

(11) EP 2 922 057 A1

(12)

EUROPEAN PATENT APPLICATION

(43) Date of publication: 23.09.2015 Bulletin 2015/39

(51) Int Cl.: **G10L 19/008** (2013.01)

G10L 19/24 (2013.01)

(21) Application number: 14305411.2

(22) Date of filing: 21.03.2014

(84) Designated Contracting States:

AL AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IS IT LI LT LU LV MC MK MT NL NO PL PT RO RS SE SI SK SM TR

Designated Extension States:

BA ME

- (71) Applicant: Thomson Licensing 92130 Issy-les-Moulineaux (FR)
- (72) Inventors:
 - Kordon, Sven 31515 Wunstorf (DE)

- Krüger, Alexander 30655 Hannover (DE)
- Wuebbolt, Oliver 30559 Hannover (DE)
- (74) Representative: König, Uwe Deutsche Thomson OHG Karl-Wiechert-Allee 74 30625 Hannover (DE)
- (54) Method for compressing a Higher Order Ambisonics (HOA) signal, method for decompressing a compressed HOA signal, apparatus for decompressing a compressed HOA signal
- (57) A method for compressing a Higher Order Ambisonics (HOA) signal being an input HOA representation with input time frames (C(k)) of HOA coefficient sequenc-

es comprises spatial HOA encoding of the input time frames and subsequent perceptual encoding and source encoding.

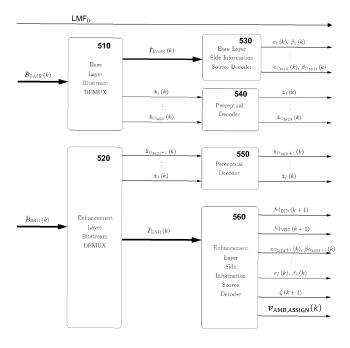


Fig.5

Description

Field of the invention

[0001] This invention relates to a method for compressing a Higher Order Ambisonics (HOA) signal, a method for decompressing a compressed HOA signal, an apparatus for compressing a HOA signal, and an apparatus for decompressing a compressed HOA signal.

Background

10

30

35

40

45

50

55

[0002] Higher Order Ambisonics (HOA) offers a possibility to represent three-dimensional sound. Other known techniques are wave field synthesis (WFS) or channel based approaches like 22.2. In contrast to channel based methods, however, the HOA representation offers the advantage of being independent of a specific loudspeaker set-up. This flexibility, however, is at the expense of a decoding process which is required for the playback of the HOA representation on a particular loudspeaker set-up. Compared to the WFS approach, where the number of required loudspeakers is usually very large, HOA may also be rendered to set-ups consisting of only few loudspeakers. A further advantage of HOA is that the same representation can also be employed without any modification for binaural rendering to head-phones.

[0003] HOA is based on the representation of the so-called spatial density of complex harmonic plane wave amplitudes by a truncated Spherical Harmonics (SH) expansion. Each expansion coefficient is a function of angular frequency, which can be equivalently represented by a time domain function. Hence, without loss of generality, the complete HOA sound field representation actually can be assumed to consist of O time domain functions, where O denotes the number of expansion coefficients. These time domain functions will be equivalently referred to as HOA coefficient sequences or as HOA channels in the following.

[0004] The spatial resolution of the HOA representation improves with a growing maximum order N of the expansion. Unfortunately, the number of expansion coefficients O grows quadratically with the order N, in particular $O = (N+1)^2$. For example, typical HOA representations using order N=4 require O=25 HOA (expansion) coefficients. According to these considerations, the total bit rate for the transmission of HOA representation, given a desired single-channel sampling rate f_S and the number of bits N_b per sample, is determined by $O \cdot f_S \cdot N_b$. Consequently, transmitting a HOA representation of order N=4 with a sampling rate of $f_S=48$ kHz employing $N_b=16$ bits per sample results in a bit rate of 19.2MBits/s, which is very high for many practical applications, as e.g. streaming. Thus, compression of HOA representations is highly desirable. Previously, the compression of HOA sound field representations was proposed in the European Patent applications EP12306569.0, EP12305537.8 (EP2665208A) and EP133005558.2. These approaches have in common that they perform a sound field analysis and decompose the given HOA representation into a directional and a residual ambient component.

[0005] The final compressed representation is assumed to comprise, on the one hand, a number of quantized signals, which result from the perceptual coding of the directional signals, and relevant coefficient sequences of the ambient HOA component. On the other hand, it is assumed to comprise additional side information related to the quantized signals, which is necessary for the reconstruction of the HOA representation from its compressed version.

[0006] Further, a similar method is described in ISO/IEC JTC1/SC29/WG11 N14264 (Working draft 1-HOA text of MPEG-H 3D audio, January 2014, San Jose), where the directional component is extended to a so-called predominant sound component. As the directional component, the predominant sound component is assumed to be partly represented by directional signals, i.e. monaural signals with a corresponding direction from which they are assumed to impinge on the listener, together with some prediction parameters to predict portions of the original HOA representation from the directional signals. Additionally, the predominant sound component is supposed to be represented by so-called vector based signals, meaning monaural signals with a corresponding vector which defines the directional distribution of the vector based signals. The known compressed HOA representation consists of *I* quantized monaural signals and some additional side information, wherein a fixed number O_{MIN} out of these *I* quantized monaural signals represent a spatially transformed version of the first O_{MIN} coefficient sequences of the ambient HOA component $\textbf{C}_{\text{AMB}}(k-2)$. The type of the remaining *I* - O_{MIN} signals can vary between successives frames, and be either directional, vector based, empty or representing an additional coefficient sequence of the ambient HOA component $\textbf{C}_{\text{AMB}}(k-2)$.

[0007] A known method for compressing a HOA signal representation with input time frames (C(k)) of HOA coefficient sequences includes spatial HOA encoding of the input time frames and subsequent perceptual encoding and source encoding. The spatial HOA encoding, as shown in Fig.1 a), comprises performing Direction and Vector Estimation processing of the HOA signal in a Direction and Vector Estimation block 101, wherein data comprising first tuple sets

 $\mathcal{M}_{\mathrm{DIR}}(k)$ for directional signals and second tuple sets $\mathcal{M}_{\mathrm{VEC}}(k)$ for vector based signals are obtained. Each of the first tuple sets comprises an index of a directional signal and a respective quantized direction, and each of the second

tuple sets comprising an index of a vector based signal and a vector defining the directional distribution of the signals. A next step is decomposing 103 each input time frame of the HOA coefficient sequences into a frame of a plurality of predominant sound signals \mathbf{X}_{PS} (k-1) and a frame of an ambient HOA component \mathbf{C}_{AMB} (k-1), wherein the predominant sound signals X_{PS} (k-1) comprise said directional sound signals and said vector based sound signals. The decomposing further provides prediction parameters $\xi(k-1)$ and a target assignment vector $\mathbf{v}_{A,T}(k-1)$. The prediction parameters $\xi(k-1)$ 1) describe how to predict portions of the HOA signal representation from the directional signals within the predominant sound signals \mathbf{X}_{PS} (k-1) so as to enrich predominant sound HOA components, and the target assignment vector $\mathbf{v}_{AT}(k-1)$ 1) contains information about how to assign the predominant sound signals to a given number I of channels. The ambient HOA component $C_{AMB}(k-1)$ is modified 104 according to the information provided by the target assignment vector v_{A T}(k-1), wherein it is determined which coefficient sequences of the ambient HOA component are to be transmitted in the given number I of channels, depending on how many channels are occupied by predominant sound signals. A modified ambient HOA component $C_{MA}(k-2)$ and a temporally predicted modified ambient HOA component $C_{PMA}(k-2)$ - 1) are obtained. Also a final assignment vector $\mathbf{v}_{A,T}(k-2)$ is obtained from information in the target assignment vector $v_{A,T}(k-1)$. The predominant sound signals $X_{PS}(k-1)$ obtained from the decomposing, and the determined coefficient sequences of the modified ambient HOA component $\mathbf{C}_{\mathrm{M,A}}(k-2)$ and of the temporally predicted modified ambient HOA component $C_{P.M.A}(k-1)$ are assigned to the given number of channels, using the information provided by the final assignment vector $\mathbf{v}_{A,T}(k-2)$, wherein transport signals $\mathbf{y}_i(k-2)$, i=1,...,l and predicted transport signals $\mathbf{y}_{P,i}(k-2)$, i=1,...,l1, ..., I are obtained. Then, gain control (or normalization) is performed on the transport signals y,(k - 2) and the predicted transport signals $\mathbf{y}_{P,i}(k-2)$, wherein gain modified transport signals $\mathbf{z}_i(k-2)$, exponents $\mathbf{e}_i(k-2)$ and exception flags ($\beta_i(k-2)$) - 2) are obtained.

[0008] As shown in Fig.1 b), the perceptual encoding and source encoding comprises perceptual coding of the gain modified transport signals $\mathbf{z}_i(k-2)$, wherein perceptually encoded transport signals $\mathbf{z}_i(k-2)$, $i=1,\ldots,I$ are obtained, encoding side information comprising said exponents $e_i(k-2)$ and exception flags $\beta_i(k-2)$, the first and second tuple sets $\mathcal{M}_{\mathrm{DIR}}(k)$ $\mathcal{M}_{\mathrm{VEC}}(k)$ the prediction parameters $\xi(k-1)$ and the final assignment vector $\mathbf{v}_{\mathrm{A,T}}(k-2)$, and encoded side information $\check{\Gamma}(k-2)$ is obtained. Finally, the perceptually encoded transport signals $\mathbf{z}_i(k-2)$ and the encoded side information are multiplexed into a bitstream.

Summary of the Invention

5

10

15

20

25

30

35

40

50

55

[0009] One drawback of the proposed HOA compression method is that it provides a monolithic (i.e. non-scalable) compressed HOA representation. For certain applications, like broadcasting or internet streaming, it is however desirable to be able to split the compressed representation into a low quality base layer (BL) and a high quality enhancement layer (EL). The base layer is supposed to provide a low quality compressed version of the HOA representation, which can be decoded independently of the enhancement layer. Such a BL should typically be highly robust against transmission errors, and be transmitted at a low data rate in order to guarantee a certain minimum quality of the decompressed HOA representation even under bad transmission conditions. The EL contains additional information to improve the quality of the decompressed HOA representation.

[0010] The present invention provides a solution for modifying existing HOA compression methods so as to be able to provide a compressed representation that comprises a (low quality) base layer and a (high quality) enhancement layer. Further, the present invention provides a solution for modifying existing HOA decompression methods so as to be able to decode a compressed representation that comprises at least a low quality base layer that is compressed according to the invention.

[0011] One improvement relates to obtaining a self-contained (low quality) base layer. According to the invention, the O_{MIN} channels that are supposed to contain a spatially transformed version of the (without loss of generality) first O_{MIN} coefficient sequences of the ambient HOA component $C_{AMB}(k-2)$ are used as the base layer. An advantage of selecting the first O_{MIN} channels for forming a base layer is their time-invariant type. However, conventionally the respective signals lack any predominant sound components, which are essential for the sound scene. This is also clear from the conventional computation of the ambient HOA component $C_{AMB}(k-1)$, which is carried out by subtraction of the predominant sound HOA representation $C_{PS}(k-1)$ from the original HOA representation $C_{C}(k-1)$ according to

$$\mathbf{C}_{\text{AMB}}(k-1) = \mathbf{C}(k-1) - \mathbf{C}_{\text{PS}}(k-1) \tag{1}$$

[0012] Therefore, one improvement of the invention relates to the addition of such predominant sound components. According to the invention, a solution to this problem is the inclusion of predominant sound components at a low spatial resolution into the base layer. For this purpose, the ambient HOA component $C_{AMB}(k-1)$ that is output by a HOA Decomposition processing in the spatial HOA encoder according to the invention is replaced by a modified version thereof. The modified ambient HOA component comprises in the first O_{MIN} coefficient sequences, which are supposed to be always transmitted in a spatially transformed form, the coefficient sequences of the original HOA component. This improvement of the HOA Decomposition processing can be seen as an initial operation for making the HOA compression work in a layered mode (also called "dual layer" mode). This mode provides e.g. two bit streams, or a single bit stream that can be split up into a base layer and an enhancement layer. Using or not using this mode is signalized by a mode indication bit (e.g. a single bit) in access units of the total bit stream.

[0013] In one embodiment, the base layer bit stream $\vec{B}_{BASE}(k-2)$ only includes the perceptually encoded signals $\vec{z}_i(k-2)$, $i=1,\ldots,O_{MIN}$ and the corresponding coded gain control side information, which consists of the exponents $e_i(k-2)$ and the exception flags $\beta_i(k-2)$, $i=1,\ldots,O_{MIN}$. The remaining perceptually encoded signals $\vec{z}_i(k-2)$ $i=O_{MIN}+1,\ldots,O$ and the encoded remaining side information are included into the enhancement layer bit stream. In

one embodiment, the base layer bit stream $\breve{\pmb{B}}_{\rm BASE}(k-2)$ and the enhancement layer bit stream $\breve{\pmb{B}}_{\rm ENH}(k-2)$ are then jointly transmitted instead of the former total bit stream $\breve{\pmb{B}}(k-2)$.

[0014] A method for compressing a Higher Order Ambisonics (HOA) signal representation having time frames of HOA coefficient sequences is disclosed in claim 1. An apparatus for compressing a Higher Order Ambisonics (HOA) signal representation having time frames of HOA coefficient sequences is disclosed in claim 10.

[0015] A method for decompressing a Higher Order Ambisonics (HOA) signal representation having time frames of HOA coefficient sequences is disclosed in claim 7. An apparatus for decompressing a Higher Order Ambisonics (HOA) signal representation having time frames of HOA coefficient sequences is disclosed in claim 12.

[0016] A non-transitory computer readable medium having executable instructions to cause a computer to perform a method for compressing a Higher Order Ambisonics (HOA) signal representation having time frames of HOA coefficient sequences is disclosed in claim 12. A non-transitory computer readable medium having executable instructions to cause a computer to perform a method for decompressing a Higher Order Ambisonics (HOA) signal representation having time frames of HOA coefficient sequences is disclosed in claim 13.

[0017] Advantageous embodiments of the invention are disclosed in the dependent claims, the following description and the figures.

Brief description of the drawings

10

15

20

25

30

35

40

45

50

55

[0018] Exemplary embodiments of the invention are described with reference to the accompanying drawings, which show in

- Fig.1 the structure of a conventional architecture of a HOA compressor;
- Fig.2 the structure of a conventional architecture of a HOA decompressor;

Fig. 3 the structure of an architecture of a spatial HOA encoding and perceptual encoding portion of a HOA compressor according to one embodiment of the invention;

Fig.4 the structure of an architecture of a source coder portion of a HOA compressor according to one embodiment of the invention;

Fig.5 the structure of an architecture of a perceptual decoding and source decoding portion of a HOA decompressor according to one embodiment of the invention;

Fig.6 the structure of an architecture of a spatial HOA decoding portion of a HOA decompressor according to one embodiment of the invention;

Fig.7 transformation of frames from ambient HOA signals to modified ambient HOA signals,

Fig.8 a flow-chart of a method for compressing a HOA signal;

Fig.9 a flow-chart of a method for decompressing a compressed HOA signal; and

Fig.10 details of parts of an architecture of a spatial HOA decoding portion of a HOA decompressor according to one embodiment of the invention.

Detailed description of the invention

[0019] For easier understanding, prior art solutions in Fig.1 and Fig.2 are recapitulated in the following.

[0020] Fig.1 shows the structure of a conventional architecture of a HOA compressor. In a method described in ref.[4], the directional component is extended to a so-called predominant sound component. As the directional component, the predominant sound component is assumed to be partly represented by directional signals, meaning monaural signals with a corresponding direction from which they are assumed to impinge on the listener, together with some prediction parameters to predict portions of the original HOA representation from the directional signals. Additionally, the predominant sound component is supposed to be represented by so-called vector based signals, meaning monaural signals with a corresponding vector which defines the directional distribution of the vector based signals. The overall architecture of the HOA compressor proposed in ref. [4] is illustrated in Fig.1. It can be subdivided into a spatial HOA encoding part depicted in Fig. 1 a and a perceptual and source encoding part depicted in Fig. 1 b. The spatial HOA encoder provides a first compressed HOA representation consisting of *I* signals together with side information describing how to create an HOA representation thereof. In the perceptual and side info source coder the mentioned *I* signals are perceptually encoded and the side information is subjected to source encoding, before multiplexing the two coded representations. [0021] Conventionally, the spatial encoding works as follows.

