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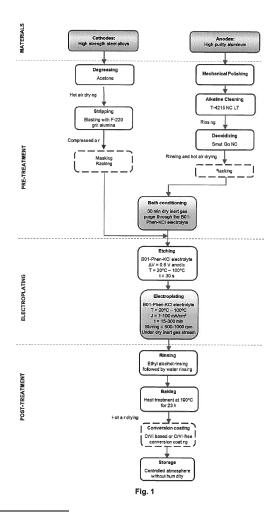
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# (54) ENVIRONMENTALLY FRIENDLY ALUMINUM COATINGS AS SACRIFICIAL COATINGS FOR HIGH STRENGTH STEEL ALLOYS

(57) Electroplating process is described for coating a ferrous alloy steel cathode substrate with an aluminum coating, the process comprises: a) immersing an aluminum anode substrate in a plating bath formulation comprising: a source of aluminum, an ionic liquid, a brightening agent, and a metal-salt compound; b) etching the cathode substrate by immersing it into the aluminum plating bath and conducting an anodic polarization step; c) electroplating the etched cathode substrate with the aluminum plating bath formulation; and d) rinsing with alcohol and water, and drying. Preferably, the process further comprises a heat treatment applied to the aluminum coated ferrous steel alloy obtained in step d).



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#### Description

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#### **FIELD OF DISCLOSURE**

[0001] This specification refers to an environmentally friendly electroplating process for coating a ferrous alloy steel substrate, preferably a high strength steel alloy, using a novel aluminum bath formulation comprising more safe-handling, less-harzardous and environmentally friendly components than the formulation used in the AlumiPlate™ process, which was the most promising aluminum coating alternative for Cd replacement known until now. Additionally, the present patent application refers to both the aluminum coating and the coated ferrous alloy steel substrate obtained by such process, as well as the use of both in applications such as aeronautical, automotive, marine, construction, industrial and household applications.

**[0002]** In particular, this specification provides an electroplating process and aluminum bath formulation suitable for providing an aluminum coating useful as a safe nickel-free alternative to the cadmium coatings used in high strength steel components in aerospace.

#### **BACKGROUND OF THE DISCLOSURE**

[0003] Numerous alternative technologies are being developed and tested to replace cadmium sacrificial coatings for high strength alloy steels and some of them are promising for some applications, but none of them is yet authorized for all applications. Amongst the most promising ones for some applications, low hydrogen embrittlement Zn-Ni (LHE Zn-Ni) and AlumiPlate™ coatings offer similar performance in most tests [Final Report WP-200022-Cadmium Alternatives for High-Strength Steel, WP-200022, Steven A. Brown, Naval Air Warfare Center Aircraft Division, Patuxent River, MD, September 22, 2011; Approved for public release; distribution unlimited], but it is still desirable to provide a safer handling, less-hazardous and more environmentally friendly coating and/or electroplating bath composition. AlumiPlate™ is a plating technology that uses organic solvents as electrolytes, a toluene-based very flammable and toxic solution which also contains pyrophoric alkylaluminum constituent components. Therefore, it involves handling hazardous and non-environmentally friendly plating solutions.

[0004] A summary of the most promising technologies that have been assessed or are under current assessment is described below.

[0005] Zinc-nickel electroplating is one of the most promising candidates. Zinc-nickel plating is possible in a wide range of pHs, using both alkaline or acidic electrolyte baths, so several chemistries have been developed in a wide range of pH leading to a range of Zn-Ni alloy compositions. Amongst all of them, only 2 coating specifications are compatible with high strength steel substrates: the AMS 2417G and the ASTM B 841. These specifications allow both alkaline and acid plating baths.

[0006] Aluminum is an excellent environmentally friendly replacement for cadmium on aeronautical components of high strength steel. Ion Vapour Deposition (IVD) aluminum technology has been developed as a replacement of cadmium electroplating in some aeronautic applications. Some of the disadvantages of this technology include the limited ability to coat internal and deeply recessed surfaces: depending on the orientation of the part's surfaces in the chamber, the coating thickness may not be equivalent in all areas (especially for internal diameters), the coating does not pass the re-embrittlement test as per HSSJTP [High-Strength Steel Joint Test Protocol, for Validation of Alternatives to Low Hydrogen Embrittlement Cadmium For High-Strength Steel Landing Gear and Component Applications, July 31, 2003; AFRL/MLSC/WPAFB, OH 45433-7718; Approved for public release; distribution unlimited (26 March 2003)] and large components may be physically restricted from IVD-Al coating by the dimensions of the vacuum vessel.

**[0007]** The magnetron sputtered aluminum process was specifically designed to coat internal diameters or recessed areas to overcome the limitations of Ion Vapor Deposited Aluminum (IVD-AI). However, some of the drawbacks of this technology are the high cost and the fact that so far only limited applications are authorized.

[0008] Amongst the technologies available for low temperature aluminum coating (such as IVD aluminum and sputtered aluminum), electroplating is the one of the most versatile and economic alternatives. However, because of the rather negative standard potential of aluminum, it is not possible to electroplate aluminum from aqueous solutions because hydrogen evolution occurs at the potentials at which aluminum is plated, making the process not efficient and causing hydrogen embrittlement to the substrate, which is not acceptable for the high strength alloys used in aeronautical applications. Therefore, only aprotic electrolytes such as nonaqueous inorganic or organic electrolyte systems can be used to electroplate this metal [Electrochimica Acta, Vol. 42, No1, pages 3-13 (1997), Yuguang Zhao and T. J. VanderNoot, Review: Electrodeposition of aluminum from nonaqueous organic electrolytic systems and room temperature molten salts].

**[0009]** AlumiPlate™ is an aluminum electroplating technology from organic electrolyte systems. This technology is commercially produced by means of the Siemens Sigal® process, which was developed by Siemens AG (Germany), and most recently has also been processed in Europe at Aluminal Corporation. The process was licensed in the United

States to AlumiPlate, Inc. in 1995. The plating formulation of AlumiPlate™ comprises a toluene-based solution containing a pyrophoric alkylaluminum constituent and other compounds, such as ethers, aluminoxanes or ammonium salts [US2007261966A1, 2007-11-15, Alumiplate Inc. (US), Aluminum Electroplating Formulations].

[0010] The aluminum coatings obtained with the AlumiPlate™ plating process have demonstrated to have better performance than cadmium in a number of tests, such as hydrogen embrittlement, stress corrosion cracking, acidified (SO<sub>2</sub>) salt fog, fluid corrosion resistance tests, etc. If compared with to IVD-AI, it provides coatings with better corrosion resistance and density. This process can also lead to a similar throwing power or coverage than cadmium plating by using auxiliary anodes to coat the internal recessed surfaces.

**[0011]** A key disadvantage of this process is that is not environmentally friendly, since it employs a toluene-based toxic and very flammable solution which also contains pyrophoric alkylaluminum constituent components. Therefore, it must be operated in an humidity and oxygen controlled atmosphere line. Thus, the elimination of the cadmium by this method addresses only one aspect of cadmium substitution on high strength steel components, the elimination of a toxic coating. However, the process still involves handling toxic and non-environmentally friendly plating solutions [US2007261966A1, 2007-11-15, Alumiplate Inc. (US), Aluminum Electroplating Formulations].

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**[0012]** In view of the issues of the commercial aluminum electroplating processes, new formulations involving more environmentally preferred solvents are being developed. For example, Global lonix has developed a plating chemistry composed by more environmentally preferred organic solvents for aluminum electrodeposition. The plating formulation comprises non aromatic organic solvents, such as ethanol, isopropanol or butanol, a conductive additive and aluminum salts, such as aluminum alcoxides and aluminum chloride. Global lonix has reported that this formulation provides coatings with throwing power comparable to cadmium electroplating [WO2004079054A1, 2004-09-16, Global lonix (CA), Electrodeposition of aluminum and refractory metals from non-aromatic organic solvents].

**[0013]** Also, Hitachi Metals LTD has developed an aluminum electroplating bath comprising dimethyl sulfone solvent and ammonium chloride or a tetraalkylammonium chloride which is applied by means of a barrel plating method. According to the inventors of this formulation, this plating solution has improved the coatings electrical conductivity, which in turn provides uniform aluminum coatings. They also state that this bath possesses an extended bath life [US2011253543A1, 2011-10-20, Hitachi Metals Ltd. Aluminum Electroplating Solution and Method for forming Aluminum Plating Film].

**[0014]** It must be noted that aluminum electroplating from ionic liquids is an incipient technology compared to the rest of technologies described before. Ionic liquids are novel fluids entirely consisting of ionic species which usually have a melting point of 100 °C or below. If the adequate chemical structure is selected, they can have a wide electrochemical window, negligible-volatility (which provides them with a non-flammable nature), high solubility of metal salts, aprotic nature, or a high conductivity in comparison to organic solvents [Phys. Chem. Chem. Phys., 2006, 8, 4265-4279, Andrew P. Abbott and Katy J. McKenzie, Application of ionic liquids to the electrodeposition of metals].

[0015] The first studies of aluminum electroplating from ionic liquids were reported in 1980s by Osteryoung et al, although they did not start being more actively studied until 2000s. Since then, different ionic liquid categories have been explored, such as ionic liquids based on dialkylimidazolium or dialkylammonium cations combined with halide anions or more complex anions, such as bis(trifluoromethyl sulfonyl)imide, etc. [Electrochimica Acta, Vol. 42, No1, pages 3-13 (1997), Yuguang Zhao and T. J. VanderNoot, Review: Electrodeposition of aluminium from nonaqueous organic electrolytic systems and room temperature molten salts]. Most of these electrolytes contained AlCl<sub>3</sub> as the aluminium ion source whose educts, once dissolved in the ionic liquid, made the resulting electrolyte hygroscopic. This hygroscopic nature requires this process to be handled under an inert gas atmosphere to keep the electrolyte's stability. However, the electrolytes are not flammable and do not have explosion's risk.

