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(54) **Thermal ink jet printhead and method of manufacture.**

(57) A thermal ink jet printhead and method of manufacture wherein an ink feed opening (31) in a thin film substrate (10) is axially aligned with a central opening in a heater resistor (24, 26) disposed on the substrate. The ink feed opening (31) is also aligned with a firing chamber (30) formed in a barrier layer (28) disposed on the substrate and above and surrounding the heater resistor (24, 26), and is further aligned with an orifice opening (34) in an orifice plate (32) disposed on the barrier layer (28). This novel geometrical arrangement is operative to enhance the printhead ink ejection efficiency and to minimize cavitation wear on the heater resistors therein. In addition, the above axial symmetry may be provided by semi-circular heater resistors (24, 26), rectangularly shaped heater resistors (54, 56), or heater resistors of other shapes (36, 66) which are symmetrically arranged around the above firing chamber for the TIJ printhead.

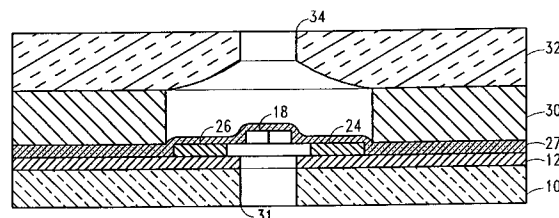


FIG. 1G.

Technical Field

This invention relates generally to thermal ink jet (TIJ) pens useful in high speed computer driven ink jet printers and more particularly to such TIJ pens having improved ink feed and ink ejection characteristics and an improved heater resistor geometry and construction.

Background Art

Many different types of thermal ink jet pens have been developed since the introduction by Hewlett Packard Company in 1985 of the widely acclaimed Thinkjet™ printer which is described in detail in the Hewlett Packard Journal, Volume 36, No. 5, May 1985, incorporated herein by reference. One such type of pen and associated thin film printhead structure is described in my U. S. Patent No. 5,103,246 entitled "X-Y Multiplex Driver Circuit and Associated Ink Feed Connection for Maximizing Packing Density on Thermal Ink Jet (TIJ) Printheads", issued April 7, 1992, assigned to the present assignee and also incorporated herein by reference. This commonly assigned patent is believed to represent the prior work and technology most relevant to the invention described and claimed herein.

The invention disclosed and claimed in my above identified United States patent represents significant advances in the X-Y multiplex circuitry for driving the TIJ pen, significant advances in increasing the printhead packing density on a thin film substrate, and also a significant improvement in the reduction of cross talk and improved cross talk isolation between adjacent heater resistors formed on a common thin film resistor printhead substrate.

Summary of Invention

The general purpose and principal object of the present invention is to provide still further new and useful improvements with respect to my above identified patented invention and improvements which are particularly directed to enhancing the ink ejection efficiency and life of the thermal ink jet pen, while simultaneously maintaining a high level of cross talk reduction between adjacent heater resistors formed on a common thin film printhead substrate.

Another object of this invention is to provide a new and improved thermal ink jet pen and method of manufacture of the type described which features improved ink ejection directionality as a result of the axial symmetry used in pen construction. The presence of a symmetric firing chamber in the novel printhead structure disclosed and claimed herein is operative to create ink drops fired normal to the pen surface. This structure is to be contrasted with current and prior art systems which are asymmetric in geometry and

make ink drop directionality difficult to control.

Another object of this invention is to provide a new and improved thermal ink pen of the type described which exhibits substantially improved ink ejection efficiency. The combined arrangement of the ink heater resistor, the ink fill channel, the ink firing chamber and the nozzle plate are all operative together in a novel structural combination to improve this ink ejection efficiency. Using this construction, there will be very little blow back down the ink feed channel, since the ink bubble growth is directed toward the nozzle plate in a symmetrical fashion.

Another object of this invention is to provide a new and improved thermal ink jet pen of the type described which exhibits significantly improved refill rates due to the use of individual ink feed channels in combination with individual orifice or nozzle plate openings (orifices). This feature in turn reduces ink channel restrictions which are currently required in prior art pens to eliminate cross talk therein.

Another object of this invention is to provide a new and improved thermal ink jet pen of the type described which is characterized by a significantly improved refill rate as a result of the reduction in the blow back down the ink feed hole of the TIJ pen.

The above purpose and objects are accomplished herein by the provision of a novel thermal ink jet pen and method of manufacture wherein a heater resistor is formed to partially surround an ink feed passage located in a thin film printhead substrate. A barrier layer is disposed on the surface of the substrate and has an opening therein defining an ink firing chamber. An orifice plate is disposed on the barrier layer and has an orifice opening therein axially aligned with the central axis of the firing chamber and also with the central axis of the ink feed passage. Conductive trace lines are also disposed on the thin film resistor substrate and extend into electrical contact with the heater resistors to conduct electrical pulses to the heater resistors during a thermal ink jet printing operation.

In accordance with a preferred process embodiment of the present invention and commensurate in scope with the broad process claims filed herein, this process includes the steps of providing a thin film substrate and then forming a heater resistor region partially surrounding an area of the substrate in which an ink feed hole is to be subsequently formed. Next, conductive trace lines are photolithographically defined on the surface of the substrate and extend into electrical contact with the heater resistor region. Then, a polymer barrier layer material is deposited on the surface of the thin film substrate and is also photolithographically defined to create an opening in the barrier layer material. This opening surrounds the heater resistor region and thereby defines an ink firing chamber for the thermal ink jet pen. Next, an ink feed opening is made in the substrate using either las-

er drilling, sandblasting or chemical etching processes well known in the art. Finally, an orifice plate is attached to the barrier layer surface and has an orifice opening therein which is axially aligned: (1) with the central axis of the ink firing chamber, (2) with the central axis of the heater resistor region, and (3) with the central axis of an ink feed opening which is now formed in the substrate.