[0022] In a first step, the k-th frame C(k) of the original HOA representation is input to a Direction and Vector Estimation

15

20

25

30

35

45

50

processing block, which is assumed to provide the tuple sets $\mathcal{M}_{DIR}(k)$ and $\mathcal{M}_{VEC}(k)$. The tuple set $\mathcal{M}_{DIR}(k)$ consists of tuples of which the first element denotes the index of a directional signal and of which the second element

denotes the respective quantized direction. The tuple set $\mathcal{M}_{VEC}(k)$ consists of tuples of which the first element indicates the index of a vector based signal and of which the second element denotes the vector defining the directional distribution of the signals, i.e. how the HOA representation of the vector based signal is computed.

Using both tuple sets $\mathcal{M}_{DIR}(k)$ and $\mathcal{M}_{VEC}(k)$, the initial HOA frame $\mathbf{C}(k)$ is decomposed in the HOA Decomposition into the frame $\mathbf{X}_{PS}(k-1)$ of all predominant sound (i.e. directional and vector based) signals and the frame $\mathbf{C}_{AMB}(k-1)$ of the ambient HOA component. Note the delay of one frame, respectively, which is due to overlap add processing in order to avoid blocking artifacts. Furthermore, the HOA Decomposition is assumed to output some prediction parameters $\zeta(k-1)$ describing how to predict portions of the original HOA representation from the directional signals in order to enrich the predominant sound HOA component. Additionally, a target assignment vector $\mathbf{v}_{A,T}(k-1)$ containing information about the assignment of predominant sound signals, which were determined in the HOA Decomposition processing block, to the I available channels is assumed to be provided. The affected channels can be assumed to be occupied, meaning they are not available to transport any coefficient sequences of the ambient HOA component in the respective time frame.

In the Ambient Component Modification processing block, the frame $\mathbf{C}_{AMB}(k-1)$ of the ambient HOA component is modified according to the information provided by the tagret assignment vector $\mathbf{v}_{A,T}(k-1)$. In particular, it is determined which coefficient sequences of the ambient HOA component are to be transmitted in the given I channels, depending, amongst other aspects, on the information (contained in the target assignment vector $\mathbf{v}_{A,T}(k-1)$ about which channels are available and not already occupied by predominant sound signals. Additionally, a fade in and out of coefficient sequences is performed if the indices of the chosen coefficient sequences vary between successive frames.

Furthermore, it is assumed that the first O_{MIN} coefficient sequences of the ambient HOA component $C_{AMB}(k-2)$ are always chosen to be perceptually coded to be and to be transmitted, where $O_{MIN} = (N_{MIN} + 1)^2$ with $N_{MIN} \le N$ being typically a smaller order than that of the original HOA representation. In order to de-correlate these HOA coefficient sequences, it is proposed to transform them to directional signals (i.e. general plane wave functions) impinging from some predefined directions $\Omega_{MIN,d}$, d=1,..., O_{MIN} . Along with the modified ambient HOA component $C_{M,A}(k-1)$ a temporally predicted modified ambient HOA component $C_{P,M,A}(k-1)$ is computed to be later used in the Gain Control processing block in order to allow a reasonable look ahead.

The information about the modification of the ambient HOA component is directly related to the assignment of all possible types of signals to the available channels. The final information about the assignment is assumed to be contained in the final assignment vector $\mathbf{v}_{A,T}(k-2)$. In order to compute this vector, information contained in the target assignment vector $\mathbf{v}_{A,T}(k-1)$ is exploited.

The Channel Assignment assigns with the information provided by the assignment vector $\mathbf{v}_{A,T}(k-2)$ the appropriate signals contained in $\mathbf{X}_{PS}(k-2)$ and that contained in $\mathbf{C}_{M,A}(k-2)$ to the I available channels, yielding the signals $\mathbf{y}_i(k-2)$, i=1,...,I. Further, appropriate signals contained in $\mathbf{X}_{PS}(k-1)$ and that in $\mathbf{C}_{P,AMB}(k-1)$ are also assigned to the I available channels, yielding the predicted signals $\mathbf{y}_{P,i}(k-2)$, i=1,...,I.

Each of the signals $\mathbf{y}_i(k-2)$, i=1,...,l, is finally processed by a Gain Control, where the signal gain is smoothly modified to achieve a value range that is suitable for the perceptual encoders. The predicted signal frames $\mathbf{y}_{P,i}(k-2)$, i=1,...,l, allow a kind of look ahead in order to avoid severe gain changes between successive blocks. The gain modifications

are assumed to be reverted in the spatial decoder with the gain control side information, consisting of the exponents $e_i(k-2)$ and the exception flags $\beta_i(k-2)$, i=1,..., I.

[0023] Fig.2 shows the structure of a conventional architecture of a HOA decompressor, as proposed in ref.[4]. Conventionally, HOA decompression consists of the counterparts of the HOA compressor components, which are obviously arranged in reverse order. It can be subdivided into a perceptual and source decoding part depicted in Fig.2a) and a spatial HOA decoding part depicted in Fig.2b).

5

15

20

25

30

35

50

55

In the perceptual and side info source decoder, the bit stream is first de-multiplexed into the perceptually coded representation of the *I* signals and into the coded side information describing how to create an HOA representation thereof. Successively, a perceptual decoding of the *I* signals and a decoding of the side information is performed. Then, the spatial HOA decoder creates from the *I* signals and the side information the reconstructed HOA representation. Conventionally, spatial HOA decoding works as follows.

In the spatial HOA decoder, each of the perceptually decoded signals $\hat{\mathbf{z}}_i(k)$, $i \in \{1, ..., I\}$, is first input to an Inverse Gain Control processing block together with the associated gain correction exponent $e_i(k)$ and gain correction exception flag $\beta_i(k)$. The *i*-th Inverse Gain Control processing provides a gain corrected signal frame $\hat{\mathbf{y}}_i(k)$.

All of the I gain corrected signal frames $\hat{\mathbf{y}}_I(k)$, $i \in \{1, ..., I\}$, are passed together with the assignment vector $\mathbf{v}_{\mathsf{AMB},\mathsf{ASSIGN}}(k)$ and the tuple sets $\mathcal{M}_{\mathsf{DIR}}(k+1)$ and $\mathcal{M}_{\mathsf{VEC}}(k+1)$ to the Channel Reassignment. The tuple sets $\mathcal{M}_{\mathsf{DIR}}(k+1)$ and $\mathcal{M}_{\mathsf{VEC}}(k+1)$ are defined as in Sec. 2.1.1 and the assignment vector $\mathbf{v}_{\mathsf{AMB},\mathsf{ASSIGN}}(k)$ consists of I components, which indicate for each transmission channel if and which coefficient sequence of the ambient HOA component it contains. In the Channel Reassignment the gain corrected signal frames $\hat{\mathbf{y}}_I(k)$ are redistributed to reconstruct the frame $\hat{\mathbf{X}}_{\mathsf{PS}}(k)$ of all predominant sound signals (i.e., all directional and vector based signals) and the frame $\mathbf{C}_{\mathsf{LAMB}}(k)$ of an intermediate

representation of the ambient HOA component. Additionally, the set $\mathcal{I}_{AMB,ACT}(k)$ of indices of coefficient sequences of the ambient HOA component, which are active in the k-th frame, and the sets $\mathcal{I}_E(k-1)$, $\mathcal{I}_D(k-1)$, and $\mathcal{I}_U(k-1)$ of coefficient indices of the ambient HOA component, which have to be enabled, disabled and to remain active in the (k-1)-th frame, are provided. In the Predominant Sound Synthesis the HOA representation of the predominant sound component $\hat{\mathbf{C}}_{PS}(k-1)$ is computed

from the frame $\hat{\pmb{\chi}}_{PS}(k)$ of all predominant sound signals using the tuple set $\mathcal{M}_{DIR}(k+1)$ and the set $\zeta(k+1)$ of prediction parameters, the tuple set $\mathcal{M}_{VEC}(k+1)$ and the sets $\mathcal{I}_E(k-1)$, $\mathcal{I}_D(k-1)$, and $\mathcal{I}_U(k-1)$. [0024] In the Ambience Synthesis, the ambient HOA component frame $\hat{\pmb{c}}_{AMB}(k-1)$ is created from the frame $\pmb{c}_{I,AMB}(k)$

of the intermediate representation of the ambient HOA component, using the set $\mathcal{I}_{AMB,ACT}(k)$ of indices of coefficient sequences of the ambient HOA component which are active in the k-th frame. Note the delay of one frame, which is introduced due to the synchronization with the predominant sound HOA component. Finally, in the HOA Composition the ambient HOA component frame $\hat{\mathbf{C}}_{AMB}(k-1)$ and the frame $\hat{\mathbf{C}}_{PS}(k-1)$ of the predominant sound HOA component are superposed to provide the decoded HOA frame $\hat{\mathbf{C}}(k-1)$.

[0025] As has become clear from the coarse description of the HOA compression and decompression method above, the compressed representation consists of I quantized monaural signals and some additional side information. A fixed number O_{MIN} out of these I quantized monaural signals represent a spatially transformed version of the first O_{MIN} coefficient sequences of the ambient HOA component $C_{AMB}(k-2)$. The type of the remaining $I - O_{MIN}$ signals can vary between successive frame, being either directional, vector based, empty or representing an additional coefficient sequence of the ambient HOA component $C_{AMB}(k-2)$. Taken as it is, the compressed HOA representation is meant to be monolithic. In particular, it is not obvious how to split the representation into a low quality base layer and an enhancement layer.

[0026] According to the disclosed invention, a candidate for a low quality base layer are the O_{MIN} channels that are supposed to contain a spatially transformed version of the first O_{MIN} coefficient sequences of the ambient HOA component $C_{\text{AMB}}(k-2)$. What makes these (without loss of generality first) O_{MIN} channels a good choice to form a low quality base layer is their time-invariant type. However, the respective signals lack any predominant sound components, which are essential for the sound scene. This can be also seen in the computation of the ambient HOA component $C_{\text{AMB}}(k-1)$, which is carried out by subtraction of the predominant sound HOA representation $C_{\text{PS}}(k-1)$ from the original HOA representation $C_{\text{PS}}(k-1)$ according to

$$C_{AMB}(k-1) = C(k-1) - C_{PS}(k-1)$$
 (1)

A solution to this problem is to include the predominant sound components at a low spatial resolution into the base layer. **[0027]** The proposed modifications of the HOA compression are given in the following.

[0028] Fig.3 shows the structure of an architecture of a spatial HOA encoding and perceptual encoding portion of a HOA compressor according to one embodiment of the invention.

[0029] To include also the predominant sound components at a low spatial resolution into the base layer, we propose to replace the ambient HOA component $C_{AMB}(k-1)$, which is output by the HOA Decomposition processing in the spatial HOA encoder (see Fig. 1a), by a modified version

$$\widetilde{\boldsymbol{c}}_{AMB}(k-1) = \begin{bmatrix} \widetilde{\boldsymbol{c}}_{AMB,1}(k-1) \\ \widetilde{\boldsymbol{c}}_{AMB,2}(k-1) \\ \vdots \\ \widetilde{\boldsymbol{c}}_{AMB,Q}(k-1) \end{bmatrix}$$
(2)

whose elements are given by

10

15

20

25

30

35

40

45

50

55

$$\tilde{\mathbf{c}}_{\text{AMB},n}(k-1) = \begin{cases} \mathbf{c}_n(k-1) & \text{for } 1 \le n \le O_{\text{MIN}} \\ \mathbf{c}_{\text{AMB},n}(k-1) & \text{for } O_{\text{MIN}} + 1 \le n \le O \end{cases}$$
(3)

[0030] In other words, the first O_{MIN} coefficient sequences of the ambient HOA component which are supposed to be always transmitted in a spatially transformed form, are replaced by the coefficient sequences of the original HOA component. The other processing blocks of the spatial HOA encoder would remain unchanged.

It is important to note that this change of the HOA Decomposition processing can be seen as an initial operation making the HOA compression work in a so-called "two layer" mode, namely a mode proving a bit stream that can be split up into a low quality base layer and an enhancement layer. Using or not this mode can be signalized by a single bit in access units of the total bit stream.

A possible consequent modification of the bit stream multiplexing to provide bit streams for a base layer and an enhancement layer is illustrated in Figs.3 and 4, as described further below.

The base layer bit stream $\mathbf{B}_{\mathrm{BASE}}(k-2)$ only includes the perceptually encoded signals $\mathbf{z}_{i}(k-2)$ i = 1, ..., O_{MIN} , and the corresponding coded gain control side information, consisting of the exponents $e_{i}(k-2)$ and the exception flags

 $\beta_i(k-2)$, $i=1,...,O_{\text{MIN}}$. The remaining perceptually encoded signals $\mathbf{z}_i(k-2)$, $i=O_{\text{MIN}}+1,...,O$ and the encoded remaining side information are included into the enhancement layer bit stream. The base and the enhancement layer

bit streams $\breve{\pmb{B}}_{BASE}(k-2)$ and $\breve{\pmb{B}}_{ENH}(k-2)$ are be then jointly transmitted instead of the former total bit stream $\breve{\pmb{B}}(k-2)$.

[0031] In Fig.3 and Fig.4, an apparatus for compressing a Higher Order Ambisonics (HOA) signal being an input HOA representation with input time frames (C(k)) of HOA coefficient sequences is shown. Said apparatus comprises a spatial HOA encoding and perceptual encoding portion for spatial HOA encoding of the input time frames and subsequent perceptual encoding, which is shown in Fig.3, and a source coder portion for source encoding, which is shown in Fig.4.

[0032] The spatial HOA encoding and perceptual encoding portion comprises a Direction and Vector Estimation block 301, a HOA Decomposition block 303, an Ambient Component Modification block 304, a Channel Assignment block 305, and a plurality of Gain Control blocks 306.

[0033] The Direction and Vector Estimation block 301 adapted for performing Direction and Vector Estimation processing of the HOA signal, wherein data comprising first tuple sets $(\mathcal{M}_{\mathrm{DIR}}(k))$ for directional signals and second tuple sets $(\mathcal{M}_{\mathrm{VEC}}(k))$ for vector based signals are obtained, each of the first tuple sets $(\mathcal{M}_{\mathrm{DIR}}(k))$ comprising an index of a directional signal and a respective quantized direction, and each of the second tuple sets $(\mathcal{M}_{\mathrm{VEC}}(k))$ comprising an

index of a vector based signal and a vector defining the directional distribution of the signals.

The HOA Decomposition block 303 is adapted for decomposing each input time frame of the HOA coefficient sequences into a frame of a plurality of predominant sound signals ($\mathbf{X}_{PS}(k-1)$) and a frame of an ambient HOA component ($\tilde{\mathbf{C}}_{AMB}(k-1)$), wherein the predominant sound signals ($\mathbf{X}_{PS}(k-1)$) comprise said directional sound signals and said vector based sound signals, and wherein the ambient HOA component ($\tilde{\mathbf{C}}_{AMB}(k-1)$) comprises HOA coefficient sequences representing a residual between the input HOA representation and the HOA representation of the predominant sound signals, and wherein the decomposing further provides prediction parameters ($\xi(k-1)$) and a target assignment vector ($\mathbf{v}_{,AT}(k-1)$), the prediction parameters ($\xi(k-1)$) describing how to predict portions of the HOA signal representation from the directional signals within the predominant sound signals ($\mathbf{X}_{PS}(k-1)$) so as to enrich predominant sound HOA components, and the target assignment vector ($\mathbf{v}_{,AT}(k-1)$) containing information about how to assign the predominant sound signals to a given number (I) of channels.

[0034] The Ambient Component Modification block 304 is adapted for modifying the ambient HOA component ($\mathbf{C}_{AMB}(k-1)$) according to the information provided by the target assignment vector ($\mathbf{v}_{,AT}(k-1)$), wherein it is determined which coefficient sequences of the ambient HOA component ($\mathbf{C}_{AMB}(k-1)$) are to be transmitted in the given number (I) of channels, depending on how many channels are occupied by predominant sound signals, and wherein a modified ambient HOA component ($\mathbf{C}_{M,A}(k-2)$) and a temporally predicted modified ambient HOA component ($\mathbf{C}_{P,M,A}(k-1)$) are obtained, and wherein a final assignment vector ($\mathbf{v}_{,AT}(k-2)$) is obtained from information in the target assignment vector ($\mathbf{v}_{AT}(k-1)$).

[0035] The Channel Assignment block 305 is adapted for assigning the predominant sound signals ($\mathbf{X}_{PS}(k-1)$) obtained from the decomposing, the determined coefficient sequences of the modified ambient HOA component ($\mathbf{C}_{M,A}(k-2)$) and of the temporally predicted modified ambient HOA component ($C_{P,M,A}(k-1)$) to the given number (I) of channels using the information provided by the final assignment vector ($\mathbf{v}_{AT}(k-2)$), wherein transport signals $\mathbf{y}_{i}(k-2)$, i=1,...,I and predicted transport signals $\mathbf{y}_{P}(k-2)$, i=1,...,I are obtained.