[0016] Most of the published studies have aimed at demonstrating the feasibility of aluminum electroplating in different substrates, and the characterization and optimization of the coatings' appearance or morphology. One of the most advanced ionic liquid processes found, considering cadmium electroplating substitution, is the development carried out by Dipsol. This company has patented a formulation containing the ionic liquid 1-methyl-3-propylimidazolium bromide mixed with 10 to 50% by volume of toluene, AICl<sub>3</sub>, ZrCl<sub>2</sub> polystyrene, 1,10-phenantroline, isoniccotinic acid hidrazide and/or thiouracil to electroplate Al and Al-Zr alloys. According to the patent specification, 8 microns Al-Zr coatings electroplated with this formulation have good adhesion (in the tape test, which is less severe than the bend test), smooth cross section and can stand from 700 to 1500 hours in the SST (Salt Spray Test- JISZ2371) without developing red rust [US2010285322A1, 2010-11-11, Dipsol Chem (Japan), Honda Motor Co Ltd (Japan), Electric Al-Zr Alloy Plating Bath Using Room Temperature Molten Salt Bath and Plating Method Using the Same; US2012205249A1, 2012-08-16, Honda Motor CO LTD (Japan) Dipsol Chem. (Japan), Aluminum or Aluminum Alloy Barrel Electroplating Method]. However, no data was found regarding the throwing power and the hydrogen embrittlement of this formulation, key requirements in order to use the coating as an alternative to Cd sacrificial coatings for high strength steel alloys. In addition, this process contains aromatic organic solvents so it still implies environmental, handling, and health issues.

**[0017]** With respect to the last point, a more recent patent of Dipsol discloses new formulations including the same ionic liquid, a brightening agent, an organic polymer but without any organic solvent. These formulations also contain dimethylamine borane and hydrides, such as aluminum lithium hydride. They have demonstrated that this process has

a good throwing power [US2013292255 A1, 2013-11-07, Dipsol Chem. (Japan), Electrical Aluminium or Aluminium alloy fused salt plating bath having good throwing power, and electroplating method and pretreatment using the same]. However, in spite of the elimination of organic solvents, this formulation still has serious handling and health risks since the hydrides in this bath liberate extremely flammable gases in contact with water, causing severe burns. Also, in this particular case, no hydrogen embrittlement performance has yet been reported.

**[0018]** In conclusion, despite the potential and promising results achieved in aluminum electroplating with these novel electrolytes, it seems that all the ionic liquid based formulations developed so far that can provide a balanced compromise between the basic properties required for Cd replacement still require non-environmentally friendly, toxic and/or hazardous additives and solvents.

#### **SUMMARY OF THE DISCLOSURE**

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[0019] This specification provides a safer handling, less-hazardous and more environmentally friendly process, compared with other known processes such as AlumiPlate™, for coating ferrous alloy steel such as high strength steel alloy with an aluminum coating. In particular, this patent application provides a process suitable for complying with environmental and occupational health and safety regulations. This aluminum coating can be useful in applications such as aeronautical, automotive, marine, construction, industrial and household applications, in particular as a Ni-free Cd replacement for high strength steel alloys.

[0020] In particularly preferred embodiments, this specification provides a process for obtaining aluminum metallic coatings as a Ni-free Cd replacement for ferrous alloy steel such as high strength steel alloys, with the main objective of achieving similar or better performance than the LHE Cd or the AlumiPlate™ methods, but plating using safer handling, less-hazardous and more environmentally friendly electrolytes, i.e., the developed ionic liquid electrolytes for aluminum plating described in this patent application.

[0021] Thus, the Al coating obtained by the electroplating process described herein is suitable for complying with environmental and occupational health and safety regulations, while passing the structural and functional requirements established for Cd replacement process qualification in high strength ferrous alloy steels. The coatings proposed in this patent application show comparable behavior to Low Hydrogen Embrittlement Cd (LHE Cd) and AlumiPlate™ reference coatings with respect to compliance with most or, more preferably, all the preliminarily acceptance criteria established for Cd replacement in ferrous alloy steel such as high strength steel alloys, i.e. coating appearance, morphology, throwing power, adhesion, corrosion resistance and hydrogen embrittlement performance. But, advantageously, they are produced with an environmentally friendly and safe handling plating bath and plating process.

[0022] Only high strength steel alloys, for example, steel alloys with tensile strength higher than 1000 MPa or hardness higher than 30HRc, are sensitive to hydrogen embrittlement. Therefore, the compliance with this test is not required when the aluminum coating is applied to other ferrous alloy steel not susceptible to hydrogen embrittlement. On the other side, an aluminum coating not complying with the bend adhesion requirement can be useful as Cd replacement sacrificial coating in less exigent applications, or, alternatively, this coating may be used in combination with other means to improve the bend adhesion such as the application of an electrocleaning step during surface preparation or the application of a nickel strike bond layer between the ferrous alloy steel substrate and the Al coating.

**[0023]** Therefore, both the aluminum coating and the ferrous alloy steel substrate, preferably high strength steel alloy, coated with an aluminum coating by the process described in this patent application are particularly useful in aerospace applications. Specifically, the aluminum coating obtained by the process described herein may be particularly useful as sacrificial coatings in such applications wherein Cd sacrificial coatings were used, for example, high strength steel landing gear, high strength steel actuators, steel fasteners (bolts, rivets) or electrical connector shells.

# 45 DETAILED DESCRIPTION OF THE DISCLOSURE

**[0024]** This specification provides an electroplating process for coating a ferrous alloy steel cathode substrate with an aluminum coating, characterised in that the process comprises:

- a) immersing an aluminum anode substrate in an aluminum plating bath formulation comprising:
- a source of aluminum,
- an ionic liquid,
- a brightening agent, and
- a metal salt;

b) etching a ferrous steel alloy cathode substrate by immersing it into the aluminum plating bath formulation of step a) and performing an anodic polarization step;

c) electroplating the etched ferrous alloy steel cathode substrate of step b) with the aluminum plating bath formulation of step a), wherein this step is carried out with a current density ranging from 1 to 100 mA/cm², at a temperature ranging from 20 to 100°C, preferably stirring, and under a dry inert gas, for example, nitrogen, helium or argon; and d) rinsing the aluminum coated ferrous steel alloy obtained in step c). Preferably the aluminum coated ferrous steel alloy is rinsed with alcohol and water, followed by drying.

**[0025]** The aluminum plating bath formulation is preferably anhydrous and the electroplating is conducted under a dry inert gas stream in order to prevent contact of the electrolyte with the ambient's moisture. However, an accurate control of oxygen and moisture in the electrochemical cell is not needed.

[0026] The process described herein can be applied to different types of ferrous alloy steel substrates [ASM Handbook Volume 1, Properties and Selection: Irons, Steels, and High Performance Alloys]. Specifically, the process can be applied to plain carbon steels with low-carbon (lower than 0.2% C), medium-carbon (between 0.2-0.5% C) or high-carbon (more than 0.5% C); to low alloy steels (alloys with not more than 8% of alloying elements) and to high-alloy steels (alloys with more than 8% alloying elements).

[0027] In preferred embodiments, the ferrous alloy steel substrate is a medium-carbon ultra-high strength structural low-alloy steel, e.g., a steel alloy containing between 0.2 and 0.5% of C, not more than 8% of alloying elements and with an ultra-high structural strength. This substrate is also referred to as "high strength steel alloy" in this patent application.

[0028] Low-alloy steels constitute a category of ferrous materials that exhibit mechanical properties superior to plain carbon steels as the result of additions of such alloying elements as nickel, chromium, and molybdenum. Total alloy content in low-alloy steels can range from 2% up to levels just below that of stainless steels, which contain a minimum of 10% Cr. For many low-alloy steels, the primary function of the alloying elements is to increase hardenability in order to optimize mechanical properties and toughness after heat treatment. Among low-alloy steels, medium-carbon ultrahigh strength steels are structural steels with yield strengths that can exceed 1380 MPa (200 ksi). Many of these steels are covered by SAE-AISI designations or are proprietary compositions and include AISI/SAE 4130, the higher-strength AISI/SAE 4140, and the deeper hardening, higher-strength AISI/SAE 4340. [ASM Handbook Volume 1, Properties and Selection: Irons, Steels, and High Performance Alloys].

**[0029]** The high strength steel alloys may be those which currently are being electroplated with cadmium as sacrificial coating in aerospace applications.

[0030] In particular, medium carbon ultra-high strength low-alloy steels may include, for example:

- Alloy Steel 4130, conforming to AMS 6350 steel, sheet, and plate; and

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- Alloy Steel 4340, conforming to AMS 6414P steel, bars, forgings and tubing, 260-280KSI.

[0031] The source of aluminum may be an aluminum compound such as, for example, aluminum halide, aluminum sulfate, aluminum methanesulfonate, aluminum trifluoromethanesulfonate, an aluminum salt formed with other anions or oxoanions such as isopropoxide or ethoxide, or any combination of the mentioned aluminum compounds. In preferred embodiments, the source of aluminum is an aluminum halide such as fluroride, chloride, bromide or iodide. More preferably, the aluminum halide is aluminum trichloride as it provides good performance for Al electroplating and is cost effective.

[0032] Thus, the aluminum bath formulation described herein comprises a source of aluminum and a further compound, refered to as "ionic liquid" in this specification, which is an ionic compound or salt in the liquid state. The admixture of the source of aluminium and the ionic liquid described herein is liquid in the electroplating working condition, giving rise to an electrolyte solution capable to electroplate aluminum. More specifically, the term "ionic liquid" may be understood as ionic compounds or salts whose melting point is below some established temperature, such as 100°C. While ordinary liquids are predominantly made of electrically neutral molecules, ionic liquids are largely made of ions and short-lived ion pairs. The term ionic liquid was coined to distinguish these lower temperature ionic liquids from the high temperature analogues (i.e. high temperature molten salts) which are composed predominantly of inorganic ions.

[0033] In preferred embodiments, the ionic liquid comprised in the aluminum plating bath formulation is a nitrogen-containing compound selected from N-alkyl-pyridinium salts, N-alkyl-N'-alkyl' imidazolium salts, N-alkyl-N-alkyl' pyrro-lidinium salts, N-alkyl-N-alkyl' piperidinium salts, quaternary ammonium salts and combinations thereof. Additionally, phosphor-containing compounds such as, for example, quaternary phosphonium salts or sulfur-containing compounds such as, for example, tertiary sulfonium salts may be also used as ionic liquid in the aluminum plating bath formulation described herein. The counter-anion of any of these salts may be, for example, a complex anion such as bis(trifluor-omethylsulfonyl)imide, a cyano-containing anion such as dicyanamide, a sulphur-containing anion such as sulfate (for example, methylsulfate) or sulfonate (for example, methanesulfonate, tosylate or trifluoromethanesulfonate), a phosphate such as hexafluorophosphate, a borate such as tetrafluoroborate, or an halide such as fluoride, chloride, bromide or iodide. [0034] In some embodiments, the counter-anion of the source of aluminum and the counter-anion of the ionic liquid

may be the same. As a result, the solubility of both components may be improved.

