.34	1H	d (J=7.4)	C <sub>2</sub> -OH
	6.88	1H	s	H <sub>9</sub>
	7.94	1H	d (J=6.4)	N <sub>10</sub> -H
	8.17	1H	s	H <sub>6</sub>
	11.68	1H	s	C <sub>8</sub> -OH
25				
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35				

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Table 6

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<sup>13</sup>C-NMR of BBM-2040A (in pyridine-d<sub>5</sub>)

	Carbon	Chemical shift ( $\delta$ : ppm)	Multiplicity on off-resonance
	1	25.0	t
10	2	43.4	d
	3	41.9	t
	5	151.7	s
	5a	126.8*	s
	6	90.0	d
15	7	137.5	s
	8	150.1	s
	9	101.6	d
	9a	125.4*	s
	11	73.4	d
20	11a	53.3	d
	7-OCH <sub>3</sub>	41.4**	q
	11-OCH <sub>3</sub>	38.9**	q

\*, \*\*: Assignments may be interchangeable.

25

The PMR spectrum of BBM-2040A (Fig. 3, 60 MHz, pyridine-d<sub>5</sub>) involves two OCH<sub>3</sub> groups ( $\delta$ :3.30 and 3.75 ppm), one high-field methylene group ( $\delta$ :2.1 ppm), five protons at around  $\delta$ :3.9-4.8 ppm and two aromatic protons ( $\delta$ :6.82 and 8.10 ppm) along with one NH ( $\delta$ :7.84 ppm) and two OH ( $\delta$ : 6.2 and 11.50 ppm) signals. The PMR spectrum of BBM-2040B lacks the signals of higher-field OCH<sub>3</sub> and NH protons observed with BBM-2040A, while a double bond proton ( $\delta$ :8.24 ppm) is present in the spectrum of

BBM-2040B. The physico-chemical properties of BBM-2040A and B described above are similar to those of neothramycin

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and tomaymycin, the 1,4-benzodiazepine group of  
5 antibiotics. However, the antibiotics are readily  
distinguished by their TLC behaviour. (Table 7) and  
PMR spectra. BBM-2040A and B cannot be differentiated  
by the three TLC systems examined.

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Table 7  
TLC of BBM-2040 A and B and related antibiotics

<u>Solvent system</u>	<u>BBM-2040A</u>	<u>BBM-2040B</u>	<u>Neothramycin</u>	<u>Tomaymycin</u>
Ethyl acetate-methanol (4:1)	0,29	0,29	0.48 & 0.40	0.51
Chloroform-methanol (5:1)	0.24	0.24	0.42 & 0.32	0.52
Ethyl acetate-acetonitril. (1:1)	0.02	0.02	0.14 & 0.08	0.18

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Structures of BBM-2040 A and B

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The UV absorption spectra of BBM-2040A and B resemble those of neothramycin and tomaymycin, suggesting they are similar in the chromophore structure. The mass spectrum of BBM-2040A showed a base peak at  $m/z$  262 ( $M^+ - CH_3OH$ ) which was the same as the molecular ion of BBM-2040B and neothramycin. Common ion peaks ( $m/z$  242, 219, 178, 150, 122, 86, etc.) were observed in the mass spectra of BBM-2040A, BBM-2040B and neothramycin, indicating that the structures of

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BBM-2040A and B are closely related to neothramycin. The spectral data and physico-chemical properties of BBM-2040A and B indicated that BBM-2040B should be the desmethanol form of BBM-2040A. This was proved by the fact that BBM-2040B was prepared from BBM-2040A in a good yield when BBM-2040A was treated with pyridine at room temperature. The following structural studies were performed mostly on BBM-2040A.

On acetylation in pyridine, BBM-2040A afforded di-O-acetyl-desmethanol derivative (II,  $M^+$ ;  $m/z$  346), which was consistent with the PMR data indicating the presence of two acylable hydroxyl groups in BBM-2040A. The same acetylation product was obtained by acetylation of BBM-2040B. II was treated with *m*-chloroperbenzoic acid at  $-20^\circ C$  to give an oxo-compound III ( $M^+$ ;  $m/z$  362). Acid hydrolysis of III with 6N HCl at  $105^\circ C$  for 20 hours afforded 4-hydroxy-5-methoxy-anthranilic acid (IV) and *cis*-4-hydroxy-L-proline (V).

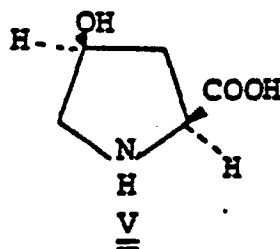
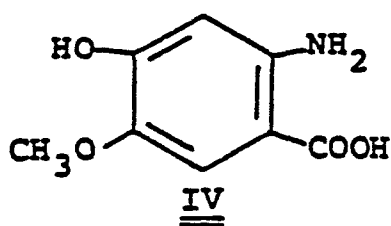
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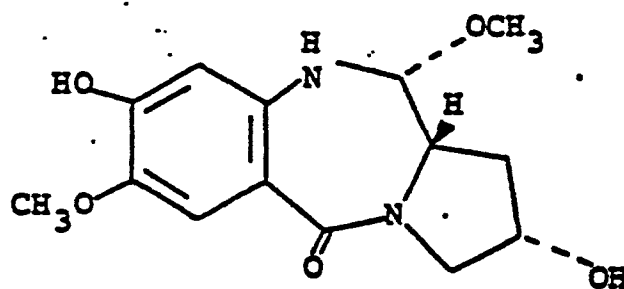
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Based on the above information along with the first  
 10 order analysis of 360 MHz spectrum of BBM-2040A  
 (Table 5), the structure of BBM-2040A was determined  
 to be as shown below.