[0036] The plurality of Gain Control blocks 306 is adapted for performing gain control (805) to the transport signals $(\mathbf{y}_{\ell}(k-2))$ and the predicted transport signals $(\mathbf{y}_{\ell}(k-2))$, wherein gain modified transport signals $(\mathbf{z}_{\ell}(k-2))$, exponents $(\mathbf{e}_{\ell}(k-2))$ and exception flags $(\beta_{\ell}(k-2))$ are obtained.

[0037] Fig.4 shows the structure of an architecture of a source coder portion of a HOA compressor according to one embodiment of the invention. The source coder portion as shown in Fig.4 comprises a Perceptual Coder 310, two Side Information Source Coders 320,330, namely a Base Layer Side Information Source Coder 320 and an Enhancement Layer Side Information Encoder 330, and two multiplexers 340,350, namely a Base Layer Bitstream Multiplexer 340 and an Enhancement Layer Bitstream Multiplexer 350.

[0038] The Perceptual Coder 310 is adapted for perceptually coding said gain modified transport signals $(z_i(k-2))$,

wherein perceptually encoded transport signals $(\check{z}_i(k-2), i=1,...,l)$ are obtained.

The Side Information Source Coders 320,330 are adapted for encoding side information comprising said exponents $(e_i(k-2))$ and exception flags $(\beta_i(k-2))$, said first tuple sets $(\mathcal{M}_{DIR}(k))$ and second tuple sets $(\mathcal{M}_{VEC}(k))$, said prediction parameters $(\xi(k-1))$ and said final assignment vector $(\mathbf{v}_{AT}(k-2))$, wherein encoded side information

 $(\check{\Gamma}(k-2))$ is obtained.

5

10

20

30

35

40

45

50

The multiplexer 340,350 is adapted for multiplexing the perceptually encoded transport signals $(\check{z_i}(k-2))$ and the

encoded side information $(\check{\Gamma}(k-2))$ into a multiplexed data stream $(\check{B}(k-2))$, wherein the ambient HOA component $(\check{\mathbf{C}}_{AMB}(k-1))$ obtained in the decomposing step comprises first HOA coefficient sequences of the input HOA representation $(\mathbf{c}_n(k-1))$ in one or more lowest positions and second HOA coefficient sequences $(\mathbf{c}_{AMB,n}(k-1))$ in remaining higher positions. Further, the first O_{MIN} exponents $(e_i(k-2), i=1, ..., O_{MIN})$ and exception flags $(\beta_i(k-2), i=1, ..., O_{MIN})$ are encoded in a Base Layer Side Information Source Coder 320, wherein encoded Base Layer side information

mation $(\check{\Gamma}_{BASE}(k-2))$ is obtained, and wherein $O_{MIN} = (N_{MIN}+1)^2$ and $O=(N+1)^2$, with $N_{MIN} \le N$ and $O_{MIN} \le I$ and $O_{MIN} = I$ and

and the encoded Base Layer side information $(\check{\Gamma}_{BASE}(k-2))$ are multiplexed in a Base Layer Bitstream Multiplexer 340, wherein a Base Layer bitstream $\check{B}_{BASE}(k-2)$ is obtained; the remaining I - O_{MIN} exponents $(e_i(k-2), i = O_{MIN} + 1, ..., I)$ and exception flags $(\beta_i(k-2), i = O_{MIN} + 1, ..., I)$, said first tuple sets $(\mathcal{M}_{DIR}(k-1))$ and second tuple

sets $(\mathcal{M}_{\text{VEC}}(k-1))$, said prediction parameters $(\xi(\mathbf{k}-1))$ and said final assignment vector $(\mathbf{v}_{\mathbf{A}}(k-2))$ are encoded in an Enhancement Layer Side Information Encoder 330, wherein encoded enhancement layer side information $(\check{\Gamma}_{ENH}(k-2))$ is obtained. The remaining I - O_{MIN} perceptually encoded transport signals $(\check{\mathbf{z}}_{t}(k-2), i=O_{MIN}+1, \ldots, I)$ and the encoded enhancement layer side information $(\check{\Gamma}_{ENH}(k-2))$ are multiplexed in an Enhancement Layer Bitstream Multiplexer 350, wherein an Enhancement Layer bitstream $(\check{B}_{ENH}(k-2))$ is obtained. Further, a mode indication LMF_E is added in a multiplexer or adder. The mode

stream $(B_{ENH}(k-2))$ is obtained. Further, a mode indication LMF_E is added in a multiplexer or adder. The mode indication LMF_E signalizes usage of a layered mode, which is used for correct decompression of the compressed signal. [0039] The proposed modifications of the HOA decompression are given in the following.

[0040] In the layered mode, the modification of the ambient HOA component $C_{AMB}(k-1)$ in the HOA compression is considered at the HOA decompression by appropriately modifying the HOA composition.

In the HOA decompressor, the demultiplexing and decoding of the base layer and enhancement layer bit streams are

performed according to Fig.5. The base layer bit stream $\check{B}_{BASE}(k)$ is de-multiplexed into the coded representation of the base layer side information and the perceptually encoded signals. Subsequently, the coded representation of the base layer side information and the perceptually encoded signals are decoded to provide the exponents $e_i(k)$ and the exception flags on the one hand, and the perceptually decoded signals on the other hand. Similarly, the enhancement layer bit stream is de-multiplexed and decoded to provide the perceptually decoded signals and the remaining side information (see Fig.5). With this layered mode, the spatial HOA decoding part also has to be modified to consider the modification of the ambient HOA component C_{AMB} (k - 1) in the spatial HOA encoding. The modification is accomplished in the HOA composition.

In particular, the reconstructed HOA representation

$$\widehat{\boldsymbol{C}}(k-1) = \widehat{\boldsymbol{C}}_{PS}(k-1) + \widehat{\boldsymbol{C}}_{AMB}(k-1) \tag{4}$$

30 is replaced by its modified version

10

15

20

25

35

40

45

50

55

$$\widetilde{\tilde{c}}(k-1) = \begin{bmatrix} \widetilde{c}_1(k-1) \\ \widetilde{c}_2(k-1) \\ \vdots \\ \widetilde{c}_O(k-1) \end{bmatrix},$$
(5)

whose elements are given by

$$\tilde{\hat{\mathbf{c}}}_n(k-1) = \begin{cases} \hat{\mathbf{c}}_{\text{AMB},n}(k-1) & \text{for } 1 \le n \le O_{\text{MIN}} \\ \hat{\mathbf{c}}_n(k-1) & \text{for } O_{\text{MIN}} + 1 \le n \le O \end{cases}$$
 (6)

[0041] That means that the predominant sound HOA component is not added to the ambient HOA component for the first O_{MIN} coefficient sequences, since it is already included there. All other processing blocks of the HOA spatial decoder remain unchanged.

[0042] In the following we briefly consider the HOA decompression in the pure presence of a low quality base layer bit stream $\mathbf{B}_{BASE}(k)$.

It is first de-multiplexed and decoded to provide the reconstructed signals $\hat{\mathbf{z}}_i(k)$ and the corresponding gain control side information, consisting of the exponents $e_i(k)$ and the exception flags $\beta_i(k)$, $i = 1, ..., O_{\text{MIN}}$. Note that in the absence of the enhancement layer, the perceptually coded signals $\mathbf{z}_i(k-2)$, $i = O_{\text{MIN}} + 1, ..., O$, are not available. A possible

way of addressing this situation is to set the signals $\hat{\mathbf{z}}_i(k)$, $i = O_{\text{MIN}} + 1$, ..., O, to zero, which automatically causes the reconstructed predominant sound component $\mathbf{C}_{\text{PS}}(k-1)$ to be zero.

In a next step, in the spatial HOA decoder, the first O_{MIN} Inverse Gain Control processing blocks provide gain corrected signal frames $\hat{\boldsymbol{y}}_{i}(k)$, i = 1, ..., O_{MIN} , which are used to construct the frame $\boldsymbol{C}_{\text{I,AMB}}(k)$ of an intermediate representation of

5

10

25

30

35

40

45

50

55

the ambient HOA component by the Channel Reassignment. Note that the set $\mathcal{I}_{AMB,ACT}(k)$ of indices of coefficient sequences of the ambient HOA component, which are active in the k-th frame, contains only the indices 1,2, ..., O_{MIN} . In the Ambience Synthesis, the spatial transform of the first O_{MIN} coefficient sequences is reverted to provide the ambient HOA component frame $\mathbf{C}_{AMB}(k$ - 1). Finally, the reconstructed HOA representation is computed according to eq.(6).

[0043] Fig.5 and Fig.6 show the structure of an architecture of a HOA decompressor according to one embodiment of the invention. The apparatus comprises a perceptual decoding and source decoding portion as shown in Fig.5, a spatial HOA decoding portion as shown in Fig.6, and a mode detector adapted for detecting a layered mode indication

LMF_D indication that the compressed HOA signal comprises a compressed base layer bitstream $(\check{B}_{BASE}(k))$ and a compressed enhancement layer bitstream.

[0044] Fig.5 shows the structure of an architecture of a perceptual decoding and source decoding portion of a HOA decompressor according to one embodiment of the invention. The perceptual decoding and source decoding portion comprises a first demultiplexer 510, a second demultiplexer 520, a Base Layer Perceptual Decoder 540 and an Enhancement Layer Perceptual Decoder 550, a Base Layer Side Information Source Decoder 530 and an Enhancement Layer Side Information Source Decoder 560.

[0045] The first demultiplexer 510 is for demultiplexing the compressed base layer bitstream $(\check{B}_{BASE}(k))$, wherein first perceptually encoded transport signals $(\check{\mathbf{z}}_i(k), i=1,...,O_{\mathrm{MIN}})$ and first encoded side information $(\check{\Gamma}_{BASE}(k))$ are obtained.

The second demultiplexer 520 is for demultiplexing the compressed enhancement layer bitstream $(\check{B}_{ENH}(k))$, wherein second perceptually encoded transport signals $(\check{\mathbf{z}}_i(k), i = O_{\text{MIN}} + 1, ..., I)$ and second encoded side information $(\check{\Gamma}_{ENH}(k))$ are obtained.

The Base Layer Perceptual Decoder 540 and the Enhancement Layer Perceptual Decoder 550 are adapted for perceptually decoding (904) the perceptually encoded transport signals ($\check{z}_i(k)$, $i=1,\ldots,l$), wherein perceptually decoded transport signals ($\hat{z}_i(k)$) are obtained, and wherein in the Base Layer Perceptual Decoder 540 said first perceptually

encoded transport signals $(\check{\mathbf{z}}_i(k), i=1,...,O_{\mathrm{MIN}})$ of the base layer are decoded and first perceptually decoded transport signals $(\hat{\mathbf{z}}_i(k), i=1,...,O_{\mathrm{MIN}})$ are obtained. In the Enhancement Layer Perceptual Decoder 550, said second

perceptually encoded transport signals $(\check{\mathbf{z}}_i(k), i = O_{\text{MIN}} + 1, ..., I)$ of the enhancement layer are decoded and second perceptually decoded transport signals $(\check{\mathbf{z}}_i(k), i = O_{\text{MIN}} + 1, ..., I)$ are obtained.

[0046] The Base Layer Side Information Source Decoder 530 is adapted for decoding (905) the first encoded side information $(\tilde{\Gamma}_{BASE}(k))$, wherein first exponents $(e_i(k), i = 1, ..., O_{MIN})$ and first exception flags $(\beta_i(k), i = 1, ..., O_{MIN})$

The Enhancement Layer Side Information Source Decoder 560 is adapted for decoding (906) the second encoded side information $(\check{\Gamma}_{ENH}(k))$, wherein second exponents $(e_i(k), i = O_{MIN} + 1, ..., I)$ and second exception flags $(\beta_i(k), i = O_{MIN} + 1, ..., I)$ are obtained, and wherein further data are obtained, the further data comprising a first tuple set $(\mathcal{M}_{DIR}(k+1))$ for directional signals and a second tuple set $(\mathcal{M}_{VEC}(k+1))$ for vector based signals, each tuple of the first tuple set $(\mathcal{M}_{DIR}(k+1))$ comprising an index of a directional signal and a respective quantized direction, and each tuple of the second tuple set $(\mathcal{M}_{VEC}(k+1))$ comprising an index of a vector based signal and a vector defining the directional distribution of the vector based signal, and further wherein prediction parameters $(\xi(k+1))$ and an ambient assignment vector $(\nu_{AMB,ASSIGN}(k))$ are obtained, wherein the ambient assignment vector $(\nu_{AMB,ASSIGN}(k))$ comprises components that indicate for each transmission channel if and which coefficient sequence of the ambient

HOA component it contains.

10

15

25

30

35

40

45

50

55

[0047] Fig.6 shows the structure of an architecture of a spatial HOA decoding portion of a HOA decompressor according to one embodiment of the invention. The spatial HOA decoding portion comprises a plurality of inverse gain control units 604, a Channel Reassignment block 605, a Predominant Sound Synthesis block 606, and an Ambient Synthesis block 607, a HOA Composition block 608.

[0048] The plurality of inverse gain control units 604 for performing inverse gain control, wherein said first perceptually decoded transport signals $(\hat{\mathbf{z}}_i(k), i = 1, ..., O_{MIN})$ are transformed into first gain corrected signal frames $(\hat{\mathbf{y}}_i(k), i = 1, ..., O_{MIN})$ and said first exception flags $(\beta_i(k), i = 1, ..., O_{MIN})$, and wherein said second perceptually decoded transport signals $(\hat{\mathbf{z}}_i(k), i = O_{MIN} + 1, ..., I)$ are transformed into second gain corrected signal frames $(\hat{\mathbf{y}}_i(k), i = O_{MIN} + 1, ..., I)$ according to said second exponents $(e_i(k), i = O_{MIN} + 1, ..., I)$ and said second exception flags $(\beta_i(k), i = O_{MIN} + 1, ..., I)$.

The Channel Reassignment block 605 is adapted for redistributing (911) the first and second gain corrected signal frames $(\hat{\mathbf{y}}_{l}(k), i = 1, ..., l)$ to l channels, wherein frames of predominant sound signals $(\hat{X}_{PS}(k))$ are reconstructed, the predominant sound signals comprising directional signals and vector based signals, and wherein a modified ambient HOA component $(\tilde{C}_{l,AMB}(k))$ is obtained, and wherein the assigning is made according to said ambient assignment vector

 $(\nu_{\text{AMB,ASSIGN}}(k))$ and to information in said first and second tuple sets $(\mathcal{M}_{\text{DIR}}(k+1), \mathcal{M}_{\text{VEC}}(k+1))$.

Further, the Channel Reassignment block 605 is adapted for generating a first set of indices $(\mathcal{I}_{AMB,ACT}(k))$ of coefficient sequences of the modified ambient HOA component that are active in a k^{th} frame, and a second set of indices $(\mathcal{I}_E(k-1),\mathcal{I}_D(k-1),\mathcal{I}_U(k-1))$ of coefficient sequences of the modified ambient HOA component that have to be enabled, disabled and to remain active in the $(k-1)^{th}$ frame.

[0049] The Predominant Sound Synthesis block 606 is adapted for synthesizing (912) a HOA representation of the predominant HOA sound components $(\hat{C}_{PS}(k-1))$ from said predominant sound signals $(\hat{X}_{PS}(k))$, wherein the first and

second tuple sets $(\mathcal{M}_{\mathrm{DIR}}(k+1), \mathcal{M}_{\mathrm{VEC}}(k+1))$. the prediction parameters $(\xi(k+1))$ and the second set of indices $(\mathcal{I}_{\mathrm{E}}(k-1), \mathcal{I}_{\mathrm{D}}(k-1), \mathcal{I}_{\mathrm{U}}(k-1))$ are used.

[0050] The Ambient Synthesis block 607 is adapted for synthesizing (913) an ambient HOA component ($\tilde{C}_{AMB}(k-1)$) from the modified ambient HOA component ($\tilde{C}_{l,AMB}(k)$), wherein an inverse spatial transform for the first O_{MIN} channels

is made and wherein the first set of indices $(\mathcal{I}_{AMB,ACT}(k))$ is used, the first set of indices being indices of coefficient sequences of the ambient HOA component that are active in the k^{th} frame.