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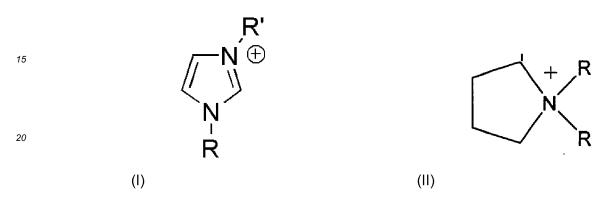
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**[0035]** Moreover, alternatively to the ionic liquids defined above, the bath formulation described herein may comprise compounds which may form an ionic liquid-type electrolyte solution by reaction with a source of aluminum such as aluminum halide. These "ionic liquid-type" compounds may be acetamide, urea, or a derivative thereof, for example, the acetamide or urea derivatives described in patent application US2013/0001092 A1.

**[0036]** In more preferred embodiments, the nitrogen-containing compound comprises a counter-anion defined as mentioned above. Preferably this counter-anion is an halide, and a cation is selected from N-alkyl-N'-alkyl' imidazolium (I) and N-alkyl-N-alkyl' pyrrolidinium (II), wherein the sustituents R and R' independently represent an alkyl group. More specifically, any of these radicals may represent a C<sub>1</sub>-C<sub>8</sub> alkyl group such as, among others, methyl, ethyl, propyl, butyl or octyl.



[0037] The aluminum plating bath formulation may comprise a mixture of an aluminum trichloride with a nitrogen-containing compound selected from N-alkyl-N'-alkyl' imidazolium chloride and N-alkyl-N-alkyl' pyrrolidinium chloride. More specifically, the molar ratio between aluminum trichloride and the nitrogen-containing compound may range from 80:40 to 60:40. For a fixed anion and alkyl substituents, imidazolium based ionic liquids generally offer lower viscosity and higher conductivity than the pyrrolidinium based ones. A high conductivity and low viscosity are beneficial to increase the throwing power and decrease the ohmic losses of the electrodeposition process.

**[0038]** If the molar ratio of the aluminum trichloride and the nitrogen-containing compound, for example, 1-ethyl-3-methylimidazolium chloride, is too low, there will be not enough concentration of active aluminum species to electrodeposit aluminum coatings.

**[0039]** The aluminum bath formulation described herein comprises a brightening agent, which is an organic compound that may be selected, for example, from a large organic cyclic compound, a bicyclic compound, a monocyclic compound or an acyclic compound.

[0040] Examples of large organic cyclic compounds are, azine or oxazine dyes (e.g azine dye - methylene blue dye), bipyridine compounds (e.g. 1,10 phenantroline), amino polyaryl methanes (e.g. triphenyl methane dye - magenta dye) or proteins (e.g. casein). Examples of monocyclic and bicyclic compounds are azines (e.g. phthalazine), hydrazides (isoniazid), thiazolines (e.g. mercaptothiazoline), thiazole derivatives (e.g. 2-aminothiazole), aromatic sulfonic acids (e.g. benzene sulfonic acid, 1,3,6 naphtalene sulfonic acid), aromatic sulfonamides (e.g. p-toluene sulfonamide), aromatic sulfonimides (e.g. saccharin), heterocyclic sulfonic acids (e.g. thiophen-2-sulfonic acid), aromatic sulfinic acids (e.g. benzene sulfinic acid), sulfonated aryl aldehydes (e.g. δ-sulpho benzaldehyde), saturated and unsaturated carboxylic acids and their esters (e.g. nicotinic acid, isonicotinic acid,  $\delta$ -hydroxy-cinnamic acid), 1,2 benzo pyrones (e.g. coumarin), benzodioxole (e.g. 3,4-methylenedioxy toluene), aromatic alcohols (e.g. β-naphtol, catechol, phenol or resorcinol), quinolinium, quinaldinium, pyridinium compounds (e.g. N-methyl quinolinium iodide), imidazole compounds (e.g. methylimidazole), quinidines, pyrazoles, indazoles and pyrimidines (e.g. cytosine), azo dyes (e.g. p-aminoazobenzene) and thiourea derivatives (e.g o-phenylene thiourea - 2-mercaptobenzimidazole). Examples of acyclic compounds are ethylenic aliphatic sulfonic acids (e.g. allyl sulphonic acid), aldehydes (e.g. formaldehyde), chloro and bromo substituted aldehydes (e.g. chloral hydrate), allyl and vinyl compounds (e.g. allyl sulfonic acid), saturated carboxylic acids and their esters (e.g. oxalic acid, sodium oxalate), unsaturated carboxylic acids and their esters (e.g. diethyl maleate), acetylenic compounds such as alcohols (e.g. 2-butyne 1,4-diol), carboxylic acids (e.g. phenyl propiolic acid), sulfonic acids (e.g. 2-butyne 1,4-sulfonic acid), amines (e.g. 3-dimethylamino 1-propyne) and aldehydes (e.g. propargyl aldehyde), nitriles (e.g. ethyl cyanohydrin), thionitriles (e.g. β-cyanoethyl thioether), amines and polyamines (e.g. tetraethylene pentamine, sulfobetaines), thiourea and derivatives (e.g. allyl thiourea), alcohols (e.g. glycerol), polyethylene glycols and sulfur compounds (e.g. carbon disulfide).

[0041] As the other components included in the bath formulation of this patent application, the brightening agent is preferably less-hazardous and more environmentally friendly than the constituents of other aluminum plating baths such

as the AlumiPlate™ plating baths. Therefore, preferred brightening agents may be, for example, 1,10-phenantroline, phtalazine, saccharine, isoniazid, coumarin, isonicotinic acid, nicotinic acid, 3,4-(methylenedioxi)toluene, 1,4-butynediol, 2-aminothiazole, 2-mercaptothiazoline, 1-methylimidazole or combinations thereof.

[0042] More preferably, the brightening agent is 1,10-phenantroline since its use allows the electrodeposition of uniform, highly levelled aluminum coatings.

**[0043]** In other preferred embodiments, the brightening agent, specifically wherein this agent is 1,10-phenanthroline, is present in the aluminum bath formulation in an amount ranging from 0.01 to 1.0 by weight respect to the total weight of the aluminum plating bath formulation.

**[0044]** The cation of the metal salt comprised in the aluminum plating bath formulation described herein may be selected, for example, from an alkali-metal, alkali-earth metal, transition metal, post-transition metal, rare-earth metal and combinations thereof. On the other side, the counter-anion may be selected, for example, from halide, sulfate, sulfonate, an oxoanion and combinations thereof. Specifically, the halide may be fluoride, chloride, bromide or iodide. Examples of oxoanions are isopropoxide or ethoxide.

[0045] In some embodiments, the counter-anion of the metal salt and the counter-anion of the source of aluminum and/or the counter-anion of the ionic liquid may be the same. As a result, the solubility of the components may be improved. [0046] In other preferred embodiments, the metal salt is an alkali metal halide such as, for example, potassium chloride, potassium bromide, sodium chloride or litium chloride. When the alkali metal halide is potassium chloride, this compound is preferably present in an amount ranging from 0.04 to 3.70 % by weight respect to the total weight of the aluminum plating bath formulation, which corresponds to a range from 5 g/L to 50 g/L.

**[0047]** In other preferred embodiments, the aluminum plating bath formulation used in the electroplating process as described therein, consist of:

- a range from 95.30 to 99.95 wt% of a mixture of aluminum trichloride and 1-ethyl-3-methylimidazolium chloride, wherein both components are present in the mixture in a molar ratio ranging from 80:40 to 60:40,
- a range from 0.01 to 1.0 wt% of 1,10-phenantroline, and
- a range from 0.04 to 3.7 wt% of KCI.

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[0048] In more preferred embodiments, the aluminum plating bath formulation consists of: a range from 95.3 to 99.5 wt% of a mixture of aluminum trichloride and 1-ethyl-3-methylimidazolium chloride in a molar ratio of 60:40; a range from 0.1 to 1.0 wt% of 1,10-phenantroline and a range from 0.4 to 3.7 wt% of KCI.

**[0049]** The preferred bath formulations described in the above paragraphs comprise the required amounts of all the components in order to get aluminum coatings with improved performance and particularly suitable to be used as Nifree Cd replacement coating. Thus, a molar ratio of the aluminum trichloride and 1-ethyl-3-methylimidazolium chloride of 60:40 provides enough concentration of active aluminum species and, therefore, to get a suitable Al coating. Additionally, the reported amounts of 1,10-phenantroline and KCI give rise to an improvement in the delicate balance between the requirements needed to use aluminum coatings as Ni-free Cd replacement coatings.

**[0050]** Prior to the anodic polarization step, the plating bath formulation may be conditioned by purging the electrolyte with a dry inert gas stream inside the plating bath formulation during at least 30 minutes. Once the electrolyte has been appropriately conditioned, the anodic polarization and electroplating steps may be performed with dry inert gas outside the electrolyte.

**[0051]** In other preferred embodiments, the electroplating step c) is carried out with a current density ranging from 5 to 25 mA/cm², a temperature ranging from 40 to 75 °C and stirring the electrolyte in a range from 500 to 1000 rpm. The throwing power of the aluminum coatings usually decreases when increasing the temperature with respect to the range stated above. Aluminum coatings composed by multiple consecutive layers, poorly adhered to each other, are usually obtained when plating without stirring the electrolyte. Aluminum coatings with a more brittle appearance may be produced when higher current densities than 25 mA/cm² are applied.

**[0052]** In the electroplating process described herein, the aluminum anode substrate is immersed in the etching/plating bath, and then the bath formulation may be conditioned, for example, as previously described.

**[0053]** On the other side, the ferrous alloy steel cathode substrate, preferably high strength steel alloy, is immersed in the conditioned bath formulation described in this patent application, which will be used afterwards for aluminum plating, and an anodic polarization step ranging from 0.6 to 1.2 V may be applied during a period ranging from 10 to 30 seconds. This etching step b) may be done, for example, at the same temperature as the plating step c).