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BBM-2040A

25 In the PMR spectrum of BBM-2040A, the signals  
 assignable to two aromatic protons, one NH, one  
 phenolic hydroxy and two OCH<sub>3</sub> groups are very similar to  
 the corresponding signals of tomaymycin determined  
 under the same condition. The proton on the carbinol-  
 30 amine carbon (H<sub>11</sub>) resonated as a doublet which collapsed  
 into a singlet upon irradiation at  $\delta$ : 7.94 ppm (NH).  
 The lack of coupling between H<sub>11</sub> and H<sub>11a</sub> observed for  
 BBM-2040A has also been reported for the anthramycin-  
 tomaymycin group of antibiotics having "R"-configuration  
 35 at C<sub>11</sub> and "S" at C<sub>11a</sub>. Thus, the 1,4-benzodiazepine

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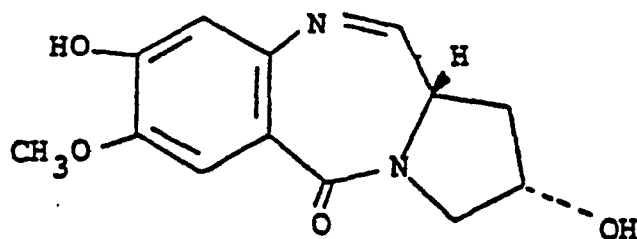
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part of BBM-2040A should be identical with that of  
5 tomaymycin. The alcoholic hydroxyl proton of BBM-2040A was  
observed at  $\delta$ : 6.34 ppm as a doublet. Decoupling  
experiment revealed that the proton was coupled with  
a methine proton at  $\delta$ : 4.53 ppm ( $H_2$ ), which in turn  
was coupled with high-field methylene protons  $H_{1A}$  and  
10  $H_{1B}$  ( $\delta$ : 2.39 and 2.57), and also with a proton at  
 $\delta$ : 4.14 ppm ( $H_{3A}$ ). Irradiation of either of the  
non-equivalent methylene protons converted a triplet-  
proton at  $\delta$ : 4.08 ( $H_{11a}$ ) into a doublet and cause a  
significant change of the splitting pattern of  $H_2$   
15 proton. These PMR data are consistent with the assignment  
that the secondary hydroxyl group of BBM-2040A is  
located at C-2 of the pyrrolidine ring.

The CMR spectrum of BBM-2040A demonstrated the presence  
20 of 14 carbons (Table 6), whose assignments were made on  
the basis of off-resonance decoupling experiment and  
in comparison with the literature data of neothramycin.

Thus the structure of BBM-2040A was determined to be  
25 (2S, 11aS)-5,10,11,11a-tetrahydro-2,8-dihydroxy-7,11-  
dimethoxy-5-oxo-1H-pyrrolo(2,1-c) (1,4)benzodiazepine  
and that of BBM-2040B to be its desmethanol form as  
shown below.



BBM-2040B

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## 5 Biological Properties of BBM-2040A and B

The minimum inhibitory concentration (MIC) of BBM-2040 was determined for a variety of gram-positive, gram-negative, and acid-fast bacteria by the serial two-fold  
10 agar dilution method. Nutrient agar medium was used for gram-positive and gram-negative organisms and No. 1001 medium (3% glycerol, 0.3% sodium L-glutamate, 0.2% peptone, 0.31%  $\text{Na}_2\text{HPO}_4$ , 0.1%  $\text{KH}_2\text{PO}_4$ , 0.005% ammonium citrate, 0.001%  $\text{MgSO}_4$  and 1.5% agar) for  
15 acid-fast organisms. As shown in Table 8, BBM-2040 A and B showed weak antibacterial activity against *Streptococcus pyogenes*, *Micrococcus luteus*, *Micrococcus flavus* and *Mycobacterium* strains. The antibacterial spectrum of BBM-2040 is similar to that of neothramycin. BBM-2040  
20 does not induce prophage in lysogenic bacteria up to a concentration of 100 mcg/ml.

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TABLE 8  
Antibacterial activity of BBM-2040 A and B

Test organisms	MIC (mcg/ml)	
	BBM-2040 A	BBM-2040 B Neothramycin
<u>Staphylococcus aureus</u> FDA 209P	>100	>100
<u>Staphylococcus aureus</u> Smith	>100	>100
<u>Streptococcus pyogenes</u> A20201	50	50
<u>Streptococcus pyogenes</u> PCI 1001	50	100
<u>Micrococcus flavus</u> D12	50	100
<u>Bacillus subtilis</u> PCI 219	>100	>100
<u>Mycobacterium smegmatis</u> 607	100	>100
<u>Mycobacterium phlei</u> D88	100	>100
<u>Escherichia coli</u> NIHJ	>100	50
<u>Escherichia coli</u> Juhl	>100	>100
<u>Klebsiella pneumoniae</u> D-11	>100	100
<u>Proteus vulgaris</u> A9436	>100	100
<u>Pseudomonas aeruginosa</u> A9930	>100	>100

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5 The antitumor activity of BBM-2040A and B was determined  
 in mice (BDF<sub>1</sub> strain) against lymphocytic leukemia  
 P388. Each mouse was inoculated intraperitoneally  
 with 10<sup>6</sup> cells of tumor. Graded doses of test compounds  
 were administered to mice intraperitoneally 24 hours  
 after the tumor implantation. The treatments were  
 10 given once daily for 9 days (qd 1+9 schedule).  
 Neothramycin was comparatively tested as a reference  
 compound. The results are shown in Table 9. BBM-2040A  
 and neothramycin were similarly active in this  
 experiment, while BBM-2040B was somewhat less active  
 15 than BBM-2040A.

The acute toxicity of BBM-2040A and B was determined  
 in mice (ddY strain) by single intraperitoneal ad-  
 ministration, the LD<sub>50</sub> being 34 mg/kg and 57 mg/kg  
 20 respectively. The intraperitoneal LD<sub>50</sub> of neothra-  
 mycin has been reported to be 20-30 mg/kg.

TABLE 9  
Antitumor activity against leukemia P388

25

	T/C (%) in MST*					
	Dose in mg/kg/day (ip)					
	10	3	1	0.3	0.1	0.03
BBM-2040 A	(152**)	(152)	(128)	104	96	
BBM-2040 B	(128)	(128)	112	96	104	
30 Neothramycin	-	(152)	(136)	112	104	96

\* ratio of median survival time of test and control animals

\*\* circle indicates significant antitumor effect

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Antitumor activity of BBM-2040A was also demonstrated  
5 by a second experiment in which BBM-2040A was tested  
against P388 leukemia comparatively with neothramycin  
and the 2 $\beta$ -hydroxy epimer of BBM-2040A. In this  
experiment lymphatic leukemia P388 was implanted  
10 intraperitoneally into male BDF<sub>1</sub> mice at an inoculum  
size of 10<sup>6</sup> cells per mouse. Test compounds were dis-  
solved in 0.9% saline containing 10% dimethylsulfoxide.  
Graded doses of test compounds were administered to mice  
intraperitoneally 24 hours after the tumor implantation,  
and the treatment was continued once daily for 9 days.  
15 Results of the experiment are shown below in Table 10.  
BBM-2040A and neothramycin were similarly active, while  
the 2 $\beta$ -hydroxy epimer of BBM-2040A was inactive at  
1 mg/kg/day, the highest dose tested.