In accordance with a specific preferred device embodiment of the invention, the desired heater resistor geometry is defined by successively depositing layers of tantalum-aluminum (TaAl) and aluminum (Al) over the entire upper surface of the thin film substrate which consists of a silicon wafer with a layer of silicon dioxide deposited thereon. Then, using a first series of photolithographic masking and etching steps, a desired aluminum conductive trace pattern is formed on the substrate. Next, using a second series of photolithographic masking and etching steps, a desired heater resistor pattern is formed in the TaAl underlayer and is connected electrically in series with the conductive trace pattern of aluminum. Thereafter, the conductive trace pattern and heater resistor patterns are passivated with selected dielectric layers such as silicon nitride, Si_3N_4 , or silicon carbide, SiC , prior to the above described formation of the polymer barrier layer and orifice plate.

The above geometrical symmetry of printhead configuration also has the advantage of simplifying the photolithographic masking and etching processes and the making of precise masking alignments which are easier to achieve at high printhead packing densities. This feature in turn translates into higher process yields and improved printhead device performance at these high printhead packing densities.

The above brief summary of the invention, together with its various objects, advantages, and novel features will become more readily apparent from the following description of the accompanying drawings.

Brief Description of the Drawings

Figures 1A through 1F are series of abbreviated isometric views showing a series of sequential process steps used in accordance with a preferred process embodiment of the invention.

Figure 1F is also an isometric view showing the final thermal ink jet printhead construction in accordance with a preferred device embodiment of the invention.

Figure 1G is a cross section view taken along lines 1G-1G of Figure 1F.

Figure 1H is a plan view taken along lines 1H-1H immediately above the conductive trace material shown in Figure 1F.

Figures 2A through 2D show, respectively, four (4) additional alternative embodiments of the invention, each of which include a different heater resistor

pattern which may be used to surround a portion of an ink feed hole for the thermal ink jet printhead. These four plan views shown in Figures 2A through 2D, respectively, also all include different conductive trace lead-in configurations for providing electrical pulsing to the particular heater resistor geometries shown in the figures.

Detailed Description of the Drawings

Referring now to Figure 1A, the thin film printhead structure shown therein includes a silicon substrate 10 upon which a surface layer 12 of silicon dioxide has been vapor or sputter deposited using known SiO_2 vapor or sputter deposition techniques. Next, a thin resistive layer 14 of tantalum aluminum, TaAl, is sputter deposited on the upper surface of the SiO_2 layer 12 using known state of the art TaAl sputter deposition techniques. Then, an upper surface layer 16 of aluminum, Al, is also sputter deposited on the upper surface of the tantalum aluminum layer 14 and serves as the conductive trace material and resistor leads when subsequently photo-defined. Thus, this three layer thin film forming process on the upper surface of the silicon substrate 10 in Figure 1A provides the basic thin film materials set upon which the novel photolithographic techniques described herein are used to configure a novel thermal ink jet pen geometry having the axially aligned features which contribute significantly to an improved TIJ device performance.

Referring now to Figure 1B, the structure shown in Figure 1A is transferred to a conventional photolithographic masking and etching station wherein a photoresist mask is initially formed to the exact replica and geometry of the aluminum surface pattern shown in Figure 1B. Then a suitable aluminum etchant is used to remove all of the aluminum on the upper surface of the tantalum aluminum layer 16 except for the aluminum strips 18, 20, and 22 remaining on the upper surface of the structure in Figure 1B. After this aluminum etching step is completed, then a suitable soak-solvent etchant is utilized to remove the remaining photoresist over the tops of the conductive strips 18, 20, and 22 shown in Figure 1B to thereby define the conductive aluminum pattern shown therein. This pattern is defined by the two conductive leads 18 and 20 and the circular aluminum pattern 22 which now covers the to-be-defined resistor pattern of interest.

This aluminum pattern consists of a plurality of lead-in strips 18 and 20 which terminate into an annular aluminum pattern 22 which must be removed from the upper surface of Figure 1B in order to leave thereunder only the remaining tantalum aluminum resistive circular geometry 24 and 26 shown in Figure 1C. This is accomplished by the utilization of another conventional series of photolithographic masking and etching steps wherein the surface of Figure 1B is

completely covered with photoresist except for an opening therein having the exact geometry as that of the tantalum aluminum resistive regions 24 and 26 shown in Figure 1C. Then, the now-exposed circular aluminum pattern 22 in Figure 1B is removed from the TaAl underlayer and next this second mask of photoresist is washed away from the upper surface of the structure shown to thereby leave intact the two aluminum conductive strips 18 and 20 terminating into upper and lower contoured tantalum aluminum parallel-connected resistors 24 and 26 as shown in Figure 1C.

Referring now to Figure 1D which shows the next process step, a composite passivation layer 27 of silicon nitride, Si_3N_4 , and silicon carbide, SiC, is deposited on the upper surface of the aluminum lead-in and circular resistor pattern 18, 20, 24, and 26 using plasma enhanced chemical vapor deposition (PECVD) processes well known to those skilled in the art. This Si_3N_4 /SiC passivation layer 27 provides a desirable chemically inert protective barrier layer which isolates the above resistor and conductor lead-in patterns from the ink and from wear of cavitation during an ink jet printing operation. The thickness of the Si_3N_4 will typically be on the order of about 1.5 microns, and the thickness of the SiC will typically be on the order of about 3.0 microns.

