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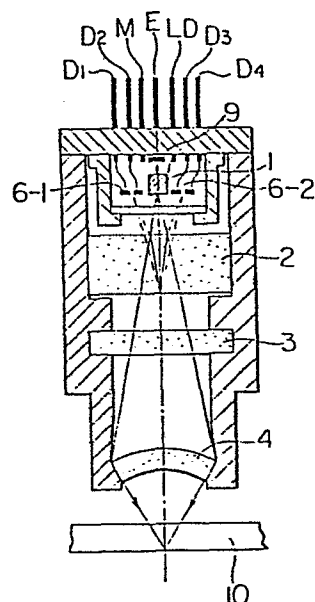
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54 Optical head.

57 This invention is characterized in that a modified polarization prism (2) or a modified half prism (8) is used therein. Since photodetectors (6-1, 6-2) serving at the same time for light signal detection, for automatic focussing and for tracking are disposed at both the sides of said optical source (1) of a semiconductor laser, a single axis optical system can be realized and it is possible to reduce the optical head in size.

FIG. 1



OPTICAL HEAD

1           This invention relates to an optical head,  
which is suitable for recording and play-back for so-called  
optical disc, digital audio disc, video disc, etc.

          A conventional recording and play-back head  
5   for the optical disc is so large as it amounts to 40 x 40 x  
30 mm<sup>3</sup> even for that utilized in practice and heavy,  
that it prevents to reduce the volume and the weight of a  
whole optical disc device or to realize mass storage  
stacked optical discs. As one of the reasons therefor  
10 it is pointed out that it is difficult to realize a single  
axis optical system, because the light beam reflected by  
an optical disc is bended by means of a half prism or a  
polarization prism so that its optical axis is deviated by  
90°, and detected by using a photodetector disposed  
15 therebehind.

          In order to resolve this problem, a small type  
optical head has been proposed since several years, of  
which head utilizes the effect that oscillation output is  
increased by the self coupling effect, when light is  
20 returned to the light emitting part of a semiconductor  
laser optical source, so-called SCOOP effect.

          However, it is pointed out that the self coupling  
effect is a sort of instability of the oscillation phenomena  
of a semiconductor laser, and at present, for digital audio  
25 discs, video discs, etc., which have been commercialized

1 since recent one or two years, to the contrary, techniques  
for suppressing this effect as noise entering play-back  
signals or positioning signals are developed. The self  
coupling effect for the semiconductor laser is an effect  
5 which can be observed in a structure of a resonator  
consisting of three mirrors, i.e. a reflecting surface  
of the optical disc added to two proper mirrors of the  
resonator in the semiconductor laser. Thus, due to  
fluctuations in the direction of the optical axis provoked  
10 by the rotation of the optical disc, the distance between  
the semiconductor laser and the optical disc fluctuates  
within a range of about 1  $\mu\text{m}$  and consequently the semi-  
conductor laser has a resonator structure whose stability  
is very bad. Consequently, there are too many problems to  
15 be resolved to reproduce signals on the optical disc by  
using the SCOOP effect.

The object of this invention is to provide an  
optical head permitting to remove the drawbacks mentioned  
above, accompanying the reduction of the optical head  
20 in size, and to realize a small type optical head.

That is, in an optical head according to this  
invention, photodetectors for automatic focussing or  
tracking are disposed in hybrid or in monolithic manner  
just beside a semiconductor laser optical source and  
25 reflected signal light coming from an optical disc is  
guided by a polarization prism and a 1/4 wave plate or  
only by a half prism to said photodetectors.

The present invention will be apparent from

1 the following detailed description taken in conjunction  
with the accompanying drawings, in which:

Fig. 1 is a cross-sectional view showing an  
example of optical heads according to this invention;

5 Figs. 2A and 2B are a plan view and a cross-  
sectional view, respectively, in a larger scale, of the  
prism portion, the optical source portion and the photo-  
detector portion indicated in Fig. 1;

Fig. 3 is a schematic view showing another example  
10 of this invention; and

Fig. 4 is a perspective view of the prism portion  
used in an optical head according to this invention.

