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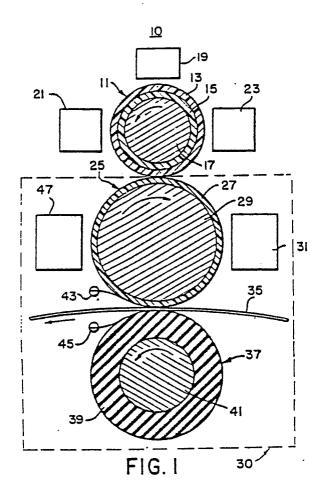
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(54) Duplex electrostatic printing and copying.

A method of duplex copying or printing comprises creating a first latent electrostatic image on a dielectric surface of a first image roller (73); toning the first latent electrostatic image to form a toned visible counterpart; transferring the toned first image to a surface of a second image roller (83) in rolling contact with the first image roller (73), this transfer being accomplished solely by pressure; creating a second latent electrostatic image on the dielectric surface of the first image roller (73); toning the second latent electrostatic image to form a toned visible counterpart; passing an image receptor (81) between the first image roller (73) and the second image roller (83); and transferring the toned first image from the second image roller (83) to one side of the image receptor, and simultaneously transferring the toned second image from the first image roller (73) to an opposite side of the image receptor, this step being accomplished solely by pressure with a simultaneous fixing of the toned images to the image receptor.

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# **DUPLEX ELECTROSTATIC PRINTING AND COPYING**

This invention relates to duplex electrostatic printing and photocopying, particularly at high speeds.

Electrostatic printers and photoopiers share a number of common features as a rule, although they carry out different processes. Electrostatic printers and photocopiers which are capable of producing an image on plain paper may generally be contrasted in terms of the method and apparatus used to create a latent electrostatic image on an intermediate member. Copiers generally do so by uniformly charging a photoconductor electostatically in the dark, and optically exposing the charged photoconductor to an image corresponding to the image to be reproduced. Electrostatic printers use non-optical means to create a latent electrostatic image on a dielectric surface, in response to a signal indicative of an image to be created. In theory, after creation of the electrostatic latent image, the same apparatus could be used to carry out the common steps of toning the image, transferring it to plain paper, and preparing the member bearing the electrostatic latent image for a subsequent cycle, usually by erasure of a residual latent electrostatic image. It would, in fact, be desirable to standardize the apparatus to perform these functions.

Various toner image transfer methods are known in the art. The transfer may be accomplished electrostatically, by means of a charge of opposite polarity to the charge on the toner particles, the former charge being used to draw the toner particles off the dielectric member and onto the image receptor. Patents illustrative of this transfer method include US-A-2,944,147; US-A-3,023,731; and US-A-3,715,762. Alternatively, the image receptor medium may be passed between the toner-bearing dielectric member and a transfer member, and the toner image transferred by means of pressure at the point of contact. Patents illustrative of this method include US-A-3,701,966; US-A-3,907,560; and US-A-3,937,571. Usually, the toner image is fused to the image receptor subsequently to transfer of the image, at a further process station. Postfusing may be accomplished by pressure, as in US-A-3,874,894, or by exposure of the toner paricles to heat, as in US-A-3,023,731, and U.S Reissue Patent 28,693.

It is possible, however, to accomplish transfer and fusing of the image simultaneously, as shown for example in the patents cited above as illustrative of pressure transfer. This may be accomplished by a heated roller, as in U.S. Reissue Patent 28,693 or simply by means of high pressure between the image-bearing dielectric member and a transfer member, between which the image receptor passes.

The anodization of aluminum to form thick dielectric coatings takes place in an electrolytic bath containing an oxide, such as sulfuric or oxalic acid, in which aluminum oxide is slightly soluble. The production techniques, properties, and applications of these aluminum oxide coatings are described in detail in The Surface Treatment and Finishing of Aluminum and Its Alloys by S. Wernick and R. Pinner, fourth edition, 1972, published by Robert Draper Ltd., Paddington, England (chapter IX page 563). Such coatings are extremely hard and mechanically superior to uncoated aluminum. However, the coatings contain pores in the form of fine tubes with a porosity on the order of 6.4516 x  $10^{14}$  to 6.4516 x  $10^{16}$  pores per square meter ( $10^{10}$  to  $10^{12}$  pores per square inch). Typical porosities range from 10 to 30 percent by volume. These pores extend through the coating to a very thin barrier layer of aluminium oxide, typicaly 3 x  $10^{-8}$  to 8 x  $10^{-8}$ m (300 to 800 Angstroms).

US-A-3,664,300 discloses a process for surface treatment of xerographic imaging cylinders wherein the surface is coated with zinc stearate to provide enhanced surface lubrication and improved electrostatic toner transfer. This treatment technique does not, however, result in a permanent dielectric surface of requisite hardness and smoothness for pressure transfer and fusing of a toner image.

For improved mechanical properties as well as to prevent staining, it is customary practice to seal the pores. One standard sealing technique involves partially hydrating the oxide through immersion in boiling water, usually containing certain nickel salts, which form an expanded boehmite structure at the mouths of the pores. Oxide sealing in this manner will not support an electrostatic charge due to the ionic conductivity of moisture trapped in the pores.

It is often desirable in electrostatic printing and copying to create an image on both sides of a sheet of paper or other receptor. In electrophotography, the most accurate reproduction of a two-sided original document would require this faculty. In electrographic printing, duplex imaging affords significant savings in paper costs and permits a greater flexibility in printing formats.

A criterion which should be considered in modifying an existing single-sided printing or copying system to permit dupleximaging is the extent to which the system must be modified or supplemented. It is advantageous to employ a system which is structurally compatible with two-sided image production requiring only minor changes.

Another factor of some importance is the speed and efficiency with which the system transfers the two images. In particular, it is desirable that such a system allow the simultaneous fusing of the two images onto a receptor medium.

The invention provides compatibility of design for electrostatic printing and photocopying apparatus. It also provides high speed printing and photocopying with excellent image quality.

The invention further provides a plain paper photocopying system which is simple, compact, and low in cost. The photocopying system requires fewer processing steps than those of conventional copying systems, with an extremely short and simple paper path.

The invention provides electrostatic imaging apparatus for pressure transfer of a toner image from a dielectric surface to plain paper and the like. Such apparatus effects simultaneous fusing of the toner image, and is characterized by a high efficiency of toner transfer.

