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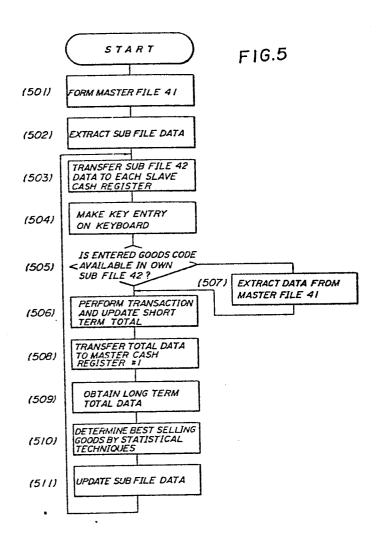
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(54) Electronic cash register system incorporating local goods data storage.

(57) This electronic cash register system includes a means for storing a master file having reference data for the entirety of a set of items of goods, a transfer means, and a plurality of electronic cash registers. Each of the registers includes a means for storing a sub file having reference data for a subset of the set of items of goods, and a means for consulting the sub file for reference data for a particular item of goods which is to be dealt with and for, if and only if the sub file does not hold the reference data, obtaining the reference data from the master file via the transfer means. The system further includes a means for, according to data of goods dealt with, determining a subset of the set of items of goods the members of which are often dealt with, and a means for updating the sub files kept at the electronic cash registers according to the thus determined subset. Thereby the dealing with items of goods can mostly be accomplished locally. Accordingly, this sytem can increase the speed of transaction processing, and the capacity of each local sub file storage means can be much smaller than the capacity of the master file storing means. If the master file has become inoperative, effective transaction processing can still be provided for most transactions. And, since the sub files are updated by the updating means, the data therein may be kept current.



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Electronic Cash Register System Incorporating Local Goods Data Storage

EDUARD-SCHMID-STRASSE 2 8000 MUNCHEN 90

TELEFON (089) 652091 TELEX 523467 (wilp-d) TELEGRAMME PATRANS MÜNCHEN TELEFAX G3/G2 (089) 6516206

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P 2651-EP

# BACKGROUND OF THE INVENTION

The present invention relates to the field of electronic cash register systems, and in particular relates to such an electronic cash register system in which some of the data relating to goods which are to be sold is available locally at each cash register.

There is a per se known type of electronic cash register system, for registering the sales of various 10 types of goods at various different outlets, in which a plurality of electronic cash registers are linked together by a transfer line system. In such a system, it is per se known for a so called price look up system to be adopted, in which either a master one of the 15 electronic cash registers or a host computer stores and maintains a master file which contains information on all the various types of goods which are to be sold using the system. In such a system, when a transaction such as the sale of an item of goods is to be performed 20 by one of the electronic cash registers, said electronic cash register sends a message by way of the

transfer line system to the master electronic cash register or host computer requesting data relating that item of goods, such as its unit price. This causes the master electronic cash register or host computer to forward that information to the transacting electronic cash register, again by way of the transfer line system. And then the transacting electronic cash register is enabled to process the transaction.

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10 This prior art system is structured in this way because an information storage means for storing such a master file which contains information on all the various types of goods which are to be sold using the system is required to have a considerably large 15 capacity, and accordingly is quite high in cost as well as being possibly large in physical size. Therefore, it is not considered to be efficient to store such a master file at each of the individual electronic cash registers, and, in order to reduce the unit price of 20 the electronic cash registers, a single master file is maintained on the master electronic cash register or host computer, thu avoiding duplication of storage.

However, according to such a conventional type of multiple electronic cash register system, since each of the electronic cash registers accesses the master file on the master electronic cash register or host computer

by way of the transfer line system each time the sale of any item of goods is required to be performed by any of the electronic cash registers, to receive price and other data regarding such item of goods, a considerable flow volume of data is required to be transferred by the transfer line system and to be retrieved from the master file, and this can cause undue slowdown in speed of operation. Especially this is the case if the transfer line system for transferring information between the master file serving means and the individual electronic cash registers is of relatively low transmission capability, which may be desirable from the point of view of cost of the system.