[0051] The HOA Composition block 608 is adapted for adding (914) the HOA representation of the predominant HOA

sound components $(\hat{C}_{PS}(k-1))$ to the ambient HOA component $(\hat{C}_{AMB}(k-1))$, wherein coefficients of the HOA representation of the predominant sound signals and corresponding coefficients of the ambient HOA component are added, and wherein the decompressed HOA signal $(\hat{C}'(k-1))$ is obtained, and wherein, if said layered mode indication (LMF_D) indication indicates a layered mode with at least two layers, only the highest I-O_{MIN} coefficient channels are obtained by addition of the predominant HOA sound components $(\hat{C}_{PS}(k-1))$ and the ambient HOA components

nent $(\hat{\hat{C}}_{AMB}(k-1))$, and the lowest O_{MIN} coefficient channels of the decompressed HOA signal $(\hat{C}'(k-1))$ are copied

from the ambient HOA component $(\tilde{C}_{AMB}(k-1))$, and if said layered mode indication (LMF_D) indication indicates a single-layer mode, all coefficient channels of the decompressed HOA signal $(\hat{C}'(k-1))$ are obtained by addition of the

predominant HOA sound components ($\hat{C}_{PS}(k$ - 1)) and the ambient HOA component ($\hat{\tilde{C}}_{AMB}(k-1)$).

[0052] Fig.7 shows transformation of frames from ambient HOA signals to modified ambient HOA signals.

[0053] Fig.8 shows a flow-chart of a method for compressing a HOA signal.

The method 800 for compressing a Higher Order Ambisonics (HOA) signal being an input HOA representation with input time frames (C(k)) of HOA coefficient sequences comprises spatial HOA encoding of the input time frames and subsequent perceptual encoding and source encoding.

[0054] The spatial HOA encoding comprises steps of performing Direction and Vector Estimation processing 801 of the HOA signal (in a Direction and Vector Estimation block 301), wherein data comprising first tuple sets $(\mathcal{M}_{DIR}(k))$ for directional signals and second tuple sets

```
(\mathcal{M}_{VEC}(k)) for vector based signals are obtained, each of the first tuple sets (\mathcal{M}_{DIR}(k)) comprising an index of a
         directional signal and a respective quantized direction, and each of the second tuple sets (\mathcal{M}_{VEC}(k)) comprising an
         index of a vector based signal and a vector defining the directional distribution of the signals,
         decomposing 802 (in a HOA Decomposition block 303) each input time frame of the HOA coefficient sequences into a
         frame of a plurality of predominant sound signals (\mathbf{X}_{PS} (k-1)) and a frame of an ambient HOA component (\mathbf{\bar{C}}_{AMB}(k-1)),
         wherein the predominant sound signals (X<sub>PS</sub>(k-1)) comprise said directional sound signals and said vector based sound
         signals, and wherein the ambient HOA component (\tilde{C}_{AMB}(k-1)) comprises HOA coefficient sequences representing a
         residual between the input HOA representation and the HOA representation of the predominant sound signals, and
10
         wherein the decomposing (702) further provides prediction parameters (\xi(k-1)) and a target assignment vector (v_{A,T}(k-1))
         1)), the prediction parameters (ξ(k-1)) describing how to predict portions of the HOA signal representation from the
         directional signals within the predominant sound signals (X_{PS}(k-1)) so as to enrich predominant sound HOA components,
         and the target assignment vector (v_{A,T}(k-1)) containing information about how to assign the predominant sound signals
15
         to a given number (I) of channels,
         modifying 803 (in an Ambient Component Modification block 304) the ambient HOA component (\mathbf{C}_{AMB}(k-1)) according
         to the information provided by the target assignment vector (v_{A,T}(k-1)), wherein it is determined which coefficient se-
         quences of the ambient HOA component (\textbf{\textit{C}}_{AMB}(k-1)) are to be transmitted in the given number (I) of channels, depending the component (\textbf{\textit{C}}_{AMB}(k-1)) are to be transmitted in the given number (I) of channels, depending the component (\textbf{\textit{C}}_{AMB}(k-1)) are to be transmitted in the given number (I) of channels, depending the component (\textbf{\textit{C}}_{AMB}(k-1))) are to be transmitted in the given number (I) of channels, depending the component (\textbf{\textit{C}}_{AMB}(k-1))) are to be transmitted in the given number (I) of channels, depending the component (\textbf{\textit{C}}_{AMB}(k-1))) are to be transmitted in the given number (I) of channels, depending the component (\textbf{\textit{C}}_{AMB}(k-1))) are to be transmitted in the given number (I) of channels, depending the component (\textbf{\textit{C}}_{AMB}(k-1))) are to be transmitted in the given number (I) of channels, depending the component (\textbf{\textit{C}}_{AMB}(k-1))) are to be transmitted in the given number (I) of channels, depending the component (\textbf{\textit{C}}_{AMB}(k-1))) are to be transmitted in the given number (I) of channels, depending the component (\textbf{\textit{C}}_{AMB}(k-1))) are to be transmitted in the given number (I) of channels 
         on how many channels are occupied by predominant sound signals, and wherein a modified ambient HOA component
20
         (\boldsymbol{C}_{M.A}(k-2)) and a temporally predicted modified ambient HOA component (\boldsymbol{C}_{P,M,A}(k-1)) are obtained, and wherein a
         final assignment vector (v_A(k-2)) is obtained from information in the target assignment vector (v_A(k-2)),
         assigning 804 (in a Channel Assignment block 105) the predominant sound signals (X<sub>PS</sub>(k-1)) obtained from the de-
         composing, and the determined coefficient sequences of the modified ambient HOA component (C_{M,A}(k-2)) and of the
25
         temporally predicted modified ambient HOA component (C_{P,M,A}(k-1)) to the given number (I) of channels using the
         information provided by the final assignment vector v_A(k-2), wherein transport signals y_i(k-2), i=1,...,l and predicted
         transport signals \mathbf{y}_{P,i}(k-2), i = 1, ..., I are obtained, and
         performing gain control 805 to the transport signals y_i(k-2) and the predicted transport signals y_p(k-2) (in a plurality
         of Gain Control blocks 306), wherein gain modified transport signals z_i(k-2), exponents (e_i(k-2)) and exception flags
30
         \beta(k-2) are obtained.
         [0055] The perceptual encoding and source encoding comprises steps of
         perceptually coding 806 (in a Perceptual Coder 310) said gain modified transport signals (z<sub>i</sub>(k - 2)), wherein perceptually
         encoded transport signals (\check{z}_{i}(k-2), i=1,...,I) are obtained,
35
         encoding 807 (in one or more Side Information Source Coders 320,330), side information comprising said exponents
         e(k-2) and exception flags \beta(k-2), said first tuple sets \mathcal{M}_{DIR}(k) and second tuple sets \mathcal{M}_{VEC}(k), said prediction
         parameters \xi(k-1) and said final assignment vector \nu_A(k-2), wherein encoded side information \check{\Gamma}(k-2) is obtained; and
40
         multiplexing 808 the perceptually encoded transport signals (\check{z}_i(k-2)) and the encoded side information
         \check{\Gamma}(k-2), wherein a multiplexed data stream \check{B}(k-2) is obtained.
         The ambient HOA component \tilde{\mathbf{c}}_{\text{AMB}}(k - 1) obtained in the decomposing step 802 comprises first HOA coefficient se-
         quences of the input HOA representation c_n(k-1) in one or more lowest positions and second HOA coefficient sequences
         \mathbf{c}_{\mathsf{AMB},n}(k-1) in remaining higher positions.
         [0056] The first O_{\text{MIN}} exponents e_i(k-2), i=1,..., O_{\text{MIN}} and exception flags \beta_i(k-2), i=1,..., O_{\text{MIN}} are encoded (in a
         Base Layer Side Information Source Coder 320), wherein encoded Base Layer side information \, \check{\Gamma}_{BASE}(k-2) \, is
50
         obtained, and wherein O_{MIN} = (N_{MIN} + 1)^2 and O = (N+1)^2, with N_{MIN} \le N and O_{MIN} \le I and N_{MIN} is a predefined integer value.
         [0057] The first O_{\text{MIN}} perceptually encoded transport signals \breve{\mathbf{z}}_i(k-2), i=1,\ldots,O_{\text{MIN}} and the encoded Base
         Layer side information \check{\Gamma}_{BASE}(k-2) are multiplexed 809 (in a Base Layer Bitstream Multiplexer 340), wherein a
55
         Base Layer bitstream \breve{B}_{\text{BASE}}(k-2) is obtained. The remaining I - O_{\text{MIN}} exponents e_i(k-2), i = O_{\text{MIN}} + 1, ...,I and
         exception flags \beta_i(k - 2), i = O_{\text{MIN}} + 1, ..., I, said first tuple sets \mathcal{M}_{\text{DIR}}(k-1) and second tuple sets \mathcal{M}_{\text{VEC}}(k-1),
```

said prediction parameters $\xi(k-1)$ and said final assignment vector $v_A(k-2)$ (also shown as $v_{AMB,ASSIGN}(k)$ in the Figures) are encoded (in an Enhancement Layer Side Information Encoder 330), wherein encoded enhancement layer side information $\check{\Gamma}_{ENH}(k-2)$ is obtained.

[0058] The remaining I - $O_{\rm MIN}$ perceptually encoded transport signals $\check{\mathbf{Z}}_i(k-2)$, $i=O_{MIN}+1,\dots,I$ and the encoded enhancement layer side information $\check{\Gamma}_{ENH}(k-2)$ are multiplexed 810 (in an Enhancement Layer Bitstream $(\check{P}_{ENH}(k-2))$).

Multiplexer 350), wherein an Enhancement Layer bitstream $(\breve{B}_{ENH}(k-2))$ is obtained. A mode indication is added 811 that signalizes usage of a layered mode, as described above.

[0059] In one embodiment, the method further comprises a final step of multiplexing the Base Layer bitstream

 $reve{B}_{BASE}(k-2)$, Enhancement Layer bitstream $reve{B}_{\mathrm{ENH}}(k-2)$ and mode indication into a single bitstream.

[0060] In one embodiment, said dominant direction estimation is dependent on a directional power distribution of the energetically dominant HOA components.

[0061] In one embodiment, in modifying the ambient HOA component, a fade in and fade out of coefficient sequences is performed if the HOA sequence indices of the chosen HOA coefficient sequences vary between successive frames. **[0062]** In one embodiment, in modifying the ambient HOA component, a partial decorrelation of the ambient HOA component $C_{AMB}(k-1)$ is performed.

[0063] In one embodiment, quantized direction comprised in the first tuple sets $\mathcal{M}_{DIR}(k)$ is a dominant direction. [0064] Fig.9 shows a flow-chart of a method for decompressing a compressed HOA signal. In this embodiment of the invention, the method 900 for decompressing a compressed Higher Order Ambisonics (HOA) signal comprises perceptual decoding and source decoding and subsequent spatial HOA decoding to obtain output time frames $\hat{\mathbf{C}}(k$ - 1) of HOA coefficient sequences, and the method comprises a step of detecting 901 a layered mode indication LMF_D indication

that the compressed Higher Order Ambisonics (HOA) signal comprises a compressed base layer bitstream $\check{B}_{BASE}(k)$

and a compressed enhancement layer bitstream $\check{B}_{ENH}(k)$.

5

10

20

25

30

35

40

45

50

55

[0065] The perceptual decoding and source decoding comprises steps of

demultiplexing 902 the compressed base layer bitstream $(\check{B}_{BASE}(k))$, wherein first perceptually encoded transport

signals $(\check{\mathbf{z}}_i(k), i=1,...,O_{\mathrm{MIN}})$ and first encoded side information $(\check{\Gamma}_{BASE}(k))$ are obtained,

demultiplexing 903 the compressed enhancement layer bitstream $(\check{B}_{ENH}(k))$, wherein second perceptually encoded transport signals $(\check{z}_i(k), i = O_{\text{MIN}} + 1, ..., I)$ and second encoded side information $(\check{\Gamma}_{ENH}(k))$ are obtained,

perceptually decoding 904 the perceptually encoded transport signals ($\check{\mathbf{z}}_i(k)$, $i=1,\ldots,l$), wherein perceptually decoded transport signals ($\hat{\mathbf{z}}_i(k)$) are obtained, and wherein in a Base Layer Perceptual Decoder 540 said first perceptually

encoded transport signals $(\check{\mathbf{z}}_i(k), i=1,...,O_{\text{MIN}})$ of the base layer are decoded and first perceptually decoded transport signals $(\check{\mathbf{z}}_i(k), i=1,...,O_{\text{MIN}})$ are obtained, and wherein in an Enhancement Layer Perceptual Decoder 550

said second perceptually encoded transport signals $(\check{\boldsymbol{z}}_{l}(k-2), i=O_{MIN}+1,...,I)$ of the enhancement layer are decoded and second perceptually decoded transport signals $(\hat{\boldsymbol{z}}_{l}(k), i=O_{MIN}+1,...,I)$ are obtained,

decoding 905 the first encoded side information $(\tilde{\Gamma}_{BASE}(k))$ in a Base Layer Side Information Source Decoder (530), wherein first exponents $(e_i(k), i = 1, ..., O_{MIN})$ and first exception flags $(\beta_i(k), i = 1, ..., O_{MIN})$ are obtained, and

decoding 906 the second encoded side information $(\breve{\Gamma}_{ENH}(k))$ in an Enhancement Layer Side Information Source Decoder (560), wherein second exponents $(e_i(k), i = O_{MIN} + 1, ..., I)$ and second exception flags $(\beta_i(k), i = O_{MIN} + 1, ..., I)$ are obtained, and wherein further data are obtained, the further data comprising a first tuple set $(M_{DIR}(k + 1))$ for

directional signals and a second tuple set $(\mathcal{M}_{VEC}(k+1))$ for vector based signals, each tuple of the first tuple set $(\mathcal{M}_{DIR}(k+1))$ comprising an index of a directional signal and a respective quantized direction, and each tuple of

the second tuple $(\mathcal{M}_{VEC}(k+1))$ set comprising an index of a vector based signal and a vector defining the directional distribution of the vector based signal, and further wherein prediction parameters $(\xi(k+1))$ and an ambient assignment vector $(\nu_{AMB,ASSIGN}(k))$ are obtained, wherein the ambient assignment vector $(\nu_{AMB,ASSIGN}(k))$ comprises components that indicate for each transmission channel if and which coefficient sequence of the ambient HOA component it contains. [0066] The spatial HOA decoding comprises steps of

performing 910 inverse gain control, wherein said first perceptually decoded transport signals $(\hat{\mathbf{z}}_i(k), i = 1, ..., O_{MIN})$ are transformed into first gain corrected signal frames $(\hat{\mathbf{y}}_i(k), i = 1, ..., O_{MIN})$ according to said first exponents $(e_i(k), i = 1, ..., O_{MIN})$ and said first exception flags $(\beta_i(k), i = 1, ..., O_{MIN})$, and wherein said second perceptually decoded transport signals $(\hat{\mathbf{z}}_i(k), i = O_{MIN} + 1, ..., I)$ are transformed into second gain corrected signal frames $(\hat{\mathbf{y}}_i(k), i = O_{MIN} + 1, ..., I)$ according to said second exponents $(e_i(k), i = O_{MIN} + 1, ..., I)$ and said second exception flags $(\beta_i(k), i = O_{MIN} + 1, ..., I)$, redistributing 911 (in a Channel Reassignment block 605) the first and second gain corrected signal frames $(\hat{\mathbf{y}}_i(k), i = 1, ..., I)$ to I channels, wherein frames of predominant sound signals $(\hat{X}_{PS}(k))$ are reconstructed, the predominant sound signals comprising directional signals and vector based signals, and wherein a modified ambient HOA component $(\hat{V}_{I,AMB}(k))$ is obtained, and wherein the assigning is made according to said ambient assignment vector $(v_{AMB,ASSIGN}(k))$

and to information in said first and second tuple sets $(\mathcal{M}_{\mathrm{DIR}}(k+1),\,\mathcal{M}_{\mathrm{VEC}}(k+1))$.