Fig. 1 shows an application example of an  
optical head according to this invention to the play-back  
15 for a digital audio disc, a video disc, etc. or to the  
recording and play-back for a DRAW (Direct Read After  
Write) disc. The optical disc play-back head should fulfil  
the conditions that when the disc fluctuates in the  
vertical direction, the collected beam is always well  
20 focussed in a spot on the disc and that when the track  
moves due to the excentricity of the disc, the spot of the  
collected beam follows always the track. Hereinbelow  
the method for detecting the automatic focus and for  
tracking will be described in detail.

25 A light beam leaving a semiconductor laser chip 1  
passes through a modified polarization prism 2 via a window.  
The modified polarization prism 2 is the key element of  
this invention. The element has two polarizing reflecting

1 surfaces 5, which are symmetric with respect to a plane  
including the optical axis. The polarizing reflecting  
surfaces 5 are disposed within a region near the optical  
axis. Consequently light beams thus divided are reflected  
5 at the polarizing reflecting surfaces 5 with an acute  
angle smaller than  $45^\circ$  and reach photodetectors 6-1, 6-2  
disposed at both the sides of the semiconductor laser 1.  
Fig. 4 shows the outline of the modified polarization prism.  
The beam coming from the semiconductor laser 1 enters the  
10 prism 2 as a p-polarized beam and passes therethrough  
with a transmission coefficient greater than 99%. There-  
after the beam is transformed into a circular polarized  
beam through a  $1/4$  wave plate and forms a spot defining the  
diffraction limit on an optical disc 10, which is an  
15 information recording medium, with the aid of a microlens  
4. An aspheric lens, a GRIN (Gradient Refractive Index)  
lens, a hologram lens and the like can be used effectively  
as a microlens 4. For example, a spheric hologram lens is  
useful, because it can reduce remarkably aberration. Light  
20 reflected by the disc 10 returns to the microlens 4 and  
a circular polarized beam is transformed into an s-polarized  
beam, by passing again through the  $1/4$  wave plate, which  
enters the modified polarization prism 2. At this moment,  
as indicated in Fig. 2, the s-polarized incident beam is  
25 reflected to both the sides symmetrically with respect to  
the plane including the optical axis and the two beams  
thus reflected are collected as spots, each in the form  
of an ellipse, on two pairs of photodetectors 6-1 and

1 6-2, respectively, which are disposed at both the sides  
of the semiconductor laser chip 1. The photodetectors 6-1  
and 6-2 consist of two photosensitive elements  $D_1$ ,  $D_2$   
and  $D_3$ ,  $D_4$ , respectively. Since light intensity detected  
5 by each of the photosensitive elements varies differently  
with fluctuations of the optical disc in the vertical  
direction (defocus), differential signals obtained by the  
two photosensitive elements can be used as detection signals  
for focus shifts. The photodetectors 6-1 and 6-2, each  
10 of them being divided into 2, are so adjusted that a  
conjugate image of the spot on the disc is formed on each  
of the dividing lines, when the incident light beam is  
well focussed on the optical disc. When the disc shifts  
in such a direction that it becomes more distant from  
15 the lens, the conjugate points shift from the photo-  
detectors toward the prism 2. At this time, since the  
light beams entering the center of the prism remain un-  
changed, the conjugate points move along these light beams.  
Taking this fact into account, it is understood that  
20 light intensity on the outer photosensitive elements  $D_1$   
and  $D_4$  of the photodetectors divided into 2 increases and  
this gives rise to difference signals. To the contrary,  
when the disc shifts in such a direction that it becomes  
closer to the lens, the conjugate point shift in such  
25 direction that it becomes more distant from the prism.  
In this case, light intensity on the inner photosensitive  
elements  $D_2$  and  $D_3$  of the photodetectors divided into 2  
increases and this gives rise to difference signals.

1 According to this principle mentioned above, denoting  
the light detection output voltage of the photosensitive  
elements  $D_1$ ,  $D_2$ ,  $D_3$  and  $D_4$  by  $V(D_1)$ ,  $V(D_2)$ ,  $V(D_3)$  and  
 $V(D_4)$ , respectively, the focussing error signal AF can  
5 be represented for example by

$$AF = V(D_1) + V(D_4) - (V(D_2) + V(D_3)),$$

and thus it is possible to know the direction and the  
magnitude of the shift of the disc.