20 Legend for Table 10 below:

Tumor inoculum: 10<sup>6</sup> ascites cells implanted i.p.

Host : ♂ BDF<sub>1</sub> mice

25 Treatment: QD 1 → 9, i.p.

Evaluation : MST = median survival time

Effect : % T/C = (MST treated/MST control) x 100

Criteria : % T/C  $\geq$  125 considered as significant  
30 antitumor activity

35



TABLE 10  
Antitumor Activity against leukemia P388

Material	Dose (mg/kg/day)	MST Days	Effect MST % T/C	Average weight change (g)	Survivors	
					Day 5	Day 22
2 $\beta$ -Hydroxy epimer of BBM-2040 A	1	12.0	100	+1.0	6/6	0/6
	0.3	11.0	92	+1.8	6/6	0/6
	0.1	11.0	92	+1.3	6/6	0/6
	0.03	10.5	88	+1.0	6/6	0/6
BBM-2040A	10	19.5	163	-2.2	6/6	1/6
	3	17.0	142	+0.5	6/6	0/6
	1	16.0	133	+1.0	6/6	0/6
	0.3	14.0	117	+1.3	6/6	0/6
	0.1	12.0	100	+1.5	6/6	0/6
Neothramycin	3	17.5	146	0.0	6/6	0/6
	1	17.0	142	+0.5	6/6	0/6
	0.3	14.5	121	+0.8	6/6	0/6
	0.1	13.0	108	+1.0	6/6	0/6
Control	Saline	12.0	-	+1.3	12/12	0/12

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5 As shown above BBM-2040 A and B possess antibacterial activity against various gram-positive and acid-fast bacteria and are thus useful in the therapeutic treatment of mammals and other animals for infectious diseases caused by such bacteria. Additionally, they may be utilized for other conventional applications  
10 of antibacterial agents such as disinfecting medical and dental equipment.

The marked antitumor activity shown against P388 leukemia in mice indicate that BBM-2040A and B are  
15 also therapeutically useful in inhibiting the growth of mammalian tumors.

The present invention, therefore, provided a method for therapeutically treating an animal host affected  
20 by a bacterial infection or by a malignant tumor which comprises administering to said host an effective antibacterial or tumor-inhibiting dose of BBM-2040A or B or a pharmaceutical composition thereof.

25 In another aspect the present invention provides a pharmaceutical composition which comprises an effective anti-bacterial or tumor-inhibiting amount of BBM-2040 A or B, or a mixture thereof, in combination with an inert pharmaceutically acceptable carrier or diluent. These  
30 compositions may be made up in any pharmaceutical form appropriate for parenteral administration.

Preparations according to the invention for parenteral administration include sterile aqueous or non-aqueous  
35 solutions, suspensions or emulsions. They may also be

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manufactured in the form of sterile solid compositions which  
5 can be dissolved in sterile water, physiological saline or  
some other sterile injectable medium immediately  
before use.

It will be appreciated that the actual preferred amounts  
10 of the BBM-2040 antibiotic used will vary according  
to the particular composition formulated, the mode of  
application and the particular situs, host and disease  
being treated. Many factors that modify the action of  
the drug will be taken into account by those skilled  
15 in the art, for example, age, body weight, sex, diet,  
time of administration, route of administration, rate  
of excretion, condition of the host, drug combinations,  
reaction sensitivities and severity of the disease.  
Administration can be carried out continuously or  
20 periodically within the maximum tolerated dose. Optimal  
application rates for a given set of conditions can  
be ascertained by those skilled in the art using  
conventional dosage administration tests in view of the  
above guidelines.

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The following examples are provided for illustrative  
purposes only and are not intended to limit the scope  
of the invention.

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5 Example 1Fermentation of BBM-2040

A well grown agar slant of *Streptomyces* sp. strain  
10 No. J576-99 was used to inoculate seed medium containing  
3.0 % soybean meal, 2.0% corn starch, 1.0%  $\text{CaCO}_3$  and  
0.33 %  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ , the pH being adjusted to 7.0 before  
sterilization. The seed culture was incubated at 28 °C  
for 3 days on a rotary shaker (250 rpm) and 4 ml of  
15 the growth was transferred into a 500-ml Erlenmeyer  
flask which contained 100 ml of fermentation medium  
having the same composition as the seed medium.  
Fermentation was carried out on a rotary shaker at  
28 °C and the antibiotic activity in the fermentation  
20 broth was determined by paper disc agar-diffusion  
assay using *Bacillus subtilis* M45 (a  $\text{Rec}^-$  mutant) as  
a test organism. The pH of the broth gradually rose  
with the progress of fermentation and reached 8.0 - 8.2  
after 120 hours when a peak antibiotic potency of  
25 50 mcg/ml was obtained.

Example 2Isolation of BBM-2040 A

30

The harvested broth (20 liters) prepared according to  
Example 1 was separated into mycelial cake and broth  
supernate by using a continuous centrifuge (Kokusan H-600).  
The mycelial cake was stirred with 3 liters of methanol  
35 for 30 minutes and the methanolic extract was con-  
centrated to an aqueous solution (400 ml). The broth

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supernate was applied to a column of DIAION HP-20  
5 (2 liters) and, after being washed with water (3 liters),  
the column was developed with a mixture of n-butanol-  
methanol-water (2:1:1 v/v) to elute the antibiotic  
activity. The active eluate was evaporated in vacuo  
to an aqueous concentrate (400 ml) and combined with  
10 the aqueous concentrate derived from the mycelial ex-  
tract. The mixture was washed with two 800-ml portions  
of ethyl acetate and extracted with two 800-ml portions  
of n-butanol. The butanol extracts were combined and  
concentrated in vacuo to afford crude BBM-2040 as  
15 a brownish solid (6.6 grams). This solid was dissolved  
in a small amount of methanol and charged on a column  
of silica gel (Wakogel C-200, 100 grams) which was  
developed with a mixture of ethyl acetate-methanol  
(9:1 in volume). The elution was monitored by bioassay  
20 (B. subtilis M45) and by UV absorption at 254 nm.  
The chromatographic process was carried out in a cold  
room at 5 °C. The active eluates were combined,  
concentrated in vacuo and lyophilized. Amorphous white  
solid thus obtained was crystallized from methanol to  
25 give a pure preparation of BBM-2040 A as colorless  
needles (1.10 grams).