A preferred embodiment of the invention incorporates an impregnated aluminum layer for the dielectric member. This dielectric surface possesses smoothness and hardness properties which facilitate toner transfer, while possessing sufficient resistivity to obtain a latent electrostatic image until toning. The dielectric surface created by this preferred method maintains the above properties at elevated humidities.

The invention may be employed in duplex imaging onto plain paper and the like. This duplex imaging enjoys the advantage of the avoidance of offset images and other problems often associated with duplex imaging. It also achieves a simultaneous transfer and fusing of two images onto a receptor medium.

According to the present invention, a method of producing images on two sides of an image receptor is characterised by the features of Claim 1.

The invention thus encompasses both electrophotography and electrostatic printing, as well as preferred components to be employed in these processes.

Another version of the invention is seen in the shared processing stages in the electrostatic copier and printer apparatus of the invention. After an electrostatic latent image has been formed on a dielectric cylinder, the image is toned and pressure transferred to plain paper or any suitable image receptor. Preferably, this transfer is achieved by inserting the image receptor between the dielectric cylinder and a transfer roller under high pressure. Advantageously, this pressure transfer is effected with simultaneous fusing of the toner image. Provision may be made for cleaning the surface of the dielectric cylinder and transfer roll, and for discharging any residual electrostatic image on the dielectric surface. The surface may be impregnated with a material which consists essentially of a group II metal with a fatty acid containing between 8 and 32 carbon atoms, saturated or unsaturated.

The invention may be carried into practice in various ways and several specific embodiments will now be described, by way of example, with reference to the drawings in which:

Figure 1 is a sectional schematic view of an electrophotographic apparatus;

Figure 2 is a partial sectional schematic view of the nip area of the upper rollers of Figure 1;

Figure 3 is a sectional schematic view of another electrophotographic apparatus;

Figure 4 is a sectional schematic view of an electrostatic printing apparatus;

Figure 5 is a partial sectional schematic view of an illustrative charge neutralizing device for the dielectric roller of Figure 4;

Figure 6 is an elevation view of a preferred mounting arrangement for electrostatic printing apparatus of the type illustrated in Figure 4;

Figures 7 - 12 are sequential schematic views of electrostatis imaging apparatus of the type illustrated in Figure 4 adapted to duplex imaging in accordance with the invention;

Figures 13-16 are partial perspective views of electrostatic imaging apparatus of the type illustrated in Figure 4, showing an electrostatic latent image and a resulting toner image for various stages of the duplex transfer process in accordance with the invention; and

Figure 17 is a plan view of a multiplexed ion generator suitable for use for duplex copying.

# 50 DETAILED DESCRIPTION

#### I. Introduction

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Two main embodiments of the invention are described, namely the double transfer electrophotographic apparatus which is the subject of Section II, and the electrostatic transfer printer which is the subject of Section III. These two embodiments differ in the means by which a latent electrostatic image is created on a dielectric imaging roller; thereafter, identical apparatus may be employed. The apparatus of either main embodiment may be modified to provide duplex imaging capability, as disclosed in Section IV.

# II. Double Transfer Electrophotographic System

Figures 1 and 3 show double transfer electrophotographic apparatus 10 comprised of three cylinders, and various process stations.

The upper cylinder is a photoconductive member 11, which includes a photoconductor coating 13 supporting on a conducting substrate 17, with an intervening semiconducting substrate 15. Advantageous materials for the photoconductor surface layer 13 include cadmium sulphide powder dispersed in a resin binder (photoconductive grade CdS is employed, typically doped with activating substances such as copper and chlorine), cadmium sulphoselenide powder dispersed in a resin binder (defined by the formula CdS<sub>x</sub>Se<sub>y</sub>, where x + y = 1), or organic photoconductors such as the equimolar complex of polyvinyl carbazole and trinitrofluorenone.

The photoconductor is electrostatically charged at charging station 19 and then exposed at exposing station 21 to form on the surface of the photoconductor an electrostatic latent image of an original. The photoconductor may be charged employing a conventional corona wire assembly, or alternatively it may be charged using the ion generating scheme disclosed in the parent application. The optical image which provides the latent image on the photoconductor may be generated by any of several well known optical scanning schemes. This latent image is transferred to a dielectric cylinder 25 formed by a dielectric layer 27 coated on a metal substrate 29. The latent electrostatic image on the dielectric cylinder 25 is toned and transferred by pressure to a receptor medium 35 which is fed between the dielectric cylinder 25 and a transfer roller 37. There are means 43, 45, 47 to remove residual toner from cylinder 25 and roller 37 and to erase any electrostatic image remaining on cylinder 25 after transfer. Apparatus for effecting toning and subsequent steps, shown generally at 30 in Figure 1, is discussed in detail in subsection IIIB below.

The method by which a latent electrostatic image is transferred from the photoconductive cylinder 11 to the dielectric cylinder 25 employs a charge transfer by air gap breakdown. The process of uniformly charging and exposing the surface of the photoconductor coating 13 results in a charge density distribution corresponding to the exposed image, and a variable potential pattern of the surface of the photoconductor coating 13 with respect to the grounded conductive substrate 17. With reference to Figure 2, the charged area of the photoconductor 11 is rotated to a position of close proximity (less than 0.05 mm) to the dielectric surface. An external potential 33 is applied between electrodes in the conductive substrate of the photoconductive cylinder 11 and the metal substrate 29 of the dielectric cylinder 25, with a typical initial charge of about 1,000 volts on photoconductive layer 13, to which an additional 400 volts are added by the externally applied potential 33. The aggregate charge of 1,400 volts is decreased by about 800 volts during the exposing process.

It is possible to maintain the photoreceptor 11 in direct contact with the dielectric roller 25, an arrangement which provides the advantage of simplicity in mounting and driving the cylinders. An effective TESI process may be achieved under these conditions, but this will result in toner transfer to the upper cylinder and therefore will require additional cleaning apparatus.

The charge transfer process requires that a sufficient electrical stress be present in the air gap to cause ionization of the air. The required potential depends on the thickness and dielectric constants of the insulating materials, as well as the width of the air gap (see Dessauer and Clark, Xerography and Related Processes, the Focal Press, London and New York, 1965, at 427). Electrical stress will vary according to the local charge density, but if sufficient to cause an air gap breakdown it will result in a transfer of charge from photoconductor surface 13 to dielectric surface 27, in a pattern duplicating the latent image. This means that a certain threshold potential must be generated across the air gap. Roughly half the charge will be transferred, leaving a potential of around 600 volts on the dielectric surface 27.