5

15

30

35

40

45

50

55

generating 911b (in the Channel Reassignment block 605) a first set of indices $(\mathcal{I}_{AMB,ACT}(k))$ of coefficient sequences of the modified ambient HOA component that are active in the kth frame, and a second set of indices $(\mathcal{I}_E(k-1),\mathcal{I}_D(k-1),\ \mathcal{I}_U(k-1))$ of coefficient sequences of the modified ambient HOA component that have to be enabled, disabled and to remain active in the (k-1)th frame,

synthesizing 912 (in the Predominant Sound Synthesis block 606) a HOA representation of the predominant HOA sound components ($\hat{C}_{PS}(k-1)$) from said predominant sound signals ($\hat{X}_{PS}(k)$), wherein the first and second tuple sets

 $(\mathcal{M}_{\mathrm{DIR}}(k+1), \mathcal{M}_{\mathrm{VEC}}(k+1))$. the prediction parameters $(\xi(k+1))$ and the second set of indices $(\mathcal{I}_{\mathrm{E}}(k-1), \mathcal{I}_{\mathrm{D}}(k-1), \mathcal{I}_{\mathrm{U}}(k-1))$ are used,

synthesizing 913 (in the Ambient Synthesis block 607) an ambient HOA component $\hat{\tilde{C}}_{AMB}(k-1)$ from the modified ambient HOA component ($\tilde{C}_{l,AMB}(k)$, wherein an inverse spatial transform for the first O_{MIN} channels is made and wherein

the first set of indices $\mathcal{I}_{AMB,ACT}(k)$ is used, the first set of indices being indices of coefficient sequences of the ambient HOA component that are active in the k^{th} frame, and

adding 914 the HOA representation of the predominant HOA sound components $\hat{C}_{PS}(k-1)$ and the ambient HOA com-

ponent $\hat{C}_{AMB}(k-1)$ (in a HOA Composition block 608), wherein coefficients of the HOA representation of the predominant sound signals and corresponding coefficients of the ambient HOA component are added, and wherein the decompressed HOA signal ($\hat{C}(k-1)$) is obtained, and wherein the following conditions apply:

if the layered mode indication (LMF_D) indication indicates a layered mode with at least two layers, only the highest I-O_{MIN} coefficient channels are obtained by addition of the predominant HOA sound components ($\hat{C}_{PS}(k$ - 1)) and

the ambient HOA component $(\hat{\tilde{C}}_{AMB}(k-1))$. and the lowest O_{MIN} coefficient channels of the decompressed HOA signal $(\hat{C}(k-1))$ are copied from the ambient HOA component $(\hat{\tilde{C}}_{AMB}(k-1))$.

Otherwise, if the layered mode indication (LMF_D) indication indicates a single-layer mode, all coefficient channels of the decompressed HOA signal ($\hat{C}(k-1)$) are obtained by addition of the predominant HOA sound components ($\hat{C}_{PS}(k-1)$)

and the ambient HOA component $(\hat{ ilde{C}}_{AMB}(k-1))$.

[0067] In one embodiment, the compressed Higher Order Ambisonics (HOA) signal representation is in a multiplexed bitstream, further comprising an initial step of demultiplexing the compressed Higher Order Ambisonics (HOA) signal

representation, wherein said compressed base layer bitstream $(\check{B}_{BASE}(k))$, said compressed enhancement layer

bitstream $(\check{B}_{ENH}(k))$ and said layered mode indication (LMF_D) indication are obtained.

[0068] Fig.10 shows details of parts of an architecture of a spatial HOA decoding portion of a HOA decompressor according to one embodiment of the invention.

- **[0069]** Advantageously, it is possible to decode only the BL, e.g. if no EL is received or if the BL quality is sufficient. For this case, signals of the EL can be set to zero at the decoder. Then, the redistributing 911 the first and second gain corrected signal frames $(\hat{y}_i(k), i = 1, ..., I)$ to I channels in the Channel Reassignment block 605 is very simple, since the frames of predominant sound signals $\hat{X}_{PS}(k)$ are empty. The second set of indi-
- $_{10}$ ces $(\mathcal{I}_{\rm E}(k-1),\mathcal{I}_{\rm D}(k-1),\ \mathcal{I}_{\rm U}(k-1))$ of coefficient sequences of the modified ambient HOA component that have to be enabled, disabled and to remain active in the $(k-1)^{\rm th}$ frame are set to zero. The synthesizing 912 the HOA representation of the predominant HOA sound components $\hat{C}_{PS}(k-1)$ from the predominant sound signals $\hat{X}_{PS}(k)$ in the Predominant Sound Synthesis block 606 can therefore be skipped, and the synthesizing 913 an ambient HOA component
- $\hat{C}_{AMB}(k-1)$ from the modified ambient HOA component $\tilde{C}_{I,AMB}(k)$ in the Ambient Synthesis block 607 corresponds to a conventional HOA synthesis.
 - **[0070]** While there has been shown, described, and pointed out fundamental novel features of the present invention as applied to preferred embodiments thereof, it will be understood that various omissions and substitutions and changes in the apparatus and method described, in the form and details of the devices disclosed, and in their operation, may be made by those skilled in the art without departing from the spirit of the present invention. It is expressly intended that all combinations of those elements that perform substantially the same function in substantially the same way to achieve the same results are within the scope of the invention. Substitutions of elements from one described embodiment to another are also fully intended and contemplated.
 - It will be understood that the present invention has been described purely by way of example, and modifications of detail can be made without departing from the scope of the invention.
 - Each feature disclosed in the description and (where appropriate) the claims and drawings may be provided independently or in any appropriate combination. Features may, where appropriate be implemented in hardware, software, or a combination of the two. Connections may, where applicable, be implemented as wireless connections or wired, not necessarily direct or dedicated, connections.
- Reference numerals appearing in the claims are by way of illustration only and shall have no limiting effect on the scope of the claims.

Cited References

³⁵ [0071]

40

20

25

- [1] EP12306569.0
- [2] EP12305537.8 (published as EP2665208A)
- [3] EP133005558.2
- [4] ISO/IEC JTC1/SC29/WG11 N14264. Working draft 1-HOA text of MPEG-H 3D audio, January 2014

Claims

- 45 1. A method (800) for compressing a Higher Order Ambisonics (HOA) signal being an input HOA representation with input time frames (C(k)) of HOA coefficient sequences, said method comprising spatial HOA encoding of the input time frames and subsequent perceptual encoding and source encoding, wherein the spatial HOA encoding comprises steps of:
- performing Direction and Vector Estimation processing (801) of the HOA signal in a Direction and Vector Estimation block (301), wherein data comprising first tuple sets $\mathcal{M}_{\mathrm{DIR}}(k)$ for directional signals and second tuple sets $(\mathcal{M}_{\mathrm{VEC}}(k))$ for vector based signals are obtained, each of the first tuple sets $(\mathcal{M}_{\mathrm{DIR}}(k))$ comprising an index of a directional signal and a respective quantized direction, and each of the second tuple sets $(\mathcal{M}_{\mathrm{VEC}}(k))$ comprising an index of a vector based signal and a vector defining the directional distribution of
 - $(\mathcal{M}_{VEC}(\mathcal{K}))$ comprising an index of a vector based signal and a vector defining the directional distribution of the signals;
 - decomposing (802) in a HOA Decomposition block (303) each input time frame of the HOA coefficient se-

quences into a frame of a plurality of predominant sound signals (X_{PS} (k-1)) and a frame of an ambient HOA

component $(\widetilde{\mathbf{C}}_{AMB}(k-1))$, wherein the predominant sound signals $(\mathbf{X}_{PS}(k-1))$ comprise said directional sound signals and said vector based sound signals, and wherein the ambient HOA component $(\widetilde{\mathbf{C}}_{AMB}(k-1))$ comprises HOA coefficient sequences representing a residual between the input HOA representation and the HOA representation of the predominant sound signals, and wherein the decomposing (702) further provides prediction parameters $(\xi(k-1))$ and a target assignment vector $(\nu_{A,T}(k-1))$, the prediction parameters $(\xi(k-1))$ describing how to predict portions of the HOA signal representation from the directional signals within the predominant sound signals $(\mathbf{X}_{PS}(k-1))$ so as to enrich predominant sound HOA components, and the target assignment vector $(\nu_{A,T}(k-1))$ containing information about how to assign the predominant sound signals to a given number (I) of channels;

- modifying (803) in an Ambient Component Modification block (304) the ambient HOA component ($\mathbf{C}_{AMB}(k-1)$) according to the information provided by the target assignment vector ($\nu_{A,T}(k-1)$), wherein it is determined which coefficient sequences of the ambient HOA component ($\mathbf{C}_{AMB}(k-1)$) are to be transmitted in the given number (I) of channels, depending on how many channels are occupied by predominant sound signals, and wherein a modified ambient HOA component ($\mathbf{C}_{M,A}(k-2)$) and a temporally predicted modified ambient HOA component ($\mathbf{C}_{P,M,A}(k-1)$) are obtained, and wherein a final assignment vector $\nu_{A}(k-2)$ is obtained from information in the target assignment vector ($\nu_{A,T}(k-1)$);
- assigning (804) in a Channel Assignment block (105) the predominant sound signals ($\mathbf{X}_{PS}(k-1)$) obtained from the decomposing, and the determined coefficient sequences of the modified ambient HOA component ($\mathbf{C}_{P,M,A}(k-1)$) and of the temporally predicted modified ambient HOA component ($\mathbf{C}_{P,M,A}(k-1)$) to the given number (I) of channels using the information provided by the final assignment vector $v_A(k-2)$, wherein transport signals $\mathbf{y}_{P,i}(k-2)$, i=1,...,I are obtained;
- performing gain control (805) to the transport signals ($y_i(k-2)$) and the predicted transport signals ($y_{P,i}(k-2)$) in a plurality of Gain Control blocks (306), wherein gain modified transport signals ($z_i(k-2)$), exponents ($e_i(k-2)$) and exception flags ($\beta_i(k-2)$) are obtained;

and the perceptual encoding and source encoding comprises steps of

- perceptually coding (806) in a Perceptual Coder (310) said gain modified transport signals ($\mathbf{z}_i(k-2)$), wherein perceptually encoded transport signals ($\mathbf{z}_i(k-2)$), $i=1,\ldots,I$) are obtained;
- encoding (807) in a Side Information Source Coder (320,330), side information comprising said exponents $(e_i(k-2))$ and exception flags $(\beta_i(k-2))$, said first tuple sets $(\mathcal{M}_{\mathrm{DIR}}(k))$ and second tuple sets $(\mathcal{M}_{\mathrm{VEC}}(k))$, said prediction parameters $(\xi(k-1))$ and said final assignment vector $(\nu_{\mathrm{A}}(k-2))$, wherein encoded side information

 $(\check{\Gamma}(k-2))$ is obtained; and

- multiplexing (808) the perceptually encoded transport signals $(\check{z}_i(k-2))$ and the encoded side information $(\check{\Gamma}(k-2))$, wherein a multiplexed data stream $(\check{B}(k-2))$ is obtained;

wherein

5

10

15

20

25

30

35

40

45

- the ambient HOA component ($\mathbf{\tilde{c}}_{AMB}(k-1)$) obtained in said decomposing (802) step comprises first HOA coefficient sequences of the input HOA representation ($\mathbf{c}_n(k-1)$) in one or more lowest positions and second HOA coefficient sequences ($\mathbf{c}_{AMB,n}(k-1)$) in remaining higher positions;
- the first O_{MIN} exponents $(e_i(k-2), i=1, ..., O_{MIN})$ and exception flags $(\beta_i(k-2), i=1, ..., O_{MIN})$ are encoded in a Base Layer Side Information Source Coder (320), wherein encoded Base Layer side information
- $(\check{\Gamma}_{BASE}(k-2))$ is obtained, and wherein $O_{MIN} = (N_{MIN} + 1)^2$ and $O = (N+1)^2$, with $N_{MIN} \le N$ and $N_$
- the first O_{MIN} perceptually encoded transport signals $(\check{\boldsymbol{z}}_{t}(k-2), i=1,...,O_{MIN})$ and the encoded Base Layer side information $(\check{\Gamma}_{BASE}(k-2))$ are multiplexed (809) in a Base Layer Bitstream Multiplexer (340),

wherein a Base Layer bitstream $(\check{B}_{BASE}(k-2))$ is obtained; - the remaining I - O_{MIN} exponents $(e_i(k-2), i=O_{MIN}+1,...,I)$ and exception flags $(\beta_i(k-2), i=O_{MIN}+1,...,I)$, said first tuple sets $(\mathcal{M}_{\mathrm{DIR}}(k-1))$ and second tuple sets $(\mathcal{M}_{\mathrm{VEC}}(k-1))$, said prediction parameters $(\xi(k-1))$ and said final assignment vector $(v_{\mathrm{A}}(k-2))$ are encoded () in an Enhancement Layer Side Information Encoder (330), wherein encoded enhancement layer side information $(\dot{\Gamma}_{ENH}(k-2))$ is obtained; - the remaining I - O_{MIN} perceptually encoded transport signals ($\boldsymbol{Z}_{l}(k-2)$, $i = O_{\text{MIN}} + 1,...,l$) and the encoded 10 enhancement layer side information $(\check{\Gamma}_{ENH}(k-2))$ are multiplexed (810) in an Enhancement Layer Bitstream Multiplexer (350), wherein an Enhancement Layer bitstream $(\check{B}_{ENH}(k-2))$ is obtained; and - a mode indication is added (811) that signalizes usage of a layered mode. 15

5

25

35

40

45

50

- 2. Method according to claim 1, further comprising a final step of multiplexing the Base Layer bitstream $(\check{B}_{\mathit{BASE}}(k-2))$ Enhancement Layer bitstream $(\check{B}_{\mathit{ENH}}(k-2))$ and mode indication into a single bitstream.
- 3. Method according to claim 1 or 2, wherein said dominant direction estimation is dependent on a directional power 20 distribution of the energetically dominant HOA components.
 - 4. Method according to any of the claims 1-3, wherein in modifying the ambient HOA component, a fade in and fade out of coefficient sequences is performed if the HOA sequence indices of the chosen HOA coefficient sequences vary between successive frames.
 - 5. Method according to any of the claims 1-4, wherein in modifying the ambient HOA component, a partial decorrelation of the ambient HOA component ($C_{AMB}(k-1)$) is performed.
- Method according to any of claims 1-5, wherein quantized direction comprised in the first tuple sets $(\mathcal{M}_{DIR}(k))$ 30 is a dominant direction.
 - 7. A method (900) for decompressing a compressed Higher Order Ambisonics (HOA) signal, the method comprising perceptual decoding and source decoding and subsequent spatial HOA decoding to obtain output time frames ($\dot{\mathbf{C}}(k)$ - 1)) of HOA coefficient sequences, and the method comprising a step of
 - detecting (901) a layered mode indication (LMF_D) indication that the compressed Higher Order Ambisonics (HOA) signal comprises a compressed base layer bitstream $(\check{B}_{BASE}(k))$ and a compressed enhancement laver bitstream $(\check{B}_{ENH}(k))$; wherein the perceptual decoding and source decoding comprises steps of
 - demultiplexing (902) the compressed base layer bitstream $(\check{B}_{BASE}(k))$, wherein first perceptually encoded transport signals $(\check{\mathbf{z}}_i(k), i = 1, ..., O_{\text{MIN}})$ and first encoded side information $(\Gamma_{BASE}(k))$ are obtained: - demultiplexing (903) the compressed enhancement layer bitstream $(\check{B}_{ENH}(k))$, wherein second perceptually encoded transport signals $(\check{\mathbf{z}}_i(k), i = O_{\mathrm{MIN}} + 1, ..., I)$ and second encoded side information
 - $(\bar{\Gamma}_{ENH}(k))$ are obtained:
 - perceptually decoding (904) the perceptually encoded transport signals ($\check{z}_i(k)$, i=1,...,l), wherein perceptually decoded transport signals $(\hat{z}_{i}(k))$ are obtained, and wherein in a Base Layer Perceptual Decoder (540) said first perceptually encoded transport signals $(\check{\mathbf{z}}_i(k), i = 1, ..., O_{\text{MIN}})$ of the base layer are decoded and first perceptually decoded transport signals $(\hat{\mathbf{z}}_{i}(k), i = 1,...,O_{\text{MIN}})$ are obtained, and wherein in an Enhancement (550) said second perceptually Layer Perceptual Decoder encoded transport

 $(\check{\mathbf{z}}_i(k), i = O_{\text{MIN}} + 1, ..., I)$ of the enhancement layer are decoded and second perceptually decoded transport signals $(\check{\mathbf{z}}_i(k), i = O_{\text{MIN}} + 1, ..., I)$ are obtained;

- decoding (905) the first encoded side information $(\hat{\Gamma}_{BASE}(k))$ in a Base Layer Side Information Source Decoder (530), wherein first exponents $(e_i(k), i = 1,..., O_{MIN})$ and first exception flags $(\beta_i(k), i = 1,..., O_{MIN})$ are obtained; and
- decoding (906) the second encoded side information $(\check{\Gamma}_{ENH}(k))$ in an Enhancement Layer Side Information Source Decoder (560), wherein second exponents $(e_i(k), i = O_{MIN} + 1,...,l)$ and second exception flags $(\beta_i(k), i = O_{MIN} + 1,...,l)$ are obtained, and wherein further data are obtained, the further data comprising a first tuple set $(\mathcal{M}_{DIR}(k+1))$ for directional signals and a second tuple set $(\mathcal{M}_{VEC}(k+1))$ for vector based signals, each tuple of the first tuple set $(\mathcal{M}_{DIR}(k+1))$ comprising an index of a directional signal and a respective quantized direction, and each tuple of the second tuple set $(\mathcal{M}_{VEC}(k+1))$ comprising an index of a vector based signal and a vector defining the directional distribution of the vector based signal, and further wherein prediction parameters $(\xi(k+1))$ and an ambient assignment vector $(v_{AMB,ASSIGN}(k))$ are obtained, wherein the ambient assignment vector $(v_{AMB,ASSIGN}(k))$ comprises components that indicate for each transmission channel

and wherein the spatial HOA decoding comprises steps of

if and which coefficient sequence of the ambient HOA component it contains;