Next, as shown in Figure 1E, the structure shown in Figure 1D is transferred to a conventional polymer barrier layer definition station wherein a polymer barrier layer 28 is formed on the upper surface of the passivation layer 27 shown in Figure 1D. This polymer barrier layer 28 has a photo-defined firing chamber 30 therein which is axially aligned with and slightly larger than the circular symmetry of the tantalum aluminum resistor 24 and 26. This firing chamber 30 is also aligned with the center line of an ink feed opening 31 which is formed through the silicon substrate 10.

The ink feed hole 31 is concentrically aligned within the tantalum aluminum resistor 22 and is formed using processes well known in the art such as laser drilling, sandblasting, or chemical etching. Out of these available processes, laser drilling has been found to be the most effective of the alternatives, and laser drilling may be achieved by focusing a high powered Q-switched YAG laser with a very small beam spot size on the substrate material being drilled. These laser drilling techniques are described in more detail, for example, in the *Hewlett Packard Journal*, Volume 39, No. 4, August 1988, at pages 28-31, incorporated herein by reference.

Then, as shown in Figure 1F, conventional orifice plate attachment procedures are utilized to secure an outer orifice plate 32 to the upper surface of the polymer barrier layer 28 and having orifice openings (orifices) 34 therein. These orifice openings 34 are also axially aligned with the cylindrical firing chamber 30 within a polymer barrier layer 28 and with the previ-

ously described circular resistor geometry 24 and 26, and with the ink feed hole 30 in the silicon substrate.

It should be understood that the process described above with reference to Figures 1A through 1F can be used to manufacture thermal ink jet printhead structures useful with a wide variety of electrical conductor lead-in patterns and geometries such as those described, for example, in the X-Y multiplex drive circuit and associated ink feed arrangement of the type shown in my above identified U.S. Patent No. 5,103,246. However, as previously indicated, the above described axial symmetry of all of the ink feed openings, resistors, firing chambers, and orifices have certain distinct and patentable advantages over the non-symmetrical ink flow paths disclosed in my U.S. Patent No. 5,103,246.

Referring now to Figure 1G, there is shown a cross section view taken along lines 1G-1G of Figure 1F, and this view also clearly indicates the novel axial symmetry described above for the various component parts of the thermal ink jet printhead.

Similarly, the plan view shown in Figure 1H and taken along lines 1H-1H of Figure 1F also clearly illustrates the above described axial symmetry of the various thermal ink jet printhead components described.

Referring now in sequence to Figures 2A, 2B, 2C, and 2D, there are shown four (4) alternative embodiments of the invention, each of which include a different symmetrically arranged conductive trace lead-in pattern and a corresponding and matching adjacent heater resistor. In Figure 2A, the heater resistor pattern is photolithographically defined in the shape of four (4) squares 36, 38, 40, and 42 on each of four (4) sides of the ink feed hole 44 shown. This heater resistor pattern is connected as shown with the common and conductive trace material patterned as indicated in regions 46, 48, 50, and 52 for connecting firing pulses to these four adjacent and adjoined heater resistors.

In Figure 2B, the heater resistor is formed as two spaced apart rectangular strips 54 and 56 located on each of two opposite sides of a correspondingly symmetrical rectangular ink feed hole 58. The opposite ends of the two resistors 54 and 56 are connected, respectively, to common trace and conductive trace material patterns 60 and 62 which provide a current path into each of the two resistors 54 and 56.

Figure 2C illustrates a slight variation of the Figure 2B embodiment, and in Figure 2C the conductive and common trace lines 63 and 64 are provided in a fanned out configuration on the left hand side of this plan view. The two rectangular resistors 54 and 56, the ink feed hole 58, and the common conductive trace pad 62 remain unchanged from the embodiment of Figure 2B. In this embodiment in Figure 2C, heater current to the two heater resistors 54 and 56 will be passed serially through these two heater resis-

tors, whereas in the embodiment of Figure 2B, heater current is passed in parallel through the two heater resistors 54 and 56. These two embodiments thus provide an added degree of circuit design flexibility for making external electrical connections to pulse drive circuitry for the axially aligned thermal ink jet printhead disclosed and claimed herein.

Finally, referring now to Figure 2D, the conductor and resistor areas (e.g. 66 and 68) are formed alternately in a circular pattern around the outside of an ink feed hole 70. The common and conductive trace resistor lead-in patterns 74 and 76 are formed in a tapered configuration as shown and terminate on opposite sides of the edge 76 of the polymer barrier layer defining the circular firing chamber and surrounding the circular ink feed hole 70. Axially aligned thermal ink jet printheads constructed in accordance with the embodiment of Figure 2D feature completely uniform coaxial heating of the ink supplied by way of the central ink feed hole 70, and also provide an additional degree of circuit design flexibility for making external connections to pulse drive circuitry for the TIJ printhead. In addition, photo-lithographic masking and etching processes used in TIJ printhead manufacture may be readily varied in order to change the respective areas of the resistors 66 and conductors 68 to accommodate a particular TIJ pen application.

Various modifications may be made in and to the above described embodiments without departing from the spirit and scope of this invention. For example, the X and Y conductive leads formed in the various patterns described above might be fabricated of materials other than aluminum, such as polycrystalline silicon or other metals such as tungsten. When using polysilicon lead lines, the areas thereof adjacent to the heater resistors can be appropriately doped with an impurity to provide P-N junctions therein and junction isolation useful for reducing leakage currents in the printhead structure. In addition, piezoelectric transducers may be substituted for heater resistors as will be understood by those skilled in the art.

Finally, the present invention is not limited to the particular photolithographic thin film deposition processes described above. The above process may be employed with different types of thin film resistor substrate construction techniques such as, for example, those disclosed and claimed in U.S. Patent No. 4,847,630 issued to Bhaskar et al, assigned to the present assignee and incorporated herein by reference. Accordingly, these and other device and process modifications are clearly within the scope of the following appended claims.

