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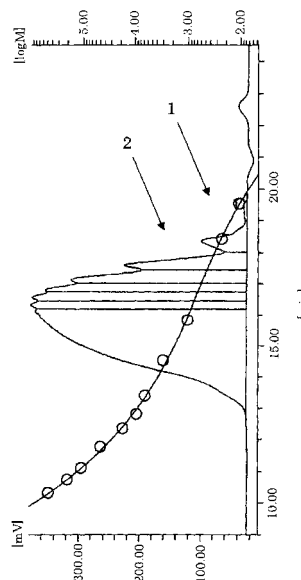
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(54) **PROCESS FOR PRODUCING PHENOLIC NOVOLAK**

(57) A phenolic novolak having the contents of a monomeric phenol and a dimeric phenol and a degree of dispersion controlled can be obtained in high yield by a process for production of a phenolic novolak having a step of conducting a heterogeneous reaction of a phenol and an aldehyde in the presence of a phosphoric acid and an unreactive oxygen-containing organic solvent as a reaction cosolvent.

Fig. 1



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**Description**

## TECHNICAL FIELD

5 **[0001]** The present invention relates to a process for production of a phenolic novolak, and more particularly to a production process capable of producing a phenolic novolak having the contents of a monomeric phenol and a dimeric phenol and a degree of dispersion (Mw/Mn) controlled in high yield.

## BACKGROUND ART

10 **[0002]** Conventionally, the phenolic novolak is generally produced by switching from a homogeneous reaction of a phenol and an aldehyde in the presence of an acid catalyst to a heterogeneous reaction starting from an emulsification phenomenon, further pursuing the reaction, checking the production of an initial condensate having a desired degree of condensation before terminating the condensation reaction, and conducting the reduction of low-molecular-weight components and dehydration in the initial condensate by vacuum concentration. And, the phenolic novolak has relatively favorable electric properties and also excels in heat resistance and flame retardance. Therefore, it is extensively used as a base resin for an epoxy resin which is used for, for example, electric and electronic materials, semiconductor sealers and the like required to have remarkable electric properties or a curing agent for epoxy resins, a base resin for a photoresist to be used for LCDs and semiconductors and also used as a binder for laminated plates, forming materials, mold materials, and the like.

20 **[0003]** But, when the conventional phenolic novolak was used for the electric and electronic materials, semiconductor sealers or the like, the resin contained a monomeric phenol and a dimeric phenol in a large amount, so that there were various problems such as environmental pollution because of volatilization of the monomeric phenol involving an odor, a degradation in production efficiency because of falling of the dimeric phenol, which were sublimated to deposit on the side and ceiling of the apparatus when a photoresist coated on a substrate such as glass for liquid crystal display was baked, onto the substrate, and a degradation in crosslinking density of a cured resin substance affecting on the heat resistance, and the like. Because the molecular weight distribution was broad, there were also disadvantages that the molten resin had a high viscosity and poor moldability.

25 **[0004]** Where the phenolic novolak is used as a binder for castings, it is demanded to decrease phenol monomer contained in the phenolic resin as low as possible because the phenol monomer applies to the PRTR law (Pollutant Release and Transfer Register) and the phenol monomer contained in the binder becomes tar in a high-temperature atmosphere, resulting in defective casting such as a gas defect. In other words, as the phenolic resin for the casting binder, a phenolic novolak having properties that a content of monomeric phenol is decreased, a molecular weight is low and the molecular weight distribution is narrow is demanded.

30 **[0005]** For example, as a method for reduction of the monomeric phenol and dimeric phenol contained in the above phenolic resin, there is proposed a method of removing low-molecular weight components by blowing in inert gas or vapor to condense a novolak-based condensate at 150°C to 210°C after the condensation reaction is completed (Japanese Patent Publication No. Hei 7-91352). This method has a reliable effect of decreasing the monomeric phenol and dimeric phenol but has a disadvantage that their removal lowers the yield of the resin.

35 **[0006]** The present invention has been made in view of the above circumstances and provides a process for production capable of producing a phenolic novolak with the contents of a monomeric phenol and a dimeric phenol and a degree of dispersion (Mw/Mn) controlled in high yield by reacting a phenol and an aldehyde in the presence of a phosphoric acid and a reaction cosolvent.

## SUMMARY OF THE INVENTION

40 **[0007]** The process for production of a phenolic novolak of the present invention comprises a step of conducting a heterogeneous reaction of a phenol with an aldehyde in the presence of a phosphoric acid and an unreactive oxygen-containing organic solvent as a reaction cosolvent.

45 **[0008]** Here, the compounding amount of the phosphoric acid is preferably 5 parts by mass or more per 100 parts by mass of the phenol and more preferably 25 parts by mass or more, the compounding amount of the reaction cosolvent is preferably 5 parts by mass or more to 100 parts by mass of the phenol, and more preferably 10 to 200 parts by mass, and a ratio of the phenol and the aldehyde is preferably 0.40 to 1.0 mol of the aldehyde per mol of the phenol.

50 **[0009]** As the reaction cosolvent, it is preferable to use at least one selected from the group consisting of an alcohol, a polyalcohol-based ether, a cyclic ether, a polyalcohol-based ester, a ketone and a sulfoxide.

**[0010]** And, it is also preferable to have a surface active agent in the step of conducting the heterogeneous reaction.

**[0011]** It is also preferable to conduct the step of the heterogeneous reaction under pressure of 0.03 to 1.50 MPa.

## BRIEF DESCRIPTION OF THE DRAWINGS

**[0012]** Fig. 1 is a gel permeation chromatography (hereinafter might be referred to as the "GPC") chart of a phenolic novolak (hereinafter might be referred to as the "novolak resin") obtained in Example 1; Fig. 2 is a GPC chart of the novolak resin obtained in Example 14; Fig. 3 is a GPC chart of the novolak resin obtained in Example 20; Fig. 4 is a GPC chart of the novolak resin obtained in Example 21; Fig. 5 is a GPC chart of the novolak resin obtained in Example 26; Fig. 6 is a GPC chart of the novolak resin obtained in Example 36; Fig. 7 is a GPC chart of the novolak resin at the completion of condensation obtained in Example 41; Fig. 8 is a GPC chart of the novolak resin at the completion of condensation obtained in Example 55; Fig. 9 is a GPC chart of the novolak resin at the completion of condensation obtained in Example 61; Fig. 10 is a GPC chart of the novolak resin obtained in Comparative Example 1; Fig. 11 is a GPC chart of the novolak resin obtained in Comparative Example 6; Fig. 12 is a GPC chart of the novolak resin obtained in Comparative Example 8 at the completion of condensation; Fig. 13 is a GPC chart of the novolak resin at the completion of condensation obtained in Comparative Example 9; and Fig. 14 is a rheometer measurement chart of an epoxy resin. In the drawings, 1 denotes a peak corresponding to a monomeric phenol and 2 denotes a peak corresponding to a dimeric phenol.

## BEST MODE FOR CARRYING OUT THE INVENTION

**[0013]** A process for production of the phenolic novolak according to the present invention has a step which requires a phenol and an aldehyde as raw materials, a phosphoric acid as an acid catalyst, and an unreactive oxygen-containing organic solvent as a reaction cosolvent, stirs to mix them in a two-phase separated state by, for example, mechanical stirring, ultrasonic wave or the like, and pursues a reaction between the phenol and the aldehyde in a cloudy heterogeneous reaction system with the two phases (organic phase and water phase) mixed to synthesize a condensate (resin).

**[0014]** Then, for example, a water-insoluble organic solvent (e.g., methyl ethyl ketone, methyl isobutyl ketone or the like) is added and mixed to dissolve the condensate, the stirring for mixing is stopped, and the mixture is left standing to separate into the organic phase (organic solvent phase) and the water phase (aqueous phosphoric acid solution phase). Then, the water phase is removed for recovering, while the organic phase is washed with hot water and/or neutralized and recovered by distillation. Thus, the novolak resin can be produced.

**[0015]** The process for production according to the invention employs a phase separation reaction, so that stirring efficiency is quite important, and it is desirable to miniaturize both phases in the reaction system to increase the surface area of the interface as large as possible in view of the reaction efficiency, and the conversion of the monomeric phenol into the resin is promoted.

**[0016]** Examples of the phenol used as the raw material are phenol, orthocresol, metacresol, paracresol, xylenol, a bisphenol, an orthosubstituted phenol having a hydrocarbon radical containing 3 or more carbon atoms, preferably 3 to 10 carbon atoms, in the ortho-position, a parasubstituted phenol having a hydrocarbon radical containing 3 or more carbon atoms, preferably 3 to 18 carbon atoms, in the para-position, and the like.

**[0017]** Here, examples of the bisphenol are bisphenol A, bisphenol F, bis(2-methyl phenol)A, bis(2-methyl phenol) F, bisphenol S, bisphenol E, bisphenol Z and the like.

**[0018]** Examples of the orthosubstituted phenol are 2-propylphenol, 2-isopropylphenol, 2-sec-butylphenol, 2-tert-butylphenol, 2-phenylphenol, 2-cyclohexylphenol, 2-nonyl phenol, 2-naphthyl phenol and the like. Especially, 2-phenylphenol, 2-tert-butylphenol, 2-cyclohexylphenol are suitably used in the field of the epoxy resin.

**[0019]** Examples of the parasubstituted phenol are 4-propylphenol, 4-isopropylphenol, 4-sec-butylphenol, 4-tert-butylphenol, 4-phenylphenol, 4-cyclohexylphenol, 4-nonyl phenol, 4-naphthyl phenol, 4-dodecylphenol, 4-octadecylphenol and the like.

**[0020]** Meanwhile, examples of the aldehyde are formic aldehyde, formalin, paraformaldehyde, trioxane, acetic aldehyde, paraaldehyde, propionaldehyde and the like. Among them, the paraformaldehyde is desirable in view of a reaction velocity.

**[0021]** Those raw materials are not limited to the exemplified ones and can be used alone or as a combination of two or more of them.

**[0022]** A compounding mole ratio (F/P) of the aldehyde (F) and the phenol (P) is 0.33 or more, preferably 0.41 to 1.0, and more preferably 0.50 to 0.90. If it is less than 0.33, there is a possibility that the effect of improving the yield is degraded.

**[0023]** The phosphoric acid used as the acid catalyst plays an important role to form a place for a phase separation reaction with the phenol in the presence of water. Preferably, an aqueous solution type, e.g., 89% by mass phosphoric acid or 75% by mass phosphoric acid, is used but, for example, polyphosphoric acid or anhydrous phosphoric acid may be used if necessary.

**[0024]** The compounding amount of the phosphoric acid has a considerable effect on the control of the phase sep-

aration effect and it is generally 5 parts by mass or more, preferably 25 parts by mass or more, and more preferably 50 to 100 parts by mass, per 100 parts by mass of the phenol. Where 70 parts by mass or more of phosphoric acid is used, it is desirable to secure safety by suppressing heat generation in the early stage of reaction by split-charging to the reaction system.

5 **[0025]** The unreactive oxygen-containing organic solvent as the reaction cosolvent plays a very important role to promote the phase separation reaction. As the reaction cosolvent, it is desirable to use at least one member selected from a group consisting of an alcohol, a polyalcohol-based ether, a cyclic ether, a polyalcohol-based ester, a ketone and a sulfoxide.

10 **[0026]** Examples of the alcohol are monohydric alcohol such as methanol, ethanol or propanol, dihydric alcohol such as butanediol, pentanediol, hexanediol, ethylene glycol, propylene glycol, trimethylene glycol, diethylene glycol, dipropylene glycol, triethylene glycol, tripropylene glycol or polyethylene glycol, trihydric alcohol such as glycerin, and the like.

15 **[0027]** Examples of the polyalcohol-based ether are ethylene glycol monomethyl ether, ethylene glycol monoethyl ether, ethylene glycol monopropyl ether, ethylene glycol monobutyl ether, ethylene glycol monopentyl ether, ethylene glycol dimethyl ether, ethylene glycol ethylmethyl ether, ethylene glycol ether and the like.

20 **[0028]** Examples of the cyclic ether are 1,3-dioxane, 1,4-dioxane and the like, examples of the polyalcohol-based ether are glycol esters such as ethylene glycol acetate, examples of the ketones are acetone, methyl ethyl ketone, methyl isobutyl ketone and the like, and examples of the sulfoxide are dimethyl sulfoxide, diethyl sulfoxide and the like.

25 **[0029]** Among them, ethylene glycol monomethyl ether, polyethylene glycol and 1,4-dioxane are particularly desirable.

30 **[0030]** The reaction cosolvents are not limited to the above-described examples but solid types can also be used if they have the above-described properties and are in a state of liquid at the time of the reaction. And, they can be used alone or as a combination of two or more.

35 **[0031]** The reaction cosolvent is not limited to a particular blending amount but used in 5 parts by mass or more, and preferably 10 to 200 parts by mass, per 100 parts by mass of phenol.