The necessary threshold potential may exist as a result of the uniform charging and exposure of the photoconductor surface or an externally applied potential may be employed in addition. Image quality is generally enhanced through the use of an external potential.

It is important to maintain the integrity of the latent electrostatic image, in the face of disruptive charge transfer, which occurs under certain conditions when charge transfer is effected on the approach of the two insulating surfaces. It has been observed that the addition of a semiconducting layer 15 between the photoconductive surface layer 13 and the conductive substrate 17 considerably reduces this effect as compared with using the usual two-layer photoconductor. Although the phenomenon by which the semiconducting layer eliminates the disruptive breakdown is not completely understood, it is believed that the time constant introduced by this semiconducting layer has the effect of smoothing or reducing the precipitous

behaviour otherwise associated with disruptive breakdown. The employment of this preferred construction of the photoconductor member 11 avoids a mottling and blurring of detail in the transferred image. A typical range of air gap distances for charge transfer using this configuration would be on the order of 0.0125 to 0.0375 mm.

The use of this method of charge transfer alleviates some of the problems resulting from undesirable discharge characteristics of the photoconductive member. The employment of an external potential in achieving a threshold potential leaves a higher voltage on the dielectric cylinder than would be the case of a single transfer system relying on the contrast potential of the photoconductor surface. This, in turn, results in a greater contrast between the light and dark portions of the toned, visible image.

In order to provide uniformity from copy to copy, particularly with certain photoconductors which exhibit fatigue, it is advantageous to discharge the residual latent image remaining on the photoconductor after the latent image has been transferred to the dielectric surface 27. This erasure may be conventiently carried out by an erase lamp 23 which provides sufficient illumination to discharge the photoconductor below a required level. The erase light 23 may be either fluorescent or incandescent.

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# Example II-1

In a specific operative example of an electrophotographic system of the construction described, the cylindrical conducting core 29 of the dielectric cylinder 25 was machined from 7075-T6 aluminum to a diameter of 76 mm. The length of this cylindrical core, excluding machined journals, was 230 mm. The journals were masked, and the aluminum anodized by use of the Sanford process (see S. Wernick and R. Pinner, The Surface Treatment and Finishing of Aluminum and its Alloys, Robert Draper Ltd., 4th Edition 1971/72, Vol. 2, Page 567). The finished aluminum oxide layer was 60 µm (micrometres) in thickness. The cylinder 25 was then placed in a vacuum oven at 101.5917 kPa (30 inches mercury). After half an hour, the oven temperature was set at 150°C. The cylinder was maintained at this temperature and presssure for four hours. The heated cylinder was brush-coated with melting zinc stearate and returned to the vacuum oven for a few minutes at 150°C, 101.59kPa (30 inches mercury). The cylinder was removed from the oven and allowed to cool. The impregnated surface 27 of the dielectric cylinder 25 was then finished to 0.125 to 0.25µm rms using 600 grit silicon carbide paper.

The pressure roller 37 consisted of a solid machined 50 mm diameter core 41 over which was press fitted a 50 mm inner diameter, 62.5 mm outer diameter polysulphone sleeve 39.

The conducting substrate 17 fo the photoconductor member 11, comprising an aluminum sleeve, was fabricated of 6061 aluminum tubing with a 3 mm wall and a 50 mm outer diameter. The outer surface was machined and the aluminum anodized (again, using the Sanford process) to a thickness of 50 m. In order to provide the proper level of oxide layer conductivity, nickel sulphide was precipitated in the oxide pores by dipping the anodized sleeve in a solution of nickel acetate (50 g/l, pH of 6) for 3 minutes. To form the semiconducting layer 15, the sleeve was then immediately immersed into concentrated sodium sulphide for 2 minutes and then rinsed in distilled water. This procedure was repeated three times. The impregnated anodic layer was then sealed in water (92° Celcius, pH of 5.6) for tem minutes. The semiconducting substrate 15 was spray coated with a binder layer, the photoconductor coating 13 consisting of photoconductor grade cadmium sulphoselenide powder milled with a heatset DeSoto Chemical Co. acrylic resin, diluted with methyl ethyl ketone to a viscosity suitable for spraying. The dry coating thickness was 40 µm, and the cadmium pigment concentration in the resin binder was 18% by volume. The resin was crosslinked by firing at 180°C for three hours.

The dielectric cylinder 25 was gear driven from an AC motor to provide a surface speed of twenty cms per second. The pressure roller 37 was mounted on pivoted and spring-loaded side frames, causing it to press against the dielectric cylinder 25 with a pressure of 55 kg per linear cm of contact. The side frames were machined to provide a 1.10 end-to-end between rollers 25 and 37.

Strips of tape 0.025 mm thick and 3 mm wide were placed around the circumference of the photoconductor sleeve 11 at each end in order to space the photoconductor at a small interval from the oxide surface of the dielectric cylinder 25. The photoconductor sleeve was freely mounted in bearings and friction driven by the tape which rested on the oxide surface.

The photoconductor charging corona station 19, single component latent image tonin apparatus 31, and optical exposing station 21 were essentially identical to those employed in the Develop KG Dr. Eisbein & Co. (Stuttgart) No. 444 copier.

The toner removal means 43 and 45 comprised flexible stainless steel scraper blades and were employed to maintain cleanliness of both the oxide cylinder 25 and the polysulphone pressure roll 37. The residual latent image was erased using a semiconducting rubber roller in contact with the dielectric surface 27 (see Fig. 5).

With reference to the photoconductor-dielectric cylinder embodiment of Figure 2, a DC power supply 33 was employed to bias the photoconductor sleeve 11 to a potential of minus 400 volts relative to the dielectric cylinder core 29, which was maintained at ground potential. The photoconductor surface 13 was charged to a potential of minus 1,000 volts relative to its substrate 17. An optical exposure of 25 lux-seconds was employed in discharging the photoconductor in highlight areas. In undischarged areas, a latent image of minus 400 volts was transferred to the oxide dielectric 27. This image was toned, and then transferred to a plain paper receptor medium 35 which was injected into the pressure nip at the appropriate time from a sheet feeder.