Claims

1. A thermal ink jet pen including, in combination:

a. a thin film printhead substrate (10) having an ink feed opening (31) therein,
 b. a heater resistor (24, 26) disposed on said substrate (10) and surrounding at least a portion of said ink feed opening (31),
 c. a barrier layer (28) disposed on said substrate (10) and having an opening (30) therein surrounding said heater resistor and defining an ink firing chamber,
 d. an orifice plate (32) disposed on said barrier layer (28) and having an orifice opening (34) therein axially aligned with the central axis of said firing chamber (30) and with the central axis of said heater resistor (24, 26) and also with the central axis of said ink feed opening (31), and
 e. conductive trace lines (18, 20) disposed on said substrate and extending into electrical contact with said heater resistor (24, 26) to provide electrical pulses to said heater resistor during a thermal ink jet printing operation, whereby the axial alignment of all of said openings in said orifice plate (32), barrier layer (28), heater resistor (24, 26), and substrate (10) operate to enhance the ink ejection efficiency of said pen, and cavitation wear on said heater resistor is minimized.

2. The pen defined in claim 1 wherein said resistive heater region is patterned and defined in the geometry of an annular region of two parallel connected heater resistors (24, 26) symmetrically surrounding said ink feed opening.

3. The pen defined in claim 1 wherein said heater resistor is patterned and defined to comprise four squares (36, 38, 40, 42) on four sides, respectively, of said ink feed opening (44).

4. The pen defined in claim 1 wherein said heater resistor is formed in the shape of a pair of rectangles (54, 56) disposed on each side of a rectangularly shaped ink feed opening (58).

5. The pen defined in claim 1 wherein said heater resistor is formed in the shape of a plurality of circularly spaced resistive areas (66) between which conductive trace areas (68) are disposed.

6. A process for fabricating a thin film ink jet printhead of the type defined in claim 1 which comprises the steps of:

a. providing a thin film substrate (10) and then forming a heater resistor (24, 26) thereon partially surrounding an area of said substrate in which an ink feed opening (31) is to be subsequently formed,
 b. defining conductive trace lines (18, 20) on

the surface of said substrate and extending into electrical contact with said heater resistor (24, 26),

c. forming a barrier layer (28) on the surface of said thin film substrate and having a firing chamber (30) therein aligned with a central axis aligned with the central axis of said heater resistor (24, 26), 5

d. forming an ink feed opening (31) in said substrate which is axially aligned with the central axis of said firing chamber, and 10

e. attaching an orifice plate (32) to said barrier layer and having an orifice opening (34) therein which is axially aligned with a central axis of said ink firing chamber, with the central axis of said heater resistor. 15

7. The process defined in claim 6 which further includes depositing in succession resistive and conductive layers (14, 16) on the surface of said thin film substrate; removing all of said conductive layer except for conductive trace lead lines (18, 20) and material overlying a section (19) of said resistive layer (14) used to define a heater resistor region; and then removing conductive material remaining over said heater resistor defining region (24, 26) of said resistive layer. 20 25

8. The process defined in claim 6 wherein said resistive heater is patterned and defined in the geometry of an annular region of two parallel connected heater resistors (24, 26) symmetrically surrounding said ink feed opening (31). 30

9. The process defined in claim 6 wherein said heater resistor is patterned and defined to comprise four squares (36, 38, 40, 42) on four sides, respectively, of said ink feed opening (44). 35

10. The process defined in claim 6 wherein said heater resistor is formed in the shape of a pair of rectangles (54, 56) disposed on each side of a rectangularly shaped ink feed opening (58). 40

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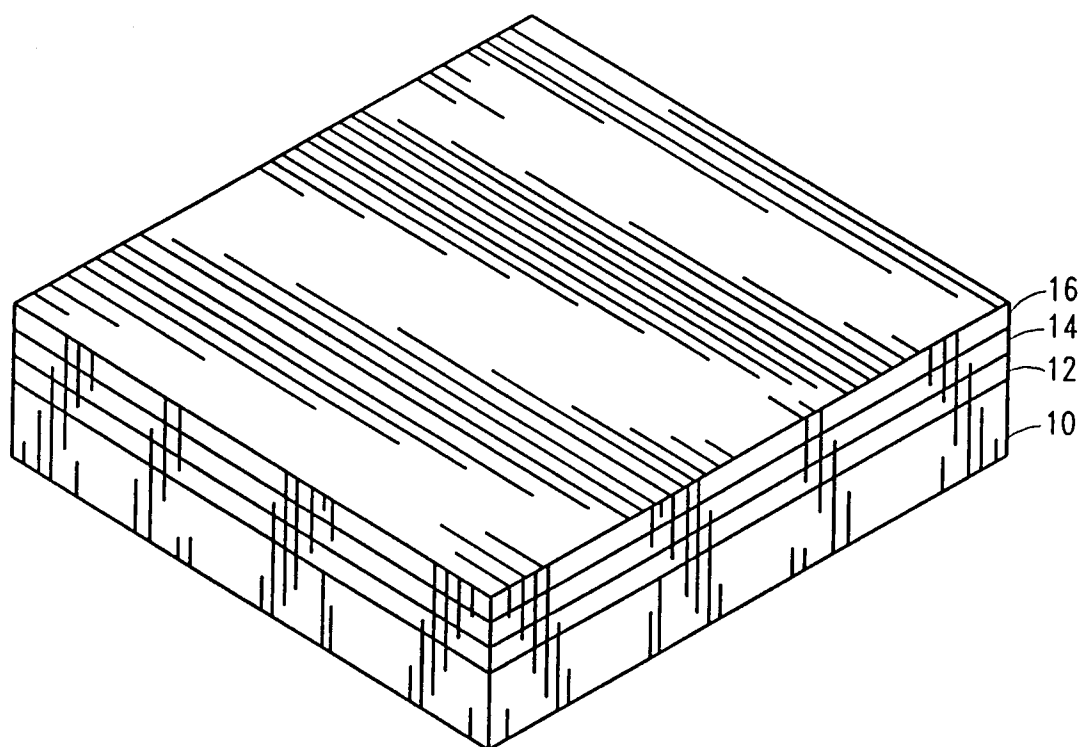


FIG. 1A.