10

15

20

25

30

35

40

45

50

55

- performing (910) inverse gain control (604), wherein said first perceptually decoded transport signals $(\hat{\mathbf{z}}_i(k), i = 1,..., O_{\text{MIN}})$ are transformed into first gain corrected signal frames $(\hat{\mathbf{y}}_i(k), i = 1,..., O_{\text{MIN}})$ according to said first exponents $(e_i(k), i = 1, ..., O_{\text{MIN}})$ and said first exception flags $(\beta_i(k), i = 1, ..., O_{\text{MIN}})$, and wherein said second perceptually decoded transport signals $(\hat{\mathbf{z}}_i(k), i = O_{\text{MIN}} + 1, ..., I)$ are transformed into second gain corrected signal frames $(\hat{\mathbf{y}}_i(k), i = O_{\text{MIN}} + 1, ..., I)$ according to said second exponents $(e_i(k), i = O_{\text{MIN}} + 1, ..., I)$ and said second exception flags $(\beta_i(k), i = O_{\text{MIN}} + 1, ..., I)$;
 - redistributing (911), in a Channel Reassignment block (605), the first and second gain corrected signal frames $(\hat{y}_i(k), i = 1,...,l)$ to I channels, wherein frames of predominant sound signals $(\hat{X}_{PS}(k))$ are reconstructed, the predominant sound signals comprising directional signals and vector based signals, and wherein a modified ambient HOA component $(\tilde{C}_{I,AMB}(k))$ is obtained, and wherein the assigning is made according to said ambient assignment vector $(v_{AMB,ASSIGN}(k))$ and to information in said first and second tuple

sets $(\mathcal{M}_{DIR}(k+1), \mathcal{M}_{VEC}(k+1));$

- -generating (911b), in the Channel Reassignment block (605), a first set of indices $(\mathcal{I}_{AMB,ACT}(k))$ of coefficient sequences of the modified ambient HOA component that are active in the k^{th} frame, and a second set of
- $\mathcal{J}_E(k-1)$, $\mathcal{J}_D(k-1)$, $\mathcal{J}_U(k-1)$) of coefficient sequences of the modified ambient HOA component that have to be enabled, disabled and to remain active in the (k-1)th frame;
- synthesizing (912), in a Predominant Sound Synthesis block (606), a HOA representation of the predominant HOA sound components ($\hat{C}_{PS}(k-1)$) from said predominant sound signals ($\hat{X}_{PS}(k)$), wherein the first and second
- tuple sets $(\mathcal{M}_{DIR}(k+1), \mathcal{M}_{VEC}(k+1))$; the prediction parameters $(\xi(k+1))$ and the second set of indices $(\mathcal{J}_{E}(k-1), \mathcal{J}_{D}(k-1), \mathcal{J}_{U}(k-1))$ are used;
- synthesizing (913), in an Ambient Synthesis block (607), an ambient HOA component $\hat{\tilde{C}}_{AMB}(k-1)$ from the modified ambient HOA component ($\tilde{C}_{I,AMB}(k)$), wherein an inverse spatial transform for the first O_{MIN} channels
- is made and wherein the first set of indices $(\mathcal{I}_{AMB,ACT}(k))$ is used, the first set of indices being indices of coefficient sequences of the ambient HOA component that are active in the k^{th} frame; and
- adding (914) the HOA representation of the predominant HOA sound components ($\hat{C}_{PS}(k-1)$) and the ambient
- HOA component $(\hat{\tilde{C}}_{AMB}(k-1))$ in a HOA Composition block (608), wherein coefficients of the HOA representation of the predominant sound signals and corresponding coefficients of the ambient HOA component

are added, and wherein the decompressed HOA signal ($\hat{C}(k-1)$) is obtained, and wherein,

5

10

15

25

30

35

40

45

50

- if said layered mode indication (LMF_D) indication indicates a layered mode with at least two layers, only the highest I-O_{MIN} coefficient channels are obtained by addition of the predominant HOA sound components ($\hat{C}_{PS}(k-1)$) and the ambient HOA component ($\hat{C}_{AMB}(k-1)$), and the lowest O_{MIN} coefficient channels of the decompressed HOA signal ($\hat{C}(k-1)$) are copied from the ambient HOA component ($\hat{C}_{AMB}(k-1)$), and if said layered mode indication (LMF_D) indication indicates a single-layer mode, all coefficient channels of the decompressed HOA signal ($\hat{C}(k-1)$) are obtained by addition of the predominant HOA sound components ($\hat{C}_{PS}(k-1)$)
 - 1)) and the ambient HOA component ($\mathcal{C}_{AMB}(k-1)$), Method according to claim 7, wherein the compressed Higher Order Ambisonics (HOA) signal representation is in a multiplexed bitstream, further comprising an initial step of demultiplexing () the compressed Higher Order Ambisonics (HOA) signal representation, wherein said compressed base layer bitstream ($\check{B}_{BASE}(k)$), said com-
- pressed enhancement layer bitstream $(\check{B}_{ENH}(k))$ and said layered mode indication (LMF_D) indication are obtained.
 - 9. An apparatus for compressing a Higher Order Ambisonics (HOA) signal being an input HOA representation with input time frames (C(k)) of HOA coefficient sequences, said apparatus comprising a spatial HOA encoding and perceptual encoding portion for spatial HOA encoding of the input time frames and subsequent perceptual encoding, and a source coder portion for source encoding, wherein the spatial HOA encoding and perceptual encoding portion comprises:
 - a Direction and Vector Estimation block (301) adapted for performing Direction and Vector Estimation processing of the HOA signal, wherein data comprising first tuple sets $(\mathcal{M}_{DIR}(k))$ for directional signals and second tuple sets $(\mathcal{M}_{VEC}(k))$ for vector based signals are obtained, each of the first tuple sets $(\mathcal{M}_{DIR}(k))$ comprising an index of a directional signal and a respective quantized direction, and each of the second tuple sets $(\mathcal{M}_{VEC}(k))$ comprising an index of a vector based signal and a vector defining the directional distribution of the signals;
 - a HOA Decomposition block (303) adapted for decomposing each input time frame of the HOA coefficient sequences into a frame of a plurality of predominant sound signals (\mathbf{X}_{PS} (k-1)) and a frame of an ambient HOA component ($\tilde{\mathbf{C}}_{AMB}(k-1)$), wherein the predominant sound signals ($\mathbf{X}_{PS}(k-1)$) comprise said directional sound signals and said vector based sound signals, and wherein the ambient HOA component ($\tilde{\mathbf{C}}_{AMB}(k-1)$) comprises HOA coefficient sequences representing a residual between the input HOA representation and the HOA representation of the predominant sound signals, and wherein the decomposing further provides prediction parameters ($\xi(k-1)$) and a target assignment vector ($v_{A,T}(k-1)$), the prediction parameters ($\xi(k-1)$) describing how to predict portions of the HOA signal representation from the directional signals within the predominant sound signals ($\mathbf{X}_{PS}(k-1)$) so as to enrich predominant sound HOA components, and the target assignment vector ($v_{A,T}(k-1)$) containing information about how to assign the predominant sound signals to a given number (I) of channels:
 - an Ambient Component Modification block (304) adapted for modifying the ambient HOA component ($\mathbf{C}_{AMB}(k-1)$) according to the information provided by the target assignment vector ($v_{A,T}(k-1)$), wherein it is determined which coefficient sequences of the ambient HOA component ($\mathbf{C}_{AMB}(k-1)$) are to be transmitted in the given number (I) of channels, depending on how many channels are occupied by predominant sound signals, and wherein a modified ambient HOA component ($\mathbf{C}_{M,A}(k-2)$) and a temporally predicted modified ambient HOA component ($\mathbf{C}_{P,M,A}(k-1)$) are obtained, and wherein a final assignment vector ($v_A(k-2)$) is obtained from information in the target assignment vector ($v_{A,T}(k-1)$);
 - a Channel Assignment block (305) adapted for assigning the predominant sound signals ($X_{PS}(k-1)$) obtained from the decomposing, the determined coefficient sequences of the modified ambient HOA component ($C_{M,A}(k-2)$) and of the temporally predicted modified ambient HOA component ($C_{P,M,A}(k-1)$) to the given number (I) of channels using the information provided by the final assignment vector $v_A(k-2)$, wherein transport signals $y_i(k-2)$, i=1,...,I and predicted transport signals $y_{P,i}(k-2)$, i=1,...,I are obtained;

- a plurality of Gain Control blocks (306) adapted for performing gain control (805) to the transport signals ($\mathbf{y}_{f}(k-2)$) and the predicted transport signals ($\mathbf{y}_{P,f}(k-2)$), wherein gain modified transport signals ($\mathbf{z}_{f}(k-2)$), exponents ($\mathbf{e}_{f}(k-2)$) and exception flags ($\beta_{f}(k-2)$) are obtained;
- 5 and the source coder portion comprises
 - a Perceptual Coder (310) adapted for perceptually coding (806) said gain modified transport signals ($\mathbf{z}_i(k-2)$), wherein perceptually encoded transport signals ($\mathbf{z}_i(k-2)$), i = 1,...,l) are obtained;
 - a Side Information Source Coder (320,330) adapted for encoding (807) side information comprising said exponents ($e_i(k-2)$) and exception flags ($\beta_i(k-2)$), said first tuple sets ($\mathcal{M}_{DIR}(k)$) and second tuple sets ($\mathcal{M}_{VEC}(k)$), said prediction parameters ($\xi(k-1)$) and said final assignment vector ($v_A(k-2)$), wherein encoded side information ($\check{\Gamma}(k-2)$) is obtained; and
 - a multiplexer (340,350) for multiplexing (808) the perceptually encoded transport signals $(\check{z}_i(k-2))$ and the encoded side information $(\check{\Gamma}(k-2))$ into a multiplexed data stream $(\check{\check{B}}(k-2))$,

wherein

10

15

20

25

30

35

40

- the ambient HOA component ($\tilde{\mathbf{C}}_{AMB}(k-1)$) obtained in said decomposing (802) step comprises first HOA coefficient sequences of the input HOA representation ($\mathbf{c}_n(k-1)$) in one or more lowest positions and second HOA coefficient sequences ($\mathbf{c}_{AMB,n}(k-1)$) in remaining higher positions;
- the first O_{MIN} exponents $(e_i(k-2), i=1, ..., O_{\text{MIN}})$ and exception flags $(\beta_i(k-2), i=1, ..., O_{\text{MIN}})$ are encoded in a Base Layer Side Information Source Coder (320), wherein encoded Base Layer side information $(\check{\Gamma}_{BASE}(k-2))$ is obtained, and wherein $O_{\text{MIN}} = (N_{\text{MIN}} + 1)^2$ and $O = (N+1)^2$, with $N_{\text{MIN}} \leq N$ and $O_{\text{MIN}} \leq I$ and N_{MIN} is a predefined integer value;
- the first O_{MIN} perceptually encoded transport signals ($\widecheck{\mathbf{Z}}_{l}(k-2)$, i = 1, ..., O_{MIN}) and the encoded Base Layer side information ($\widecheck{\Gamma}_{BASE}(k-2)$) are multiplexed in a Base Layer Bitstream Multiplexer (340), wherein a Base Layer bitstream($\widecheck{B}_{BASE}(k-2)$) is obtained; the remaining I O_{MIN} exponents ($e_i(k-2)$, i = O_{MIN} + 1,...,I), and exception flags ($\beta_i(k-2)$, i = O_{MIN} + 1,...,I),
- the remaining I O_{MIN} exponents ($e_i(k-2)$, $i=O_{MIN}+1,...,I$) and exception flags ($\beta_i(k-2)$, $i=O_{MIN}+1,...,I$), said first tuple sets ($\mathcal{M}_{DIR}(k-1)$) and second tuple sets $\mathcal{M}_{VEC}(k-1)$, said prediction parameters ($\xi(k-1)$) and said final assignment vector ($v_A(k-2)$) are encoded in an Enhancement Layer Side Information Encoder (330), wherein encoded enhancement layer side information ($\check{\Gamma}_{ENH}(k-2)$) is obtained;
- the remaining I O_{MIN} perceptually encoded transport signals ($\mathbf{\check{z}}_i(k-2)$, $_i = O_{\text{MIN}} + 1,...,I$) and the encoded enhancement layer side information ($\check{\Gamma}_{ENH}(k-2)$) are multiplexed in an Enhancement Layer Bitstream Multiplexer (350), wherein an Enhancement Layer bitstream ($\check{B}_{ENH}(k-2)$) is obtained; and in a multiplexer or adder, a mode indication is added that signalizes usage of a layered mode.
- 10. The apparatus of claim 9, further comprising two delay blocks (302) for delaying said first tuple set $(\mathcal{M}_{DIR}(k))$ and second tuple set $(\mathcal{M}_{VEC}(k))$.
- 11. An apparatus for decompressing a compressed Higher Order Ambisonics (HOA) signal to obtain output time frames $(\hat{\mathbf{C}}(k-1))$ of HOA coefficient sequences, the apparatus comprising a perceptual decoding and source decoding portion and a spatial HOA decoding portion, and the apparatus comprising
 - a mode detector adapted for detecting (901) a layered mode indication (LMF_D) indication that the compressed

Higher Order Ambisonics (HOA) signal comprises a compressed base layer bitstream	$(\check{B}_{BASE}(k))$	and a
compressed enhancement layer bitstream $(\check{B}_{ENH}(k));$		

wherein the perceptual decoding and source decoding portion comprises

5

10

15

20

25

30

35

40

45

- a first demultiplexer (510) for demultiplexing (902) the compressed base layer bitstream $\check{B}_{BASE}(k)$, wherein first perceptually encoded transport signals ($\check{\mathbf{z}}_i(k)$, $i=1,\ldots,O_{\mathrm{MIN}}$) and first encoded side information ($\check{\Gamma}_{BASE}(k)$) are obtained.
- a second demultiplexer (520) for demultiplexing (903) the compressed enhancement layer bitstream $(\check{B}_{ENH}(k))$ wherein second perceptually encoded transport signals $(\check{\mathbf{z}}_i(k), i = O_{\text{MIN}} + 1, ..., I)$ and second encoded side information $(\check{\Gamma}_{ENH}(k))$ are obtained;
 - a Base Layer Perceptual Decoder (540) and an Enhancement Layer Perceptual Decoder (550) adapted for perceptually decoding (904) the perceptually encoded transport signals ($(\check{z}_i(k), i = O_{\text{MIN}} + 1, ..., I)$) i = 1,...,I), wherein perceptually decoded transport signals ($(\check{z}_i(k), i = O_{\text{MIN}} + 1, ..., I)$) $i = 1,...,O_{\text{MIN}}$) of the base layer are decoded and first perceptually decoded transport signals ($(\check{z}_i(k), i = O_{\text{MIN}} + 1, ..., I)$) $i = 1,...,O_{\text{MIN}}$) of the base layer are decoded and first perceptually decoded transport signals ($(\check{z}_i(k), i = 1, ..., O_{\text{MIN}})$) are obtained, and wherein in the Enhancement Layer Perceptual Decoder (550) said second perceptually encoded transport signals ($(\check{z}_i(k), i = O_{\text{MIN}} + 1, ..., I)$) of the enhancement layer are decoded and second perceptually decoded transport signals ($(\check{z}_i(k), i = O_{\text{MIN}} + 1, ..., I)$) are obtained;
 - a Base Layer Side Information Source Decoder (530) adapted for decoding (905) the first encoded side information $(\tilde{\Gamma}_{BASE}(k))$, wherein first exponents $(e_i(k), i = 1, ..., O_{MIN})$ and first exception flags $(\beta_i(k), i = 1, ..., O_{MIN})$ are obtained; and
 - -an Enhancement Layer Side Information Source Decoder (560) adapted for decoding (906) the second encoded side information $(\tilde{\Gamma}_{ENH}(k))$, wherein second exponents $(e_i(k), i = O_{MIN} + 1,...,l)$ and second exception flags $(\beta_i(k), i = O_{MIN} + 1,...,l)$ are obtained, and wherein further data are obtained, the further data comprising a first tuple set $(\mathcal{M}_{DIR}(k+1))$ for directional signals and a second tuple set $(\mathcal{M}_{VEC}(k+1))$ for vector based signals, each tuple of the first tuple set $(\mathcal{M}_{DIR}(k+1))$ comprising an index of a directional signal and a respective quantized direction, and each tuple of the second tuple set $(\mathcal{M}_{VEC}(k+1))$ comprising an index of a vector based signal and a vector defining the directional distribution of the vector based signal, and further wherein prediction parameters $(\xi(k+1))$ and an ambient assignment vector $(v_{AMB,ASSIGN}(k))$ are obtained, wherein the ambient assignment vector $(v_{AMB,ASSIGN}(k))$ comprises components that indicate for each transmission channel if and which coefficient sequence of the ambient HOA component it contains;