40 **[0032]** And, by additional used of a surface active agent in the heterogeneous reaction step, the phase separation reaction is promoted, the reaction time can be reduced, and the yield can also be improved.

45 **[0033]** Examples of the surface active agent are anionic surface active agents such as soap, alpha olefin sulfonate, alkylbenzene sulfonic acid and its salt, alkyl sulfate, alkyl ether sulfate, phenyl ether ester salt, polyoxyethylene alkylether sulfate, ether sulfonate and ether carboxylate; nonionic surface active agents such as polyoxyethylene alkyl phenyl ether, polyoxyalkylene alkylether, polyoxyethylene styrenated phenol ether, polyoxyethylene alkylamino ether, polyethylene glycol aliphatic ester, aliphatic monoglyceride, sorbitan aliphatic ester, pentaerythritol aliphatic ester, polyoxyethylene polypropylene glycol and aliphatic alkylol amide; and cationic surface active agents such as monoalkyl ammonium chloride, dialkyl ammonium chloride and an amino-acid salt.

50 **[0034]** The compounding amount of the surface active agent is not limited to a particular amount but 0.5 part or more per 100 parts by mass of a phenol, and preferably 1 to 10 parts by mass.

55 **[0035]** An amount of water in the reaction system has an effect on a phase separation effect and a production efficiency but is generally 40% or less according to the mass standard. If the amount of water exceeds 40%, there is a possibility that the production efficiency decreases.

60 **[0036]** A reaction temperature between the phenol and the aldehyde is variable depending on types of phenols and reaction conditions, and not limited particularly but generally 40°C or more, preferably 80°C to a reflux temperature, and more preferably a reflux temperature. If the reaction temperature is less than 40°C, the reaction time becomes very long, and the phenol monomer cannot be reduced easily. The reaction time is variable depending on the reaction temperature, blending amount of phosphoric acid, a water content in the reaction system and the like but generally about 1 to 10 hours.

65 **[0037]** As a reaction environment, it is general to have normal pressure, but the reaction may be made under pressure or under a reduced pressure if the heterogeneous reaction which is a feature of the present invention is maintained. Particularly, under pressure of 0.03 to 1.50 MPa, a reaction velocity can be increased, and a low-boiling solvent such as methanol can be used as a reaction cosolvent.

70 **[0038]** When the process for production of the present invention is used, the following phenolic novolaks are generally obtained in the compounding mole ratio (F/P) of an aldehyde (F) and a phenol (P) though variable to some extent depending on types of phenols.

75 **[0039]** When the compounding mole ratio (F/P) is 0.80 mol or more, and preferably in a range of 0.80 mol or more and 1.00 mol or less, phenolic novolak can be produced in high yield in that a total content of a monomeric phenol and a dimeric phenol is 10% or less, and preferably 5% or less according to the measurement made by the GPC area method, and the degree of dispersion (Mw/Mn) of the weight-average molecular weight (Mw) and the number-average molecular weight (Mn) is 1.1 to 3.0, preferably 1.2 to 2.0, according to GPC measurement.

80 **[0040]** When the compounding mole ratio (F/P) is in a range of 0.33 or more and less than 0.80, phenolic novolak

can be produced in high yield in that in that a monomeric phenol content is 3% or less, preferably 1% or less, and a dimeric phenol content is 5% to 95%, preferably 10% to 90% when measured by the GPC area method, and the degree of dispersion (Mw/Mn) of the weight-average molecular weight (Mw) and the number-average molecular weight (Mn) is 1.05 to 1.8, preferably 1.1 to 1.7, according to GPC measurement.

5 **[0041]** It is not necessarily clear why the novolak resin is obtained in good yield with the contents of the monomeric phenol and dimeric phenol and the degree of dispersion (Mw/Mn) controlled according to the process for production of the invention but it is presumed as follows.

10 **[0042]** In the heterogeneous reaction system in a cloudy state that the organic phase having the phenol as the main ingredient and the water phase having the phosphoric acid, the aldehyde and a reaction cosolvent as the main ingredients are mixed, the phenol in the organic phase dissolves into the water phase by the dissolution promoting action of the reaction cosolvent and reacts with the aldehyde under the catalyzing conditions of the phosphoric acid to grow as a condensate (resin), which cannot coexist in the water phase and moves to the organic phase having the condensate dissolving power, and the further growth of the condensate is suppressed or stopped, so that it is prevented from being of high molecular weight. Thus, the process of the invention applies a two-liquid phase interface reaction having a mechanism of suppressing the resinification of the phenol in the water phase and the resin from being of high molecular weight in the organic phase, so that it is assumed that the resin having the contents of the monomeric phenol and dimeric phenol and a degree of dispersion (Mw/Mn) controlled is produced at the end of the condensation reaction, and the yield is considerably improved.

15 **[0043]** It is considered from the above that the setting of the compounding ratio of the reaction raw materials and the compounding amount of phosphoric acid and reaction cosolvent is most significant but water and reaction temperatures essential for the phase separation related to the above are also important to efficiently develop the phase separation effect of the process according to the invention. In other words, the process according to the invention is presumed that the novolak resin having the contents of the monomeric phenol and the dimeric phenol and the degree of dispersion (Mw/Mn) controlled by setting the appropriate reaction conditions according to the above-described presumed reasons can be produced in high yield.

[Examples]

20 **[0044]** Then, the present invention will be described in further detail in examples but not limited to such examples. The properties of the produced novolak resin were measured by the following testing methods.

(1) Degree of dispersion

25 **[0045]** Weight-average molecular weight (Mw) and number-average molecular weight (Mn) in terms of the standard polystyrene calibration were determined by Tosoh Corporation's gel permeation chromatography SC-8020 series build-up system (column: G2000Hx1+G4000Hx1, detector: UV 254 nm, carrier: tetrahydrofuran 1 ml/min, column temperature: 38°C), and a degree of dispersion (Mw/Mn) was calculated.

(2) Contents (%) of monomeric phenol and dimeric phenol

30 **[0046]** Areas of a monomeric phenol and a dimeric phenol to the entire area of the molecular weight distribution were measured by an area method indicating in percentage.

(3) Softening point

35 **[0047]** According to the Ring-and-Ball method described in JIS-K6910, a ring-and-ball type automatic softening point measuring apparatus ASP-MGK2 produced by MEITECH Company, Ltd. was used for measurement.

(4) Melt viscosity

40 **[0048]** Measured by a cone plate viscometer (CONE PLATE VISCOMETER MODEL CV-1 produced by TOA Industry Inc.).

(5) Alkali dissolving velocity (Å/s)

45 **[0049]** Novolak resin was dissolved in ethyl cellosolve acetate, the obtained solution was coated on a silicon wafer by a spin coater, and prebaking was conducted to form a novolak resin film having a thickness of about 15000Å. It was immersed in an alkali developing solution (2.38% by mass aqueous tetramethyl ammonium hydroxide solution) at

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23°C, time until the film disappeared was measured to determine a thickness dissolved per second ( $\text{\AA}/\text{s}$ ), and it was determined as an alkali dissolving velocity.

(6) Epoxy equivalent (g/eq)

**[0050]** Measured according to JIS-K-7236 (indicator titration method/titration by 0.1N perchloric acid, acetic acid solution).

<Example 1>

**[0051]** Into a reaction vessel provided with a thermometer, a stirring device and a condenser, 193 parts of phenol (P), 57 parts of 92% by mass paraformaldehyde (F) ( $F/P=0.85$ ), 116 parts of 89% by mass phosphoric acid (53.4%/P), 96.5 parts of ethylene glycol (50%/P) were charged and gradually raised to a reflux temperature (98 to 102°C) in a cloudy condition (two-phase mixture) formed by stirring for mixing, and at the same temperature, a condensation reaction was conducted for ten hours, then the reaction was stopped.

**[0052]** Then, methyl isobutyl ketone was added to dissolve the condensate while stirring for mixing, the stirring for mixing was stopped, the content was moved into a separating flask and left standing to separate into a methyl isobutyl ketone solution layer (upper layer) and an aqueous phosphoric acid solution layer (lower layer). Then, the aqueous phosphoric acid solution layer was removed, the methyl isobutyl ketone solution was washed with water several times to remove phosphoric acid, the content was returned into the reaction vessel, and the methyl isobutyl ketone was completely removed by vacuum distillation to obtain 213.5 parts of the novolak resin (yield 110.6%/P). The yield of the novolak resin was indicated in percentage with respect to the charged amount of phenol (mass standard).

**[0053]** The obtained novolak resin was measured for its properties according to the testing method described above. The results are shown in Table 1. A GPC chart of the obtained novolak resin is shown in Fig. 1.

<Examples 2 to 7>

**[0054]** Novolak resins were obtained in the same manner as in Example 1 except that the reaction conditions were changed as shown in Table 1. The measured results are shown in Table 1. In Table 1, "N.D." indicates no detection.

Table 1

		Example						
		1	2	3	4	5	6	7
R e a c t i o n M a s s s o n d i t i o n s	Phenol	193	193	193	193	193	193	193
	92% Paraform	57	57	57	57	—	47	33.5
	37%Formalin	—	—	—	—	142	—	—
	Compounding ratio(F/P)(mole ratio)	0.85	0.85	0.85	0.85	0.85	0.75	0.5
	89% Phosphoric acid solution	116	116	116	116	116	116	116
	Compounding amount of phosphoric acid (Pure content)(%/P)	60	60	60	60	60	60	60
	Ethylene glycol	96.5	—	—	—	—	—	—
	1,4-Dioxane	—	96.5	—	—	—	96.5	—
	1,4-Butanediol	—	—	96.5	—	—	—	38.6
	Methanol	—	—	—	11.4	—	—	—
	Usage (%/P)	50	50	50	5.9	50	20	10
	Reaction time (hour)	10	10	9	11.5	10	7	7
Monomeric phenol(%)	0.3	N.D.	N.D.	0.4	N.D.	N.D.	N.D.	
Dimeric phenol(%)	3.3	2.3	3.1	1.3	4.7	16.3	50.1	
Number-average molecular weight(Mn)	755	776	780	688	928	390	378	
Weight-average molecular weight(Mw)	1227	1110	1213	1035	1551	548	464	
Degree of dispersion (Mw/Mn)	1.63	1.43	1.55	1.5	1.67	1.4	1.23	
Melt viscosity (Pa·s/150°C)	3.13	2.31	3.46	3.34	2.47	0.03	0.04	
Softening point(°C)	107.3	108.5	113.3	111	106.9	81.4	50.1	
Yield(%)	110.6	106.6	105.6	107.6	109.7	107.2	82.8	

<Examples 8 to 18>

**[0055]** Novolak resins were obtained in the same manner as in Example 1 except that the reaction conditions were changed as shown in Table 2. The measured results are shown in Table 2. A GPC chart of the novolak resin obtained in Example 14 is shown in Fig. 2.

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Table 2

		Example																
		8	9	10	11	12	13	14	15	16	17	18						
Reaction	Raw material	216	216	216	216	216	216	216	216	216	216	216						
	Orthocresol	-	-	-	-	-	-	49	49	49	49	49						
	92% Paraform	130	130	130	130	130	130	-	-	-	-	-						
	37%Formalin	0.8	0.8	0.8	0.8	0.8	0.8	0.75	0.75	0.75	0.75	0.75						
	Compounding ratio/F/P(mole ratio)	130	130	130	130	130	130	130	130	130	130	130						
	89% Phosphoric acid solution	53.6	53.6	53.6	53.6	53.6	53.6	53.6	53.6	53.6	53.6	53.6						
	Compounding amount of phosphoric acid (Pure content)(%/P)	108	-	-	-	-	-	108	-	-	-	-						
	Diethylene glycol	-	108	-	-	-	-	-	108	-	-	-						
	Ethylene glycol	-	-	-	-	-	-	-	-	108	-	-						
	Ethylene glycol Monomethyl ether	-	-	108	-	-	-	-	-	-	108	-						
Solvent	1,4-Butanediol	-	-	-	108	-	-	-	-	-	-	108						
	1,4-Dioxane	-	-	-	-	108	-	-	-	-	-	108						
	Dimethyl sulfoxide	-	-	-	-	-	108	-	-	-	-	-						
	Usage (%/P)	50	50	50	50	50	50	50	50	50	50	50						
	Reaction time (hour)	20	20	20	20	20	20	20	11	15	5	10						
	Monomeric phenol(%)	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.						
	Dimeric phenol(%)	4.4	5.3	4.8	5.2	6	7.9	7	7.5	7.2	6.5	13.7						
	Number-average molecular weight(Mn)	807	829	799	774	778	797	695	621	551	539	475						
	Weight-average molecular weight(Mw)	1014	996	1009	964	957	1049	814	735	657	641	581						
	Degree of dispersion (Mw/Mn)	1.26	1.2	1.26	1.25	1.23	1.32	1.17	1.18	1.19	1.19	1.22						
Properties	Melt viscosity (Pa·s/150°C)	0.44	0.26	0.48	0.44	0.34	0.37	0.16	0.12	0.13	0.15	0.12						
	Softening point(°C)	96	93	96	94	90	91	82	73	81	80	74						
	Yield(%)	108	108	108	108	109	108	108	107	105	105	108						

<Examples 19 to 31>

**[0056]** Novolak resins were obtained in the same manner as in Example 1 except that the reaction conditions were changed as shown in Table 3. The measured results are shown in Table 3. GPC charts of the novolak resins obtained in Examples 20, 21 and 26 are shown in Figs. 3, 4 and 5.