**[0054]** In other preferred embodiments, the aluminum anode substrate used in the electroplating process described in this patent application is polished, cleaned, deoxidized and dried aluminum. Thus, the electroplating process is more easily performed if the aluminum substrate is polished, e.g., is free from oxides and compounds formed upon the exposure of the anode to the air or during previous electrodeposition processes. Moreover, the aluminum substrate should also be cleaned and dried to avoid contamination of the plating formulation bath.

[0055] When the aluminum anode substrate is not correctly polished, cleaned, deoxidized and/or dried, stains and an

accused dendritic growth of the aluminum coating may arise in the borders of the aluminum electrodeposited high strength steel cathodes.

**[0056]** The aluminum anode substrate used in the electroplating process described herein could have been subjected to a pre-treatment in order to get a polished, clean, deoxidixed and dry aluminum anode substrate. This pre-treatment may comprise one or more of the steps described in the following paragraphs.

**[0057]** In preferred embodiments, the aluminum anode substrate used in the electroplating described herein is subject to a pre-treatment comprising:

i) mechanical polishing an aluminum anode substrate,

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- ii) alkaline cleaning the polished aluminum anode substrate followed by water rinsing,
- iii) deoxidizing the cleaned aluminum substrate followed by water rinsing, and
- iv) drying the deoxidized aluminum anode substrate to obtain a polished, clean, deoxidized and dry aluminum anode substrate.

<sup>5</sup> [0058] In some embodiments, the step iv) may comprise the drying of the aluminum substrate with hot air at a temperature of at least 60°C during at least 1 minute, until constant weight is achieved.

**[0059]** The mechanical polishing may comprise first manual polish with P-120 emery paper and then removing the powder remaining on the surface, for example, with a white cloth.

**[0060]** The alkaline cleaning may be done by immersing the aluminum anode substrate in an aqueous alkaline cleaning agent such as, for example, a range from 45 to 60 g/L of Turco-4215 NC LT and a range from 1 to 3 g/L of T-4215 NC LT ADD (additive) during a period ranging from 5 to 30 min. The alkaline cleaning may be carried out, for example, stirring in a range from 200 to 500 rpm, at a temperature ranging from 45 to 55°C. After the cleaning step, the aluminum anode substrate may be rinsed, for example, first with tap water followed by deionized water.

**[0061]** The deoxidizing step may be carried out by immersing the aluminum anode substrate in the deoxidizing bath containing a deoxidizing agent such as, for example, a range from 60 to 120 g/L of Turco Smut Go NC and a range from 15 to 30 g/L of HNO<sub>3</sub> (42°Bé) during a period ranging from 1 to 10 min. The deoxidizing step may be carried out, for example, at a temperature in the range from 20 to 50°C. After that, the aluminum anode substrate may be rinsed, for example, first with tap water followed by deionized water.

**[0062]** Finally, the pretreated aluminum anode substrate is dried, for example, using hot air. Previously to the drying step, it may be rinsed with a more volatile solvent such as acetone in order to remove part of water with this solvent.

[0063] In other preferred embodiments, the ferrous alloy steel cathode substrate is a degreased and blasted ferrous alloy steel, preferably a degreased and blasted high strength steel alloy. Thus, the electroplating process is more easily performed if the steel substrate is degreased, i.e. it is free from any grease or oil on its surface that could hinder a uniform aluminum electrodeposition. It is also preferred that the steel substrate would be blasted in order to get a mechanical etching of the surface and subsequent good adhesion of the electrodeposited aluminum layer. Advantageously, this mechanical etching helps coating adhesion but does not provoke any risk of hydrogen embrittlement for the substrate, on the contrary to conventional chemical acid or alkaline pre-treatments.

**[0064]** The ferrous alloy steel used in the electroplating process may be subjected to a pre-treatment in order to get a degreased and blasted ferrous alloy steel. This pre-treatment may comprise one or more of the steps as described in the following paragraphs.

[0065] In preferred embodiments, the ferrous alloy steel cathode is subjected to a pre-treatment comprising:

- v) degreasing a steel alloy substrate, and
- vi) dry-blasting the degreased steel alloy, followed by removing any powder remaining in the surface of the stripped steel alloy to obtain a degreased and dry-blasted ferrous steel alloy substrate. Preferably, the ferrous alloy steel is a high strength steel as described above.

**[0066]** The ferrous alloy steel cathode substrate may be degreased using any degreasing agent such as, for example, acetone or an aqueous alkaline degreasing agent. This step may comprise the immersion of the steel in a degreasing agent, manual cleaning with the help of a white cloth and the application of ultrasonic agitation for at least 10 minutes, until neither oil nor grease remains on their surface. Additionally, after the degreasing step, the steel may be dried, for example, using hot air.

**[0067]** The degreased steel surface may be blasted, for example, with alumina grit, silicon carbide grit, glass beads or steel grit to remove any possible oxide and impurities off the steel substrate. The powder remaining on the surface after blasting may be removed with compressed air. Preferably, the blasting agent has a particle size from F-36 to F-80 macrogrits (i.e. a mean diameter ranging from 185 to 525 microns), since the use of this blasting agent in the electroplating process described herein results in an improvement in the bend adhesion of the aluminum coating obtained. Examples of those preferred blasting agents are F-80 and F-36 alumina grit.

**[0068]** The electroplating process described in this patent application preferably comprises rinsing the aluminum coated ferrous alloy steel with alcohol and water. In particular, it may comprise rinsing first with ethyl alcohol followed by water rinsing such as deionized water rinsing, until a clean surface free of any rest of ionic liquid is obtained.

[0069] The aluminum plated specimens may be stored in a humidity controlled atmosphere.

**[0070]** In other preferred embodiments, the electroplating coating process described herein further comprises step e), wherein a heat treatment is applied to the aluminum coated ferrous steel alloy obtained in step d).

[0071] In more preferred embodiments, the aluminum coated specimens are baked at 190  $\pm$  14°C for at least 23 hours. The addition of step e) is preferably included in order to the aluminum coated specimens obtained comply with the hydrogen embrittlement requirements. Therefore, this step is preferably included to the electroplating coating process when the ferrous steel alloy is a high strength steel alloy, substrates which are susceptible to hydrogen embrittlement. [0072] In other preferred embodiments, the electroplating coating process described herein further comprises applying a conversion coating to the aluminum coated ferrous steel alloy obtained in step d), or preferably the ones obtained in step e), wherein this conversion coating is selected from hexavalent chromium conversion coating and a Cr-free conversion coating, in particular Cr-free conversion coating with a similar performance to the hexavalent chromium conversion

[0073] The aluminum plated specimens may be optionally conversion coated using conventional Cr VI based conversion treatments, such as Alodine 1200S or similar. Optionally, Cr-free conversion treatments such as those described in US 8,298,350 B2 and US 2013/0052352 A1 patent disclosures or similar products and developments may be used. [0074] Thus, this specification provides a safer handling and more environmentally friendly electroplating process and bath formulation for coating ferrous alloy steel, preferably a high strength steel alloy, with an aluminum coating. Additionally, the Al coatings obtained by the process described herein are also more environmentally friendly than Cd coatings and other known Cd alternative coatings (e.g. Zn-Ni). Thus, this specification provides a process to obtain an aluminum coating useful in the applications such as aeronautical, automotive, marine, construction, industrial and household applications. Particularly, the coating obtained by the process described in this patent application can be used as Cd replacement in sacrificial coatings for high strength steel alloys.

[0075] In particularly preferred embodiments, the electroplating process described herein comprises: the pre-treatment of the high strength steel alloy cathode substrate and the aluminum anode substrate as described in this patent application; the electroplating treatment using an aluminum plating bath formulation which comprises: a range from 95.30 to 99.95 wt% of a mixture of aluminum trichloride and 1-ethyl-3-methylimidazolium chloride in a molar ratio ranging from 80:40 to 60:40, a range from 0.01 to 1.0 wt% of 1,10-phenantroline, and a range from 0.04 to 3.7 wt% of KCI; and the post-treatment of the obtained coating as described in this patent application. This specific combination of process steps and bath composition provides an aluminum coating with particularly improved properties that makes the product obtained a particularly preferred candidate for Cd replacement as sacrificial coatings for high strength steel alloys.

**[0076]** Thus, according to particularly preferred embodiments, the electroplating process for coating a high strength steel alloy substrate with an aluminum coating comprises:

- 1) pre-treatment of an aluminum anode substrate, wherein this pre-treatment further comprises:
  - i) mechanical polishing an aluminum anode substrate,
  - ii) alkaline cleaning the polished aluminum substrate followed by water rinsing,
  - iii) deoxidizing the cleaned aluminum substrate followed by water rinsing, and
  - iv) drying the deoxidized aluminum substrate to obtain a polished, clean, deoxidized and dry aluminum anode substrate;
- 2) pre-treatment of a high strength steel alloy cathode substrate, wherein this pre-treatment comprises:
  - v) degreasing the steel alloy cathode substrate, and
  - vi) dry-blasting the degreased steel alloy, preferably with a blasting agent with a particle size ranging from F-36 to F-80 macrogrits such as F-80 or F-36 alumina grit, followed by removing any powder remaining in the surface of the stripped steel alloy to obtain a degreased and blasted high strength steel alloy substrate;
  - 3) electroplating treatment comprising:

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a) immersing the aluminum anode substrate obtained in the pre-treatment of 1) in an aluminum plating bath formulation comprising:

a range from 95.30 to 99.95 wt% of a mixture of aluminum trichloride and 1-ethyl-3-methylimidazolium chloride, wherein both components are present in the mixture in a molar ratio ranging from 80:40 to 60:40,

a range from 0.01 to 1.0 wt% of 1,10-phenantroline, and a range from 0.04 to 3.7 wt% of KCI;

- b) etching the high strength steel alloy cathode substrate obtained in the pre-treatment of 2) by immersing it into the aluminum plating bath formulation of step 3a) and performing an anodic polarization step;
- c) electroplating the etched high strength steel alloy cathode substrate of step 3b) with the aluminum plating bath formulation of step 3a), wherein this step is carried out with a current density ranging from 1 to 100 mA/cm<sup>2</sup>, at a temperature ranging from 20 to 100°C, under a dry inert gas and stirring;
- d) rinsing the aluminum coated ferrous steel alloy obtained in step 3c), preferably with alcohol and water followed by drying until constant weight; and
- e) heat treating the aluminum coated specimens at 190  $\pm$  14°C for at least 23 hours.