Copies were obtained at a rate of 30 per minute, having clean background, dense black images, and a resolution in excess of twelve line pairs per millimetre. No image fusing, other than that occurring during pressure transfer, was required.

# Example II-2

In another embodiment of the double transfer copier, the photoconductor sleeve 11 was replaced with a flexible belt photoconductor 11', as shown in Figure 3. The photoconductor 11' was comprised of a photoconductor layer 13' which was formed from a one to one molar solution of polyvinyl carbazole and trinitrofluorenone dissolved in tetrahydrafuran, and coated onto a conducting paper base 15' (West Virginia Pulp and Paper 45 No. LTB base paper) to a dry thickness of 30µm. The photoconductor rollers 17'a and 17'b were friction driven from the dielectric cylinder 25. The lower roller 17'b was biased to minus 400 volts. The photoconductor was charged to 1,000 volts with the double corona assembly 19' shown in Figure 3. The electrostatic latent image was generated by a flash exposure 21' so that the entire image frame was generated without the use of scanning optics.

The rest of the system was identical to the previous example with the exception of the dielectric cylinder 25, which was fabricated from non-magnetic stainless steel coated with a 15µm layer of high density aluminum oxide. The coating was applied using a Union Carbide Corp. (Linde Division) plasma spray technique. After spraying, the oxide surface was ground and polished to a 0.25 m rms finish. Again, high quality copies were obtained, even at operating speeds as high as 75 cms per second.

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#### III. Electrostatic Transfer Printing

The electrostatic transfer printing apparatus to be described includes apparatus for forming a latent electrostatic image on a dielectric surface (e.g. an imaging roller) and means for accomplishing subsequent process steps.

# A. Latent Electrostatic Image Formation

Apparatus for generating charged particles and for extracting them to be applied to a further surface is disclosed in detail in the parent application. Any of the embodiments of such apparatus which are suitable for forming a latent electrostatic image on a dielectric surface may be employed in the electrostatic printing apparatus discussed in this section.

All of the above charging devices are characterized by the production of a "glow discharge," a silent discharge formed in air between two conductors separated by a solid dielectric. Such discharges have the advantage of being self-quenching, whereby the charging of the solid dielectric to a threshold value will result in an electrical discharge between the solid dielectric and the control electrode. By application of a time-varying potential, glow discharges are generated to provide a pool of ions of both polarities.

It is useful to characterize all of the charging device embodiments in terms of a "control electrode" and a "driver electrode." The control electrode is maintained at a given DC potential in relation to ground, while the driver electrode is energized around this value using a time-varying potential such as a high voltage AC or DC pulse source.

#### B. Subsequent Processing

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Identical apparatus may be employed for both electrophotography and printing to carry out process steps subsequent to the creation on the dielectric cylinder of a latent electrostatic image (compare Figures 1 and 4). The apparatus of Figure 4 will be considered for illustrative purposes.

In Figure 4, the dielectric layer 75 of the dielectric cylinder 73 should have sufficiently high resistance to support a latent electrostatic image during the period between formation of the latent image and toning, or, in the case of electrophotographic apparatus, between image transfer and toning. Consequently, the resistivity of the layer 75 must be in excess of  $10^{12}$  ohm centimeters. The preferred thickness of the insulating layer 75 is between 0.025 and 0.075 mm. In addition, the surface of the layer 75 should be highly resistant to abrasion and relatively smooth, with a finish that is preferably better than  $0.25\mu$  m rms, in order to provide for complete transfer of toner to the receptor sheet 81. The smoothness of dielectric surface 75 contibutes to the efficiency of toner transfer to the receptor sheet 81 by enhancing the release properties of this surface. The dielectric layer 75 additionally has a high modulus of elasticity, typically on the order of  $6.89476 \times 10^7$  kPa ( $10^7$  PSI), so that is is not distorted significantly by high pressures in the transfer nip.

A number of organic and inorganic dielectric materials are suitable for the layer 75. Glass enamel, for example, may be deposited and fused to the surface of a steel or aluminum cylinder. Flane or plasma sprayed high density aluminum oxide may also be employed in place of glass enamel. Plastics materials, such as polyamides, polyimides and other tough thermoplastic or thermosetting resins, are also suitable. A preferred dielectric coating is anodized alumium oxide impregnated with a metal salt of a fatty acid, as described in the parent application.

The latent electrostatic image on dielectric surface 75 is transformed to a visible image at toning station 79. While any conventional electrostatic toner may be used, the preferred toner is of the single component conducting magnetic type described by J.C. Wilson, U.S. Patent No. 2,846,333, issued August 5, 1958. This toner has the advantage of simplicity and cleanliness.

The tones image is transferred and fused onto a receptive sheet 81 by high pressure applied between rollers 73 and 83. It has been observed that providing a non-parallel orientation, or skew, between the rollers of Figure 4 has a number of advantages in the transfer/fusing process. An image receptor 81 such as plain paper has a tendency to adhere to the compliant surface of the pressure roller 83 in preference to the smooth, hard surface of the dielectric roller 73. Where rollers 73 and 83 are skewed, this tendency has been observed to result in a "slip" between the image receptor 81 and the dielectric surface 75. The most notable advantage is a surprising improvement in the efficiency of toner transfer from dielectric surface 75 to image receptor 81. This efficiency may be expressed in percentage terms as the ration of the weight of toner transferred to that present on the dielectric roller before transfer. Apparatus of this nature is disclosed in section IV.

The bottom roller 83 consists of a metallic core 87 which may have an outer covering of engineering plastics 85. The surface material 85 of roller 83 typically has a modulus of elasticity on the order of 1378952 to 3102642 kPa (200.000-450,000 PSI). The image receptor 81 will tend to adhere to the surface 85 in preference to the dielectric layer 75 because of the relatively high smoothness and modulus of elasticity of the latter surface.

One function of the plastics coating 85 is to absorb any high stresses introduced into the nip in the case of a paper jam or wrinkle. By absorbing stress in the plastics layer 85, the dielectric coated roller 73 will not be damaged during accidental paper wrinkles or jams. Coating 85 is typically a nylon or polyester sleeve having a wall thickness in the range of 3 to 12.5 mm.

The pressure required for good fusing to plain paper is governed by such factors as, for example, roller diameter, the toner employed, and the presence of any coating on the surface of the paper. It has been discovered, in addition, that the skewing of rollers 73 and 83 will decrease the transfer pressure requirements.