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Table 3

		Example													
		19	20	21	22	23	24	25	26	27	28	29	30	31	
R e a c t i o n c o n d i t i o n s	Metacresol	100	100	100	100	100	100	100	90	80	80	80	60	40	
	Paracresol	-	-	-	-	-	-	-	10	20	20	20	40	60	
	92% Paraform	-	-	-	-	-	-	-	-	-	-	27.2	27.2	27.2	
	37% Formalin	71.3	72.8	67.6	71.3	68.3	71.3	71.3	69.3	67.6	60.1	-	-	-	
	Compounding ratio(F/P)(mole ratio)	0.95	0.97	0.9	0.95	0.91	0.95	0.95	0.93	0.9	0.8	0.9	0.9	0.9	
	Catalyst	60	60	60	60	60	30	5.6	60	60	60	11.5	60	60	
	89% Phosphoric acid solution	53.4	53.4	53.4	53.4	53.4	26.7	5	53.4	53.4	53.4	10.2	53.4	53.4	
	Compounding amount of phosphoric acid (Pure content)(%/P)	100	50	-	-	-	100	50	50	-	-	100	-	50	
	Ethylene glycol Monobutyl ether	-	50	100	-	-	-	-	-	100	-	-	-	50	
	Ethylene glycol	-	-	-	75	-	-	-	-	-	-	-	-	-	
Ethylene glycol Monomethyl ether	-	-	-	-	-	-	-	-	-	-	-	-	-		
1,4-Dioxane	-	-	-	-	100	-	-	-	-	-	-	100	-		
Usage (%/P)	100	100	100	75	100	100	100	50	100	100	100	100	100		
Reaction time (hour)	8	8	8	8	8	8	8	20	15	18	18	6	8		
Monomeric phenol(%)	0.9	0.2	0.4	0.2	0.4	0.5	0.7	0.7	0.7	0.7	0.5	0.5	0.2		
Dimeric phenol(%)	2.4	0.7	1.7	0.7	1.2	3.1	4.9	4.2	3.3	3.3	8.5	4.9	7.5		
Number-average molecular weight(Mn)	1572	2037	990	1612	1146	1338	1189	1378	1220	1220	684	1154	887		
Weight-average molecular weight(Mw)	10972	16893	1841	5178	2097	8286	6944	10762	3966	1490	8044	3522	2145		
Degree of dispersion (Mw/Mn)	6.96	8.29	1.86	3.21	1.83	4.7	5.84	7.81	3.25	2.18	6.97	3.97	2.57		
Softening point(°C)	>200	>200	152	184	153	169	164	176	151	132	167	148	140		
Yield(%)	108	110	106	106	109	108	107	106	106	106	107	108	105		
Alkali dissolving velocity (A./sec)	297	265	6175	1087	5406	970	843	574	1083	4037	382	511	<10		

<Examples 32 to 36>

5 **[0057]** Novolak resins were obtained in the same manner as in Example 1 except that the reaction conditions were changed as shown in Table 4. The measured results are shown in Table 4. A GPC chart of the novolak resin obtained in Example 36 is shown in Fig. 6.

<Example 37>

10 **[0058]** Into a pressure-resistant reaction vessel provided with a thermometer and a stirring device, 114 parts of bisphenol A, 13.1 parts of 92% paraformaldehyde, 68.4 parts of 89% phosphoric acid, and 57 parts of methanol were charged and raised to 110°C in a cloudy state (two-phase mixture) formed by stirring for mixing, and at the same temperature, a condensation reaction was conducted for one hour. At that time, the internal pressure (gage pressure) was 0.30 MPa.

15 **[0059]** Then, methyl isobutyl ketone was added to dissolve the condensate while stirring for mixing, the stirring for mixing was stopped, the content was moved into a separating flask and left standing to separate into a methyl isobutyl ketone solution layer (upper layer) and an aqueous phosphoric acid solution layer (lower layer). Then, the aqueous phosphoric acid solution layer was removed, the methyl isobutyl ketone solution was washed with water several times to remove phosphoric acid, the content was returned into the reaction vessel, and the methyl isobutyl ketone was completely removed by vacuum distillation to obtain 116 parts of the novolak resin. The measured results are shown in Table 4.  
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<Example 38>

25 **[0060]** Novolak resin was obtained in the same manner as in Example 37 except that the reaction conditions were changed as shown in Table 4. The measured results are shown in Table 4.

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Table 4

		Example						
		32	33	34	35	36	37	38
Reaction conditions	Bisphenol A	228	228	228	228	228	114	114
	92% Paraform	-	-	-	-	26.1	13.1	13.1
	37%Formalin	64.9	64.9	64.9	64.9	-	-	-
	Compounding ratio(F/P)(mole ratio)	0.8	0.8	0.8	0.8	0.8	0.8	0.8
	89% Phosphoric acid solution	136.8	136.8	136.8	136.8	136.8	68.4	68.4
	Compounding amount of phosphoric acid (Pure content)(%/P)	53.4	53.4	53.4	53.4	53.4	53.4	53.4
	Ethylene glycol	114	-	-	-	-	-	-
	Monomethyl ether	-	114	-	-	-	-	-
	Ethylene glycol	-	-	114	-	-	-	-
	Diethylene glycol	-	-	-	-	-	-	-
1,4-Dioxene	-	-	-	114	114	114	-	-
Methanol	-	-	-	-	-	-	57	-
Acetone	-	-	-	-	-	-	-	57
Usage (%/P)	50	50	50	50	50	50	50	50
Reaction time (hour)	1	1	1	1	1	1	1	1
Internal pressure of reaction system (gage pressure)(MPa)	-	-	-	-	-	-	0.3	0.2
Monomeric phenol(%)	5.9	8.4	5.8	4.1	5.4	9.3	8.8	
Dimeric phenol(%)	16.8	24.1	19.1	24.1	25.5	22.1	25.5	
Number-average molecular weight(Mn)	832	688	767	805	672	722	753	
Weight-average molecular weight(Mw)	1500	1090	1460	1250	940	1105	1227	
Degree of dispersion (Mw/Mn)	1.81	1.59	1.91	1.55	1.4	1.53	1.63	
Melt viscosity (Pa·s/180°C)	3.33	0.6	1.91	1.92	1.07	1.49	0.59	
Softening point(°C)	133	122	130	129	124	129	121	
Yield(%)	104	104	104	105	105	103	105	
Properties								

<Examples 39 to 43>

[0061] Novolak resins were obtained in the same manner as in Example 37 except that the reaction conditions were changed as shown in Table 5 and the reaction temperature was changed to 150°C. The measured results are shown

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in Table 4. A GPC chart of the novolak resin at the completion of condensation obtained in Example 41 is shown in Fig. 7.

<Examples 44 to 50>

5 **[0062]** Novolak resins were obtained in the same manner as in Example 1 except that the reaction conditions were changed as shown in Table 5. The measured results are shown in Table 5. In Examples 49 and 50, polyoxyalkylene alkyl ether (NAROACTY HN100 produced by Sanyo Chemical Industries, Ltd.) was used as a surface active agent.

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Table 5

		Example												
		39	40	41	42	43	44	45	46	47	48	49	50	
R e a c t i o n c o n d i t i o n s	Raw material	170.2	170.2	170.2	119.2	170.2	85.1	—	—	—	—	—	—	
		—	—	—	—	—	—	75.1	—	—	—	—	—	
		—	—	—	—	—	—	—	88.1	—	—	—	—	
		26.1	26.1	26.1	—	19.6	13.1	13.1	13.1	5.7	5.7	5.7	5.7	
		—	—	—	45.5	—	—	—	—	—	—	—	—	
		0.8	0.8	0.8	0.8	0.6	0.8	0.8	0.8	0.6	0.6	0.6	0.6	
		102.1	102.1	102.1	71.5	102.1	51.1	45.1	52.9	30	30	30	30	
		53.4	53.4	53.4	53.4	53.4	53.4	53.4	53.4	53.4	53.4	53.4	53.4	
		85.1	—	—	59.6	85.1	42.6	75.1	44.1	25	—	25	—	
		—	85.1	—	—	—	—	—	—	—	—	—	—	
C o s o l v e n t	Polyethylene glycol	—	—	—	—	—	—	—	—	—	—	—	—	
	Ethylene glycol	—	—	85.1	—	—	—	—	—	—	—	—	—	
	Monomethyl ether	—	—	—	—	—	—	—	—	—	—	—	—	
	Methanol	—	—	—	—	—	—	—	—	—	—	—	—	
p r o p e r t i c e s	Usage (%/P)	50	50	50	50	50	50	100	50	50	20	50	20	
	Surface active agent	—	—	—	—	—	—	—	—	—	—	—	—	
	Compounding amount of surface active agent (%/P)	—	—	—	—	—	—	—	—	—	—	—	—	
	Reaction time (hour)	10	10	10	20	10	24	24	24	24	2	2	2	
		0.15~	0.07~	0.10~	0.36~	0.16~	—	—	—	—	—	—	—	
		0.20	0.10	0.14	0.39	0.20	—	—	—	—	—	—	—	
	Internal pressure of reaction system (gage pressure)(MPa)	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.	
	Monomeric phenol(%)	17.1	17.5	14.7	21.4	49.1	39.6	19.5	31.5	78.9	95.4	86.7	95.7	
	Dimeric phenol(%)	531	808	824	767	337	623	918	828	373	370	364	360	
	Number-average molecular weight(Mn)	851	1151	1136	1048	636	819	1295	1095	441	407	416	392	
Weight-average molecular weight(Mw)	1.23	1.43	1.38	1.37	1.18	1.31	1.41	1.32	1.18	1.1	1.14	1.09		
Degree of dispersion (Mw/Mn)	0.89	1.13	1.8	1.24	0.02	0.2	0.66	0.76	<0.01	<0.01	<0.01	<0.01		
Melt viscosity (Pa·s/180°C)	101.8	103.9	108.5	104.1	69.3	87	99.2	98.8	47	52	49	52		
Softening point(°C)	106	107	106	105	103	105	106	106	81	73	97	84		
Yield(%)														

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<Examples 51 to 56>

**[0063]** Novolak resins were obtained in the same manner as in Example 1 except that the reaction conditions were changed as shown in Table 6. The measured results are shown in Table 6. A GPC chart of the novolak resin at the completion of condensation obtained in Example 55 is shown in Fig. 8. In Examples 54 to 56, polyoxyalkylene alkylether (NAROACTY HN100 produced by Sanyo Chemical Industries, Ltd.) was used as a surface active agent.

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Table 6

		Example						
		51	52	53	54	55	56	
Reaction Materials	Raw material							
	Para-tert-butylphenol	50	50	—	50	50	50	
	Paraphenyl phenol	—	—	50	—	—	—	
	92% Paraform	6.5	6.5	5.3	5.4	6	6.5	
	37%Formalin	—	—	—	—	—	—	
	Compounding ratio(F/P)(mole ratio)	0.6	0.6	0.55	0.5	0.55	0.6	
	Catalyst							
	89% Phosphoric acid solution	30	30	30	30	30	30	
	Compounding amount of phosphoric acid (Pure content)(%/P)	53.4	53.4	53.4	53.4	53.4	53.4	
	1,4-Dioxane	—	—	12.5	12.5	12.5	12.5	
Solvent	glycerine	12.5	—	—	—	—	—	
	Methanol	—	2.5	—	—	—	—	
	Usage (%/P)	25	5	25	25	25	25	
Properties	Surface active agent	—	—	—	—	2.5	2.5	
	Compounding amount of surface active agent (%/P)	—	—	—	5	5	5	
	Reaction time (hour)	4	5	7	5	2	2	
	Monomeric phenol(%)	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.	
	Dimeric phenol(%)	76.9	70.9	65	77.6	73.6	68.1	
	Number-average molecular weight(Mn)	490	509	383	491	500	509	
	Weight-average molecular weight(Mw)	535	570	460	525	550	580	
	Degree of dispersion (Mw/Mn)	1.09	1.12	1.2	1.07	1.1	1.14	
	Melt viscosity (Pa·s/180°C)	<0.01	<0.01	<0.01	<0.01	<0.01	<0.01	
	Softening point(°C)	69	73	40	68	71	75	
Yield(%)	93	93	90	92	103	98		

<Example 57>

[0064] Novolak resin was obtained in the same manner as in Example 1 except that the reaction conditions were

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changed as shown in Table 7. The measured results are shown in Table 7.