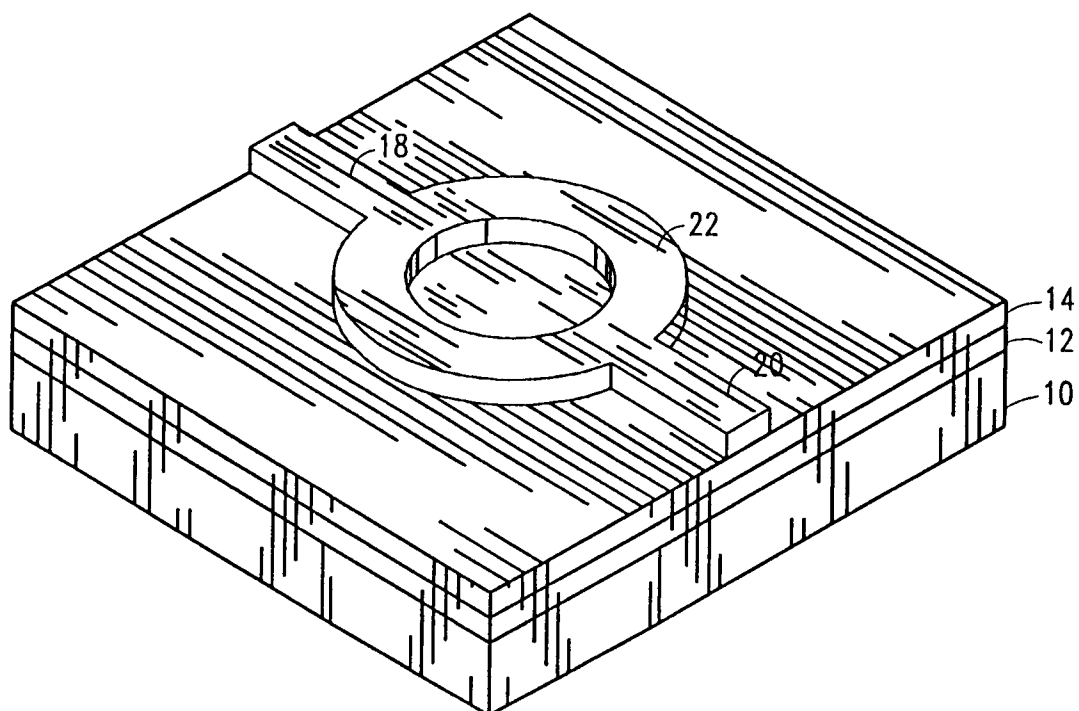


FIG. 1B.

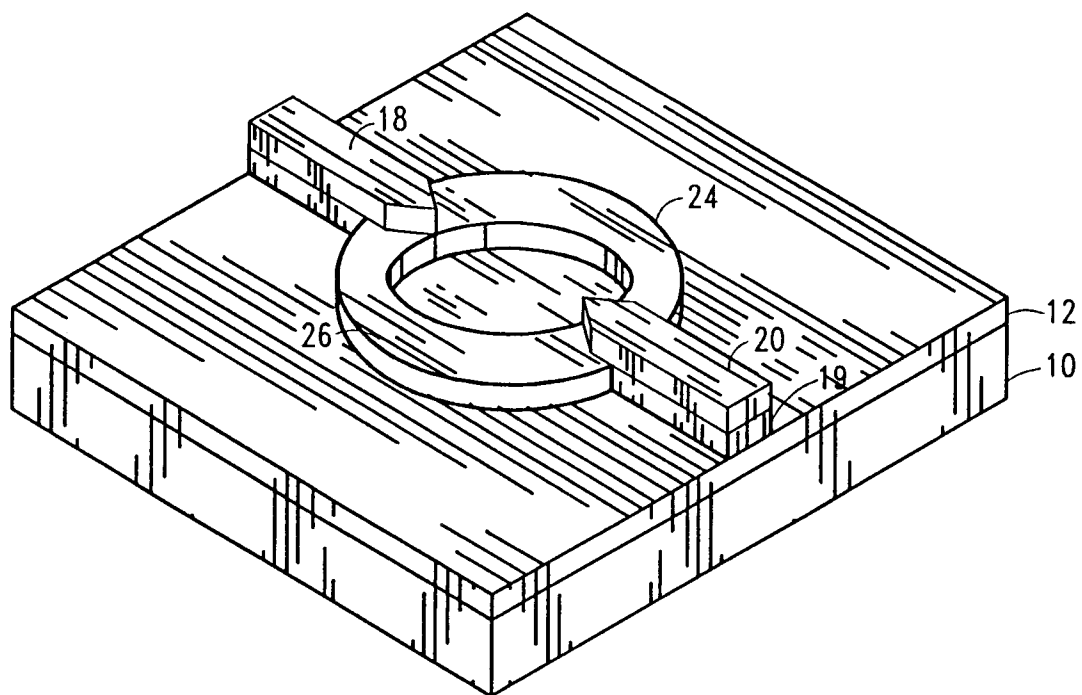


FIG. 1C.

