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⑤④ Power transmission shift fluids containing two-component friction modifier additive.

⑤⑦ There are disclosed functional fluid compositions containing a two component friction modifier additive composed of a thio-bis-alkanol succinate ester and a phosphate diester such as dioleoyl phosphite. The compositions are especially useful as tractor fluids.

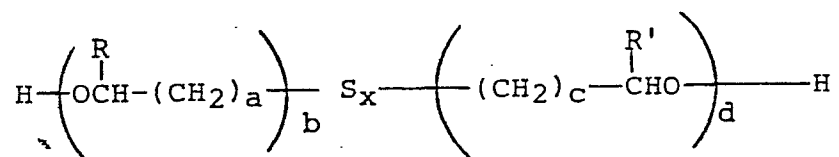
POWER TRANSMISSION SHIFT FLUIDS CONTAINING TWO-COMPONENT  
FRICTION MODIFIER ADDITIVE

The present invention relates to functional fluid compositions containing a two-component ester additive thereby providing to functional fluid or power transmitting oleaginous compositions, such as hydraulic fluids or automatic transmission fluids (ATF), useful friction modification properties.

More particularly, the invention relates to the use of mono- and di-esters of thio-bis alkanols and alkenyl succinic acid or anhydrides in combination with phosphite esters which are especially effective as friction modifier additives for tractor hydraulic fluids.

U.S. Patent 4,344,853 discloses that the esters of thio-bis-alkanols and alkenyl succinic acid or anhydride do have useful friction properties. These are the same materials which form one component of the two-component system of the present invention.

In accordance with the present invention, there are provided mineral oil compositions useful as power transmission shift fluids containing a two-component additive in an amount effective to improve the friction characteristics of said composition, the first component being (i) a succinate mono- or di- ester, or mixture thereof, formed by the reaction of (a) thio-bis-alkanols of the formula:



wherein R and R' each independently may be hydrogen, methyl or ethyl, x may be 1-4, a, b, c, and d each independently may be 1-3; with (b) 1 to 2 moles, per mole of the thio-bis-alkanol; of an aliphatic hydrocarbon-substituted succinic acid or anhydride or mixtures thereof wherein the aliphatic hydrocarbon group contains from 12 to 50 carbon atoms and the second component being (ii) a phosphite diester of the formula  $(\text{R}''\text{O})_2\text{P}(:\text{O})\text{H}$  where R'' is an alkyl or alkenyl group of 6 to 20 carbon atoms.

As used herein with respect to said first component, the term "monoester" or "hemiester" refers to product made from equimolar proportions of said thio-bis-alkanol and a succinic acid or anhydride, that is, one free

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1 hydroxyl group remains; while the term "di-ester" refers to  
 2 those products wherein each hydroxyl group of the thio-bis-  
 3 alkanol is esterified with a hydrocarbyl-substituted or  
 4 polyolefin-substituted succinic acid or anhydride. In  
 5 either case, a succinic acid moiety remains, i.e., a -C(O)OH  
 6 group.

7 The relative amounts of the first and second  
 8 components are such that the ratio in parts by weight of the  
 9 succinate ester first component to phosphite diester second  
 10 component is from about 2:1 to 1:2, preferably 1:1.

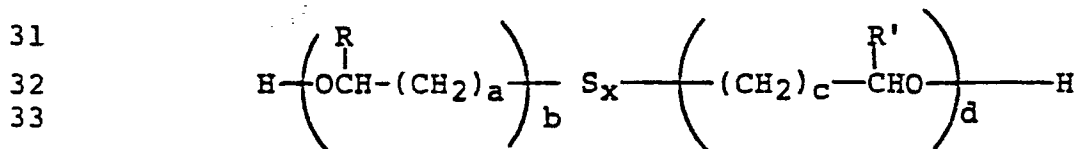
11 The hydrocarbyl-succinic acids or anhydrides for  
 12 preparing the first component are per se known in the art and  
 13 the commonly used anhydride may be represented by the  
 14 formula:



17 wherein R''' is a C<sub>12</sub>-C<sub>50</sub> aliphatic hydrocarbon group, such  
 18 as an alkyl, alkenyl, isoalkyl, isoalkenyl or cycloalkyl  
 19 hydrocarbyl group. Oligomers containing 12 to 50 carbon  
 20 atoms are also suitable as the aliphatic hydrocarbyl group,  
 21 such as oligomers of C<sub>2</sub>-C<sub>5</sub> monoolefins, such as isobutene.

22 The aliphatic hydrocarbyl group may be an un-  
 23 substituted hydrocarbon group or it may contain substituents  
 24 such as chlorine, bromine, sulfur, phosphorous, nitrogen or  
 25 oxygen which will not affect the utility of the final mono-  
 26 or di- ester product. A preferred substituent is sulfur as  
 27 exemplified by 2-octadecylthio succinic anhydride.

28 The thio-bis-alkanol useful in forming the suc-  
 29 cinate ester first component includes those ester-forming  
 30 diol compounds of the formula:



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1 wherein R and R' each independently may be hydrogen, methyl  
2 or ethyl, x may be 1-4, a, b, c and d each independently may  
3 be 1-3. If b or d are greater than 1, then the formula is  
4 meant to express ethoxylated derivatives of such alcohols.

5 Preferred embodiments are those thio-bis-alkanols  
6 within the foregoing formula herein a, b, c and d are  
7 each 1 or 2 and R is H or CH<sub>3</sub>. Specific compounds include  
8 2,2'-dithiodiethanol 2,2'-thiodiethanol, di (2-hydroxypro-  
9 pyl) disulfide and 3,3'-thiodipropanol.

10 Formation of these mono and di-esters pro-  
11 ceeds by reacting the appropriate quantities of anhydride  
12 (or acid) and thio-bis-alkanol with or without an inert  
13 organic solvent diluent and heating and stirring the mixture  
14 at about 50 to 150°C until esterification of the anhydride  
15 is complete. Equimolar quantities of each reactant will  
16 provide mainly the mono- (or hemi-) ester and reaction of 2  
17 moles of hydrocarbon substituted succinic acid or anhydride  
18 per mole of thio-bis-alkanol will provide the di-ester  
19 material. Also, products useful in the present invention  
20 encompass mixtures of such mono- and di- esters.

21 Insofar as yields are concerned, the reaction of  
22 an equimolar ratio of thio-bis-alkanol and hydrocarbon  
23 succinic anhydride will provide a product containing about  
24 80% mono-ester and about 20% di-ester. The di-ester is  
25 produced in somewhat higher yields, about 90% of the product  
26 being di-ester and about 10% mono-ester when the mole ratio  
27 of succinic anhydride to thio-bis-alkanol is 2:1. The di-  
28 ester compounds exhibit generally better friction pro-  
29 perties.

30 In the case of a di-ester compound, it is suitable  
31 to use succinic anhydrides having less than C<sub>12</sub> hydrocarbon  
32 substituent so long as the total number of carbon atoms of  
33 the hydrocarbon substituents on the succinic moiety of the  
34 ester compounds is at least C<sub>12</sub> since oil solubility of the  
35 finished compound is the important property.

36 The second component of the friction modifier  
37 additive of the present invention is a phosphite diester of

1 the formula  $(R''O)_2 P(:O)H$  where R may be an alkyl or alkenyl  
2 group having about 6 to 20 carbon atoms and preferably about  
3 12 to 18 carbon atoms. Particularly preferred is dioleyl  
4 phosphite. These phosphite ester compounds are, per se,  
5 well known in the art, and may be prepared by conventional  
6 techniques. Examples of all other suitable phosphite di-  
7 esters are distearyl phosphite, di-2-ethylhexyl phosphite,  
8 dicyclodecyl phosphite as well as phosphite diesters of  
9 mixed fatty alcohols, such as cetyl, stearyl and oleyl  
10 alcohols, or tallow alcohols ( $C_{16}$ - $C_{18}$  fatty alcohols).