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15 Another problem that has arisen in such a conventional type of multiple electronic cash register system has related to failure thereof. It is inevitable that at some time such a master file should become unusable, either for a relatively short time as 20 because of breakdown of the master electronic cash register or host computer, or for a relatively long time as because of failure of the file storage media itself. In the case of such an event, the attendant at each of the electronic cash registers is required to 25 enter on the keyboard of his or her electronic cash register all the data such as price data and other data for each item of goods which is sold, and not only is

the speed of operation drastically reduced but also the entire processing of transactions may become unmanageable.

### 5 SUMMARY OF THE INVENTION

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Accordingly, it is the primary object of the present invention to provide an electronic cash register system which avoids the above outlined problems.

It is a further object of the present invention to provide such an electronic cash register system which can increase the speed of transaction processing during normal operation of the system.

It is a further object of the present invention to provide such an electronic cash register system which can increase the speed of transaction processing, during failure or fall back operation of the system when the central or master file system has become inoperative.

It is a further object of the present invention to provide such an electronic cash register system which is inexpensive.

It is a further object of the present invention to provide such an electronic cash register system which comprises individual electronic cash registers which themselves are relatively inexpensive.

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It is a further object of the present invention to provide such an electronic cash register system which comprises individual electronic cash registers which themselves are relatively compact.

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It is a further object of the present invention to provide such an electronic cash register system which minimizes the load upon a transfer line system connecting the various electronic cash registers thereof.

It is a yet further object of the present invention to provide such an electronic cash register system which can effectively function by utilizing such a transfer line system which is relatively inexpensive and of low transmission capability.

According to the most general aspect of the present invention, these and other objects are accomplished by an electronic cash register system, for dealing with a set of items of goods, comprising: (a) a means for storing a master file having reference data

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for the entirety of said set of items of goods; (b) a transfer means; (c) a plurality of electronic cash registers, each comprising: (cl) a means for storing a sub file having reference data for a subset of said set of items of goods; and: (c2) a means for consulting said sub file for reference data for a particular item of goods which is to be dealt with and for, if and only if said sub file does not hold said reference data, obtaining said reference data from said master file via said transfer means; (d) a means for, according to data of goods dealt with, determining a subset of said set of items of goods the members of which are often dealt with; and: (e) a means for updating said sub files kept at said electronic cash registers according to said subset of said set of items of goods determined by said determining means.

According to such a structure, since each of the individual electronic cash registers is equipped with its own sub file which holds the reference data for a subset of said set of items of goods which preferably is a subset including those of said set of items of goods which are more frequently to be dealt with, the dealing with items of goods at said individual electronic cash registers can mostly be accomplished locally, without using the transfer means for extracting reference data from the master file.

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Accordingly, this electronic cash register system can increase the speed of transaction processing during normal operation of the system. Further, this electronic cash register system minimizes the load upon such a transfer means connecting the various electronic cash registers thereof, and accordingly can effectively function by utilizing such a transfer means which is relatively inexpensive and of low transmission capability. Furthermore, because the means at each of the electronic cash registers for storing its sub file is only required to storing the reference data for a subset of said set of items of goods, therefore the capacity of each such means can be much smaller than the capacity of the means for storing the master file which must hold all the reference data for the entirety of said set of items of goods. Accordingly, this electronic cash register system is inexpensive, because the individual electronic cash registers thereof themselves are relatively inexpensive. Further, these individual electronic cash registers themselves can be relatively compact. Also, even during failure or fall back operation of the system when the central or master file system has become inoperative, this electronic cash register system can provide fairly effective transaction processing, because the individual electronic cash registers can still perform extraction of reference data from their local sub files, and,

since this represents the majority of data which will be required, no substantial problem need occur for the majority of transactions. Yet further, since the sub files are updated by the means for updating them, the data in these sub files may be kept current and effective.