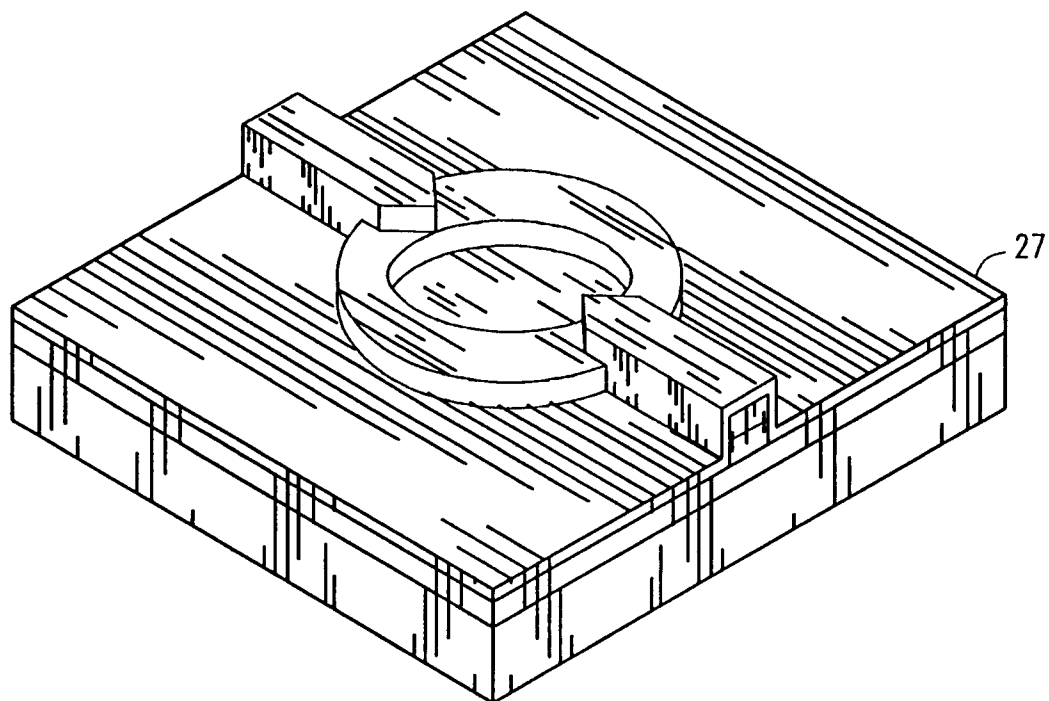


FIG. 1D.

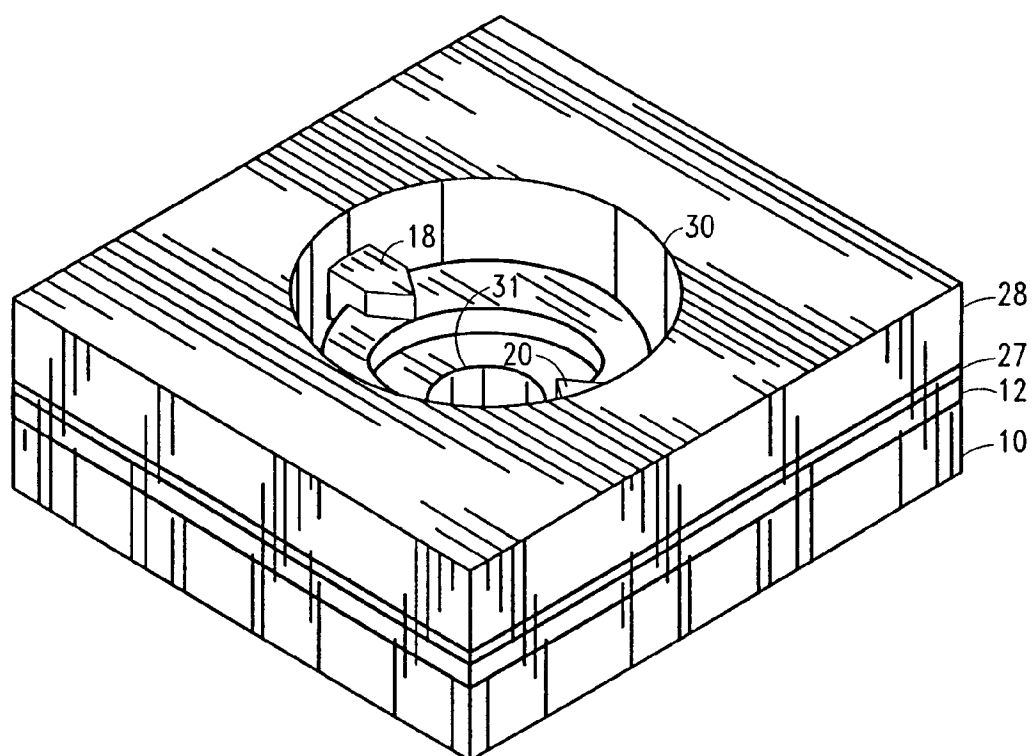


FIG. 1E.