<Examples 58 to 65>

5 **[0065]** Novolak resins were obtained in the same manner as in Example 37 except that the reaction conditions were changed as shown in Table 7 and the reaction temperature was changed to 130°C. The measured results are shown in Table 7. A GPC chart of the novolak resin at the completion of condensation obtained in Example 61 is shown in Fig. 9.

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Table 7

		Example									
		57	58	59	60	61	62	63	64	65	
R e a c t i o n c o n d i t i o n s	Raw material	75.1	100	100	100	100	100	100	100	—	
										100	
		13.1	17.4	17.4	17.4	17.4	17.4	17.4	19.6	15.3	
		—	—	—	—	—	—	—	—	—	
		0.8	0.8	0.8	0.8	0.8	0.8	0.8	0.9	0.8	
	Catalyst	45.1	60	60	60	60	60	60	60	60	
		53.4	53.4	53.4	53.4	53.4	53.4	53.4	53.4	53.4	
		37.6	50	—	—	—	—	—	—	50	
		—	—	50	—	—	—	—	—	—	
		—	—	—	50	—	—	—	50	—	
P r o p e r t i e s	Internal pressure of reaction system (gage pressure)(MPa)	—	0.12	0.42~ 0.70	0.11~ 0.20	0.10~ 0.13	0.03	0.12	0.20~ 0.23	0.15~ 0.20	
	Reaction time (hour)	24	10	10	10	10	10	10	10	10	
	Monomeric phenol(%)	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.	
	Dimeric phenol(%)	19.5	19.8	9.4	10.0	11.7	15.2	16.3	2.2	13.4	
	Number-average molecular weight(Mn)	774	774	971	711	840	857	871	1114	845	
	Weight-average molecular weight(Mw)	987	973	1343	861	1062	1235	1148	1484	1367	
	Degree of dispersion (Mw/Mn)	1.27	1.26	1.38	1.21	1.26	1.44	1.32	1.33	1.62	
	Melt viscosity (Pa·s/180°C)	0.08	0.06	0.6	0.33	0.26	0.18	0.21	>1.0	0.52	
	Softening point(°C)	114	113	135	129	126	122	123	156	132	
	Yield(%)	105	105	105	106	107	105	105	104	106	

<Comparative Example 1>

5 **[0066]** Into a reaction vessel provided with a thermometer, a stirring device and a condenser, 193 parts of phenol, 142 parts of 37% formalin and 0.97 parts of oxalic acid were charged and gradually raised to a reflux temperature (98 to 102°C) and, at the same temperature, a condensation reaction was conducted for six hours, and concentration was conducted under a reduced pressure to obtain 199g of a novolak resin. The measured results are shown in Table 8. A GPC chart of the obtained novolak resin is shown in Fig. 10.

10 <Comparative Examples 2 to 9>

15 **[0067]** Novolak resins were obtained in the same manner as in Comparative Example 1 except that the reaction conditions were changed as shown in Table 8. The measured results are shown in Table 8. A GPC chart of the novolak resin obtained in Comparative Example 6 is shown in Fig. 11, and GPC charts of the novolak resins at the completion of condensation obtained in Comparative Examples 8, 9 are shown in Figs. 12, 13.

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Table 8

		Comparative Example								
		1	2	3	4	5	6	7	8	9
R e a c t i o n c o n d i t i o n s	Phenol	193	193	193	—	—	—	—	—	—
	Orthocresol	—	—	—	216	—	—	—	—	—
	Metacresol	—	—	—	—	80	—	—	—	—
	Paracresol	—	—	—	—	20	—	—	—	—
	Bisphenol A	—	—	—	—	—	228	228	—	—
	Orthophenyphenol	—	—	—	—	—	—	—	85.1	—
	Para-tert-butylphenol	—	—	—	—	—	—	—	—	50
	92% Paraform	—	—	—	—	—	—	—	13.1	—
	47% Formalin	—	—	—	102	—	51.1	41.5	—	—
	37% Formalin	142	130	83	—	60.1	—	—	—	14.9
	Compounding ratio (F/P)(mole ratio)	0.85	0.78	0.5	0.8	0.8	0.8	0.65	0.8	0.55
	Catalyst	0.97	0.97	0.97	1.08	0.2	2.28	2.28	0.85	0.5
	Cosolvent	—	—	—	—	—	—	—	—	5
Usage (%/P)	—	—	—	—	—	—	—	—	10	
Reaction time (hour)	6	6	8	10.5	6	2.5	3	20	1	
Monomeric phenol(%)	2.6	1.2	0.5	1.3	0.55	14.2	20.4	N.D.	N.D.	
Dimeric phenol(%)	9.4	11.2	26.4	17.6	9.95	10.1	14.9	14.4	71	
Number-average molecular weight(Mn)	888	673	432	589	763	745	566	645	511	
Weight-average molecular weight(Mw)	4606	2165	651	878	3497	2900	1390	910	575	
Degree of dispersion (Mw/Mn)	5.19	3.2	1.5	1.49	4.58	3.89	2.45	1.41	1.13	
Melt viscosity (Pa·s/150°C)	130	4.2	0.12	0.13	—	4.16	0.19	3.15	<0.01	
Softening point(°C)	115	106.9	68.4	78	136	131	116	109.4	74	
Yield(%)	103	97	75	106	88	104	103	79	29	
p r o p e r t i e s										

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<Example 66>

**[0068]** In a reaction vessel provided with a thermometer, a stirring device and a condenser, novolak resin was obtained (a monomeric phenol: N.D., a dimeric phenol: 10.0%, a number-average molecular weight (Mn): 681, a weight-average molecular weight (Mw): 826, a degree of dispersion (Mw/Mn): 1.21) in the same manner as in Example 14 except that the compounding ratio (F/P) in Example 14 was changed from 0.75 to 0.80.

**[0069]** The novolak resin 50 parts (hydroxyl equivalent: 117g/eq, equivalent weight 0.43), epichlorohydrin 296 parts (equivalent weight 3.2) and n-butanol 100 parts were charged and stirred for mixing so as to prepare a homogeneous solution. Then, 39 parts of 48% sodium hydroxide solution (equivalent weight 0.47) was dripped for 30 minutes. After the dripping was completed, the reaction was further conducted at 70°C for 30 minutes. After cooling to 40°C or below, the solution was left standing still to separate into a water layer (upper layer) and an n-butanol solution layer (lower layer).

**[0070]** Then, the n-butanol solution obtained by separating as described above was moved into a separating flask and washed by water until the washing water became neutral. The solution was returned to the reaction vessel, and the n-butanol and the epichlorohydrin were removed by vacuum distillation to obtain epoxy resin. The measured results are shown in Table 9.

<Comparative Example 10>

**[0071]** Epoxy resin was obtained in the same manner as in Example 66 except that the novolak resin was changed to the novolak resin synthesized in Comparative Example 4. The measured results are shown in Table 9.

Table 9

	Example 66	Comp.Ex. 10
Monomeric phenol+dimeric phenol (%)	10.7	18
Melt viscosity (Pa•s/150°C)	0.054	0.096
Epoxy equivalent (g/eq)	207	212
Softening point (°C)	49	51

<Example 67, Comparative Examples 11, 12>

[Example 67]

**[0072]** Into a reaction vessel provided with a thermometer, a stirring device, a condenser and a dropping funnel, 60 parts of the novolak resin (hydroxyl equivalent 119g/eq) obtained in Example 34, 349.7 parts of epichlorohydrin, 120 parts of n-butanol were charged, and a temperature was raised to 70°C to completely dissolve the novolak resin. Then, after cooling to 50°C, 46.2 parts of 48% sodium hydroxide solution was dripped, and the temperature was gradually raised so that the inner temperature became 70°C when the dripping was completed. When the dripping was completed, an epoxidation reaction was conducted at 70°C for 0.5 hour, and the reaction was stopped. After the inner temperature was cooled to room temperature, methyl isobutyl ketone was added while stirring for mixing to dissolve the content.

**[0073]** Then, the content was moved into a separating flask and left standing to separate into a methyl isobutyl ketone solution layer (upper layer) and an aqueous sodium hydroxide solution layer (lower layer). Then, the aqueous sodium hydroxide solution layer was removed, the methyl isobutyl ketone solution was washed with water several times to remove sodium hydroxide, the content was returned into the reaction solution, and methyl isobutyl ketone and excess epichlorohydrin were removed completely by vacuum distillation to obtain 85 parts of the epoxy resin. The measured results are shown in Table 10.

[Comparative Examples 11, 12]

**[0074]** Epoxy resins were obtained in the same manner as in Example 67 except that the novolak resin was changed to the novolak resin (hydroxyl equivalent 119g/eq) obtained in Comparative Example 6 and the novolak resin (hydroxyl equivalent 119g/eq) obtained in Comparative Example 7. The measured results are shown in Table 10.

[Rheometer measurement]

**[0075]** The obtained epoxy resin 20g and phenol novolak (a product of Asahi Organic Chemicals Industry Co., Ltd., Mw; 959, Mn; 611) 10g as a curing agent were mixed by heating to melt in an aluminum cup. Then, the mixture 10g and 2-methyl imidazole (a curing accelerator) 0.05g were mixed by pulverizing by a coffee mill to prepare a mixture, the prepared mixture 6g and Crystalite A-1 (product of TATSUMORI LTD.) 14g as an inorganic filler were mixed by pulverizing by the coffee mill for 30 seconds to prepare a sample.

**[0076]** Then, the sample 18g was charged into a 50×70×2.5-mm mold heated to 180°C and formed into a 70×50×2.5-mm compact under compression ( $8.4 \times 10^6$  Pa (86 kg/cm<sup>2</sup>)) by a 20-t press machine, and it was cut into a size of 12×50×2.5 mm by a LUXO® to prepare a test piece. Then, the test piece was sandwiched by a jig for tension test to measure the viscoelasticity of the compact by a torsion method using an ARES (Advance Rheometric Expansion System) viscoelasticity measuring system of Rheometric Scientific F. E. Inc. The measuring conditions were a distortion of 0.01%, a frequency of 10 Hz, a tensile load of 15g, a measured temperature range of 25 to 300°C, a temperature rising velocity of 10°C/minute, and the number of measurements 1/30 seconds.

**[0077]** It is seen from the results of measurement by the rheometer of Fig. 14 that, when the behaviors of  $\tan \delta$  at the time of raising a temperature were compared, the test piece prepared from the epoxy resin of Example 67 had a Tg value (crosslinking density) equivalent to that of the test piece prepared from the epoxy resin of Comparative Example 11 having a large weight-average molecular weight and higher than that of the test piece prepared from the epoxy resin of Comparative Example 12 having the same weight-average molecular weight. Thus, it was confirmed that the cured matter of the epoxy resin produced of the novolak resin obtained by the present invention had a crosslinking density higher than that of the epoxy resin produced by Comparative Example 12 and equivalent to that of the epoxy resin produced by Comparative Example 11. But, the melt viscosity was half or less of that of the epoxy resin produced by Comparative Example 11 but higher than that of the epoxy resin produced by Comparative Example 12. In other words, it was confirmed that the content and a degree of dispersion of a monomeric phenol (unreacted bisphenol A) had an effect on the crosslinking density and melt viscosity.

Table 10

	Example 67	Comp. Ex. 11	Comp. Ex. 12
Epoxy equivalent (g/eq)	225.7	214.5	232.9
Melt viscosity (Pa·s/ 180°C)	0.24	0.60	0.08
Softening point (°C)	82.3	83.9	68.3
Tg(°C)	205	205	170

#### INDUSTRIAL APPLICABILITY

**[0078]** According to the process for production of the present invention, the phenolic novolak with the contents and the degree of dispersion of the monomeric phenol and a dimeric phenol controlled can be obtained in high yield, and the production cost can be reduced substantially.

**[0079]** The reaction time can be reduced and the yield can be improved by additionally having a surface active agent during the heterogeneous reaction step, and the yield can be improved.

**[0080]** By conducting the heterogeneous reaction step under pressure of 0.03 to 1.50 MPa, the reaction time can be reduced, and a low-boiling solvent such as methanol can be used as a reaction cosolvent.

#### Claims

1. A process for production of a phenolic novolak, comprising:

a step of conducting a heterogeneous reaction of a phenol and an aldehyde in the presence of a phosphoric acid and an unreactive oxygen-containing organic solvent as a reaction cosolvent.

2. The process for production of a phenolic novolak according to claim 1, wherein the phosphoric acid is 5 parts by mass or more per 100 parts by mass of the phenol.