Further, according to a more particular aspect of the present invention, these and other objects are more particularly and concretely accomplished by an electronic cash register system of the type described above, wherein each of said plurality of electronic cash registers further comprises a means for maintaining a total relating to goods dealt with; further comprising a means for forwarding said totals maintained by said electronic cash registers to said determining means.

According to such a structure, the determining

means may usefully employ this information about totals

relating to goods dealt with, which has been compiled

by the individual electronic cash registers, without

having to compile said information itself. This

represents an economy of operation.

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# BRIEF DESCRIPTION OF THE DRAWINGS

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The present invention will now be shown and described with reference to the preferred embodiment thereof, and with reference to the illustrative drawings. It should be clearly understood, however, that the description of the embodiment, and the drawings, are all of them given purely for the purposes of explanation and exemplification only, and are none 10 of them intended to be limitative of the scope of the present invention in any way, since the scope of the present invention is to be defined solely by the legitimate and proper scope of the appended claims. the drawings, like parts and spaces and so on are denoted by like reference symbols in the various 15 figures thereof; in the description, spatial terms are to be everywhere understood in terms of the relevant figure; and:

- 20 Fig. 1 is a schematic block diagram showing the preferred embodiment of the electronic cash register system of the present invention, which includes one master and several slave electronic cash registers;
- 25 Fig. 2 is a schematic block diagram showing the construction of a typical one of the slave electronic cash registers incorporated in the Fig. 1 system;

Fig. 3 shows in a diagrammatical front view a keyboard of each of the electronic cash registers;

Fig. 4 schematically shows in its subfigure 4(A) a

5 part of the contents of a random access memory of the
master electronic cash register and in its subfigure
4(B) a part of the contents of a random access memory
of one of the slave electronic cash registers; and

10 Fig. 5 is a schematic informal flow chart showing the overall action of the system according to the present invention.

### DESCRIPTION OF THE PREFERRED EMBODIMENT

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The present invention will now be described with reference to the preferred embodiment thereof, and with reference to the appended drawings. Fig. 1 shows in a very schematic block diagram form said preferred embodiment of the electronic cash register system of the present invention. This electronic cash register system comprises a plurality of electronic cash registers, referred to in the figures as #1, #2, #3, ..... #n, which are mutually interconnected by a transfer line L. Further, in this preferred embodiment, the electronic cash register #1, which will hereinafter be referred to as the master electronic

cash register, performs overall control of the electronic cash register system as a whole. In other words, the master electronic cash register #1 not only performs the processing of normal transactions in the same way as do the other electronic cash registers #2, #3, .... #n (which hereinafter will be referred to as slave electronic cash registers), but also has the additional function of performing the overall management of the data on sold goods for the overall system, as will be explained in detail later. In an alternative form of such an electronic cash register system, a host computer may be installed, but according to this shown preferred embodiment a system which is solely comprised of a plurality of master and slave electronic cash registers as shown in the drawing is considered.

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Fig. 2 shows in schematic block diagrammatical form the construction of a typical one of the slave electronic cash registers #2, #3, ..... #n. This slave electronic cash register comprises a microcomputer 1 as its central element and a keyboard 2 for serving as an input means for said microcomputer 1, and further comprises as output means a display 3 for indicating data visually to the operator and/or the customer and a printer 4 for printing receipts and the like. There is also provided a transfer device 5 which

interfaces between the microcomputer 1 and the transfer line L, and a random access memory unit (RAM) 6 which stores data on goods which are to be sold in the form of files, as will be particularly described

5 hereinafter. And the structure of the master electronic cash register #1 is substantially the same as that shown in Fig. 2, except that the capacity of the RAM 6 is much larger, for storing more data as will be explained later; alternatively, a backup storage means such as a disk storage device or the like may be provided for said master electronic cash register #1.