### Example 3

#### 30 Isolation of BBM-2040 B

The fermentation broth (50 liters) prepared according  
to Example 1 was centrifuged by a continuous centrifuge  
apparatus. The mycelial cake collected was homogenized  
35 with 80 % aqueous acetone (14 liters) for 30 minutes  
and insoluble materials were removed by filtration.

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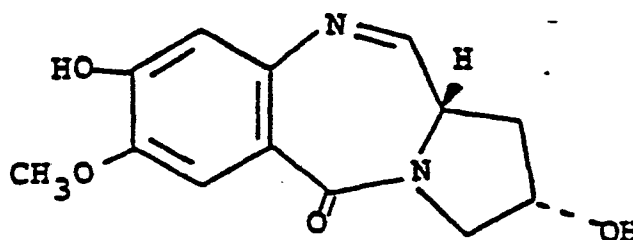
The filtrate was concentrated in vacuo to an aqueous  
5 solution which was combined with the broth supernate and  
passed through a column of DIAION HP-20 (2,5 liters).  
The column was washed with water (8 liters) and the  
activity eluted with 80 % aqueous acetone. The combined  
active eluates (8 liters) were concentrated in vacuo  
10 to an aqueous solution (2 liters), which was washed  
with two 2-liter portions of ethyl acetate and then  
extracted with two 2-liter portions of n-butanol.  
The n-butanol extracts were combined and evaporated  
in vacuo to give crude solid of BBM-2040B (23.9 grams).  
15 Since BBM-2040B is relatively unstable in solutions,  
the chromatographic process described below was operated  
in a cold room (5 °C). The crude solid of BBM-2040B  
(23 grams) was dissolved in a small volume of aqueous  
acetonitrile and applied to a column of silica gel  
20 (200 g). The column was developed with 95% aqueous  
acetonitrile and the elution monitored by bioassay  
(*B. subtilis* M45) and TLC ( $\text{SiO}_2$ ; EtOAc-MeOH = 4:1 v/v).  
Appropriate fractions were collected and concentrated in  
vacuo below 35 °C and lyophilized to afford a pure  
25 sample of BBM-2040B as an amorphous white powder  
(3.30 grams).

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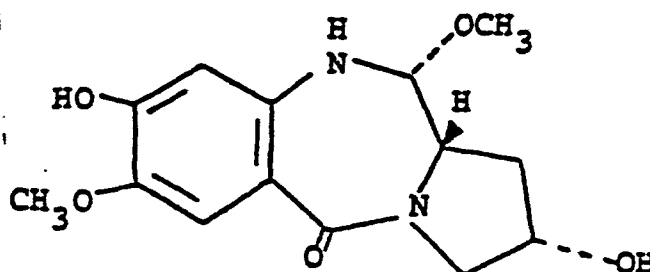
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C l a i m s

1. The antibiotic BBM-2040B having the formula



and its methanol adduct BBM-2040A having the formula



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5 2. The process for the production of the antibiotics  
of claim 1, which comprises cultivating a  
BBM-2040-producing strain of Streptomyces sp. in  
an aqueous nutrient medium containing assimilable  
sources of carbon and nitrogen under submerged  
10 aerobic conditions until a substantial amount  
of BBM-2040 is produced by said organism in  
said culture medium and then recovering the BBM-  
2040 antibiotic from the culture medium in its  
desmethanol form or methanol adduct form.

15 3. The process according to claim 2 wherein the  
BBM-2040-producing organism is Streptomyces sp.  
strain J576-99 (ATCC 39143) or a BBM-2040-pro-  
ducing mutant or variant thereof.

20 4. A biologically pure culture of the microorganism  
Streptomyces sp. ATCC 39143, said culture being  
capable of producing the antibiotic BBM-2040 in  
25 a recoverable quantity upon cultivation in an  
aqueous nutrient medium containing assimilable  
sources of nitrogen and carbon.

30 5. A pharmaceutical composition for treatment of  
bacterial infections comprising an effective  
antibacterial amount of at least one of the  
antibiotics of claim 1 in combination with a  
pharmaceutical carrier or diluent.

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5 6. A pharmaceutical composition for treatment of  
malignant tumors in mammalian hosts comprising  
an effective tumor-inhibiting amount of at least  
one of the antibiotics of claim 1 in combination  
with a pharmaceutical carrier or diluent.