Typically pressures run from 18 to 125 kg per linear cm of contact.

Scraper blades 89 and 91 may be provided in order to remove any residual paper dust, toner accidentally impacted on the roll, and airborne dust and dirt from the dielectric pressure cylinder and the back-up pressure roller. Since substantially all of the toned image is transferred to the receptor sheet 81, the scraper blades are not essential, but they are desirable in promoting reliable operation over an extended period. The quantity of residual toner is markedly reduced in the embodiment disclosed in the parent application.

The small residual electrostatic latent image remaining on the dielectric surface 75 after transfer of the toned image may be neutralized at the latent image discharge station 93. The action of toning and transferring a tones latent image to a plain paper sheet reduces the magnitude of the electrostatic image, typically from several hundred volts to several tens of volts. In some cases where the toning threshold is too low, the presence of a residual latent image will result in ghost images on the copy sheet, which are eliminated by the discharge station 93.

At very high surface velocities of dielectric coating 75, the remaining charge can again result in ghose images. In this case, multiple discharge stations will further reduce the residual charge to a level below the toning threshold. Erasure of any latent electrostatic image can be accomplished by using a high frequency AC potential between electrodes separated by a dielectric, as described in section V below.

The latent residual electrostatic image may also be erased by contact discharging. The surface of the dielectric must be maintained in intimate contact with a gounded conductor or grounded semiconductor in order effectively to remove any residual charge from the surface of the dielectric layer 75, for example, by a heavily loaded metal scraper blade. The charge may also be removed by a semiconducting roller which is pressed into intimate contact with the dielectric surface. Figure 5 shows a partial sectional view of a semiconductor roller 98 in rolling contact with dielectric surface 75. Roller 98 advantageously has an elastomer outer surface.

# 20 EXAMPLE III-1

In a specific operative example of an electrographic printer in accordance with the invention, the cylindrical conducting core 5 of the dielectric cylinder 1 was machined from 7075-T6 aluminium to a 76.2 mm (3 inch) diameter. The length of the cylindrical core, excluding machined journals, was 228.6 mm (9 inches). The journals were masked and the aluminum anodized by use of the the Sanford Process (see S. Wernick and R. Pinner, The Surface Treatment and Finishing of Aluminum and Its Alloys, Robert Draper Ltd. fourth edition, 1971/72 volume 2, page 567). The finished aluminum oxide layer was 60 microns in thickness. The conducting core was then heated in a vacuum oven, 101.5917kPa (30 inches mercury), to a temperature of 150°C which temperature was achieved in 40 minutes. The cylinder was maintained at this temperature and pressure for four hours prior to impregnation.

A beaker of zinc stearate was preheated to melt the compound. The heated cylinder was removed from the oven and coated with the melted zinc stearate using a paint brush. The cylinder was then placed in the vacuum oven for a few minutes at 150°C, 101.5917kPa (30 inches mercury), thereby forming dielectric surface layer. The cylinder was removed from the oven and allowed to cool. After cooling, the member was polished with successively finer SiC abrasive papers and oil. Finally, the member was lapped to a 0.1143 μm (4.5 microinch) finish.

The pressure roller 11 consisted of a solid machined two inch diameter aluminum core 12 over which was press fit a 50.8 mm (two inch) inner diameter, 63.5 mm (2.5 inch) outer diameter polysulfone sleeve 13. The dielectric roller was gear driven from an AC motor to provide a surface speed of 304.8 mm/s (12 inches per second). The transfer roller 11 was rotatably mounted in spring-loaded side frames, causing it to press against the dielectric cylinder with a pressure of 5337.4 kg/m (300 pounds per linear inch) of contact. The side frames were machined to provide a skew of 1.1° between rollers 1 and 11.

A charging device of the type described in U.S. Patent No. 4,160,257 was manufactured as follows. A 25.4µm (1 mil) stainless steel foil was laminated on both sides of a 25.4µm (1 mil) sheet of Muscovite mica.

The stainless foil was coated with resist and photoetched with a pattern having holes or apertures. in the fingers approximately 0.1524mm (.006 inch) in diameter. The complete print head consisted of an array of 16 drive lines and 96 control electrodes which formed a total of 1536 crossover locations capable of placing 1536 latent image dots across 195.072 mm (7.68 inch) length of the dielectric cylinder. Corresponding to each crossover location was a 0.1524 mm (.006 inch) diameter etched hole in the screen electrode. Bias potentials of the various electrodes were as follows (with the cylinder's conducting core maintained at ground potential):

screen potential -600 volts

-control electrode potential -400 volts (during the application of a -400 volts pring pulse, this voltage becomes -700 volts)

5 driver electrode bias +300 volts with respect to Screen Potential The DC extraction voltage was supplied by a pulse generator, with a print pulse duration of 10 microseconds. Charging occured only when there was simultaneously a pulse of negative 400 volts to the fingers 44, and an alternating potential of 2 kilovolts peak to peak at a frequency of 1 Mhz supplied between the fingers 44 and selector bars 43. The print head was maintained at a spacing of 203.2 mm (8 mils) from dielectric cylinder.

Under these conditions it was found that a 300 volt latent electrostatic image was produced on the dielectric cylinder in the form of discrete dots. The image was toned using single component toning apparatus essentially identical to that employed in the Develop KG Dr. Eisbein and Company (Stuttegart) No. 444 copier. The toner empolyed was Hunt 1186 of the Phillip A. Hunt Chemical Corporation.

The printing apparatus 70 included user-actuatable sheet-feeding apparatus (not shown) for feeding individual sheets 81 of paper between cylinders 73 and 83. The paper feed, toning apparatus, and cylinder rotation were driven from a unitary drive assembly (not shown). Paper feed was synchronized with the rotation of dielectric cylinder 73 to ensure proper placement of the toned image.

Digital control electronics and a digital matrix character generator, designed according to principles well known to those skilled in the art, were employed in order to form dot matrix characters. Each character had a matrix size of 32 by 24 points. A shaft encoder mounted on the shaft of the dielectric cylinder was employed to generate appropriate timing pulses for the digital electronics.

Flexible steel scraper blades 89 and 91 were employed to maintain cleanliness of dielectric cylinder 73 and transfer cylinder 83. With reference to the electrostatic image erasing embodiment shown at 98 in Figure 5 the residual latent image was erased using a seimiconducting rubber roller in contact with the dielectric surface 75.