11 The particularly preferred embodiment of the pre-  
12 sent invention is a two component system composed of about  
13 equal parts by weight of 2,2'-thiodiethylbis (octadecenyl-  
14 succinic acid ester) as the first component and dioleyl  
15 phosphite as the second component.

16 The two-component system of the present invention  
17 has been found to exhibit a synergistic effect upon friction  
18 characteristics compared with either component used separ-  
19 ately at equivalent additive concentration levels. The  
20 additive system of this invention is especially useful in  
21 functional fluids such as tractor hydraulic fluids which use  
22 oil-immersed brakes and power takeoff clutches running in a  
23 common oil supply with the transmission. Frictional char-  
24 acteristics of the fluid are important to meet the demands  
25 of noiseless braking and power takeoff clutch capacity to  
26 provide effective frictional coupling of two or more un-  
27 connected surfaces that are immersed or in contact with  
28 the functional fluid.

29 The compositions of the present invention will  
30 contain the two-component additive in amounts effective to  
31 provide the desirable properties of friction modification  
32 or retention to the power transmitting fluid. Generally  
33 there will be present 0.05 to 2 wt% of the two component  
34 additive based on the total weight of the composition,  
35 preferably there is employed about 0.1 to 1.5 wt% of the two-  
36 component additive in the hydrocarbon mineral oil fluid,  
37 such as a tractor hydraulic fluid which is the preferred  
38 composition.

39 Power transmission shift fluids are those functional

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1 fluid compositions such as automatic transmission fluids,  
 2 power steering and brake fluids, hydraulic fluids and such  
 3 compositions contain a number of conventional additives  
 4 providing their normal attendant functions and are typical-  
 5 ly blended into the mineral oil base in the following ranges:

6	<u>Components</u>	<u>Concentration Range (Vol. %)</u>		
7	V.I. Improver	1	-	15
8	Corrosion Inhibitor	0.01	-	1
9	Oxidation Inhibitor	0.01	-	1
10	Dispersant	0.5	-	10
11	Pour point Depressant	0.01	-	1
12	Demulsifier	0.001	-	0.1
13	Anti-Foaming Agents	0.001	-	0.1
14	Anti-Wear Agents	0.001	-	1
15	Seal Swellant	0.1	-	5
16	Friction Modifiers	0.01	-	1
17	Mineral Oil Base	Balance		

18 Typical base oils for hydraulic and other power trans-  
 19 mission shift fluids include a wide variety of light  
 20 hydrocarbon mineral oils, such as, naphthenic base, paraffin  
 21 base and mixtures thereof, having a lubricating viscosity  
 22 range of about 34 to 45 SUS (Saybolt Universal Seconds) at  
 23 38°C.

24 The invention is further illustrated by the following  
 25 examples which are not to be considered as limitative of its  
 26 scope. Hydraulic fluids used in the following examples were  
 27 formulated in accordance with the components and concentra-  
 28 tions noted above and are referred to as Base Fluid.

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1 EXAMPLE

2 To samples of a Base Fluid useful as a tractor  
3 hydraulic fluid was added 1% by weight of friction modifier  
4 additives A, B and C listed below to provide three separate  
5 fluids:

6 Additive A: Dioleoyl Phosphite  
7 Additive B: 2,2'-thiodiethylbis (octadecenyl  
8 succinic acid ester)  
9 Additive C: A mixture of 50% by weight A  
10 and 50% by weight B

11 The friction characteristics of the three fluids  
12 were evaluated using the SAE #2 friction machine which  
13 evaluates torque transfer and reports the value  $\Delta S$  which is  
14 the change in torque in lb.ft. as a function of time. The  
15 results were:

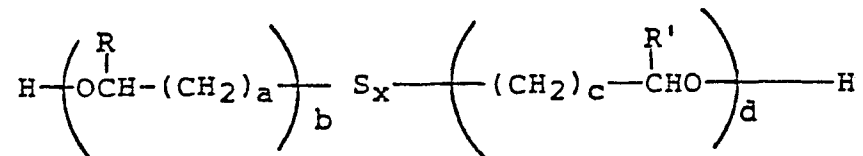
16	Fluid	$\Delta S$
17	Additive A, 1 wt%	9
18	Additive B, 1 wt%	12
19	Additive C, 1 wt%	6

20 Lower values for  $\Delta S$  in this test, i.e less than  
21 about 10, indicate improvement in frictional properties;  
22 the two-component system of the invention exhibits a syner-  
23 gistic effect when compared with the same components used  
24 separately at the same 1 wt% concentration level in the same  
25 Base Fluid.

CLAIMS

1. A power transmission shift fluid composition comprising a mineral oil base containing a two-component additive in an amount effective to improve the friction characteristics of the fluid composition, the first component being (i) a succinate ester formed by the reaction of:

(a) a thio bis-alkanol of the formula:



wherein R and R' each independently may be hydrogen, methyl or ethyl, x may be 1-4, and a, b, c, d, each may be independently 1-3, with (b) 1 to 2 moles, per mole of the thio-bis-alkanol, or an aliphatic hydrocarbon-substituted succinic acid or anhydride wherein the aliphatic hydrocarbon group contains from 12 to 50 carbon atoms, and the second component being (ii) a phosphite diester of the formula  $(\text{R}''\text{O})_2 \text{P}(\text{O})\text{H}$  where R' is an alkyl or alkenyl group of 6 to 20 carbon atoms.

2. The composition of claim 1 wherein there is present 0.05 to 2.0 weight percent of said two-component additive and the weight ratio of said first component to said second component is about 1:2 to 2:1.

3. A composition according to claim 1 wherein the first component is formed by the reaction of 1 mole of said hydrocarbon succinic acid or anhydride per mole of said thio-bis-alkanol.

4. A composition according to claim 1 wherein the first component is formed by the reaction of 2 moles of said hydrocarbon succinic acid or anhydride per mole of said thio-bis-alkanol.

5. A composition according to claim 1 wherein



said R' of said second component has 12 to 18 carbon atoms.

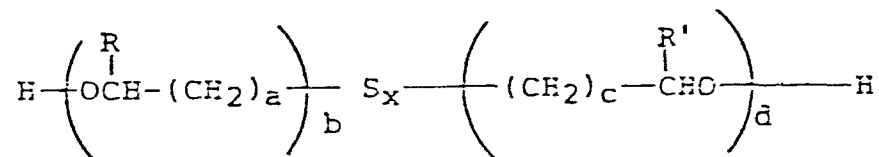
6. A composition according to claim 2 wherein said composition is a tractor fluid composition.

7. A composition according to claim 6 wherein said first component is 2,2'-thiodiethylbis(octadecenylsuccinic acid ester) and said second component is diolel phosphite.

8. The use to improve the friction characteristics of a mineral oil of a two-component additive comprising:

(i) a succinate ester formed by the reaction of:

(a) a thio bis-alkanol of the formula:



wherein R and R' each independently may be hydrogen, methyl or ethyl, x may be 1-4, and a, b, c, d, each may be independently 1-3, with (b) 1 to 2 moles, per mole of the thio-bis-alkanol, or an aliphatic hydrocarbon-substituted succinic acid or anhydride wherein the aliphatic hydrocarbon group contains from 12 to 50 carbon atoms, and the second component being (ii) a phosphite diester of the formula (R''O)<sub>2</sub> P(O)H where R' is an alkyl or alkenyl group of 6 to 20 carbon atoms.