[0077] This specification further refers to the aluminum coated ferrous steel alloy obtained by the electroplating process described herein. Preferably, the ferrous steel alloy is a high strength steel alloy as described therein.

**[0078]** Additionally, this specification refers to the aluminum coating obtained by the process described herein. The aluminum coating obtained by the electroplating process described can be used in the aeronautical industry, preferably as Ni-free Cd replacement in sacrificial coatings for high strength steel alloys.

**[0079]** Thus, the aluminum coating described herein can achieve a similar or better performance than the one obtained by LHE Cd or the AlumiPlate™ methods, but plating using safer handling, less-hazardous and more environmentally friendly electrolytes.

**[0080]** A further object of this specification refers to an aluminum plating bath formulation comprising: a source of aluminum, an ionic liquid, a brightening agent and an alkali metal halide, wherein all these components have the same meaning as previously described in this specification.

[0081] More specifically, the aluminum plating bath formulation may comprise, or consist of:

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- an aluminum halide,
- a nitrogen-containing compound selected from N-alkyl-N'-alkyl' imidazolium halide and N-alkyl-N-alkyl' pyrrolidinium halide.
- a brightening agent such as, for example, 1,10-phenantroline, phtalazine, saccharine, isoniazid, coumarin, isonicotinic acid, nicotinic acid, 3,4-(methylenedioxi)toluene, 1,4-butynediol, 2-aminothiazole, 2-mercaptothiazoline, 1methylimidazole, or combinations thereof, and
- an alkali metal halide.

[0082] In preferred embodiments, the aluminum plating bath formulation may comprise, or consists of:

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a range from 95.30 to 99.95 wt% of a mixture of aluminum trichloride and 1-ethyl-3-methylimidazolium chloride, wherein both components are present in the mixture in a molar ratio ranging from 80:40 to 60:40,

- a range from 0.01 to 1.0 wt% of 1,10-phenantroline, and
- a range from 0.04 to 3.7 wt% of KCI.

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[0083] This environmentally friendly optimized formulation is particularly suitable for using in the aluminum electroplating process described herein, since allows getting an aluminum coating particularly useful as Ni-free Cd replacement in sacrificial coatings for high strength steel alloys. Thus, the aluminum coating obtained with this environmentally friendly formulation shows similar or better performance than the LHE Cd or the AlumiPlate<sup>™</sup> methods but plating using safer handling, less hazardous and more environmentally friendly electrolytes.

**[0084]** The aluminum bath formulation described herein may be synthesized as follows: The ionic liquid, for example the nitrogen-containing compound, may be dried at 70°C under vacuum. Then, the required amount of aluminum halide may be added slowly under inert gas, such as argon, flow. Finally, the ionic liquid may be cooled down and, optionally, stored in a humidity-free atmosphere. Alternatively, commercial ionic liquid comprising the required ratio of ionic liquid (for example, nitrogen-containing compound) and aluminum halide may also be used.

**[0085]** Then, the ionic liquid may be heated up to 80°C in a closed vessel under a dry inert gas stream while stirring and the brightening agent may be added to the heated ionic liquid.

[0086] After that, this mixture may be stirred during 2 h at 80°C in the closed vessel under a dry inert gas stream. Then, the alkali metal halide may be added to the mixture, and the formulation may be stirred during 2 h at 80°C in the closed vessel under a dry inert gas stream. Finally, the bath formulation may be cooled down and stored in a humidity-free atmosphere.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

#### [0087]

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5 Figure 1: Aluminum electroplating process flow-diagram according to a particularly preferred embodiment.

Figure 2a and 2b: Cross section's micrographs of each coating. (1) With Cr-VI post-treatment; (2) Bare aluminum without conversion coating post-treatment; (3) In-house formulated AICI<sub>3</sub>-EMIC 60:40; (4) Basionics™ Al01 based; (5) Water rinsing during post-treatment; (6) Ethyl-alcohol rinsing during post-treatment; (8) F-220 alumina grit blasting during pre-treatment; (9) F-80 alumina grit blasting during pre-treatment.

**Figure 3:** Representative photographs of aluminum electroplated panels subjected to the scribe-grid + tape adhesion tests. (1) With Cr-VI post-treatment; (2) Bare aluminum without conversion coating post-treatment; (3) In-house formulated AICI<sub>3</sub>-EMIC 60:40; (4) Basionics <sup>™</sup> AI01 based; (5) Water rinsing during post-treatment; (6) Ethyl-alcohol rinsing during post-treatment; (8) F-220 alumina grit blasting during pre-treatment.

Figure 4: Representative photographs of aluminum electroplated panels subjected to the bend adhesion tests. (1) With Cr-VI post-treatment; (2) Bare aluminum without conversion coating post-treatment; (3) In-house formulated AICI<sub>3</sub>-EMIC 60:40; (4) Basionics <sup>™</sup> AI01 based; (5) Water rinsing during post-treatment; (6) Ethyl-alcohol rinsing during post-treatment; (8) F-220 alumina grit blasting during pre-treatment; (9) F-80 alumina grit blasting during pre-treatment; (10) F-36 alumina grit blasting during pre-treatment.

**Figure 5:** Representative panels of each coating after the corrosion tests, unscribed panels. (1) With Cr-VI post-treatment; (2) Bare aluminum without conversion coating post-treatment; (3) In-house formulated AICI<sub>3</sub>-EMIC 60:40; (4) Basionics <sup>™</sup> AI01 based; (5) Water rinsing during post-treatment; (6) Ethyl-alcohol rinsing during post-treatment; (8) F-220 alumina grit blasting during pre-treatment.

**Figure 6:** Representative panels of each coating after the corrosion tests, scribed panels. (1) With Cr-VI post-treatment; (2) Bare aluminum without conversion coating post-treatment; (3) In-house formulated AICI<sub>3</sub>-EMIC 60:40; (4) Basionics <sup>™</sup> AI01 based; (5) Water rinsing during post-treatment; (6) Ethyl-alcohol rinsing during post-treatment; (8) F-220 alumina grit blasting during pre-treatment.

Figures 7a and 7b: Results of the throwing power assessment. (1) With Cr-VI post-treatment; (2) Bare aluminum without conversion coating post-treatment; (3) In-house formulated AICI<sub>3</sub>-EMIC 60:40; (4) Basionics <sup>™</sup> Al01 based; (5) Water rinsing during post-treatment; (6) Ethyl-alcohol rinsing during post-treatment; (8) F-220 alumina grit blasting during pre-treatment.

#### **EXAMPLES**

## 1. Ionic liquid electrolytes

[0088] The ionic liquid electrolytes used in these examples were synthesized as follows:

[0089] <u>B01</u>: Either the as-received Basionics™Al01 ionic liquid electrolyte from BASF or the house-made AlCl₃-EMIC 60:40 electrolyte (see below) were independently used as baseline electrolytes to be modified with the different additives. [0090] The house-made AlCl₃-EMIC 60:40 electrolyte was prepared by mixing the corresponding amounts of aluminum trichloride and 1-ethyl-3-methyl-imidazolium chloride, as follows: The 1-ethyl-3-methylimidazolium chloride [EMIC] (Fluka Ref. 30764, purity min 93%), was dried at 70°C under vacuum for several hours. Then, it was placed into a glass vessel. The aluminum trichloride [AlCl₃] (Across Organics Ref. 19578, anhydrous, 99%, granules) was weighted (as received) inside a glovebox filled with argon inside a glass dispenser; then, it was transferred to an addition funnel, taken out of the glovebox, and placed on top of the glass vessel already containing the EMIC. The ionic liquid electrolyte was synthesized by slowly adding the AlCl₃ to the EMIC under an argon flow. Finally, the ionic liquid electrolyte was cooled down and stored in a humidity-free atmosphere.

**B01-phen**: The baseline electrolyte was heated up to 80°C in a closed vessel under a dry inert gas stream while stirring. Then, a range from 0.1 to 1.0 %wt of 1,10-phenantroline was added. The Basionics ™Al01 ionic liquid modified with the 1,10-phenantroline was stirred during 2 h at 80°C in the closed vessel under a dry inert gas stream. Finally, the ionic liquid formulation obtained was cooled down and stored in a humidity-free atmosphere.

**B01-phen-KCI:** An ionic liquid formulation comprising 1,10-phenantroline obtained as described above (B01-phen) was heated up to 80°C in a closed vessel under a dry inert atmosphere gas stream while stirring. Then, a range from 5 to 50 g/L of KCI was added and the formulation obtained was stirred during 2 h at 80°C in the closed vessel under a dry inert gas stream. Finally, the aluminum plating formulation bath was cooled down and stored in a humidity-free atmosphere.

#### 2. Electroplating process:

#### 2.1 Pre-treatment of the aluminum anode substrates

**[0093]** To plate onto flat rectangular panels, there was a rectangular slot in the center of the electrochemical cell's cover to fix the cathode. The cathode was a high strength steel rectangular sheet panel. In particular, the cathode was a rectangular flat panel machined from 4130 alloy steel conforming to AMS 6350 steel sheet. The anodes were 2 rectangular 99.999% purity aluminum sheets and were positioned at both sides of the cathode.

[0094] To plate onto specimens with cylindrical geometry, there was a cylindrical hole in the center of the electrochemical cell's cover to fix the cathode. The cathode was a high strength steel cylindrical specimen. In particular, the cathode was a cylindrical 1.a.1 geometry type AISI 4340 / SAE AMS-S-5000 steel specimen with the size and geometry required by the ASTM F-519 standard. The material was certified by the supplier according to the requirements of the ASTM F-519 standard. The anode was an AI1050 aluminum cylindrical sheet, which was positioned around the cathode.

[0095] The aluminum anode substrates were all pre-treated following a same procedure, independently of the plating bath's formulation and the electroplating conditions. These pre-treatment involved:

- Mechanical polishing: The aluminum anode substrates were first manually polished with P-120 emery paper and the powder remaining on the surface was then removed with a white cloth.
- Alkaline cleaning: The polished aluminum anode substrates were immersed in a cleaning bath which contained a range from 45 to 60 g/L of Turco-4215 NC LT and a range from 1 to 3 g/L of T-4215 NC LT ADD (additive) during a period ranging from 5 to 30 min. Alkaline cleaning was carried out stirring at a range from 200 to 500 rpm, at a temperature ranging from 45 to 55°C. After that, the aluminum anode substrates were manually rinsed with tap water followed by deionized water rinsing.
- Deoxidizing: The cleaned aluminum anode substrates were immersed in an deoxidizing bath which contained a
  range from 60 to 120 g/L of Turco Smut Go NC and a range from 15 to 30 g/L of HNO<sub>3</sub> (42°Bé) during a period
  ranging from 1 to 10 min. Deoxidizing was carried out at a temperature in the range from 20 to 50°C. After that, the
  aluminum anode substrates are manually rinsed with tap water followed by deionized water rinsing.
- Drying: The deoxidized aluminum anode substrates were manually rinsed with acetone and were dried using hot air.
- Racking: Finally, the aluminum anode substrates were placed in the holding rack.