Figure 6 shows in a plan view illustrative transfer printing apparatus 70 of the type shown schematically in Figure 4, including details of a preferred mounting arrangement. Side frames 59 and 69 house bearing retainers 57 and 67, which are fitted to rollers 73 and 83 in order to allow the rotation of the rollers while constraining their horizontal and vertical movement. Substantially identical side frames and bearing retainers are located at the other end of rollers 73 and 83. Bearing retainers 57 and 67, which advantageously are of the type known as "Self-aligning", fit within lips 51 and 61 on the respective side frames, and against shoulders (not shown) on the respective rollers. The side frames are mounted on one side to superstructure 55, and are mounted on the other end in spring-loaded journals 58 in order to provide a prescribed upward pressure against roller 73. Roller 73 is driven at a desired rotational velocity by means not shown, while roller 83 is frictionally driven due to the contact of the rollers at the nip.

The mounting illustrated in Figure 6 is machined in order to provide a specified "skew", or deviation of the axis of rollers 73 and 83 from a parallel orientation. Rollers 73 and 83 may be adjustable around a pivot point at one end, by varying the angular relationship (in the vertical plane) of the rollers at the other end. Alternatively, the rollers may pivot around a central point of contact, by adjusting the offset of one of the rolls about the axis of the other, this adjustment being equal at both ends. This latter, "end-to-end" skew will be assumed hereinafter for illustrative purposes.

The mounting arrangement shown in Figure 6 may be easily adapted to electrophotographic apparatus of the type shown in Figure 1. In a further embodiment, the dielectric imaging roller (upper roller) may comprise a photoconductive surface layer over a conducting substrate. With reference to the sectional view of Figure 4, the imaging apparatus 71 may be replaced with any suitable apparatus known in the art for depositing a uniform charge on surface 75, and for exposing the surface to a pattern of light and shadow whereby the charge is selectively dissipated to form a latent electrostatic image. As in the dielectric embodiment, photoconductive surface 75 is advantagously smooth and abrasion resistant, with a high modulus of elasticity. See Example IV-4.

As shown in Figure 6, axle 50A is disposed in end-to-end skew, which may be measured as an offset L in the plane of side frame 59. A more significant measure of skew, however, is the angle between the projected axes of rollers 73 and 83 as measured int he horizontal plane, or plane of paper feed. An illustrative value of skew to effect the objects of the invention is 0.10 inch, measured at the center of roller bearings 57 and 67, which are separated by a distance of 263.525 mm (10.375 inch) for 228.6 mm (9 inch) long rollers. This represents an angle of roughly 1.1°.

# IV. Duplex Imaging

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This section describes a duplex imaging technique employing either the electrophotographic apparatus of Figure 1 or the electrostatic printing apparatus of Figure 4. The apparatus of either of these emboiments may be adapted as discussed below to effect simultaneous pressure transfer and fusing of toner images to opposite sides of an image receptor medium. Reference should be had to Figure 4 and to the discussion at section IIIB. In the duplex imaging method utilizing this apparatus, receptor sheet 81 is inserted between rollers 73 and 83 only during the second of two image transfers. An initial transfer takes place directly from first image drum 73 to second image drum 83, with no receptor inserted between the two. Such transfer should be substantially complete, leaving a toned image on second image drum 83 which is the mirror image of that formed on first imaging drum 73 during previous processing stages.

Second image roller 83 serves a number of functions in the duplex imaging process. Initially, it receives and carries the toned image transferred from roller 73. During the second transfer, it should effect as complete as possible a transfer of toner to receptor sheet 81. It is therefore desirable that bottom roller 83 have a relatively smooth surface, advantageously better than  $0.635 \times 10^{-8}$  m rms (0.25 microinch) rms). In a preferred embodiment, the second, two-sided transfer to receptor sheet 81 is accomplished simultaneously with a fusing of the toned image due to high pressure applied between the two rollers. Such pressure may be provided by pressure drum 83 comprising a metallic core 87 having an outer coating of engineering plastic 85.

The pressure required for good fusing to plain paper is governed by such factors as, for example, roller diameter, the toner employed, and the presence of any coating on the surface of the paper. Typical pressures run from 18 to 125 kg per linear cm of contact. Roller 83 desirably has a surface 85 of engineering thermoplastic or thermoset material, which will absorb any high stresses in the transfer nip in the case of a paper jam or wrinkle. By absorbing stress in the plastics layer, the dielectric coated roller will not be damaged during accidental paper wrinkles or jams. Surface 85 preferably has a relatively low modulus of elasticity as compared with dielectric 75, in order to provide efficient toner transfer from roller 73 to roller 83. Illustrative values are a modulus of elasticity on the order of 6.89476 x 10<sup>7</sup> kPa (10<sup>7</sup> PSI) for dielectric 75, and approximately 2757904 kPa (400,00 PSI) for layer 85. Illustratively, surface 85 comprises a nylon or polyester sleeve having a wall thickness in the range 3 to 12.5 mm.

The efficiency of toner transfer from surface 75 to surface 85 depends primarily on the relative modulus of elasticity of the two surfaces, as discussed above. A second factor to be considered in choosing suitable materials is the relative roughness of the two surfaces. Advantageously, roller 73 has a relatively smooth surface as compared with roller 83. Exemplary values would be a roughness of around  $7.62 \times 10^{-7}$  m rms (30 microinch rms) for surface 85, as compared with-around  $2.54 \times 10^{-7}$  m rms (10 microinch rms) for surface 75.

Drums 73 and 83 are advantageously rotated from a common drive source. First image drum 73, for example, may be directly driven at a given angular velocity, and second image drum 83 friction driven by contact with the first image roller. Due to the high pressure with which the drums are held together, they move at virtually the same linear surface velocity with or without a receptive sheet inserted between them.