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3. The process for production of a phenolic novolak according to claim 1, wherein the phosphoric acid is 25 parts by mass or more per 100 parts by mass of the phenol.
- 5 4. The process for production of a phenolic novolak according to any of claims 1 to 3, wherein the reaction cosolvent is 5 parts by mass or more per 100 parts by mass of the phenol.
5. The process for production of a phenolic novolak according to any of claims 1 to 3, wherein the reaction cosolvent is 10 to 200 parts by mass per 100 parts by mass of the phenol.
- 10 6. The process for production of a phenolic novolak according to any of claims 1 to 5, wherein the reaction cosolvent is at least one element selected from the group consisting of an alcohol, a polyalcohol-based ether, a cyclic ether, a polyalcohol-based ester, a ketone and a sulfoxide.
- 15 7. The process for production of a phenolic novolak according to any of claims 1 to 6, wherein 0.40 to 1.0 mol of the aldehyde is reacted with 1 mol of the phenol.
8. The process for production of a phenolic novolak according to any of claims 1 to 7, wherein a surface active agent is further present in the step.
- 20 9. The process for production of a phenolic novolak according to any of claims 1 to 8, wherein the heterogeneous reaction is conducted under pressure of 0.03 to 1.50 MPa.

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Fig. 1

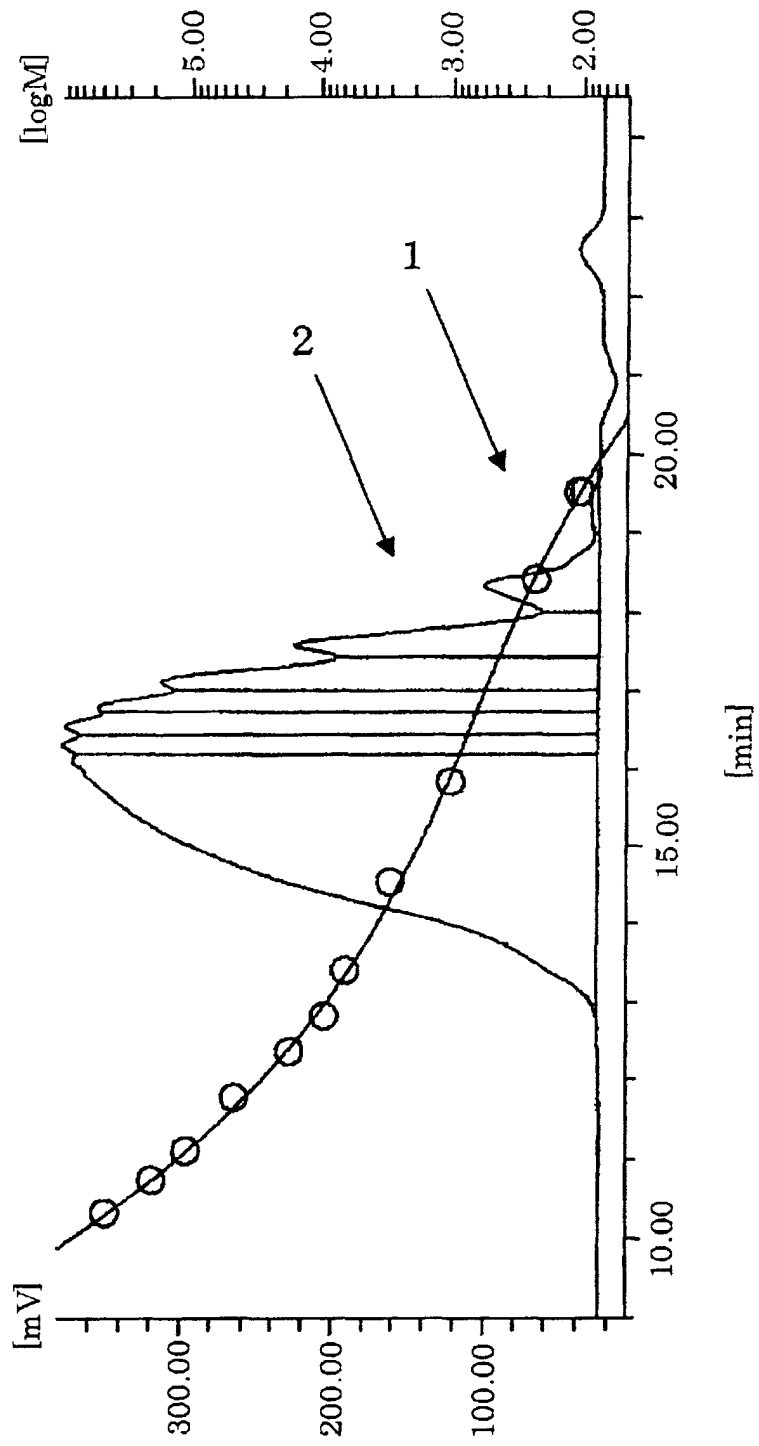


Fig. 2

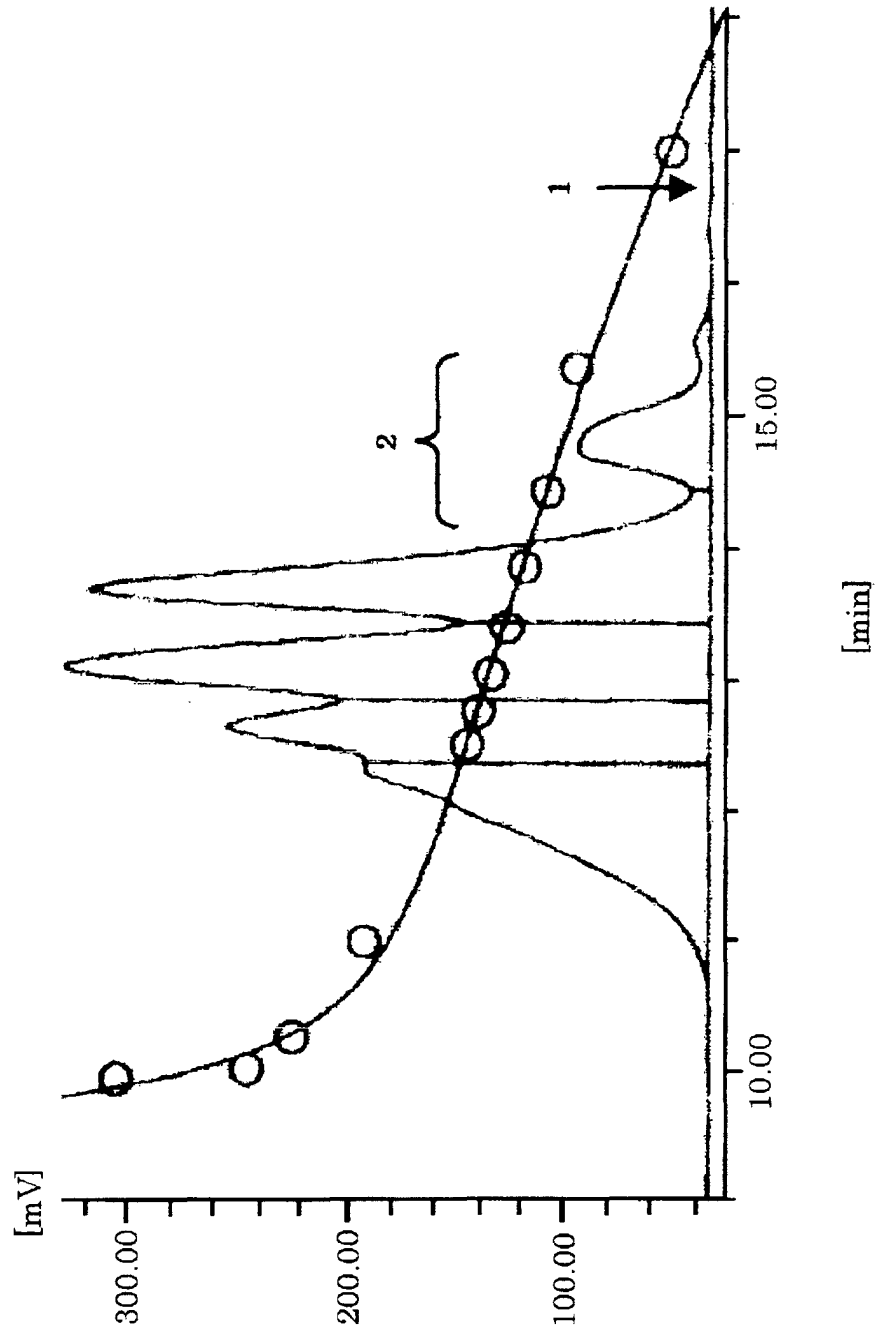


Fig. 3

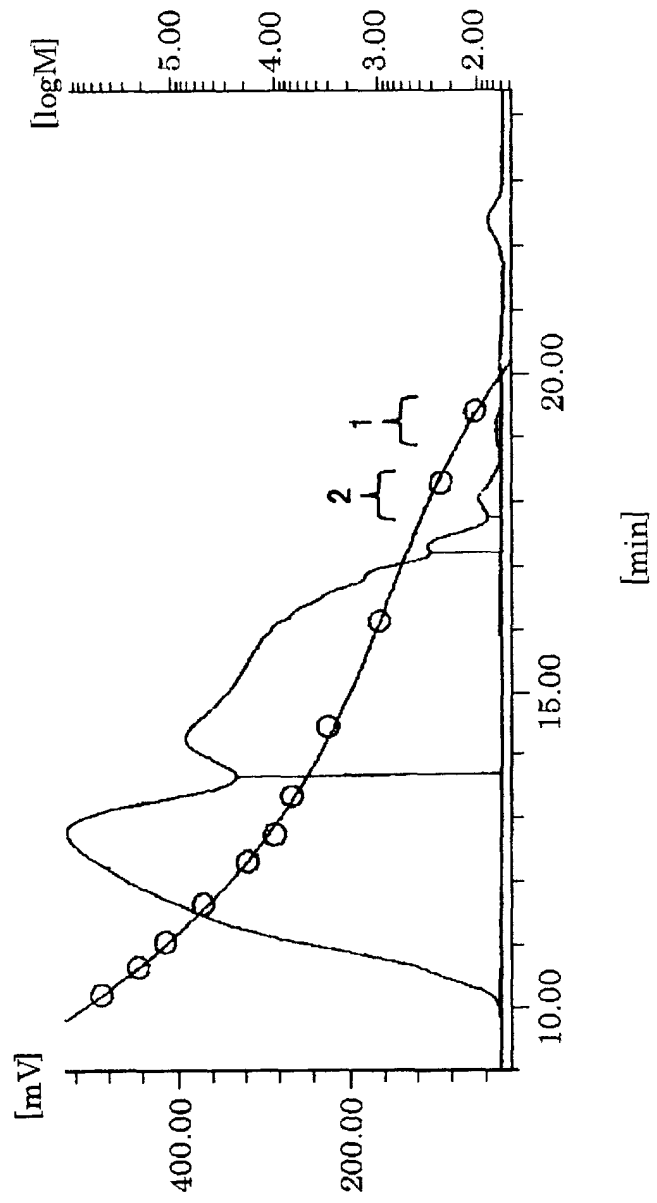


Fig. 4

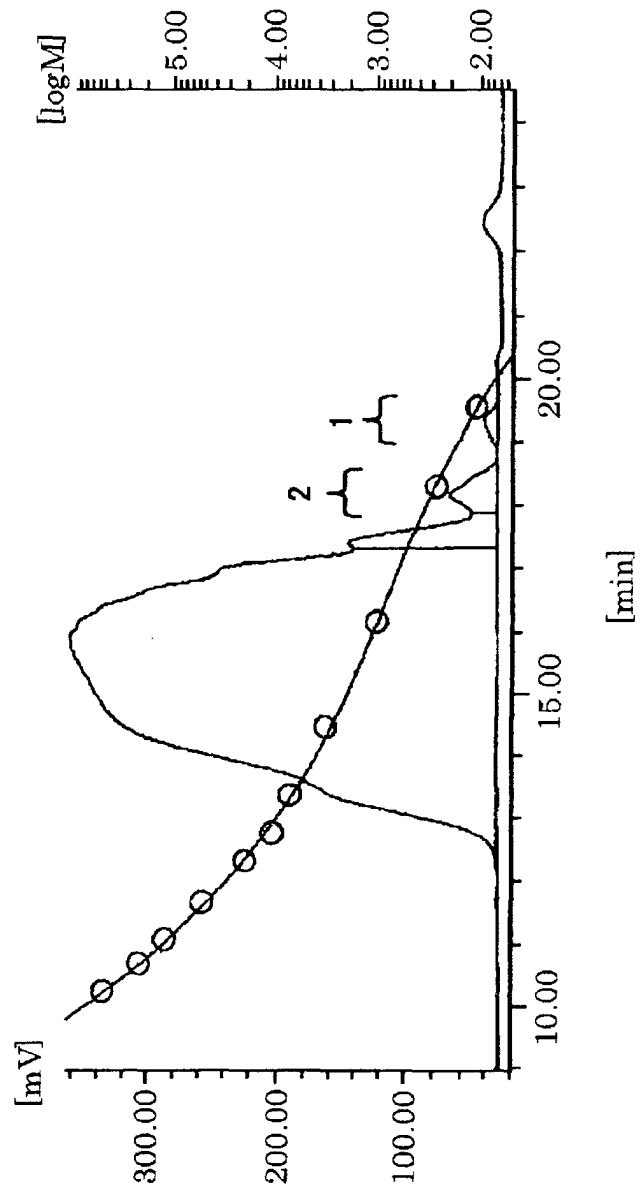


Fig. 5

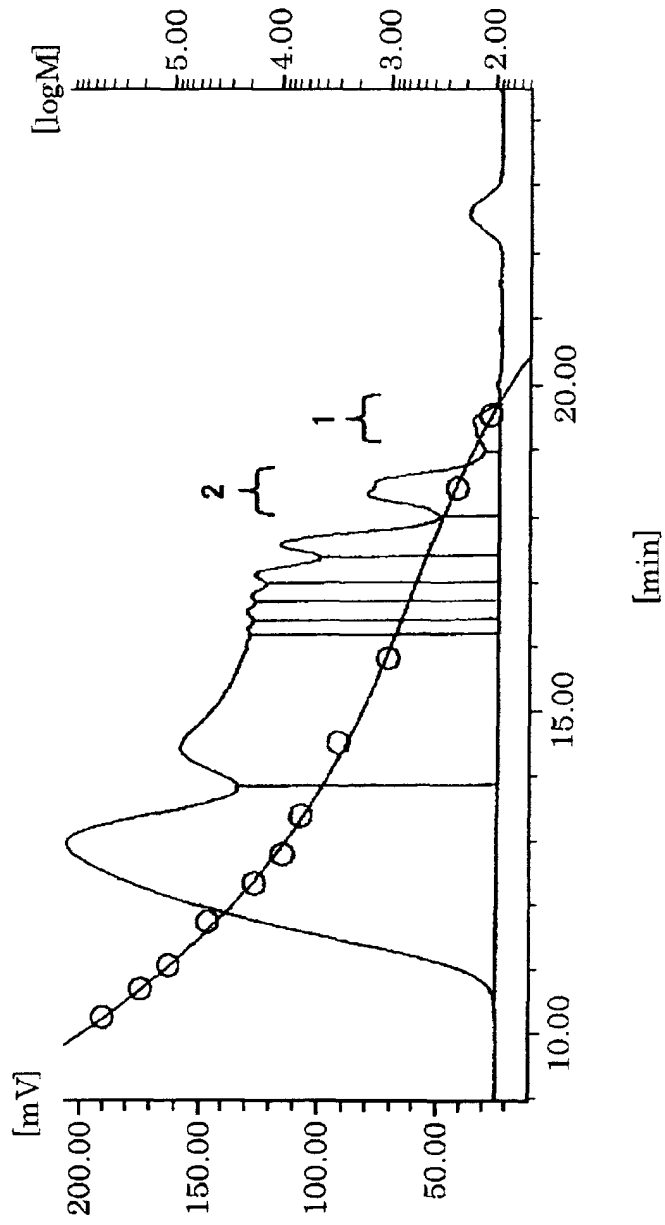


Fig. 6

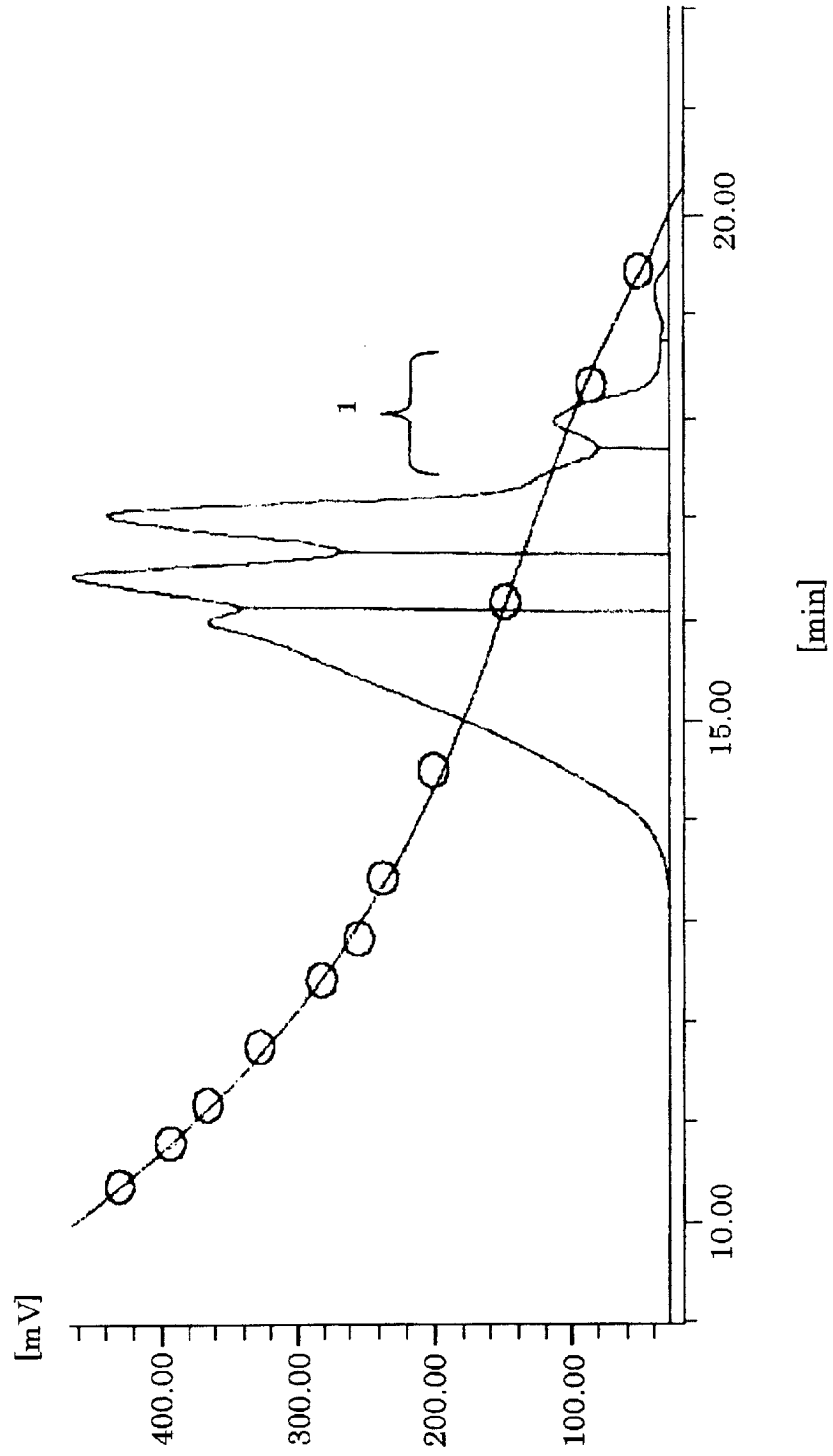


Fig. 7

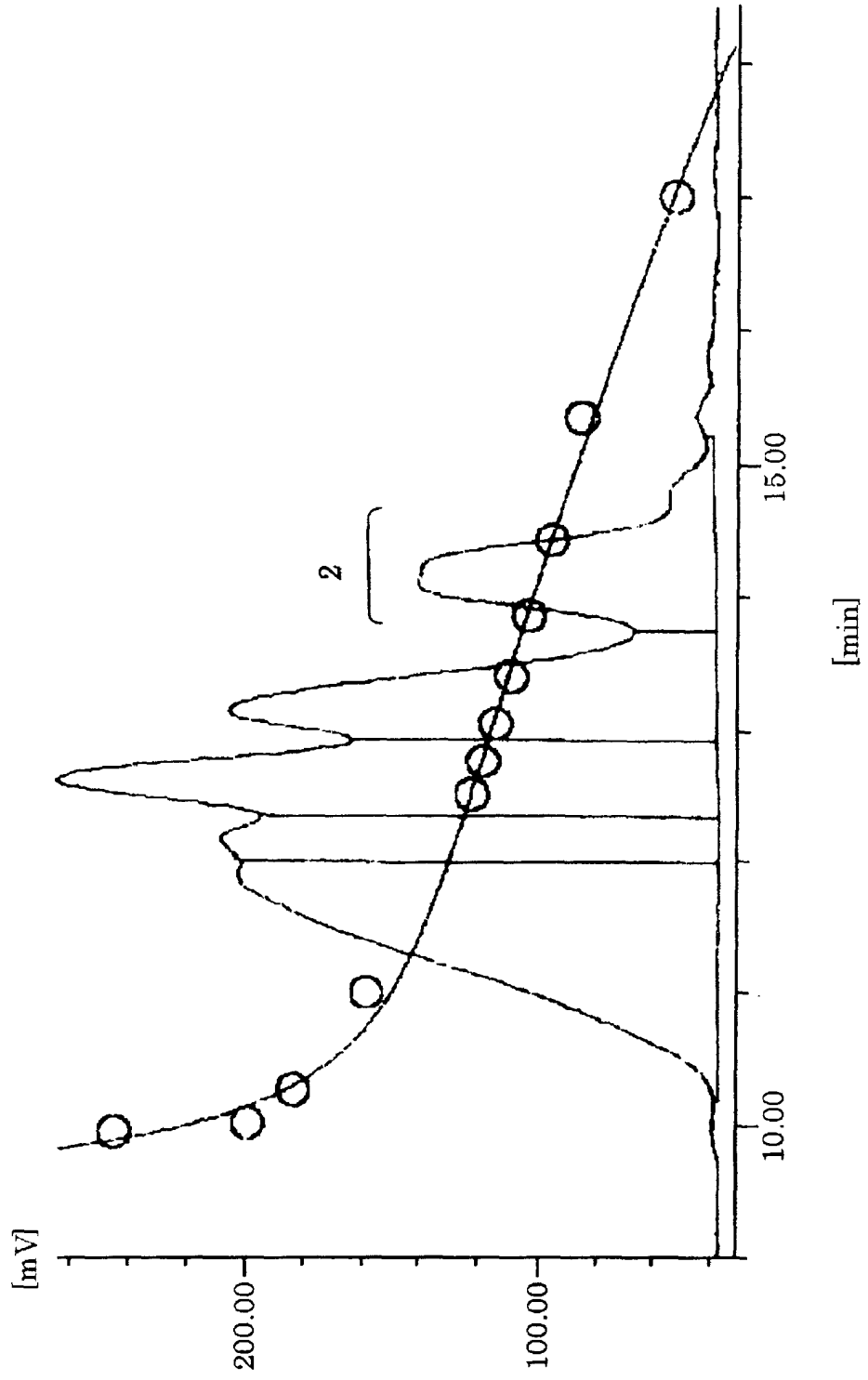


Fig. 8

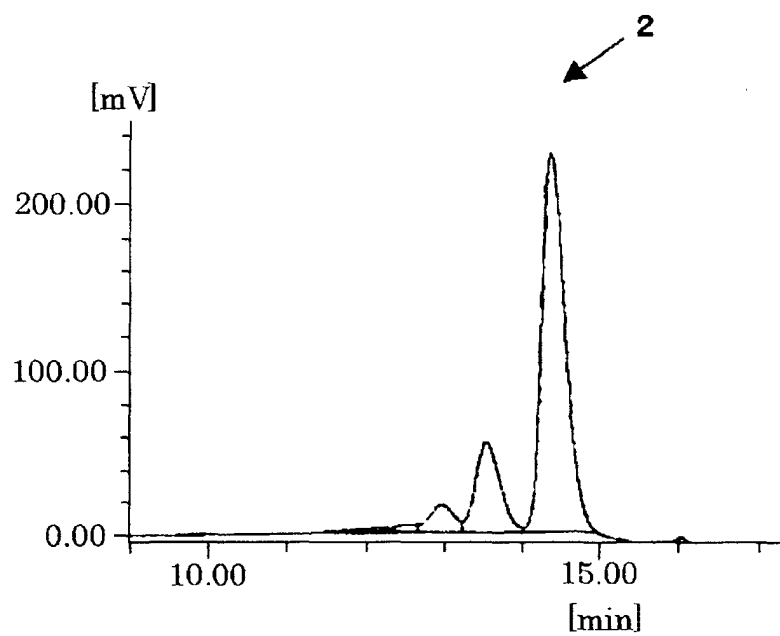


Fig. 9

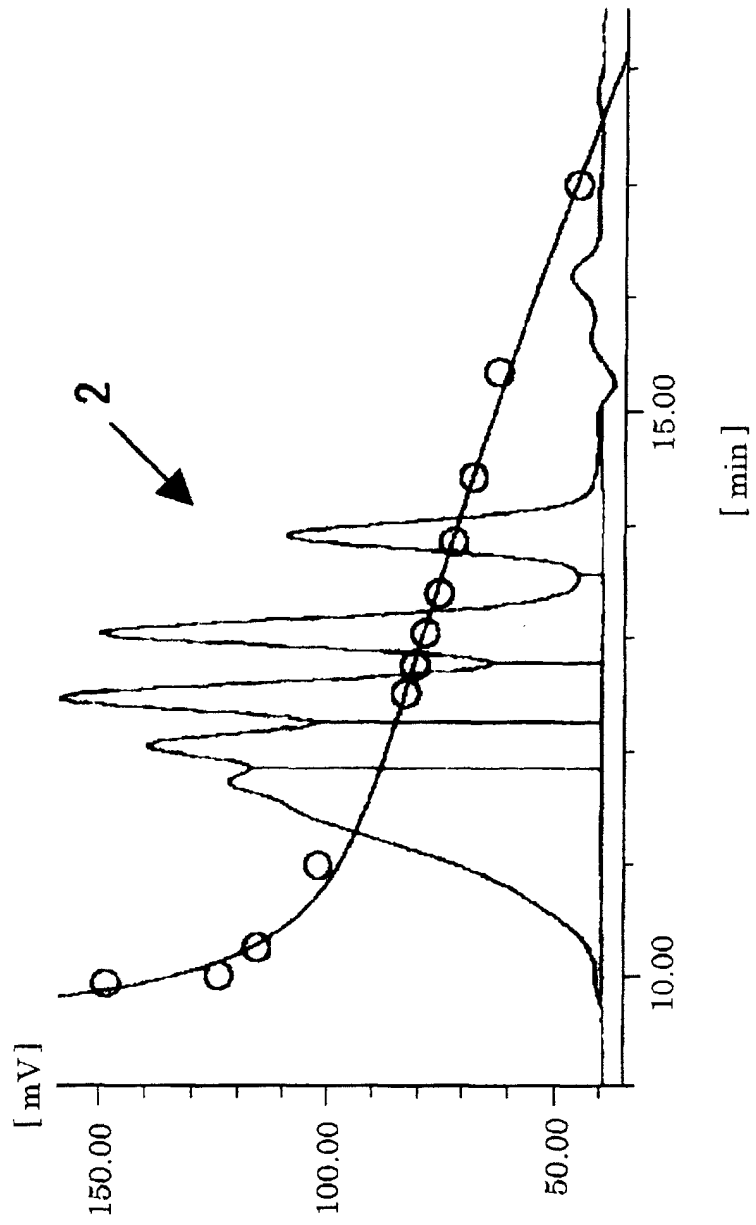


Fig. 10

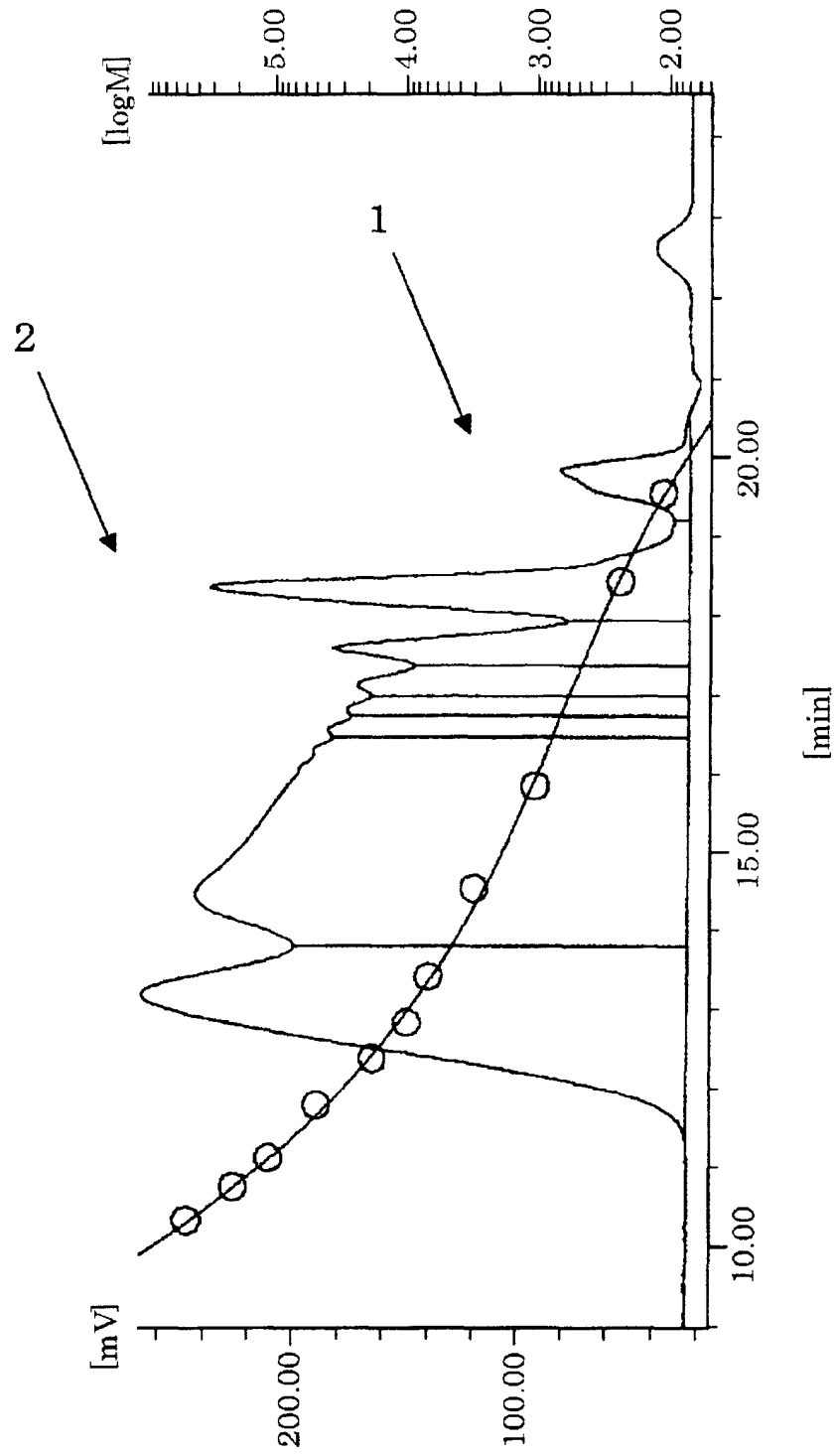


Fig. 11

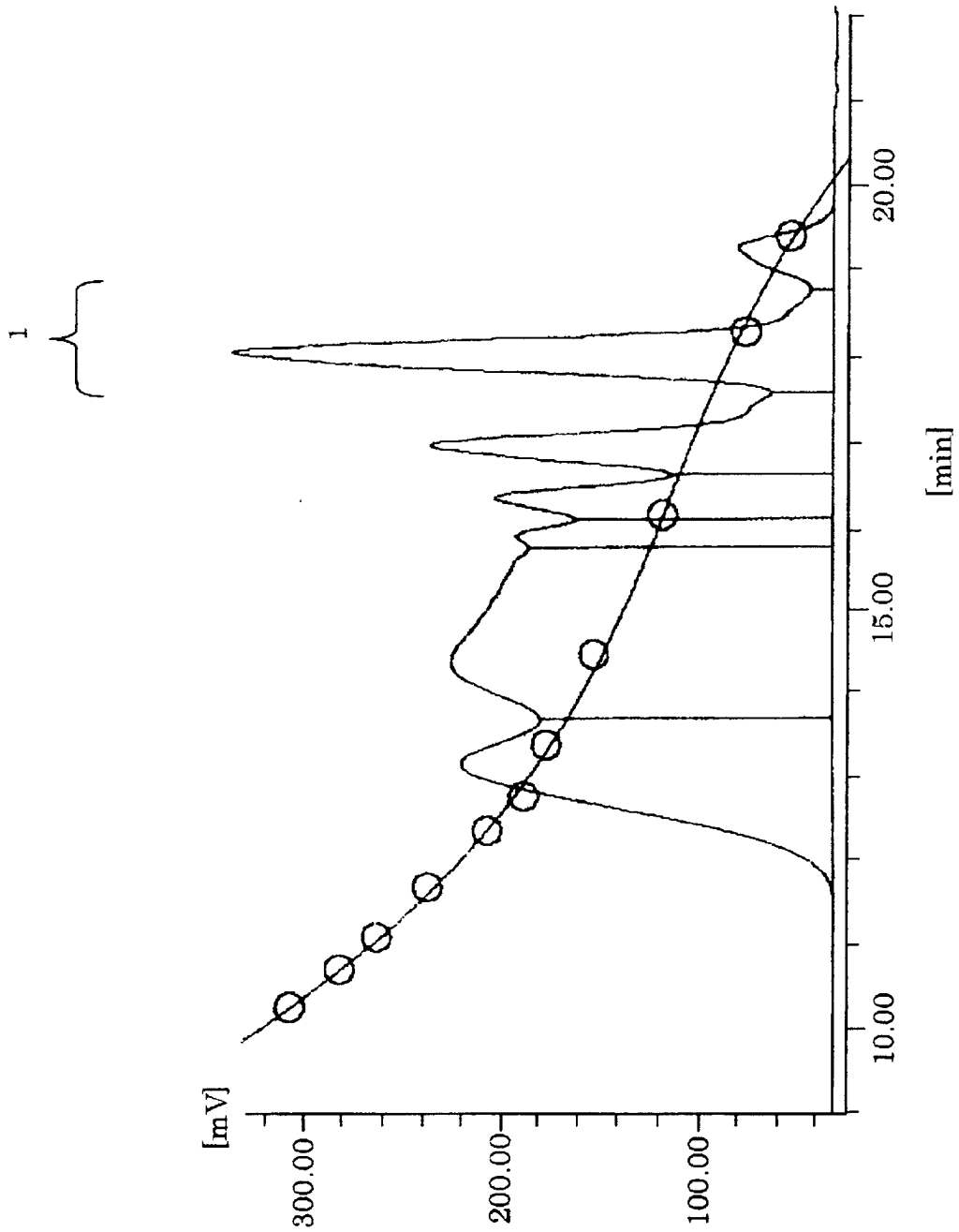


Fig. 12

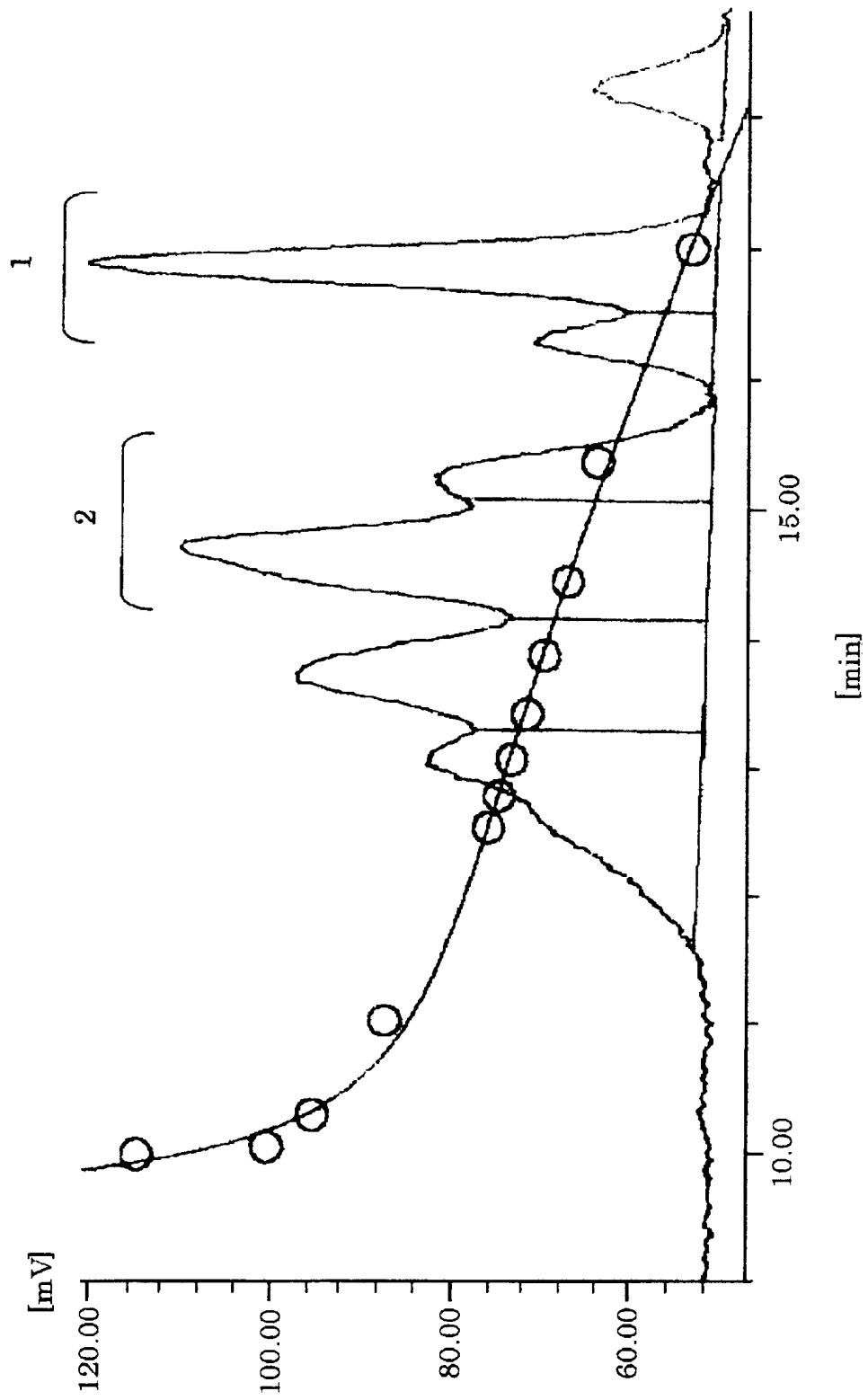


Fig. 13

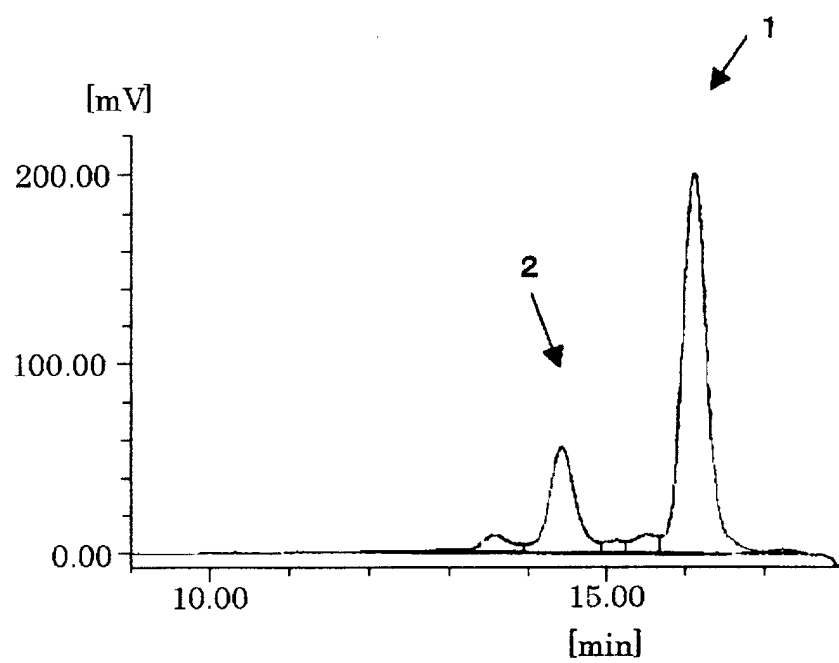
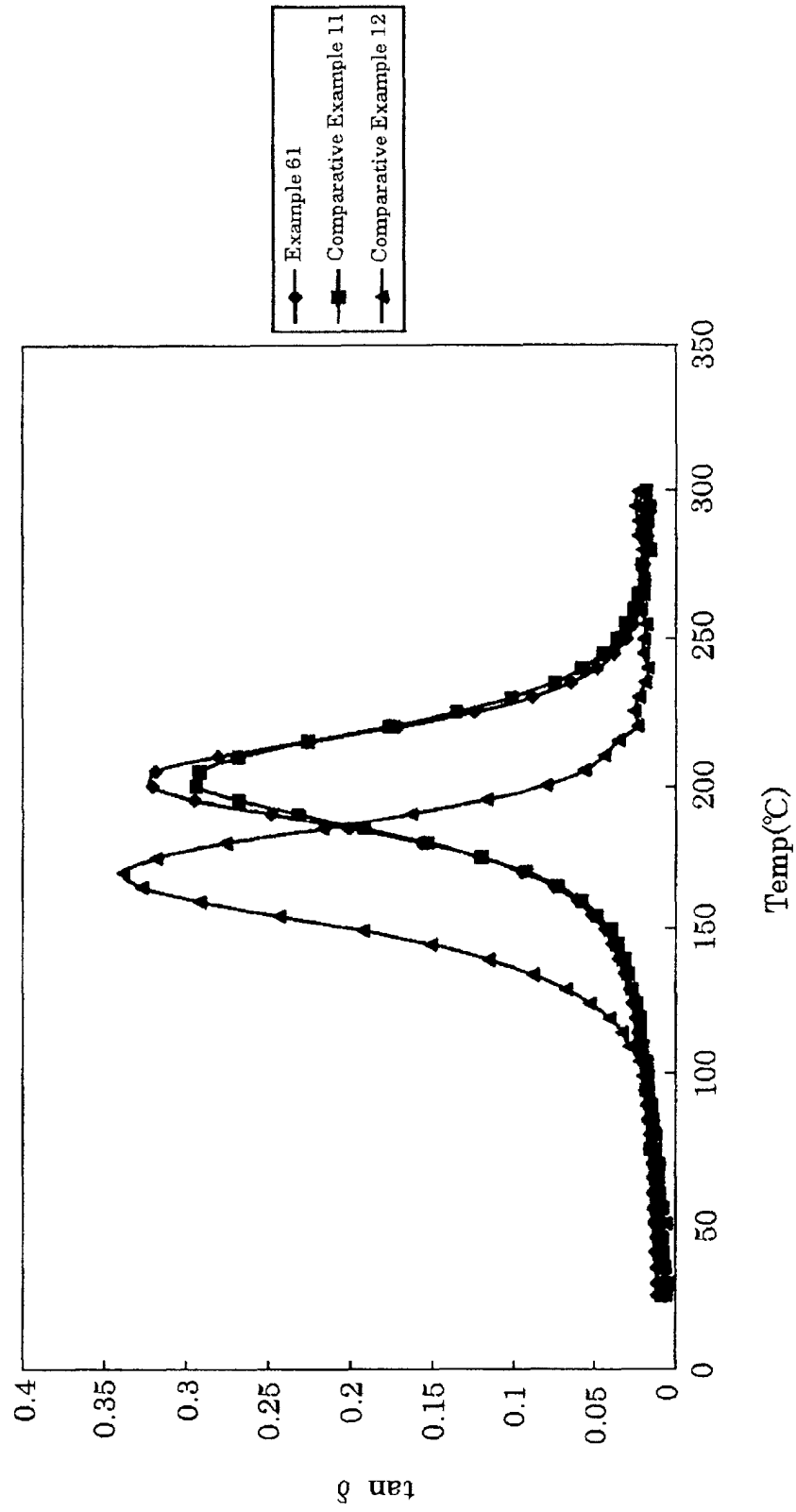


Fig. 14



INTERNATIONAL SEARCH REPORT