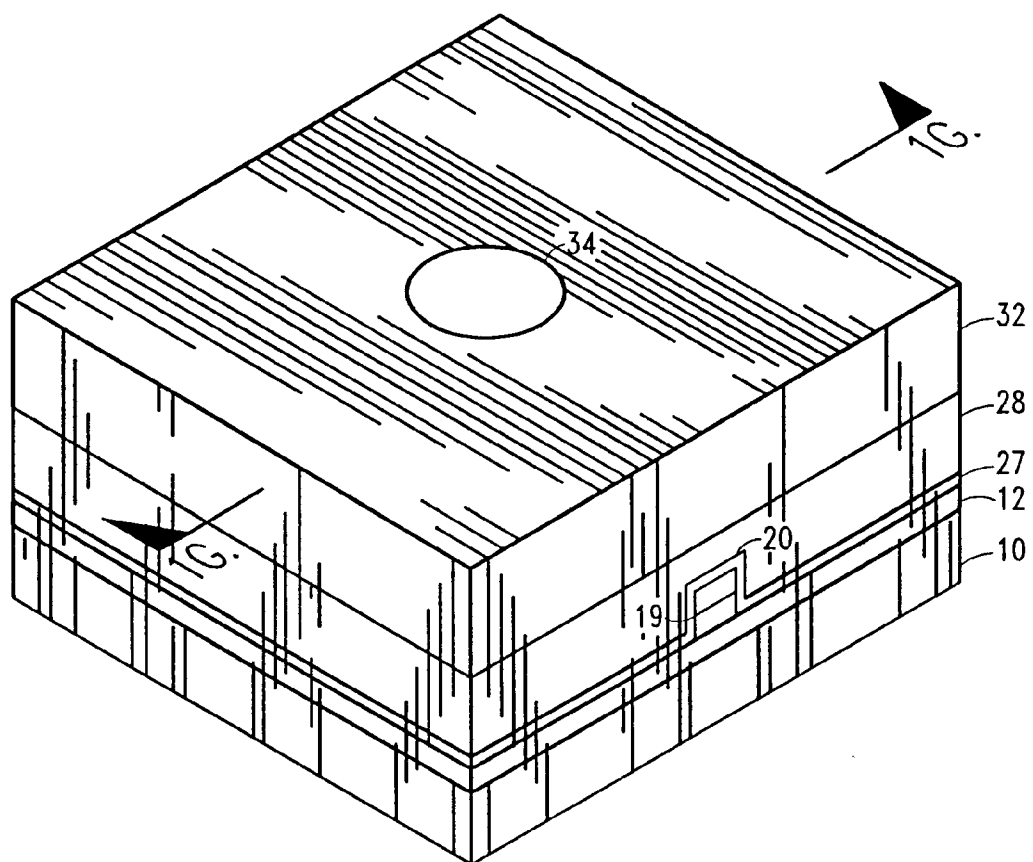


FIG. 1F.

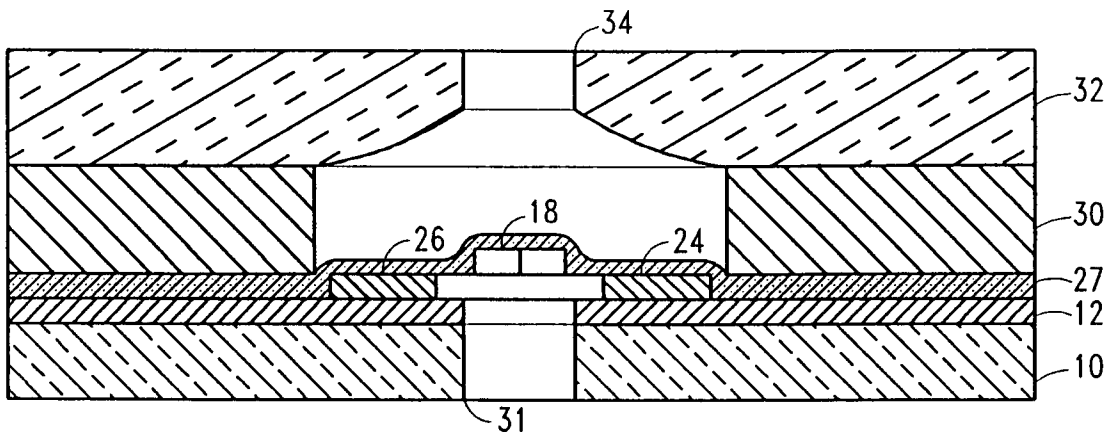


FIG. 1G.

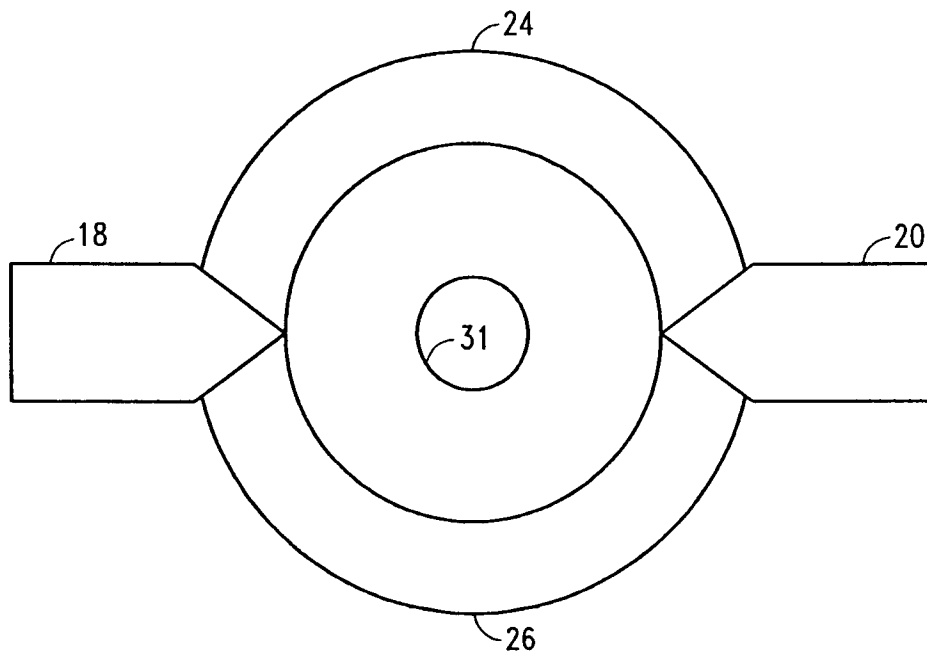


FIG. 1H.