As shown in diagrammatical front view in Fig. 3, the keyboard 2 of each of the electronic cash registers 15 comprises a tenkey pad 31, a set 32 of classification keys, a set of function keys 33, and a key switch 34 for mode switch over. As will be understood from the designations in Fig. 3 of these keys, when an item of goods is to be sold by the operator of this slave 20 electronic cash register (i.e., one of the electronic cash registers #2, #3, .... #n), upon performing price look up operation on the function keys 33, key operation of the classification keys 32, and goods code input operation on the tenkey pad 31, the local RAM 6 25 of this slave electronic cash register is consulted for relevant data. If this data is available in said local RAM 6 of this slave electronic cash register, then it

is retrieved; but, if the local RAM 6 of this slave electronic cash register does not contain such data, then the microcomputer 1 sends a signal out via the transfer device 5 and the transfer line L to order this data from the exhaustive data file available on the master electronic cash register #1, and, waits until said data is received. Then, in either case, when the data becomes available, the price of the item of goods is displayed on the display 3, and also a receipt entry is issued from the printer 4. Thereby, so called price look up processing is performed.

Now this operation according to the principle of the present invention will be explained in more detail. In Fig. 4 there are schematically shown in its subfigure 4(A) a part of the contents of the RAM 6 of the master electronic cash register #1 and in its subfigure 4(B) a part of the contents of one of the slave electronic cash registers #2, #3, ..... #n; in this discussion, for the purposes of simplicity of explanation, it will be assumed that the relevant contents of the RAMS 6 of the various slave electronic cash registers #2, #3, ..... #n are identical, but in fact this is not necessarily the case.

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The part of the contents of the RAM 6 of the master electronic cash register #1 shown in Fig. 4(A)

constitutes master files 41 for each of the classifications (DP), while the part of the contents of the RAMs 6 of the slave electronic cash registers #2, #n shown in Fig. 4(B) constitutes a sub #3, ..... file 42 for each of the classifications (DP). Each of 5 the master files 41 and the sub files 42 incorporates a goods data box a and a total box b; the total box of the master file 41 is designated as bl, and the total box of the sub files 42 is designated as b2. The goods data box a lists the goods code of a type of goods and 10 the unit price and so on for each of the classifications (DP), and the total boxes bl and b2 each have a cash total box and a units total box. The total box bl of the master file 41 stores long term totals, for instance the totals over a period of one 15 month or over a period corresponding to a certain season. On the other hand, the total boxes b2 of the sub files 42 contain short term totals, for instance the totals for the current day. In this preferred embodiment, for simplification of illustration, the 20 contents of the sub file 42 of each of the slave electronic cash registers are supposed to be identical, and the total box bl of the master file 41 simply holds totals for each of the goods without classifying them 25 for each of the slave electronic cash registers.

Fig. 5 is a schematic flow chart showing the action of the overall system, and is referred to in the following description. It should be understood that this flow chart is only an informal one for aiding the understanding of the system according to the present invention, and does not actually represent the operation of any of the individual microcomputers in the electronic cash registers #1, #2, #3, ..... #n.

In the Fig. 5 flow chart, the steps 501 and 502 10 are initial processing steps for the master electronic cash register #1, and this initial processing involves forming of the master file 41 in the RAM 6 of said master electronic cash register #1 (in the step 501), extracting the data relevant to a certain number, for 15 instance 2000, of the best selling goods for the section where each of the electronic cash registers is installed, and storing this data in a certain area of the RAM 6 of each of the slave electronic cash 20 registers #2, #3, ..... #n as sub file data 42 by extracting this data from said master file of the RAM 6 of said master electronic cash register #1 (in the step 502). This system initial processing is performed, for

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At each of the slave electronic cash registers #2, #3, ... #n, since the data for the sub file 42 therein

instance, when the system is started up.

is transferred from the RAM 6 of the master electronic cash register #1 to the RAM 6 of said slave electronic cash register by way of the transfer line L in the step 503, the routines in the step 504 to the step 508 are performed as the processing for everyday transactions.