International application No.  
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<p>A. CLASSIFICATION OF SUBJECT MATTER Int.Cl<sup>7</sup> C08G8/04</p> <p>According to International Patent Classification (IPC) or to both national classification and IPC</p>											
<p>B. FIELDS SEARCHED</p> <p>Minimum documentation searched (classification system followed by classification symbols) Int.Cl<sup>7</sup> C08G8/00-8/38</p> <p>Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Jitsuyo Shinan Koho 1922-1996 Jitsuyo Shinan Toroku Koho 1996-2003 Kokai Jitsuyo Shinan Koho 1971-2003 Toroku Jitsuyo Shinan Koho 1994-2003</p> <p>Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)</p>											
<p>C. DOCUMENTS CONSIDERED TO BE RELEVANT</p> <table border="1"> <thead> <tr> <th>Category*</th> <th>Citation of document, with indication, where appropriate, of the relevant passages</th> <th>Relevant to claim No.</th> </tr> </thead> <tbody> <tr> <td>X Y</td> <td>JP 62-230816 A (Toray Industries, Inc.), 09 October, 1987 (09.10.87), Claim 1; page 5, upper right column, lines 18 to 20; page 6, upper right column, line 9 to lower right column, line 19; page 9, upper left column, lines 5 to 7; page 11, upper left column, line 17 to upper right column, line 6 &amp; DE 3686637 A1 &amp; EP 224911 A2 &amp; US 5023311 A</td> <td>1-7, 9 8</td> </tr> <tr> <td>X Y A</td> <td>JP 61-98717 A (Mitsui Petrochemical Industries, Ltd.), 17 May, 1986 (17.05.86), Claim 1; page 4, lower left column, line 12 to page 5, upper right column, line 4 (Family: none)</td> <td>1, 2, 4-6, 9 8 3, 7</td> </tr> </tbody> </table>		Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	X Y	JP 62-230816 A (Toray Industries, Inc.), 09 October, 1987 (09.10.87), Claim 1; page 5, upper right column, lines 18 to 20; page 6, upper right column, line 9 to lower right column, line 19; page 9, upper left column, lines 5 to 7; page 11, upper left column, line 17 to upper right column, line 6 & DE 3686637 A1 & EP 224911 A2 & US 5023311 A	1-7, 9 8	X Y A	JP 61-98717 A (Mitsui Petrochemical Industries, Ltd.), 17 May, 1986 (17.05.86), Claim 1; page 4, lower left column, line 12 to page 5, upper right column, line 4 (Family: none)	1, 2, 4-6, 9 8 3, 7	