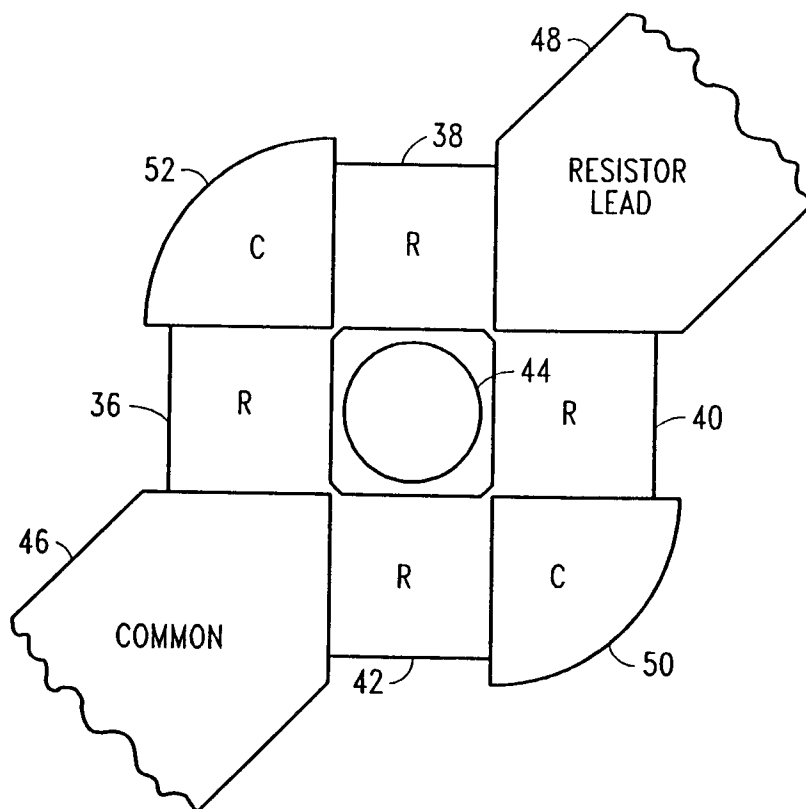


FIG. 2A.

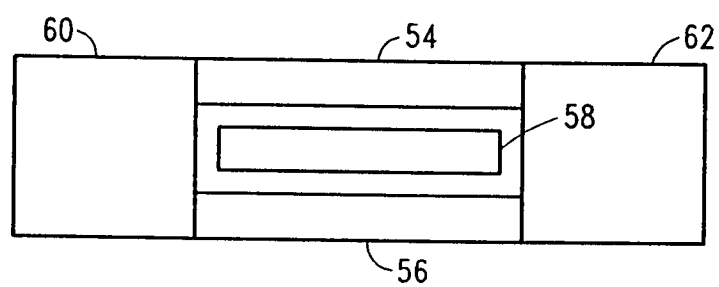


FIG. 2B.

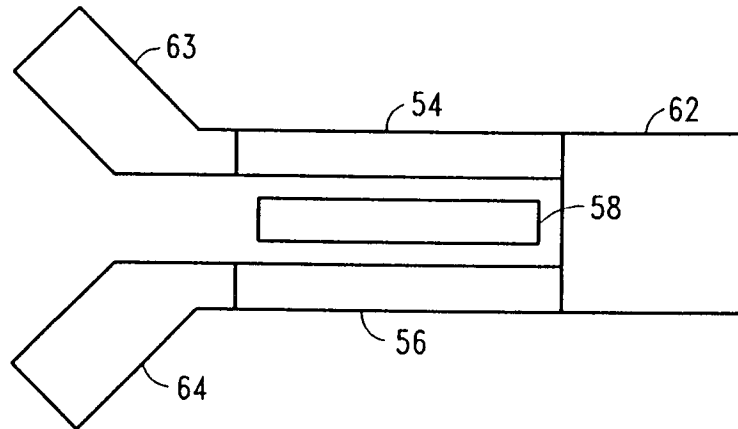


FIG. 2C.

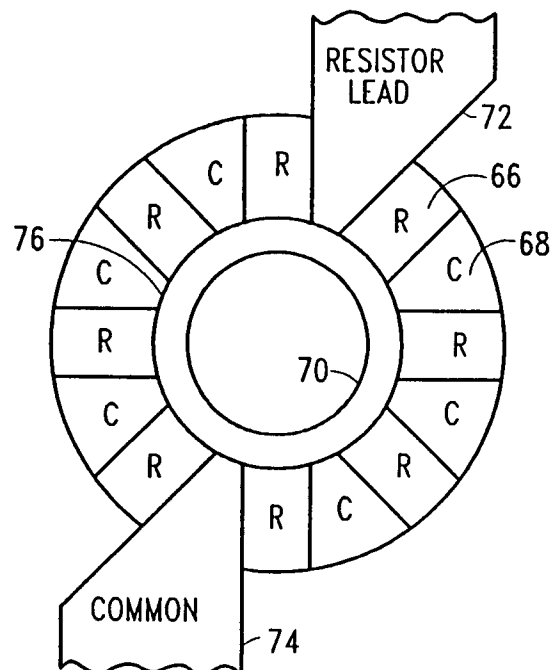


FIG. 2D.