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In other words, a key entry is made on the keyboard 2 in the step 504, the sub file 42 of this slave electronic cash register is looked up, and it is determined in the step 505 whether the entered goods code is available in this own sub file 42 or not.

If the sub file 42 of this slave electronic cash register has the goods code, it is looked up, and if not the master file 41 of the master electronic cash register #1 is looked up by way of the transfer line L in the step 507, and then not only the unit price and the total price of the goods is displayed on the display 33 but also an appropriate receipt is issued from the printer 4, and then the totalling processing of the step 506 is performed.

The totalling processing of the step 506 consists of adding the price and the quantity to the total box b2 of the sub file 42 if the type of goods is one whose code was available in said sub file 42 of this slave electronic cash register, and on the other hand in

creating a sub file for this type of goods if the data on the goods was required to be obtained by looking up the master file 41 in the step 507.

When the day's business is completed, the total data which has been totalled up in the step 506 as described above is transferred to the master file in the master electronic cash register #1 all together, in the step 508.

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In the master electronic cash register #1, the total data which is transferred from each of the slave electronic cash registers #2, #3, ..... #n every day is entered into the total box bl of the master file 4l each time in a cumulative manner, and when for instance the total data for one month have been obtained, in the step 509, the best selling goods are determined by statistical techniques, for instance according to the order of sales volume or the order of the increase rate in sales volume, in the step 510.

Then, in the step 511, a group of goods, for instance the top 2000 best selling goods, is extracted from the master file 41 as data for the sub files, and by comparing these data for the sub files with the contents of the sub files 42 of the slave electronic cash registers #2, #3, ... #n, disagreeing data on the

goods are obtained, so that they may be transferred to the corresponding sub files 42 of the corresponding slave electronic cash registers, in the step 503 when it is next performed.

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Thereafter, the steps 503 to 511 are repeated, and each of the sub files 42 of the electronic cash registers is updated, for instance once every month or once every season.

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Thus, since the price look up processing which is performed in the processing of normal everyday transactions at each of the electronic cash registers can be performed mostly by looking up its own sub file, locally, and the need for looking up the master file 41 by way of the transfer line L is minimized, in addition to the advantage of gradually increasing the accuracy of the group of well selling goods stored in the sub files 42, the processing of transactions at each of the electronic cash registers can be performed in a very efficient manner.

Furthermore, according to this preferred

embodiment of the present invention, since the sub file

data for each of the electronic cash registers is

prepared for instance at the start up of the system,

the improvement of the processing speed may be achieved

from the beginning of the operation of the system.

Although in the above described preferred embodiment the sub file data is prepared in advance as part of the system initial processing, this invention is not to be considered as limited thereby, but it is also possible to prepare the data for the sub files during the initial period of the system start up from the results of looking up the master file for each transaction at each of the electronic cash registers for a certain number of goods, and renewing the sub file according to the subsequent determination of well selling goods.

Also, in the above described embodiment the determination of the best selling goods is performed by the master electronic cash register, but it is also possible for each individual electronic cash register to do the determination. And, in an electronic cash register system using a host computer, it is possible to provide the master file in the host computer, and to perform the determination of best selling goods and creation of data for the sub files on the part of the host computer, as a matter of course.

Although the present invention has been shown and described with reference to the preferred embodiment thereof, and in terms of the illustrative drawings, it should not be considered as limited thereby. Various

possible modifications, omissions, and alterations could be conceived of by one skilled in the art to the form and the content of any particular embodiment, without departing from the scope of the present invention. Therefore it is desired that the scope of the present invention, and of the protection sought to be granted by Letters Patent, should be defined not by any of the perhaps purely fortuitous details of the shown preferred embodiment, or of the drawings, but solely by the scope of the appended claims, which follow.