The various stages of the two-sided imaging process are illustrated in the schematic views of FIGURES 7 through 12. In FIGURE 7 a first latent electrostatic image I<sub>1</sub> is formed on first image drum 73 by image generating station 71. Image I<sub>1</sub> is toned at toning station 79 (FIGURE 8), and rotated to a position of contact with second image drum 83 to which it is pressure transferred (FIGURE 9). The first image, now inverted (I<sub>1</sub>), continues to rotate on second image drum while a second latent electrostatic image I<sub>2</sub> is formed on first image drum 73 (FIGURE 10). During this period, any residual electrostatic image on first image drum 73 may be erased at erasing station 93. This second image I<sub>2</sub> is toned (FIGURE 11), and the two toned images are rotated to the nip, where they are pressure transferred to receptive sheet 81 (FIGURE 12). If it is desired to match the positions of images -I<sub>1</sub> and I<sub>2</sub> on receptive sheet 81, it is necessary to time the formation of image I<sub>2</sub> so that the circumferential distance from the nip on roller 73 of leading edge of image I<sub>2</sub> equals the circumferential distance from the nip on roller 83 of the leading edge of image -I<sub>1</sub>. The time interval between successive image formations should equal the period of rotation of bottom roller 83. This is calculable by the formula

T = Roller 83 Diameter . Surface Speed of Rollers

In order to counteract the mirror reversal of first image I<sub>1</sub> that results from the double transfer of the image, it is necessary to provide an inverted latent electrostatic image at image generating station 71. FIGURE 13 shows the case of one-sided printing from the top roller 73. In order to transfer a row of toned characters onto receptor 81, image generating station 71 forms an inverted row of latent electrostatic characters along the circumference of roller 73. In FIGURE 14, the toned characters have been transferred to bottom roller 83. In FIGURE 15, the toned characters have been further transferred to the bottom side of receptive sheet 81. As a result of the double transfer, they are printed in an inverted orientation. Thus, as shown in FIGURE 16, it is necessary to reverse the orientation (i.e. back to normal orientation) of the latent characters on drum 73 for transfer to the second side of receptor 81.

Image generating station 71 may comprise a photoconductor member on which a latent electrostatic image is formed corresponding to a scanned optical image, with a transfer of the latent image to image roller 20 by TESI (Figure 1). As will be apparent to skilled artisans, the scanning optics 21 may be simply modified to provide an inversion of alternate images.

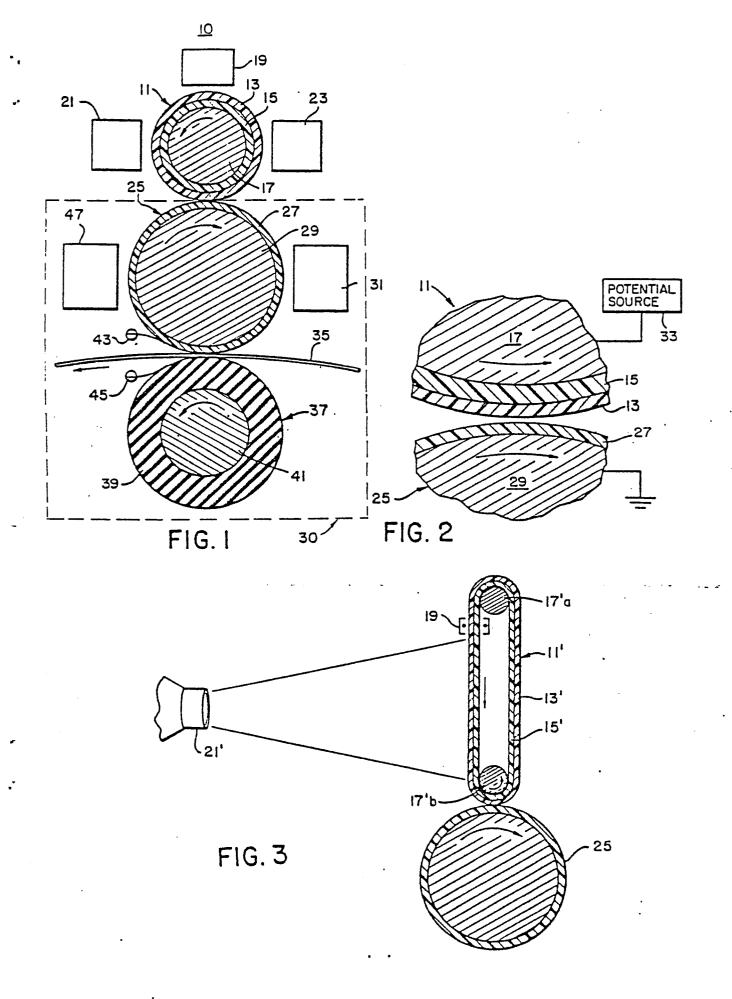
In the case of electrographic printing apparatus, the latent electrostatic image on image roller 73 is formed by ion generating means in response to a signal indicative of the desired image. Image generating station 71 may comprise, for example, the ion generator and extractor discussed in the parent application. FIGURE 17 shows in a plan view a multiplexed ion generator of this type. The ion generator 130 includes a series of finger electrodes 132 and a crossing series of selector bars 133 with an intervening dielectric layer 131. Ions are generated at apertures 135 in the finger electrodes at matrix crossover points. Ions can only be extracted from an aperture 135 when both its selector bar is energized by a high voltage alternating potential supplied by one of gated oscillators 137, and its finger electrode is energized by a direct current potential supplied by one of pulse generators 136. The timing of gated oscillators is advantageously controlled by a counter 138.

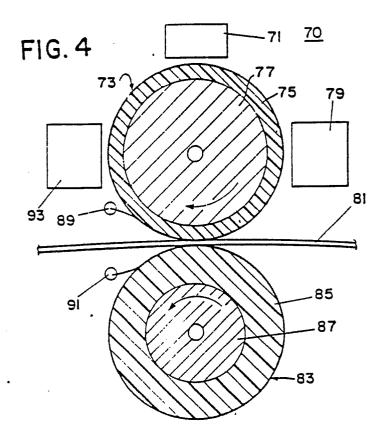
If axis A-A of the pring head is oriented along the circumference of upper roller 73, one may invert the latent electrostatic image as required by the invention by reversing the order of signals to selector bars 133 from gated oscillator 137. This may be done by reversing the sequence of actuating signals from counter 138.