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.									
X Y	JP 62-230816 A (Toray Industries, Inc.), 09 October, 1987 (09.10.87), Claim 1; page 5, upper right column, lines 18 to 20; page 6, upper right column, line 9 to lower right column, line 19; page 9, upper left column, lines 5 to 7; page 11, upper left column, line 17 to upper right column, line 6 & DE 3686637 A1 & EP 224911 A2 & US 5023311 A	1-7, 9 8									
X Y A	JP 61-98717 A (Mitsui Petrochemical Industries, Ltd.), 17 May, 1986 (17.05.86), Claim 1; page 4, lower left column, line 12 to page 5, upper right column, line 4 (Family: none)	1, 2, 4-6, 9 8 3, 7									
<p><input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.</p>											
<p>* Special categories of cited documents:</p> <table border="0"> <tr> <td>"A" document defining the general state of the art which is not considered to be of particular relevance</td> <td>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</td> </tr> <tr> <td>"E" earlier document but published on or after the international filing date</td> <td>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</td> </tr> <tr> <td>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</td> <td>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</td> </tr> <tr> <td>"O" document referring to an oral disclosure, use, exhibition or other means</td> <td>"&amp;" document member of the same patent family</td> </tr> <tr> <td>"P" document published prior to the international filing date but later than the priority date claimed</td> <td></td> </tr> </table>		"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention	"E" earlier document but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone	"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art	"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family	"P" document published prior to the international filing date but later than the priority date claimed	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention										
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"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art										
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family										