#### 2.2 Pre-treatment of the high strength steel alloy cathode substrates

**[0096]** The steel cathode substrates were all pre-treated following the same procedure, independently of the plating bath formulation and the electroplating conditions. These pre-treatment involved:

- Degreasing: The steel cathode substrates were first manually degreased with acetone and then they were immersed
  in acetone which was placed in an ultrasonic bath for 10 minutes, until neither oil nor grease remained on their
  surface. After that, the specimens were dried using hot air.
- Stripping: The degreased steel surface was then dry-blasted with F-220, F-80 or F-36 grit alumina to remove any possible oxide and impurities off the steel substrate. The powder remaining on the surface after blasting was removed with compressed air.
- Masking: The areas of a part which do not need to be plated were masked using conventional means which do not
  contaminate the plating bath formulation, such as masking tape.
- Racking: The pre-treated steel cathode substrates were placed in the holding rack.

#### 2.3 Bath conditioning and etching

**[0097]** Prior to the anodic polarization step, the aluminum anode substrates were immersed in the plating bath and the electrolyte was conditioned by purging the plating bath formulation with a dry inert gas stream placed inside the plating bath during 30 minutes. Once the electrolyte had been conditioned, the dry inert gas purger was placed outside the electrolyte.

**[0098]** After that, the steel cathode substrates were immersed in the conditioned ionic liquid bath, which was to be used afterwards for aluminum plating, and an anodic polarization step of 0.6 V was applied during 30 seconds. Etching was done at the same temperature as plating.

#### 2.4 Electroplating

[0099] The experimental set-up for aluminum plating was the same independently of the plating bath's composition

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and the plating conditions. This set-up slightly changed depending on the geometry of the specimens (cathode substrates) to be electroplated.

**[0100]** The electrochemical cell consisted of a closed vessel containing a predetermined amount of the ionic liquid electrolyte. The electroplating was conducted under a dry inert gas stream in order to prevent contact of the electrolyte with the ambient's moisture. However, an accurate control of oxygen and moisture in the electrochemical cell was not needed. The cover of the vessel had different slots and holes where the cathode, the anodes, the temperature controller and the inert gas inlet and exhaust were assembled.

**[0101]** The electroplating process comprised the immersion of the pre-treated steel specimens in the bath formulation, closing the electric circuit with the adequate fixtures and applying a pre-determined cathodic direct current density to the cathode for a pre-determined amount of time and temperature while the electrolyte is kept at a pre-determined temperature.

**[0102]** The electroplating experiments were performed using a current rectifier to provide the power supply under dry inert gas stream. A hot plate with magnetic stirrer coupled to a temperature controller provided the necessary heat and stirred the electrolyte at different rpms.

**[0103]** The process conditions for aluminum coatings subjected to the preliminary qualification tests are summarized in the following table (Table I).

Ref.	Current density (mA/cm²)	Temperature (°C)	Bath agitation	Plating time (minutes)
B01-1	2.5-7.5	50	No	60-180
B01-2	5	40	No	270
B01-phen	5-25	40-75	Yes	30-120
B01-phen-KCl	5-25	40-75	Yes	30-120

Table I: Aluminum electroplating conditions

#### 2.5 Post-treatment

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**[0104]** After the cathode substrates were electroplated, the aluminum coatings were all post-treated following the procedure described below, independently of the plating bath's composition and the plating conditions used.

**[0105]** The aluminum plated cathode substrates were manually rinsed with deionized water or, alternatively, with ethyl alcohol followed by deionized water until a clean surface free of any rest of ionic liquid were obtained.

**[0106]** If rinsed only with water, a corrosion attack of the aluminum coating was observed in the recessed areas of the cylindrical specimens because of the resulting hydrolysis products, mainly hydrochloric acid. Thus, rinsing with ethyl alcohol followed by water rinsing was the preferred option.

**[0107]** The aluminum plated cathode substrates were dried using hot air. Finally, some of the aluminum plated cathode substrates were baked at  $190 \pm 14^{\circ}$ C for 23 hours.

[0108] The aluminum plated cathode substrates were stored in a controlled atmosphere without humidity.

#### 2.6 Conversion coating

**[0109]** Some of the aluminum plated cathode substrates were conversion coated using the conventional Cr VI based conversion treatment Alodine 1200S.

#### 3. Performance-Qualification tests

**[0110]** The high strength steel specimens AI plated using the electrolyte compositions and process conditions described above were tested in terms of coating's appearance, thickness, composition, cross section morphology, adhesion, corrosion resistance, throwing power and hydrogen embrittlement susceptibility according to the test procedures described in Table II (see below).

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Table II: Performance requirement and acceptance criteria

Acceptance c	riteria	Test method	Reference specifications
Appearance	Coating must be continuous, smooth, adherent, uniform in appearance, free from blisters, pits, nodule, burning contaminants, excessive powder, and other apparent defects.	Visual inspection	WP-200022 HSSJTP MIL-STD-870 AMS-QQ-P-416
Thickness	Coating thickness must be within 12-20 µm	Cross section inspection ASTM B-487	MIL-STD-870 AMS-QQ-P-416 MIL-DTL 83488D
Composition	The composition of the aluminum coating shall not be less than 99.0 percent aluminum.	SEM/EDS analysis	MIL-DTL 83488D

5	Morphology	]			Cross section inspection	-
10	Scribe-grid adhesion test	If any portion of coating between the lines breaks away from the substrate, the adhesion is inadequate;			ASTM B 571 (section 13 but applying a pressure sensitive tape)	_
15 20	Bend adhesion test	fracturing or blistering) of the coating from the basis metal or from any under-plating at the rupture edge.  Cracking is acceptable in the bend area if the coating cannot be peeled			ASTM B 571 (section 3)	WP-200022 HSSJTP MIL-STD-870 AMS-QQ-P-416 MIL-DTL-83488D
30	Unscribed salt spray corrosion resistance (acc. HSSJTP)	appearance of red rust or comparable			Neutral Salt Spray per ASTM B-117 (unscribed)	WP-200022 HSSJTP MIL-STD-870 QQ-P-416P
35 40	Unscribed salt spray corrosion resistance (acc. MIL- DTL-83488)	Class	evidence of the base		Neutral Salt Spray per ASTM B-117 (unscribed)	MIL-DTL-83488D
45 50		1 (min. 26 µm) 2 (min. 13 µm) 3 (min. 8 µm)	336 168	672 504 336		

	Scribed salt	Minimum of 1,000 h before	Neutral Salt Spray	WP-200022
	spray	appearance of red rust or comparable	per ASTM B-117	HSSJTP
5	corrosion	to LHE Cd controls for CrVI	(scribed)	MIL-STD-870
	resistance	passivated alternative coatings		QQ-P-416P
	Coating	The electrolyte must be able to	Visual / cross section	MIL-STD-870
10	coverage /	properly throw and cover the entire	inspection	QQ-P-416P
	Throwing	notch of 1.a.1 geometry type (acc. to		MIL-DTL-83488D
	power	ASTM F-519) specimens		
15	Hydrogen	4 type 1.a.1 specimens	ASTM F-519	ASTM F-519
	Embrittlement	No fracture within 200 h, or if 1		WP-200022
		sample fractures step load the rest by		HSSJTP
20		5% NFS every 2 h (fracture at > 90%		MIL-STD-870
		NFS)		

[0111] LHE Cd plated specimens conforming to MIL-STD-870B specification Class 2 Type II were also tested for comparison. The different aluminum plated coatings as well as the LHE Cd controls were rated, at a minimum, providing pass/fail results according to the success criteria agreed in Table II for each test. A "pass" rating typically indicates a performance equivalent or better than that of Cd. The results were also compared to those found for AlumiPlate™ in the literature ([Final report WP-200022] and [Report number JF130828, Juergen Fischer et al, Electrodeposition of aluminum with different ionic liquid based electrolytes and their comparison with the AlumiPlate® layer, University of North Dakota, January 2014]). The corrosion results were also evaluated according to the MIL-DTL-83488D specification (Detail Specification, Coating, Aluminum, High purity) standard considered by the aerospace industry for the evaluation of Cd replacement candidates whose composition is pure AI (e.g. IVD AI, AlumiPlate®, etc) (see Table II).

[0112] The types of substrates and test specimens that were used for evaluating coating appearance, thickness, composition, cross section morphology, adhesion and corrosion resistance were rectangular flat panels machined from 4130 alloy steel conforming to AMS 6350 steel sheet.

[0113] The test-specimens for thickness and composition determination, cross section morphology examination and adhesion tests were nominally 1 inch x 4 inch x 0.04 inches (25.4 mm x 101.6 mm x 0.10 mm). Unless otherwise specified, two specimens were used for each test.

[0114] The test-specimens for corrosion resistance tests were nominally 2 inch x 4 inch x 0.04 inches (50.8 mm x 101.6 mm x 0.10 mm). Unless otherwise specified, two specimens were used for each test (2 scribed and 2 unscribed). [0115] The types of substrates and test-specimens that were used for hydrogen embrittlement were cylindrical 1.a.1 geometry type AISI 4340 / SAE AMS-S-5000 steel specimens with the size and geometry required by the ASTM F-519 standard. The material and the test-specimens were certified by the supplier according to the requirements of the ASTM F-519 standard. Unless otherwise specified, four specimens were used for hydrogen embrittlement testing.

[0116] The test-specimens for the throwing power assessment were cylindrical 1.a.1 geometry type AISI 4340 / SAE AMS-S-5000 steel specimens conforming to ASTM F-519 standard. Unless otherwise specified, the coverage of the notch by the coating in all specimens to be subjected to hydrogen embrittlement tests was evaluated.