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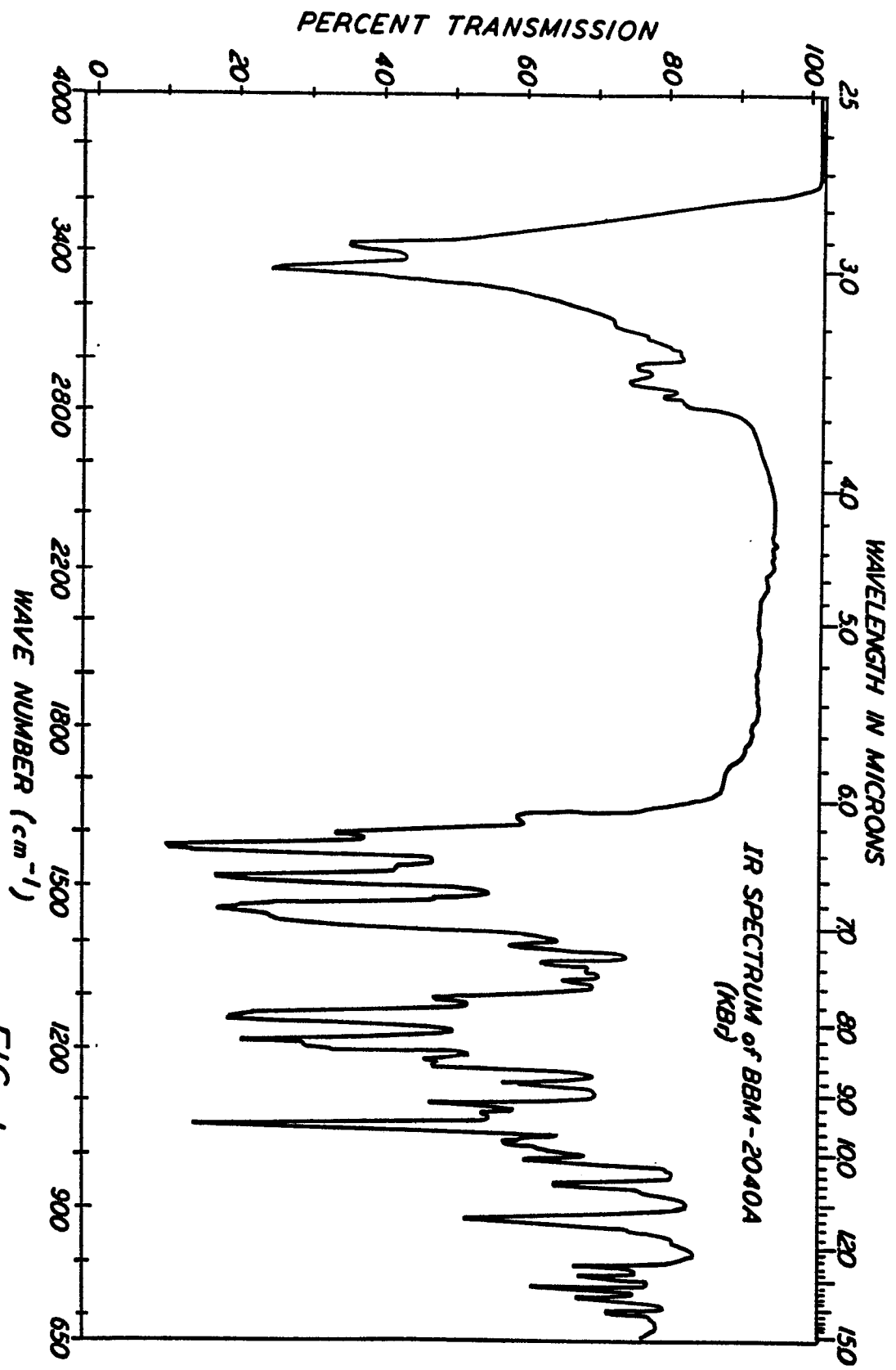


FIG. 1

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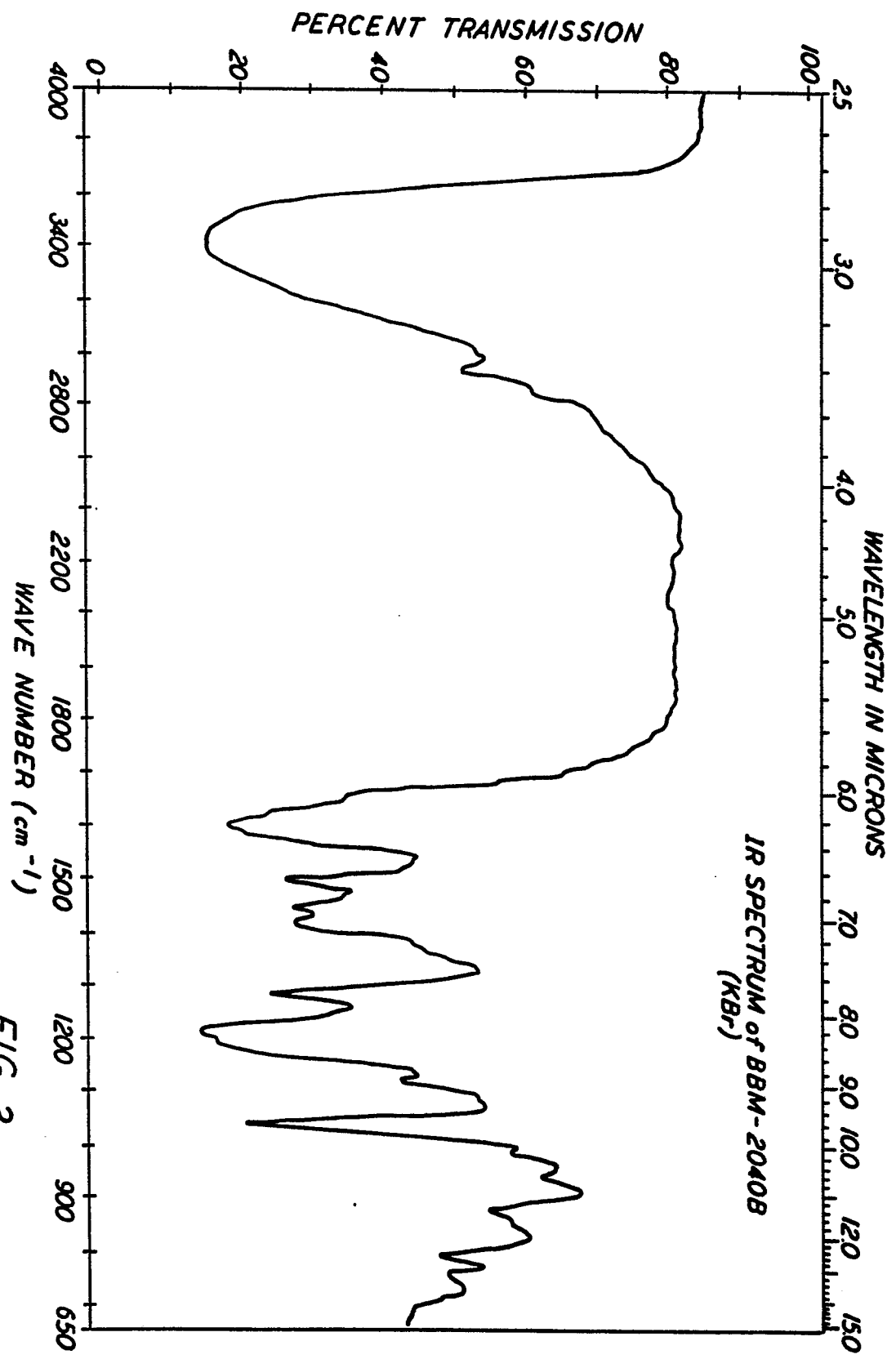