"P" document published prior to the international filing date but later than the priority date claimed											
<p>Date of the actual completion of the international search 17 September, 2003 (17.09.03)</p>	<p>Date of mailing of the international search report 30 September, 2003 (30.09.03)</p>										
<p>Name and mailing address of the ISA/ Japanese Patent Office</p> <p>Facsimile No.</p>	<p>Authorized officer</p> <p>Telephone No.</p>										

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/JP03/11045

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X Y A	JP 60-260611 A (Mitsubishi Petrochemical Co., Ltd.), 23 December, 1985 (23.12.85), Claims 1 to 4; page 4, lower right column, line 4 to page 5, upper left column, line 11 & US 4876324 A	1, 2, 4-7 8, 9 3
X Y	JP 5-178951 A (Sumitomo Chemical Co., Ltd.), 20 July, 1993 (20.07.93), Page 6, left column, line 41 to right column, line 26; page 9, right column, lines 23 to 30 & US 5395727 A	1-7 8, 9
Y A	JP 2002-105157 A (Sumitomo Bakelite Co., Ltd.), 10 April, 2002 (10.04.02), Claim 1; page 3, left column, line 7 to right column, line 47 (Family: none)	8 1-7, 9
Y A	JP 2002-179749 A (Sumitomo Chemical Co., Ltd.), 26 June, 2002 (26.06.02), Claim 1; page 3, left column, lines 4 to 6 (Family: none)	9 1-8

Form PCT/ISA/210 (continuation of second sheet) (July 1998)

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/JP03/11045

**Box I Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 3 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

As a result of an international search, it has become obvious that the "process for producing a phenolic novolak" of claims 1-7 and 9 is not novel because it is disclosed in the document shown in Box C. Consequently, there is no identical or corresponding matters among claims 1-9 which are regarded as "a special technical feature" in the meaning of the second sentence in Rule 13.2 of the Regulations under the PCT.

Therefore, claims 1-9 do not comply with the requirement of unity of invention.

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

- Remark on Protest**
- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.