[0117] Tested samples are:

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LHE Cd (1) B01-1 (2)(3)(5)(8) B01-2 (2)(3)(5)(8) B01-phen (2)(4)(5)(8) 55 B01-phen-KCI (2)(4)(6)(8) B01-phen-KCI (1)(4)(6)(8) B01-phen-KCI (2)(4)(6)(7)(8) B01-phen-KCI (2)(4)(6)(9)

B01-phen-KCI (2)(4)(6)(10) wherein

B01-phen: 0.1 %wt 1,10-phenantroline,

B01-phen-KCI: 0.1 % 1,10-phenantroline and 5 g/L KCI

- (1) with Cr-VI post-treatment
- (2) bare Al without conversion coating post-treatment
- (3) in-house formulated AICI<sub>3</sub>-EMIC 60:40
- (4) Basionics ™Al01 based
- (5) water rinsing during post-treatment
- (6) ethyl-alcohol rinsing during post-treatment
- (7) baking post-treatment
- (8) F-220 alumina grit blasting during pre-treatment
- (9) F-80 alumina grit blasting during pre-treatment
- (10) F-36 alumina grit blasting during pre-treatment

#### 3.1 Appearance

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[0118] In general, the appearance of all tested coatings was determined to be acceptable and all candidate coatings as well as the baseline LHE Cd coating were given a "pass" rating for appearance. The detailed results from the visual examination of the coatings are shown in Table III.

Table III: Appearance

25	Coating	Appearance results			
	AlumiPlate™	Coating is continuous, uniform, smooth, adherent, free from blisters, pits, excessive powder and contamination.	Pass		
30	LHE Cd (1)(8)	Coating is continuous, uniform, smooth, adherent, free from blisters, pits, excessive powder and contamination.	Pass		
	B01-1 (2)(3)(5)(8)	White-grey colored and dull appearance. Coating is continuous, uniform, smooth, adherent, free from blisters, pits, excessive powder and contamination.	Pass		
35	B01-2 (2)(3)(5)(8)	White-grey colored and dull appearance. Coating is continuous, uniform, smooth, adherent, free from blisters, pits, excessive powder and contamination.	Pass		
40	B01-phen (2)(4)(5)(8)	White-grey colored and semi-bright reflective appearance. Coating is continuous, uniform, smooth, adherent, free from blisters, pits, excessive powder and contamination.	Pass		
	B01-phen-KCI (2)(4)(6)(8)	White-grey colored and semi-bright reflective appearance. Coating is continuous, uniform, smooth, adherent, free from blisters, pits, excessive powder and contamination.	Pass		
45	B01-phen-KCI (1)(4)(6)(8)	Yellowish colored and semi-bright appearance. Coating is continuous, uniform, smooth, adherent, free from blisters, pits, excessive powder and contamination.	Pass		
	B01-phen-KCI (2)(4)(6)(9)	White-grey colored and semi-bright reflective appearance. Coating is continuous, uniform, smooth, adherent, free from blisters, pits, excessive powder and contamination.	Pass		
50	B01-phen-KCI (2)(4)(6)(10)	White-grey colored and semi-bright reflective appearance. Coating is continuous, uniform, smooth, adherent, free from blisters, pits, excessive powder and contamination.	Pass		

# 3.2 Thickness

[0119] The thickness of B01-phen and B01-phen-KCl coatings was determined to be acceptable (between 12 and 20 μm) as well as that of the baseline LHE Cd coating. Thus, these coatings were given a "pass" rating for thickness. The thickness of the B01-1 and B01-2 coatings was not fine-tuned to be within 12-20  $\mu$ m and, thus, they were given a "fail" rating. The detailed results of the cross section's inspection of the coatings (according to ASTM B-487) are shown in Table IV.

Table IV: Thickness (cross-section examination ASTM B-487)

Coating	Reading av	Pass/Fail	
Coating	Specimen 1	Specimen 2	Pass/Fall
AlumiPlate™	≥ 13 (tar	≥ 13 (targeted 23)	
LHE Cd (1)(8)	12	12	Pass
B01-1 (2)(3)(5)(8)	7.3	6.9	Fail
B01-2 (2)(3)(5)(8)	23	25	Fail
B01-phen (2)(4)(5)(8)	12	12	Pass
B01-phen-KCl (2)(4)(6)(8)	14	14	Pass
B01-phen-KCl (1)(4)(6)(8)	17	16	Pass

#### 3.3 Composition

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**[0120]** The composition of the tested coatings was determined to be acceptable (not less than 99% of Al). Thus, the coatings were given a "pass" rating for composition. The composition of B01-phen-KCI (1)(4)(6)(8) was less than 99% of Al due to the Cr-VI post-treatment on top of the aluminum coating. The detailed results of the surface SEM/EDS examination are shown in Table V.

Table V: Composition (surface SEM/EDS examination)

	Reading Average							Pass/ Fail
Coating	Wt% O	Wt% AI	Wt% S	Wt% CI	Wt% Cr	Wt% Fe	Wt% Cd	
AlumiPlate™		100						Pass
LHE Cd (1)(8)	53.73	0	0.80	0	7.66	0	37.81	-
B01-1 (2)(3)(5)(8)	0	100	0	0	0	0	0	Pass
B01-2 (2)(3)(5)(8)	-	-	-	-	-	-	-	-
B01-phen (2)(4)(5)(8)	0	100	0	0	0	0	0	Pass
B01-phen-KCl (2)(4)(6)(8)	0	99.14	0	0.0	0	0.86	0	Pass
B01-phen-KCl (1)(4)(6)(8)	19.47	75.66	0.25	0	4.02	0.61	0	-

# 3.4 Cross section morphology

- [0121] The cross section morphology of the coatings electroplated from the B01-phen and B01-phen-KCI electrolytes was determined to be acceptable (uniform, adherent, dense and levelled coatings) as well as that of the baseline LHE Cd coating. Thus, these coatings were given a "pass" rating for cross section morphology. The coatings electroplated from the B01-1 and B01-2 electrolytes failed since non-uniform, non-dense coatings tending to dendritic morphology were obtained.
- [0122] The cross section morphology of the aluminum coatings was radically improved when the AlCl<sub>3</sub>-EMIC 60:40 (Basionics ™Al01) baseline electrolyte was modified with the 1,10-phenantroline additive. The addition of KCI did not ieopardize the cross section morphology of the coatings while improving other properties.
  - **[0123]** The cross section morphology for B01-phen-KCl coatings was acceptable even if a bigger alumina particle size of F-80 grit was used during blasting in the pre-treatment.
- 55 **[0124]** The detailed results from the cross section inspection of the coatings are shown in Table VI. Figures 2a and 2b show representative cross section's micrographs of each coating.

Table VI: Cross section morphology

Coating	Cross section examination results	Pass/ Fail
AlumiPlate™	Coating showing with complete coverage of the substrate	Pass
LHE Cd (1)(8)	Uniform adherent and levelled coatings that completely covered the substrate; Borders were uniformly and well covered.	Pass
B01-1 (2)(3)(5)(8)	Non-uniform coating, non-dense, non-compact, dendritic, especially in the edges	Fail
B01-2 (2)(3)(5)(8)	Non-uniform coating, non-dense, non-compact, dendritic, especially in the edges	Fail
B01-phen (2)(4)(5)(8)	Uniform adherent and levelled coatings that completely covered the substrate; Borders were uniformly and well covered.	Pass
B01-phen-KCl (2)(4)(6)(8)	Uniform adherent and levelled coatings that completely covered the substrate; Borders were uniformly and well covered.	Pass
B01-phen-KCl (1)(4)(6)(8)	Uniform adherent and levelled coatings that completely covered the substrate; Borders were uniformly and well covered.	Pass
B01-phen-KCl (2)(4)(6)(9)	Uniform adherent and levelled coatings that completely covered the substrate; Borders were uniformly and well covered.	Pass
B01-phen-KCl (2)(4)(6)(10)	Uniform adherent and levelled coatings that completely covered the substrate; Borders were uniformly and well covered.	Pass

# 3.5 Scribe-grid tape adhesion

**[0125]** In general, the scribe-grid tape adhesion of all tested coatings was determined to be acceptable (no coating detachment between the scribed lines) and all candidate coatings as well as the baseline LHE Cd coating were given a "pass" rating for scribe-grid tape adhesion. The detailed results of the visual examination conducted after subjecting the specimens to the adhesion test are shown in Table VII. Figure 3 shows representative panels of each candidate coating after the adhesion testing.

Table VII: Scribe-grid and tape adhesion (ASTM B571/s. 13 applying a pressure sensitive tape)

Coating	Scribe grid + tape adhesion results	Pass/Fail
LHE Cd (1)(8)	No coating detachment between the scribed lines	Pass
B01-1 (2)(3)(5)(8)	No coating detachment between the scribed lines	Pass
B01-2 (2)(3)(5)(8)	No coating detachment between the scribed lines	Pass
B01-phen (2)(4)(5)(8)	No coating detachment between the scribed lines	Pass
B01-phen-KCl (2)(4)(6)(8)	No coating detachment between the scribed lines	Pass
B01-phen-KCl (1)(4)(6)(8)	No coating detachment between the scribed lines	Pass

#### 3.6 Bend adhesion

**[0126]** When the substrates were pre-treated using F-220 alumina grit during pre-treatment, the bend adhesion of the coatings electroplated from the B01-1 electrolyte was determined to be acceptable, since no separation of the coating from the basis metal at the rupture edge occurred, as well as that of the baseline LHE Cd coating. These coatings were given a "pass" rating for bend adhesion. The rest of the coatings failed, even if the failure was only marginal for the coatings electroplated from the B01-phen-KCl electrolyte.

**[0127]** The coatings electroplated from the B01-2 electrolyte were considerably thicker than those plated from the B01-1 electrolyte, which resulted detrimental for the adhesion.

[0128] The bend adhesion of the aluminum coatings seemed to decrease when using the 1,10-phenantroline and KCI additives in the AlCI<sub>3</sub>-EMIC 60:40 (Basionics ™Al01) baseline electrolyte.

**[0129]** However, when increasing the particle size of the alumina grit used during blasting (i.e. when F-80 or F-36 alumina grit was used during pre-treatment instead of F-220 alumina grit), the coatings electroplated from the B01-phen-KCl electrolyte passed the adhesion test.

[0130] The detailed results of the visual examination conducted after subjecting the specimens to the adhesion test are shown in Table VIII. Figure 4 shows representative panels of each candidate coating after the adhesion tests.