On the other hand, when the spot of the collected  
10 beam deviates from the position of the track, this gives  
rise to unbalance in light intensity distribution. The  
tracking shift signals can be obtained by utilizing this  
unbalance. That is, since the intensity ratio of the  
two beams, into which the returning beam is divided by  
15 the prism 2, varies, the output signals of the photo-  
detectors 6-1 and 6-2 disposed at both the sides of the  
semiconductor laser 1 are different from each other.

Consequently the tracking signal TR can be  
obtained for example by

20 
$$TR = V(D_1) + V(D_2) - (V(D_3) + V(D_4)).$$

The direction of the tracking shift can be also determined,  
depending on whether this difference signal is positive  
or negative.

Finally the signal on the disc is detected by the  
25 total sum  $(V(D_1) + V(D_2) + V(D_3) + V(D_4))$  of light inten-  
sity entering the photodetectors 6-1 and 6-2.

In addition, a reference numeral 9 in Fig. 1  
represents a photodetector for monitoring, which receives

backward output light of the semiconductor laser 1,  
and the output of this detector can be utilized for  
output adjustment of the semiconductor laser 1.

Since the play-back optical system explained  
5 above uses a polarization prism and a 1/4 wave plate,  
it has a high light utilization rate and can serve as  
an optical head for recording.

Fig. 3 illustrates an application of this inven-  
tion to an optical head for play-back. In this embodiment  
10 the modified polarization prism is replaced by a modified  
half prism having the same structure as the modified  
polarization prism and the 1/4 wave plate is removed.

The method for automatic focussing and that  
for tracking are the same as those stated previously. The  
15 modified half prism 8 includes reflecting surfaces 7 having  
a transmission coefficient of 50%. For this optical head,  
although the light utilization rate is reduced to 1/4  
with respect to that for recording, since the polarizing  
property of the reflecting surfaces in the prisms and also  
20 the 1/4 wave plate are not needed, it is possible to  
realize a very cheap small type optical head.

As explained above, according to this invention,  
only a prism and a microlens are used as optical parts  
and thus it is possible to reduce remarkably the number  
25 of parts used in an optical head, which heretofore have  
amounted to a considerable number. Furthermore it is  
also possible to realize an optical head with a high  
reliability, which is suitable to mass production, by



- 1 forming a semiconductor laser and photodetectors in  
hybrid in a common package.

In addition, it is possible to realize an optical head without utilizing the so-called SCOOP effect,  
5 which has the same size as that using the SCOOP effect and to reduce the size of the optical head, which heretofore has been a neck for reduction in size of an optical disc device as a whole or for a mass storage stacked optical disc device.

1 CLAIMS:

1. An optical head comprising:

an optical source of a semiconductor laser (1);

an optical system (4) collecting a laser beam

5 emitted by said optical source (1) focussing a spot on  
a recording medium (10);

photodetectors (6-1, 6-2) disposed at both the  
sides of said optical source (1); and

a beam dividing element (2 or 8) disposed between  
10 said optical source (1) and said optical system (4) and  
dividing light reflected by said recording medium (10)  
into two so as to lead them to said photodetectors (6-1,  
6-2), respectively.

2. An optical head according to Claim 1, wherein

15 said beam dividing element is a half prism (8) having  
reflecting surfaces (7) disposed symmetrically with respect  
to a plane including the optical axis, of which surfaces  
are comprised in a region near said optical axis.

3. An optical head according to Claim 1, wherein

20 a 1/4 wave plate (3) is disposed between said beam dividing  
element and said optical system (4) and said beam dividing  
element is a polarization prism (2), having reflecting  
surfaces (5) disposed symmetrically with respect to a  
plane including the optical axis, of which surfaces are  
25 comprised in a region near said optical axis.

4. An optical head according to Claim 1, wherein  
said optical system (4) comprises an aspheric lens.

5. An optical head according to Claim 1, wherein

1 said optical system (4) comprises a GRIN lens.

6. An optical head according to Claim 1, wherein  
said optical system (4) comprises a hologram lens.

7. An optical head according to Claim 1, wherein  
5 each of the photodetectors (6-1, 6-2) disposed at both  
the sides of said optical source (1) comprises 2 photo-  
sensitive elements ( $D_1$ ,  $D_2$  and  $D_3$ ,  $D_4$ ).

8. An optical head according to Claim 1, wherein  
the photodetectors (6-1, 6-2) are formed in hybrid or in  
10 monolithic manner together with said semiconductor laser (1).