FIG. 2

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PMR SPECTRUM of BBM-2040A  
in d5-pyridine

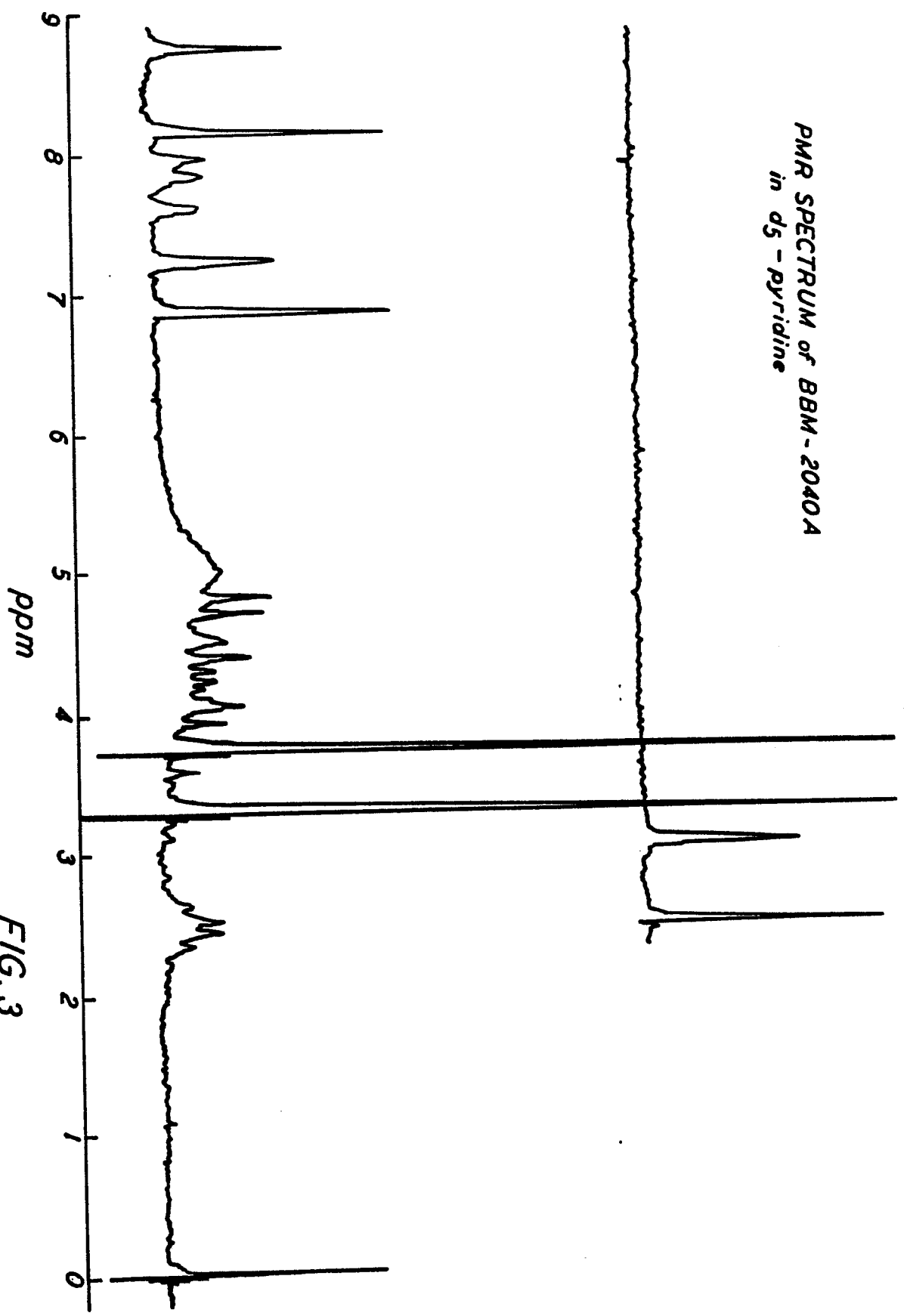


FIG. 3

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PMR SPECTRUM of BBM-2040B  
in d5-pyridine