and wherein the spatial HOA decoding portion comprises

- a plurality of inverse gain control units for performing (910) inverse gain control (604), wherein said first perceptually decoded transport signals $(\hat{\mathbf{z}}_i(k), i = 1, ..., O_{\text{MIN}})$ are transformed into first gain corrected signal frames $(\hat{\mathbf{y}}_i(k), i = 1, ..., O_{\text{MIN}})$ according to said first exponents $(e_i(k), i = 1, ..., O_{\text{MIN}})$ and said first exception flags $(\beta_i(k), i = 1, ..., O_{\text{MIN}})$, and wherein said second perceptually decoded transport signals $(\hat{\mathbf{z}}_i(k), i = O_{\text{MIN}} + 1, ..., l)$ are transformed into second gain corrected signal frames $(\hat{\mathbf{y}}_i(k), i = O_{\text{MIN}} + 1, ..., l)$ according to said second exponents $(e_i(k), i = O_{\text{MIN}} + 1, ..., l)$ and said second exception flags $(\beta_i(k), i = O_{\text{MIN}} + 1, ..., l)$;
 a Channel Reassignment block (605) adapted for redistributing (911) the first and second gain corrected signal
 - a Channel Reassignment block (605) adapted for redistributing (911) the first and second gain corrected signal frames $(\hat{Y}_{i}(k), i = 1,...,l)$ to I channels, wherein frames of predominant sound signals $(\hat{X}_{PS}(k))$ are reconstructed, the predominant sound signals comprising directional signals and vector based signals, and wherein a modified

ambient HOA component $(\tilde{C}_{LAMB}(k))$ is obtained, and wherein the assigning is made according to said ambient assignment vector $(v_{AMB,ASSIGN}(k))$ and to information in said sets $(\mathcal{M}_{DIR}(k+1), \mathcal{M}_{VFC}(k+1))$. 5 and adapted for generating (911b) a first set of indices $(\mathcal{I}_{AMB,ACT}(k))$ of coefficient sequences of the modified ambient HOA component that are active in a kth frame, and a second set of indices $(\mathcal{I}_{\mathrm{E}}(k-1),\mathcal{I}_{\mathrm{D}}(k-1),\ \mathcal{I}_{\mathrm{U}}(k-1))$ of coefficient sequences of the modified ambient HOA component that have to be enabled, disabled and to remain active in the (k-1)th frame; 10 - a Predominant Sound Synthesis block (606) adapted for synthesizing (912) a HOA representation of the predominant HOA sound components ($\hat{C}_{PS}(k-1)$) from said predominant sound signals ($\hat{X}_{PS}(k)$), wherein the first and second tuple sets $(\mathcal{M}_{DIR}(k+1), \mathcal{M}_{VEC}(k+1))$. the prediction parameters $(\xi(k+1))$ and the 15 second set of indices $(\mathcal{I}_{E}(k-1), \mathcal{I}_{D}(k-1), \mathcal{I}_{U}(k-1))$ are used: - an Ambient Synthesis block (607) adapted for synthesizing (913) an ambient HOA component $(\tilde{\mathcal{C}}_{AMB}(k-1))$. from the modified ambient HOA component $(\tilde{\mathcal{C}}_{\mathit{I,AMB}}(\mathit{k}))$, wherein an inverse spatial trans-20 form for the first O_{MIN} channels is made and wherein the first set of indices $(\mathcal{I}_{AMB,ACT}(k))$ is used, the first set of indices being indices of coefficient sequences of the ambient HOA component that are active in the kth frame; and - a HOA Composition block (608) adapted for adding (914) the HOA representation of the predominant HOA 25 sound components $(\hat{C}_{PS}(k-1))$ to the ambient HOA component $(\hat{\tilde{C}}_{AMB}(k-1))$. wherein coefficients of the HOA representation of the predominant sound signals and corresponding coefficients of the ambient HOA component are added, and wherein the decompressed HOA signal $(\hat{C}'(k-1))$ is obtained, and wherein, 30 if said layered mode indication (LMF_D) indication indicates a layered mode with at least two layers, only the highest I-O_{MIN} coefficient channels are obtained by addition of the predominant HOA sound components ($\hat{C}_{PS}(k-1)$) and the ambient HOA component $(\hat{\tilde{C}}_{AMB}(k-1))$. and the lowest ${\sf O}_{\sf MIN}$ coefficient channels of the decompressed 35 HOA signal (\hat{C} ′(k - 1)) are copied from the ambient HOA component ($\tilde{C}_{AMB}(k-1)$). and if said layered mode indication (LMF_D) indication indicates a single-layer mode, all coefficient channels of the decompressed HOA signal ($\hat{C}'(k-1)$) are obtained by addition of the predominant HOA sound components ($\hat{C}_{PS}(k-1)$) 40 - 1)) and the ambient HOA component $(\hat{\tilde{C}}_{AMB}(k-1))$. 12. A non-transitory computer readable medium having executable instructions to cause a computer to perform a method (800) for compressing a Higher Order Ambisonics (HOA) signal being an input HOA representation with input time 45 frames (C(k)) of HOA coefficient sequences, said method comprising spatial HOA encoding of the input time frames and subsequent perceptual encoding and source encoding, wherein the spatial HOA encoding comprises steps of: - performing Direction and Vector Estimation processing (801) of the HOA signal in a Direction and Vector Estimation block (301), wherein data comprising first tuple sets $(\mathcal{M}_{\mathrm{DIR}}(k))$ for directional signals and second 50 tuple sets $(\mathcal{M}_{VEC}(k))$ for vector based signals are obtained, each of the first tuple sets $(\mathcal{M}_{DIR}(k))$ comprising an index of a directional signal and a respective quantized direction, and each of the second tuple sets $(\mathcal{M}_{\mathrm{VEC}}(k))$ comprising an index of a vector based signal and a vector defining the directional distribution of 55 - decomposing (802) in a HOA Decomposition block (303) each input time frame of the HOA coefficient se-

quences into a frame of a plurality of predominant sound signals (\mathbf{X}_{PS} (k-1)) and a frame of an ambient HOA component ($\tilde{C}_{AMB}(k-1)$), wherein the predominant sound signals ($\mathbf{X}_{PS}(k-1)$) comprise said directional sound

signals and said vector based sound signals, and wherein the ambient HOA component ($\tilde{C}_{AMB}(k-1)$) comprises HOA coefficient sequences representing a residual between the input HOA representation and the HOA representation of the predominant sound signals, and wherein the decomposing (702) further provides prediction parameters ($\xi(k-1)$) and a target assignment vector ($v_{A,T}(k-1)$), the prediction parameters ($\xi(k-1)$) describing how to predict portions of the HOA signal representation from the directional signals within the predominant sound signals ($X_{PS}(k-1)$) so as to enrich predominant sound HOA components, and the target assignment vector ($v_{A,T}(k-1)$) containing information about how to assign the predominant sound signals to a given number (I) of channels:

- modifying (803) in an Ambient Component Modification block (304) the ambient HOA component ($C_{\text{AMB}}(k-1)$) according to the information provided by the target assignment vector ($v_{\text{A,T}}(k-1)$), wherein it is determined which coefficient sequences of the ambient HOA component ($C_{\text{AMB}}(k-1)$) are to be transmitted in the given number (I) of channels, depending on how many channels are occupied by predominant sound signals, and wherein a modified ambient HOA component ($C_{\text{M,A}}(k-2)$) and a temporally predicted modified ambient HOA component ($C_{\text{P,M,A}}(k-1)$) are obtained, and wherein a final assignment vector ($v_{\text{A}}(k-2)$) is obtained from information in the target assignment vector ($v_{\text{A,T}}(k-1)$);
- assigning (804) in a Channel Assignment block (105) the predominant sound signals ($\mathbf{X}_{PS}(k-1)$) obtained from the decomposing, and the determined coefficient sequences of the modified ambient HOA component ($C_{M,A}(k-2)$) and of the temporally predicted modified ambient HOA component ($C_{P,M,A}(k-1)$) to the given number (I) of channels using the information provided by the final assignment vector $\mathbf{v}_{A}(k-2)$ wherein transport signals $\mathbf{y}_{P,i}(k-2)$, i=1,...,I and predicted transport signals $\mathbf{y}_{P,i}(k-2)$, i=1,...,I are obtained;
- performing gain control (805) to the transport signals ($y_i(k-2)$) and the predicted transport signals ($y_{p,i}(k-2)$) in a plurality of Gain Control blocks (306), wherein gain modified transport signals ($z_i(k-2)$), exponents ($e_i(k-2)$) and exception flags ($\beta_i(k-2)$) are obtained;

and the perceptual encoding and source encoding comprises steps of

- perceptually coding (806) in a Perceptual Coder (310) said gain modified transport signals ($\mathbf{z}_i(k-2)$), wherein perceptually encoded transport signals ($\mathbf{z}_i(k-2)$), $i=1,\ldots,l$) are obtained;
- encoding (807) in a Side Information Source Coder (320,330), side information comprising said exponents $(e_i(k-2))$ and exception flags $(\beta_i(k-2))$, said first tuple sets $(\mathcal{M}_{\mathrm{DIR}}(k))$ and second tuple sets $(\mathcal{M}_{\mathrm{VEC}}(k))$, said prediction parameters $(\xi(k-1))$ and said final assignment vector $(v_{\mathsf{A}}(k-2))$, wherein encoded side information

 $(\tilde{\Gamma}(k-2))$ is obtained; and

- multiplexing (808) the perceptually encoded transport signals $(\check{z}_t(k-2))$ and the encoded side information $(\check{\Gamma}(k-2))$, wherein a multiplexed data stream $(\check{B}(k-2))$ is obtained;

wherein

5

10

15

20

25

30

35

40

45

50

55

- the ambient HOA component $(\tilde{C}_{AMB}(k-1))$ obtained in said decomposing (802) step comprises first HOA coefficient sequences of the input HOA representation $(\mathbf{c}_n(k-1))$ in one or more lowest positions and second HOA coefficient sequences $(\mathbf{c}_{AMB,n}(k-1))$ in remaining higher positions;
- the first O_{MIN} exponents $(e_i(k-2), i=1, ..., O_{MIN})$ and exception flags $(\beta_i(k-2), i=1, ..., O_{MIN})$ are encoded in a Base Layer Side Information Source Coder (320), wherein encoded Base Layer side information

 $(\tilde{\Gamma}_{BASE}(k-2))$ is obtained, and wherein $O_{MIN} = (N_{MIN} + 1)^2$ and $O = (N+1)^2$, with $N_{MIN} \le N$ and $O_{MIN} \le I$ and N_{MIN} is a predefined integer value;

- the first $O_{\rm MIN}$ perceptually encoded transport signals $(\check{\mathbf{z}}_i(k-2), i=1,...,O_{MIN})$ and the encoded Base Layer side information $(\check{\Gamma}_{BASE}(k-2))$ are multiplexed (809) in a Base Layer Bitstream Multiplexer (340), wherein a Base Layer bitstream $(\check{B}_{BASE}(k-2))$ is obtained;
- the remaining I O_{MIN} exponents $(e_i(k-2), i = O_{MIN} + 1,...,I)$ and exception flags $(\beta_i(k-2), i = O_{MIN} + 1,...,I)$,

	said first tuple sets $(\mathcal{M}_{DIR}(k-1))$ and second tuple sets $(\mathcal{M}_{VEC}(k-1))$, , said prediction parameters $(\xi(k-1))$ and said final assignment vector $(v_A(k-2))$ are encoded () in an Enhancement Layer Side Information
5	Encoder (330), wherein encoded enhancement layer side information $(\check{\Gamma}_{ENH}(k-2))$ is obtained;
	- the remaining / - O_{MIN} perceptually encoded transport signals ($m{\breve{z}}_i(k-2)$, , i = O_{MIN} + 1,, l) and the encoded
	enhancement layer side information $(\check{\Gamma}_{ENH}(k-2))$ are multiplexed (810) in an Enhancement Layer Bitstream
10	Multiplexer (350), wherein an Enhancement Layer bitstream $(\breve{B}_{ENH}(k-2))$ is obtained; and - a mode indication is added (811) that signalizes usage of a layered mode.

15

20

25

30

35

40

45

50

- 13. A non-transitory computer readable medium having executable instructions to cause a computer to perform a method (900) for decompressing a compressed Higher Order Ambisonics (HOA) signal, the method comprising perceptual decoding and source decoding and subsequent spatial HOA decoding to obtain output time frames ($\hat{\mathbf{C}}(k-1)$) of HOA coefficient sequences, and the method comprising a step of
- detecting (901) a layered mode indication (LMF_D) indication that the compressed Higher Order Ambisonics (HOA) signal comprises a compressed base layer bitstream $(\check{B}_{BASE}(k))$ and a compressed enhancement layer bitstream $(B_{ENH}(k))$; wherein the perceptual decoding and source decoding comprises steps of
 - demultiplexing (902) the compressed base layer bitstream $(\dot{B}_{BASE}(k))$, wherein first perceptually encoded transport signals $(\check{\mathbf{z}}_i(k), i = 1, ..., O_{\text{MIN}})$ and first encoded side information $(\Gamma_{BASE}(k))$ are obtained: - demultiplexing (903) the compressed enhancement layer bitstream $(reve{B}_{ENH}(k))$, wherein second perceptually encoded transport signals $(\check{\mathbf{z}}_i(k), i = O_{\text{MIN}} + 1, ..., I)$ and second encoded side information $(\check{\Gamma}_{ENH}(k))$
 - perceptually decoding (904) the perceptually encoded transport signals $(\check{\mathbf{z}}_i(k), i=1,...,l)$, wherein perceptually decoded transport signals $(\hat{z}_i(k))$ are obtained, and wherein in a Base Layer Perceptual Decoder (540) said first perceptually encoded transport signals $(\check{m{z}}_i(k), i=1,...,O_{ ext{MIN}})$ of the base layer are decoded and first perceptually decoded transport signals $(\hat{\mathbf{z}}_{i}(k), i=1, ..., O_{MIN})$ are obtained, and wherein in an Enhancement Layer Perceptual Decoder (550) said second perceptually encoded transport signals ($m{\check{z}}_i(k), i = O_{MIN}$ + 1,...,l) of the enhancement layer are decoded and second perceptually decoded transport signals $(\hat{z}_i(k), i = O_{MIN})$ + 1,...,I) are obtained;
 - decoding (905) the first encoded side information $(ar{\Gamma}_{BASE}(k))$ in a Base Layer Side Information Source Decoder (530), wherein first exponents ($e_i(k)$, i = 1,..., O_{MIN}) and first exception flags ($\beta_i(k)$, i = 1,..., O_{MIN}) are
 - decoding (906) the second encoded side information $(\breve{\Gamma}_{ENH}(k))$, in an Enhancement Layer Side Information Source Decoder (560), wherein second exponents $(e_i(k), i = O_{MIN} + 1,...,l)$ and second exception flags $(\beta_i(k), i = O_{MIN} + 1,...,l)$ = O_{MIN} + 1,...,I) are obtained, and wherein further data are obtained, the further data comprising a first tuple set $(\mathcal{M}_{\mathrm{DIR}}(k+1))$ for directional signals and a second tuple set $(\mathcal{M}_{\mathrm{VEC}}(k+1))$ for vector based signals, each tuple of the first tuple set $(\mathcal{M}_{\mathrm{DIR}}(k+1))$ comprising an index of a directional signal and a respective quantized direction, and each tuple of the second tuple set $(\mathcal{M}_{\text{VEC}}(k+1))$ comprising an index of a vector based signal and a vector defining the directional distribution of the vector based signal, and further wherein prediction parameters ($\xi(k+1)$) and an ambient assignment vector ($v_{AMB,ASSIGN}(k)$) are obtained, wherein the ambient assignment vector ($v_{AMB.ASSIGN}(k)$) comprises components that indicate for each transmission channel

if and which coefficient sequence of the ambient HOA component it contains;