#### WHAT IS CLAIMED IS:

- 1. An electronic cash register system, for dealing with a set of items of goods, comprising:
- (a) a means for storing a master file having reference data for the entirety of said set of items of goods;
- (b) a transfer means;
- (c) a plurality of electronic cash registers, each
  comprising:
  - (cl) a means for storing a sub file having
    reference data for a subset of said set of items
    of goods; and:
  - (c2) a means for consulting said sub file for reference data for a particular item of goods which is to be dealt with and for, if and only if said sub file does not hold said reference data, obtaining said reference data from said master file via said transfer means;

(d) a means for, according to data of goods dealt with, determining a subset of said set of items of goods the members of which are often dealt with;

and:

(e) a means for updating said sub files kept at said electronic cash registers according to said subset of said set of items of goods determined by said determining means.

2. An electronic cash register system according to claim 1, wherein said sub files kept at said electronic cash registers all relate to the same subset of said set of items of goods.

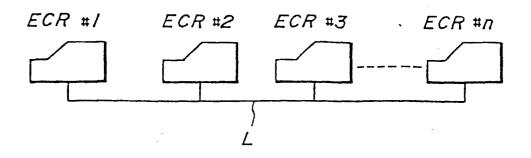
3. An electronic cash register system according to claim 1, wherein each of said plurality of electronic cash registers further comprises a means for

maintaining a total relating to goods dealt with; further comprising a means for forwarding said totals maintained by said electronic cash registers to said determining means.

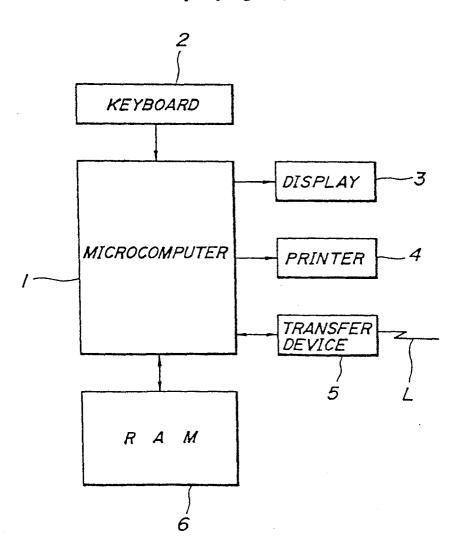
4. An electronic cash register system according to claim 3, wherein said totals maintained by said electronic cash registers are totals of numbers of dealt with goods.

5. An electronic cash register system according to claim 3, wherein said dealing with goods consists essentially of selling said goods, and wherein said totals maintained by said electronic cash registers are totals of prices of sold goods.

F/G./

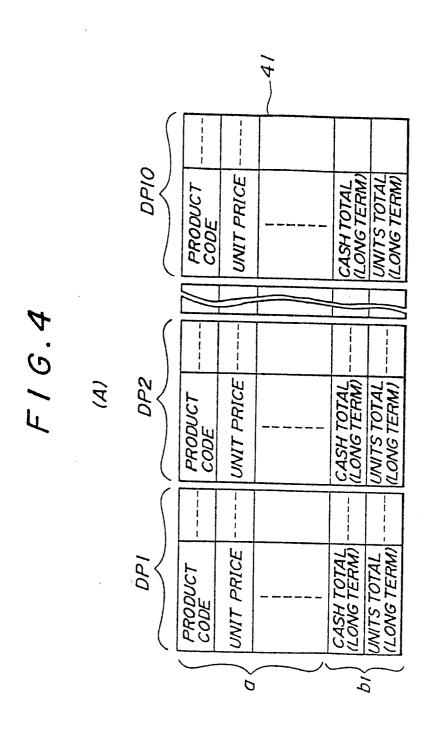


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EC 57 DP10 *640* DP6 BBBDP7 DP5 *DP4* DP3 DP2 DP1 ø 3 B S 0 0 N

F16.3



DP! DP3 DPn

PRODUCT PRODUCT CODE

UNIT PRICE UNIT PRICE UNIT PRICE

CASH TOTAL CASH TOTAL (SHORT TERM)
(SHORT TERM) (SHORT TERM)
(SHORT TERM) (SHORT TERM)
(SHORT TERM) (SHORT TERM)