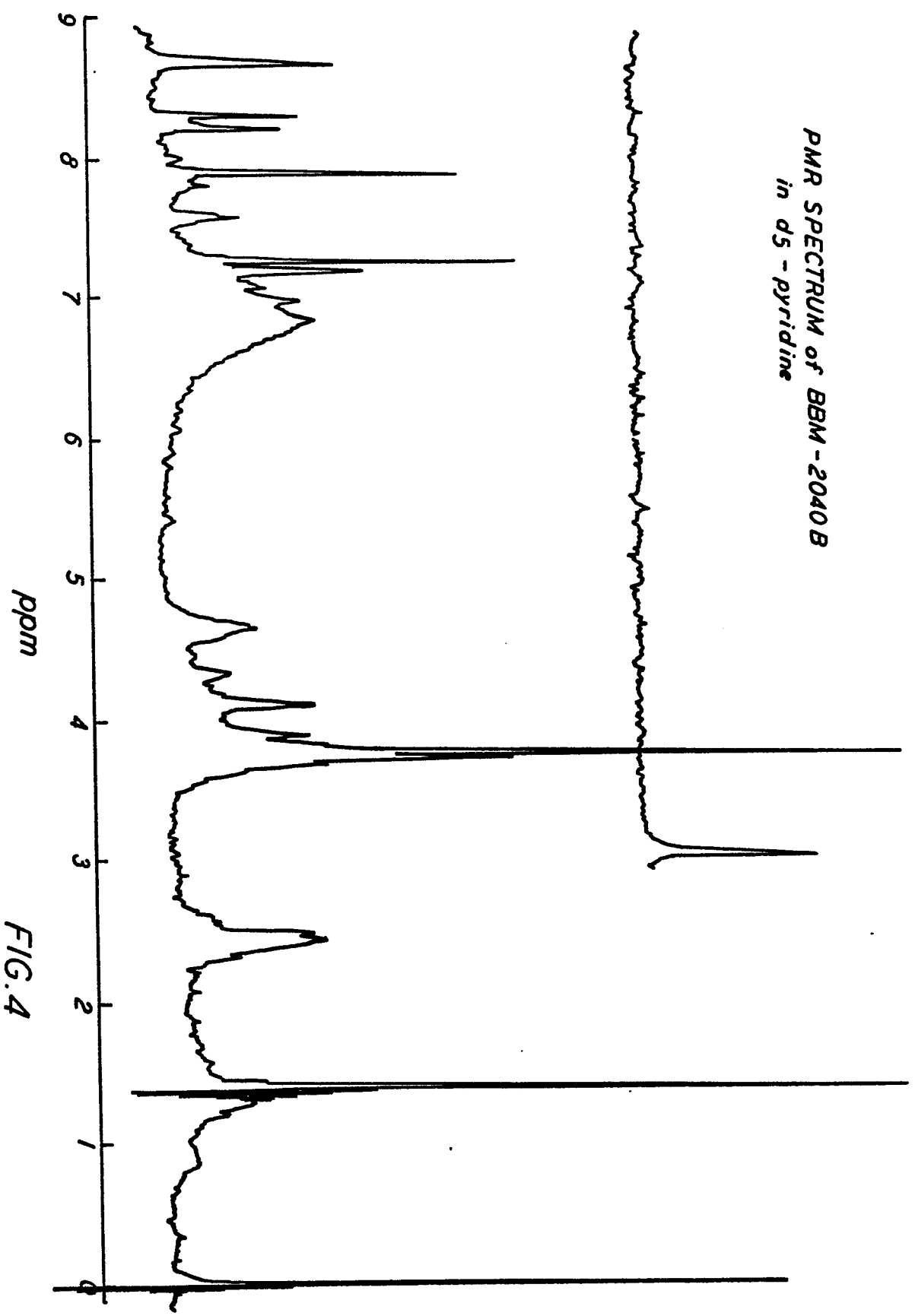


FIG. 4

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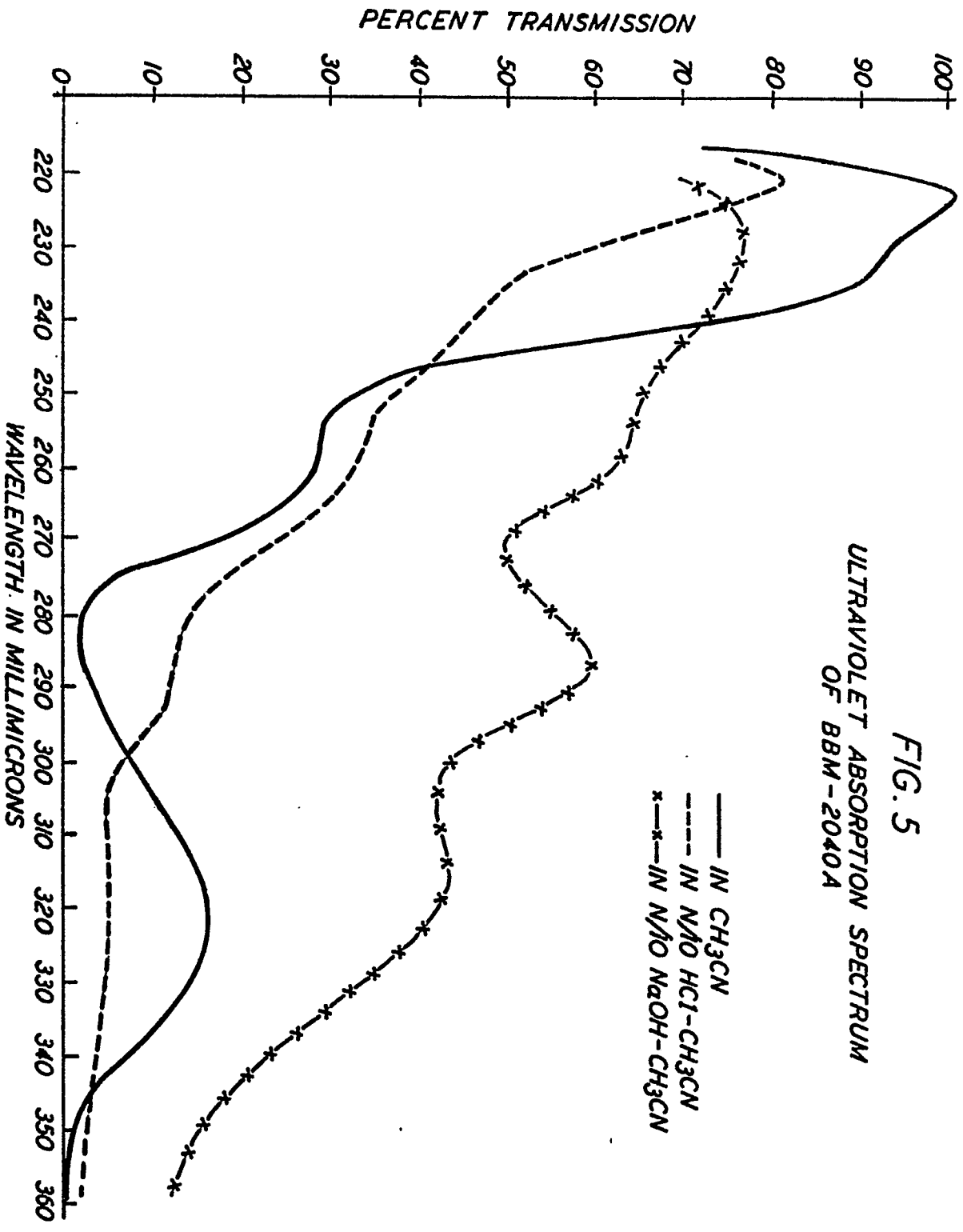
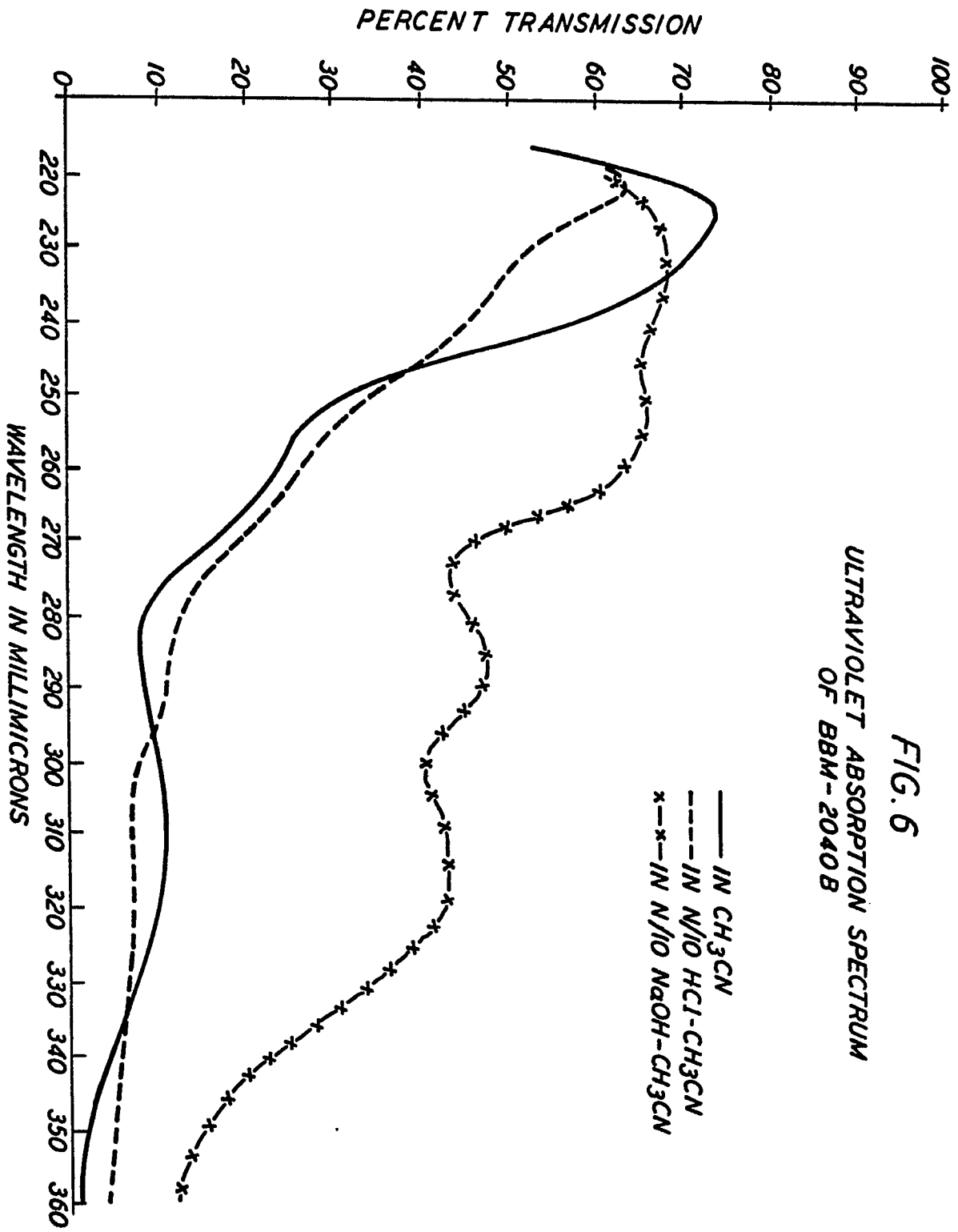