and wherein the spatial HOA decoding comprises steps of

- performing (910) inverse gain control (604), wherein said first perceptually decoded transport signals $(\hat{\mathbf{z}}_i(k), i = 1,..., O_{\text{MIN}})$ are transformed into first gain corrected signal frames $(\hat{y}_i(k), i = 1,..., O_{\text{MIN}})$ according to said first exponents $(e_i(k), i = 1, ..., O_{\text{MIN}})$ and said first exception flags $(\beta_i(k), i = 1, ..., O_{\text{MIN}})$, and wherein said second perceptually decoded transport signals $(\hat{\mathbf{z}}_i(k), i = O_{\text{MIN}} + 1, ..., I)$ are transformed into second gain corrected signal frames $(\hat{y}_i(k), i = O_{\text{MIN}} + 1, ..., I)$ according to said second exponents $(e_i(k), i = O_{\text{MIN}} + 1, ..., I)$ and said second exception flags $(\beta_i(k), i = O_{\text{MIN}} + 1, ..., I)$;
- redistributing (911), in a Channel Reassignment block (605), the first and second gain corrected signal frames $(\hat{y}_i(k), i=1,...,l)$ to I channels, wherein frames of predominant sound signals $(\hat{X}_{PS}(k))$ are reconstructed, the predominant sound signals comprising directional signals and vector based signals, and wherein a modified ambient HOA component $(\hat{C}_{l,AMB}(k))$ is obtained, and wherein the assigning is made according to said ambient assignment vector $(v_{AMB,ASSIGN}(k))$ and to information in said first and second tuple $(\mathcal{M}_{DIR}(k+1), \mathcal{M}_{VEC}(k+1))$.
- generating (911b), in the Channel Reassignment block (605), a first set of indices $(\mathcal{I}_{AMB,ACT}(k))$ of coefficient sequences of the modified ambient HOA component that are active in the kth frame, and a second set of
- indices $(\mathcal{I}_{E}(k-1), \mathcal{I}_{D}(k-1), \mathcal{I}_{U}(k-1))$ of coefficient sequences of the modified ambient HOA component that have to be enabled, disabled and to remain active in the (k-1)th frame;
- synthesizing (912), in a Predominant Sound Synthesis block (606), a HOA representation of the predominant HOA sound components ($\hat{C}_{PS}(k-1)$) from said predominant sound signals ($\hat{X}_{PS}(k)$), wherein the first and second
- tuple sets $(\mathcal{M}_{\mathrm{DIR}}(k+1), \mathcal{M}_{\mathrm{VEC}}(k+1))$. the prediction parameters $(\xi(k+1))$ and the second set of indices $(\mathcal{I}_{\mathrm{E}}(k-1), \mathcal{I}_{\mathrm{D}}(k-1), \mathcal{I}_{\mathrm{U}}(k-1))$ are used;
- synthesizing (913), in an Ambient Synthesis block (607), an ambient HOA component $(\hat{\tilde{C}}_{AMB}(k-1))$, from the modified ambient HOA component $(\tilde{C}_{I,AMB}(k))$, wherein an inverse spatial transform for the first O_{MIN}
- channels is made and wherein the first set of indices $(\mathcal{I}_{AMB,ACT}(k))$ is used, the first set of indices being indices of coefficient sequences of the ambient HOA component that are active in the kth frame; and
- adding (914) the HOA representation of the predominant HOA sound components ($\hat{C}_{PS}(k-1)$) and the ambient
- HOA component $(\hat{C}_{AMB}(k-1))$, in a HOA Composition block (608), wherein coefficients of the HOA representation of the predominant sound signals and corresponding coefficients of the ambient HOA component are added, and wherein the decompressed HOA signal $(\hat{C}(k-1))$ is obtained, and wherein,
- if said layered mode indication (LMF_D) indication indicates a layered mode with at least two layers, only the highest I-O_{MIN} coefficient channels are obtained by addition of the predominant HOA sound components ($\hat{C}_{PS}(k-1)$) and
- the ambient HOA component $(\hat{ ilde{C}}_{AMB}(k-1))$, and the lowest O_{MIN} coefficient channels of the decompressed
 - HOA signal $(\hat{C}(k-1))$ are copied from the ambient HOA component $(\tilde{C}_{AMB}(k-1))$, and if said layered mode indication (LMF_D) indication indicates a single-layer mode, all coefficient channels of the decompressed HOA signal $(\hat{C}(k-1))$ are obtained by addition of the predominant HOA sound components $(\hat{C}_{PS}(k-1))$
 - 1)) and the ambient HOA component $(\hat{ ilde{C}}_{AMB}(k-1))$.

50

10

15

20

25

30

35

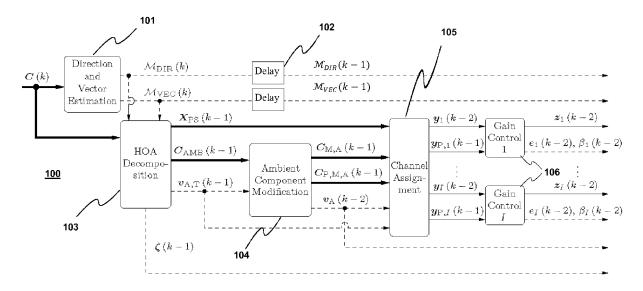


Fig.1 a)

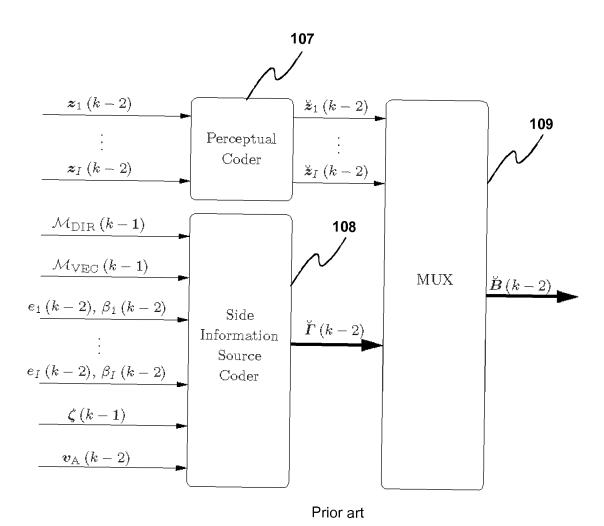
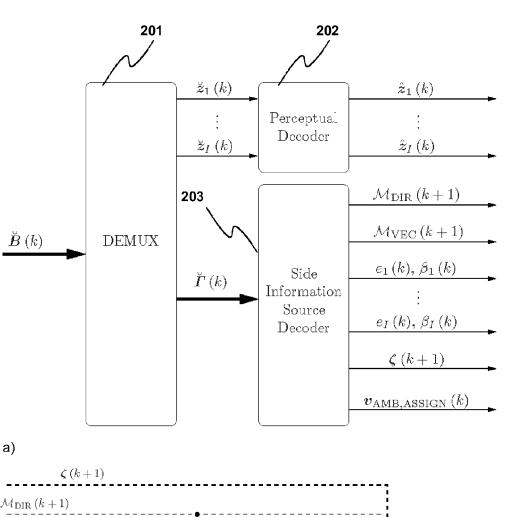
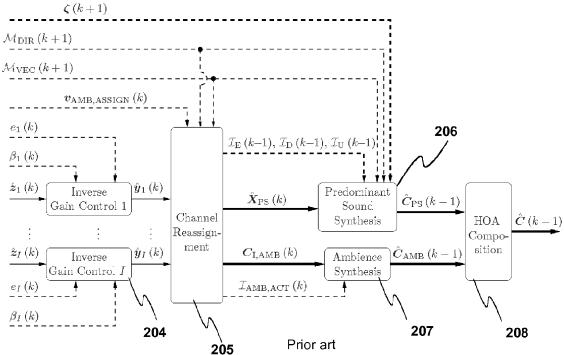


Fig.1 b)





b) Fig.2

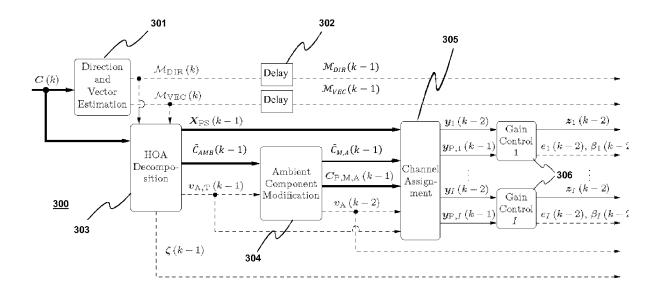


Fig.3

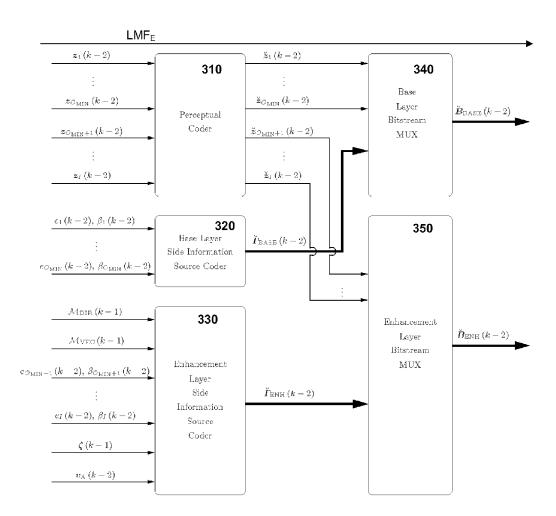


Fig.4

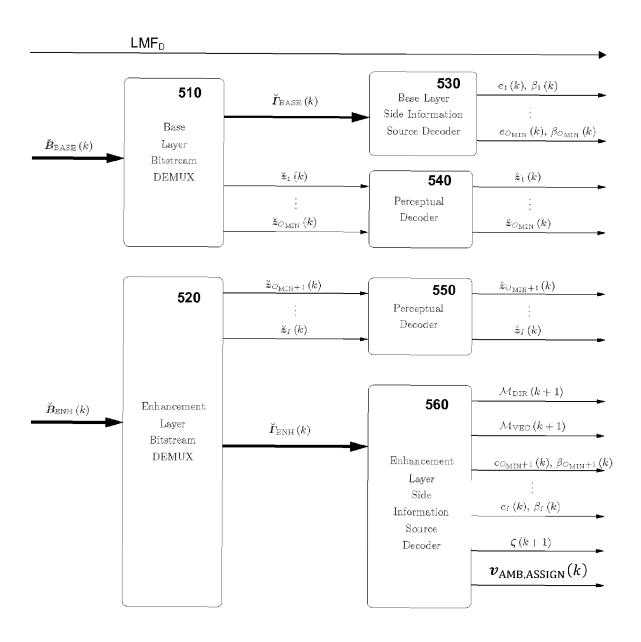


Fig.5

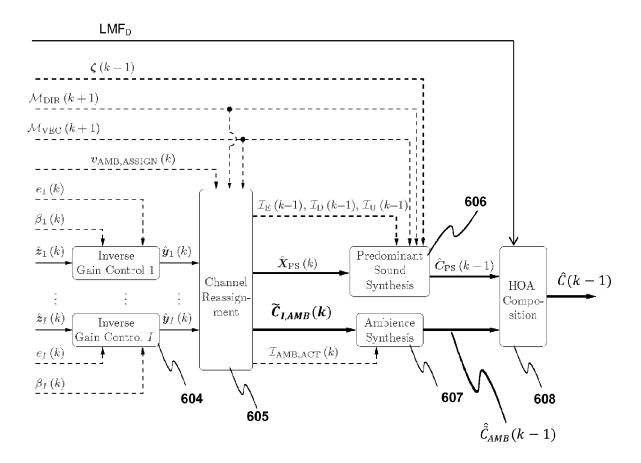


Fig.6

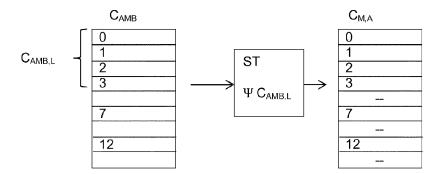


Fig.7

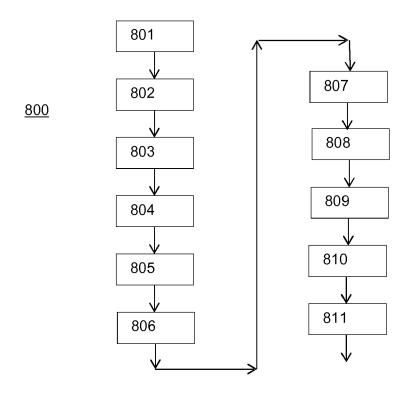
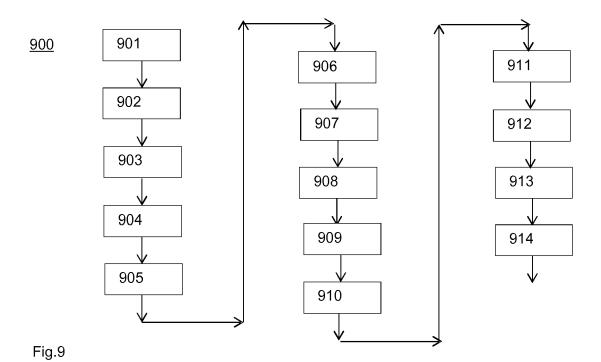


Fig.8



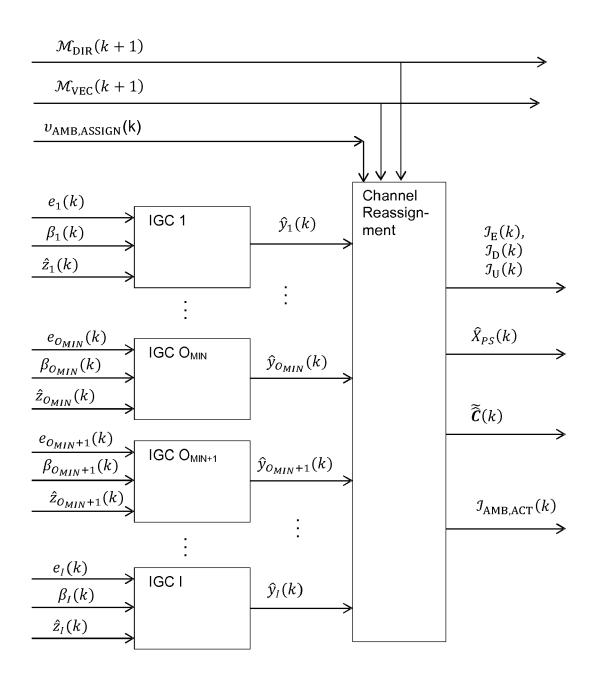


Fig.10



EUROPEAN SEARCH REPORT

Application Number EP 14 30 5411

Category		ndication, where appropriate,	Relevant	CLASSIFICATION OF THI
X,D	SAN JOSE; (MOTION F ISO/IEC JTC1/SC29/w no. N14264, 21 Febr XPO30021001, * figures 7,B18, B1 * page 2, line 13 - * page 23, line 12	PEG-H 3D Audio", 3-1-2014 - 17-1-2014; PICTURE EXPERT GROUP OR Wary 2014 (2014-02-21), 9, * line 15 * line 13 * page 26, line 13 * line 25 * line 4 *	1-13	INV. G10L19/008 G10L19/24
A	in Higher Order Amb lowdelay lossless of ACOUSTICS, SPEECH A 2009. ICASSP 2009. CONFERENCE ON, IEEE 19 April 2009 (2009) XP031459218, ISBN: 978-1-4244-23	pisonics and its use for compression", ND SIGNAL PROCESSING, IEEE INTERNATIONAL E, PISCATAWAY, NJ, USA, 9-04-19), pages 269-272,	1-13	TECHNICAL FIELDS SEARCHED (IPC) G10L H04R H04S
	The present search report has	been drawn up for all claims		
	Place of search	Date of completion of the search		Examiner
	The Hague	19 August 2014	Tac	ddei, Hervé
X : part Y : part docu A : tech	ATEGORY OF CITED DOCUMENTS icularly relevant if taken alone icularly relevant if combined with anot iment of the same category nological background written disclosure	T : theory or principle E : earlier patent door after the filing date	underlying the ument, but puble the application r other reasons	invention ished on, or

REFERENCES CITED IN THE DESCRIPTION

This list of references cited by the applicant is for the reader's convenience only. It does not form part of the European patent document. Even though great care has been taken in compiling the references, errors or omissions cannot be excluded and the EPO disclaims all liability in this regard.

Patent documents cited in the description

- EP 12306569 A [0004] [0071]
- EP 12305537 A [0004] [0071]

- EP 2665208 A [0004] [0071]
- EP 133005558 A [0004] [0071]

Non-patent literature cited in the description

 Working draft 1-HOA text of MPEG-H 3D audio. ISO/IEC JTC1/SC29/WG11 N14264, January 2014 [0006] [0071]