FIG. 1

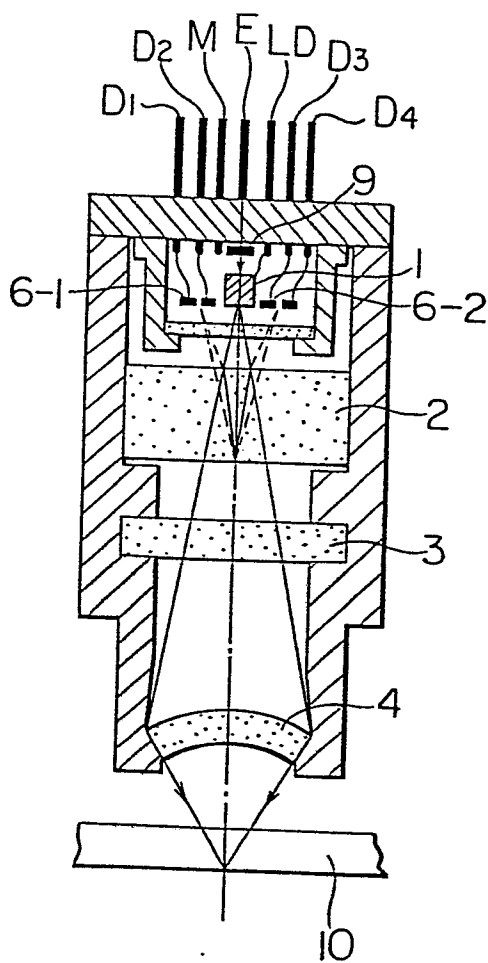


FIG. 2A

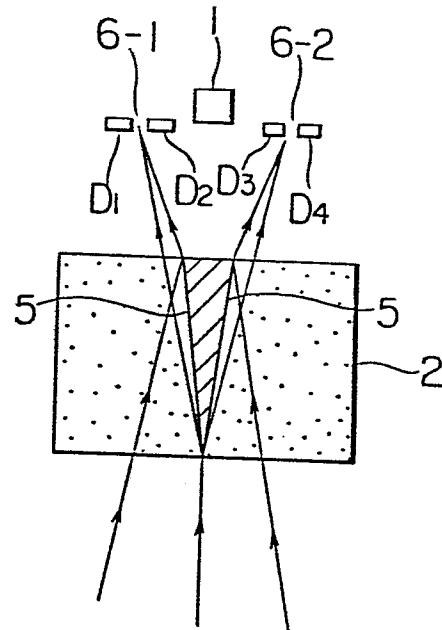
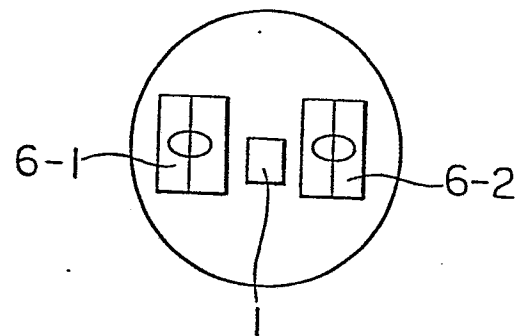


FIG. 2B

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FIG. 3

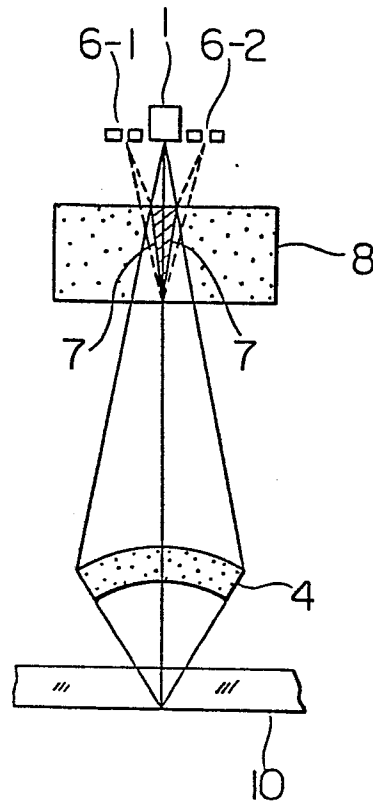


FIG. 4