#### 30 Claims

- 1. A method of producing images on two sides of an image receptor (81) comprising creating a first latent electrostatic image on a dielectric surface of a first image roller (73); toning the first latent electrostatic image to form a toned visible couterpart; transferring the toned first image to a surface of a second image roller (83) in rolling contact with the first image roller (73), this transfer being accomplished solely by pressure; creating a second latent electrostatic image on the dielectric surface of the first image roller (73); toning the second latent electrostatic image to form a toned visible counterpart; passing an image receptor (81) between the first image roller (73) and the second image roller (83); and transferring the toned first image from the second image roller (83) to one side of the image receptor, and simultaneously transferring the tones second image from the first image roller (73) to an opposite side of the image receptor, this step being accomplished solely by pressure with a simultaneous fixing of the toned images to the image receptor.
- 2. An imaging method as claimed in Claim 1 in which the first image roller (73) has a hard dielectric surface.
- 3. An imaging method as claimed in Claim 2 in which the hard dielectric surface has a smoothness better than 3.8 10<sup>-7</sup>m rms (15 microinch rms).
- 4. An imaging method as claimed in Claim 2 or Claim 3 in which the hard dielectric surface has a modulus of elasticity of the order of 6.9 10<sup>7</sup> kPa (10<sup>7</sup> PSI).
- 5. An imaging method as claimed in any one of the preceding claims in which the image roller has a surface layer comprising an engineering thermoplastics or an engineering thermoset material.
- 6. An imaging method as claimed in any one of the preceding claims in which the surface of the second image roller has a modulus of elasticity of the order of 2.76 106 kPa (400,000 PSI).

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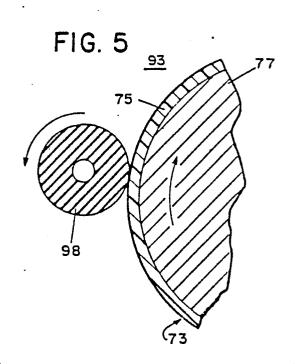
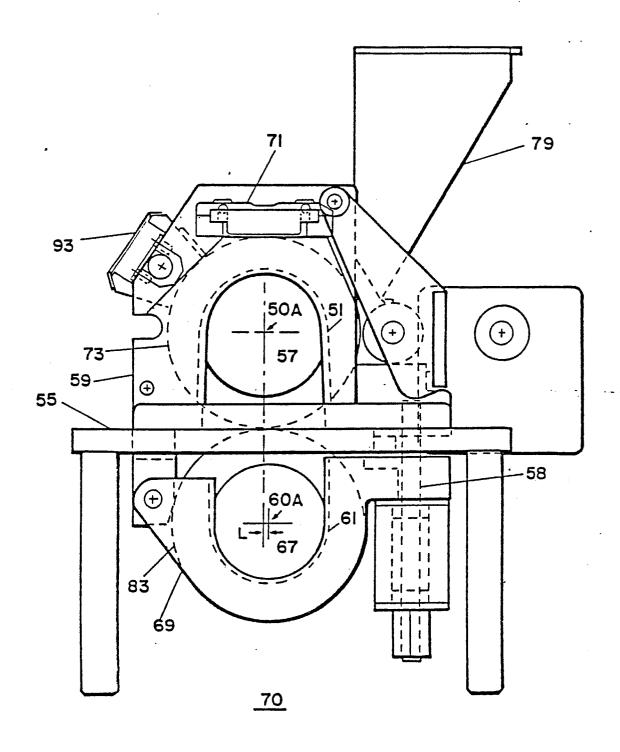
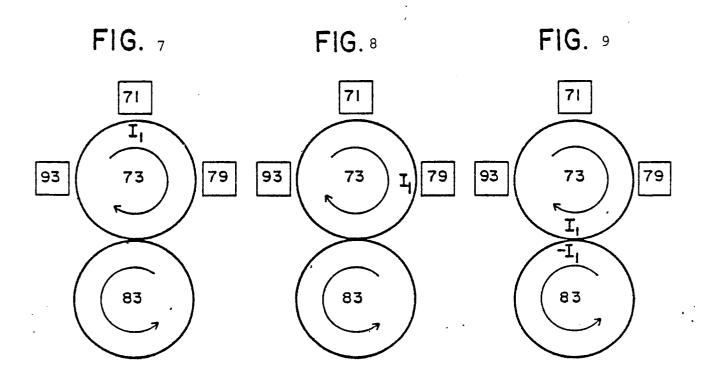


FIG. 6





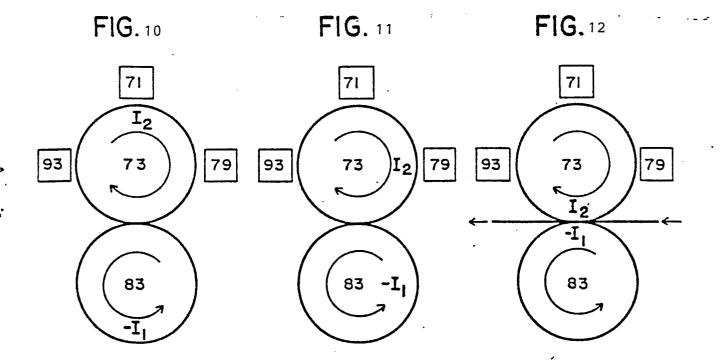


FIG. 13

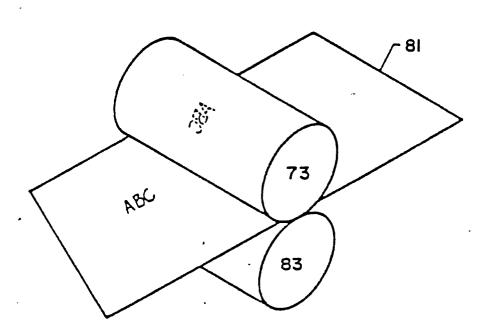
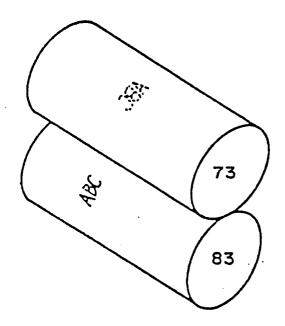


FIG. 14



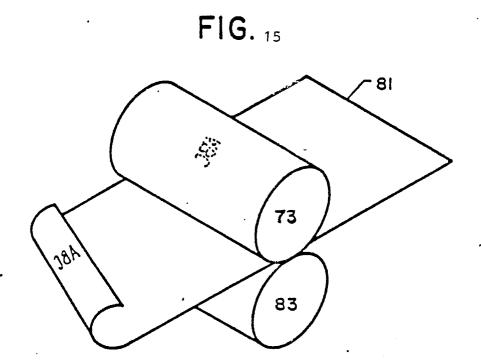


FIG. 16