FIG. 5  
ULTRAVIOLET ABSORPTION SPECTRUM  
OF BBM-2040A



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European Patent  
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# EUROPEAN SEARCH REPORT

Application number

DOCUMENTS CONSIDERED TO BE RELEVANT			EP 83107303.6
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl. 3)
A	PATENT ABSTRACTS OF JAPAN, unexamined applications, Field C, vol. 4, no. 101, July 19, 1980  THE PATENT OFFICE JAPANESE GOVERNMENT, page 161 C 20  * Kokai-no. 55-69 587 (A) (MEIJI SEIKA K.K.) *  --	1,6	C 12 P 17/10 C 12 P 1/06 C 07 D 487/04 C 12 N 1/14 A 61 K 31/395
A	GB - A - 1 299 198 (FUJISAWA PHARMACEUTICAL CO. LTD.)  * Claims 1,2,11 *  --	1,2,5,6	
A	PATENT ABSTRACTS OF JAPAN, unexamined applications, Field C, vol. 2, no. 85, July 12, 1978  THE PATENT OFFICE JAPANESE GOVERNMENT, page 1 610 C 78  * Kokai-no. 53-56 693 (NIPPON SHINYAKU K.K.)  --	1,2,5	TECHNICAL FIELDS SEARCHED (Int. Cl. 3)  C 12 P C 07 D 487/00 C 12 N A 61 K
A	AT - B - 358 172 (ZAIDAN HOJIN BISEIBUTSU KAGAKU KENKYU KAI)  * Claim 1; example 1 *  --	1,2,6	
A,D	THE JOURNAL OF ANTIBIOTICS, vol. XXX, no. 4, April 1977, Tokyo (JP)  INSTITUTE OF MICROBIAL CHEMISTRY, TOKYO "Structure and Synthesis of Neothramycin" pages 340-343  --	1,2	
The present search report has been drawn up for all claims			
Place of search		Date of completion of the search	Examiner
VIENNA		31-10-1983	WOLF
CATEGORY OF CITED DOCUMENTS X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document			



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Europäisches  
Patentamt

## EUROPÄISCHER RECHERCHENBERICHT

Nummer der Anmeldung

EP 83107303.6

EINSCHLÄGIGE DOKUMENTE			KLASSIFIKATION DER ANMELDUNG (Int. Cl. 3)
Kategorie	Kennzeichnung des Dokuments mit Angabe, soweit erforderlich, der Maßgeblichen Teile	betrifft Anspruch	
A,D	CHEMICAL & PHARMACEUTICAL BULLETIN vol. 19, no. 11, November 1971, Pharmaceutical Society of Japan, KAZUO KARIYONE et al. "The Structures of Tomaymycin and Oxotomaymycin" pages 2289-2293  --	1,2	
A,D	THE JOURNAL OF ANTIBIOTICS, vol. XXXIII, no. 6, June 1980, Tokyo (JP)  INSTITUTE OF MICROBIAL CHEMISTRY, Tokyo: "Mazethramycin, a new member of Anthramycin group Antibiotics" pages 665-667  ----	1,2	RECHERCHIERTE SACHGEBIETE (Int. Cl. 3)