Table VIII: Bend adhesion (ASTM B 571 / s. 3)

Coating	Bend adhesion results	Pass/Fail
AlumiPlate™	Cracking of coating up to 1/8 inch.	Pass
LHE Cd (1)(8)	No separation of the coating from the basis metal at the rupture edge.	Pass
B01-1 (2)(3)(5)(8)	No separation of the coating from the basis metal at the rupture edge.	Pass
B01-2 (2)(3)(5)(8)	Significant coating detachment in the rupture edge	Fail
B01-phen (2)(4)(5)(8)	Significant coating detachment in the rupture edge	Fail
B01-phen-KCl (2)(4)(6)(8)	Slight coating detachment in the central area of the rupture edge and especially at the side edges.	Fail but marginal
B01-phen-KCl (1)(4)(6)(8)	Coating detachment in the central area and at the borders of the rupture edge.	Fail
B01-phen-KCl (2)(4)(6)(9)	No cracks or coating detachment in the central area of the tested face. Some coating detachment at the borders of the ruptured edge.	Pass
B01-phen-KCl (2)(4)(6)(10)	No cracks or coating detachment in the central area of the tested face. Some coating detachment at the borders of the ruptured edge.	Pass

# 3.7 Unscribed salt spray corrosion resistance

[0131] The corrosion resistance of unscribed panels of coatings electroplated from the B01-phen-KCI electrolyte was determined to be acceptable (more than 3,000 hours to red rust) as well as that of the baseline LHE Cd coating, both with CrVI post-treatment on top. These coatings were given a "pass" rating for unscribed salt spray corrosion resistance according to HSSJTP criteria. The B01-2 coatings were also given a "pass" since they were able to withstand more than 3,000 hours to red rust without any kind of conversion coating post-treatment on top.

[0132] On the other hand, the corrosion resistance of the coatings obtained with B01-1, B01-2 and B01-phen-KCI (without or with conversion coating post-treatment on top) was determined to be acceptable according to the criteria of the MIL-DTL-83488D standard (Class 3 coatings - minimum of 8 micron thick: more than 168 hours to red rust for unpassivated coatings; Class 2 coatings - minimum of 13 microns thick: more than 336 hours to red rust for unpassivated coatings; Class 3 coatings - minimum of 8 micron thick: more than 336 hours to red rust for coatings with supplementary CrVI treatment; Class 2 coatings - minimum of 13 microns thick: more than 504 hours to red rust for coatings with supplementary CrVI treatment).

**[0133]** The coatings electroplated from the B01-2 electrolyte were considerably thicker than those plated from the B01-1 electrolyte, which resulted advantageous for the corrosion resistance.

**[0134]** The corrosion resistance of the aluminum coatings seemed to decrease when using the 1,10-phenantroline and KCI additives in the AlCI<sub>3</sub>-EMIC 60:40 (Basionics ™Al01) baseline electrolyte.

**[0135]** The detailed results of the visual examination conducted after subjecting the specimens to the corrosion test are shown in Table IX. Figure 5 shows representative panels of each coating after the corrosion test.

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Table IX: NSSF Corrosion resistance - Unscribed panels (ASTM B-117 angle 6°off)

	Deeding everes	Hours to red rust (2	Pass/Fail		
Coating	ting Reading average Hours to red rust (2 speciments)		HSSJTP (with CrVI post-treatment)	MIL-DTL- 83488	
AlumiPlate™	≥13 (targeted 23)	>3,000	Pass	Pass	
LHE Cd (1)(8)	12	>3,000	Pass	-	
B01-1	10	216	-	Pass	
(2)(3)(5)(8)	10	216	-	Pass	
B01-2	-30	3,864	Pass	Pass	
(2)(3)(5)(8)	-30	5,208	Pass	Pass	
B01-phen (2)(4)(5)(8)	-	-	-	-	
B01-phen-KCl	17	504		Door	
(2)(4)(6)(8)	-17	504	-	Pass	
B01-phen-KCl	-17	>3,500	Pass	Pass	
(1)(4)(6)(8)	-17	>3,500	F d55	F 855	

#### 3.8 Scribed salt spray corrosion resistance

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**[0136]** The corrosion resistance of scribed panels of the B01-phen-KCl coatings and that of the baseline LHE Cd coating (both with Cr-VI post-treatment on top) was determined to be acceptable (requirement of more than 1,000 hours to red rust) and were given a "pass" rating for scribed salt spray corrosion resistance according to HSSJTP criteria.

**[0137]** The rest of the coatings tested, i.e. B01-1, B01-2 and B01-phen-KCI without Cr-VI post-treatment on top, were not evaluated with respect to the HSSJTP and the MIL-DTL-83488 criteria since they do not set-up specifications respectively for coatings without Cr-VI post-treatment and for scribed coatings.

**[0138]** The higher thickness of the coatings plated from the B01-2 electrolyte in comparison to those plated from the B01-1 electrolyte resulted advantageous for the coatings' corrosion resistance.

**[0139]** The corrosion resistance of the aluminum coatings seemed to decrease when using the 1,10-phenantroline and KCI additives in the AlCI<sub>3</sub>-EMIC 60:40 (Basionics ™Al01) baseline electrolyte.

**[0140]** The detailed results of the visual examination conducted after subjecting the specimens to the corrosion test are shown in Table X. Figure 6 shows representative panels of each coating after the corrosion tests.

Table X: NSSF Corrosion resistance - Scribed panels (ASTM B-117 angle 6°off)

Table A. Noor Corrosion resistance - Scribed panels (ASTM 6-117 angle 6 on)						
Coating	Reading average thickness (μm) (3)	Hoursto red rust	Pass/Fail (HSSJTP) (with CrVI post- treatment)			
AlumiPlate™	≥13 (targeted 23)	1000	Pass			
LHE Cd (1)(8)	12	>1,000	Pass			
B01-1 (2)(3)(5)(8)	9.5	192				
	9.5	168	-			
P01 2 (2)(2)(5)(9)	20	1512				
B01-2 (2)(3)(5)(8)	-30	336	-			
B01-phen (2)(4)(5)(8)	-		-			
B01-phen-KCI	-19	336				
(2)(4)(6)(8)	-19	336	-			

(continued)

Coating	Reading average thickness (μm) (3)	Hoursto red rust	Pass/Fail (HSSJTP) (with CrVI post-treatment)
B01-phen-KCI	21	>3,500	Pass
(1)(4)(6)(8)	17	3,168	F 455

#### 3.9 Throwing power

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**[0141]** The throwing power of the coatings electroplated from the B01-2 and B01-phen-KCl electrolytes was determined to be acceptable, since achieved full coating coverage in the notch, as well as that of the baseline LHE Cd coating. Thus, these coatings were given a "pass" rating for throwing power. The coatings electroplated from the B01-1 and B01-phen electrolytes failed.

**[0142]** The throwing power of the aluminum coatings seemed to decrease when using the 1,10-phenantroline additive in the AlCl<sub>3</sub>-EMIC 60:40 (Basionics ™ Al01) baseline electrolyte. However, the addition of KCI to the B01-phen electrolyte considerably improved the throwing power without jeopardizing the rest of the properties.

**[0143]** Rinsing with ethyl alcohol rather than water during post-treatment helped to remove completely the remaining electrolyte from the notch avoiding stains and preventing possible corrosion due to the presence of electrolyte.

**[0144]** The detailed results of the visual examination of the coatings are shown in Table XI. Figures 7a and 7b show representative photographs of the notched areas of 1.a.1 geometry type specimens.

Coating	Coverage of 1.a.1 geometry type notch	Pass/Fail
AlumiPlate™	Full coating coverage in the notch	Pass
LHE Cd (1)(8)	Full coating coverage in the notch	Pass
B01-1 (2)(3)(5)(8)	Uncoated areas in the notch root	Fail
B01-2 (2)(3)(5)(8)	Full coating coverage in the notch	Pass
B01-phen (2)(4)(5)(8)	Uncoated areas in the notch root	Fail
B01-phen-KCI (2)(4)(6)(8)	Full coating coverage in the notch	Pass
B01-phen-KCI (1)(4)(6)(8)	Full coating coverage in the notch	Pass
B01-phen-KCI (2)(4)(6)(7)(8)	Full coating coverage in the notch	Pass

#### 3.10 Hydrogen embrittlement

**[0145]** The aluminum coatings electroplated from the B01-1 and B01-2 electrolytes, not subjected to any baking post-treatment, passed the hydrogen embrittlement test (i.e., minimum of 200 hours without fracturing). Also, both the B01-phen-KCl and the LHE Cd coatings, when subjected to a baking step after aluminum electroplating, passed the the hydrogen embrittlement test. All these coatings were given a "pass" rating for hydrogen embrittlement.

[0146] The B01-phen-KCI specimens not subjected to a baking post-treatment failed the test. The embrittling properties of the aluminum electroplating process seemed to decrease when using the 1,10-phenantroline and KCI additives in the AICI₃-EMIC 60:40 (Basionics ™AI01) baseline electrolyte. The detailed results of the hydrogen embrittlement tests are shown in Table XII.

Table XII: Hydrogen embrittlement (ASTM F-519)

Coating	Load/Loading time required by ASTM F- 519	Hours without fracturing	Pass/Fail
		200	Pass
AlumiPlate™		200	
AlumiPiate		200	
		200	

(continued)

	Coating	Load/Loading time required by ASTM F- 519	Hours without fracturing	Pass/Fail		
5			200	Pass		
	LHE Cd (1)(8)	75% NFS / 200h	200			
	LITE OU (1)(0)	73% INI 3720011	200			
10			200			
			200	Pass		
	B01-1 (2)(3)(5)(8)	75% NFS / 200h	200			
	D01-1 (2)(3)(3)(6)	73% INI 3720011	200			
15			200			
			200	Pass		
	B01-2 (2)(3)(5)(8)	75% NFS / 200h	200			
20		73% INI 3720011	200			
			200			
	B01-phen (2)(4)(5)(8)	-	-	-		
	B01-phen-KCl (2)(4)(6)(8)	-	-	-		
25			216			
	B01-phen-KCl (1)(4)(6)(8)	75%NFS/200h	123,3	- Fail		
		7376W 37200H	215,4			
30			177,6			
			200			
	B01-phen-KCI	75% NFS / 200h	200	Pass		
25	(2)(4)(6)(7)(8)	7370 141 37 20011	200	1 433		
35			200			

#### 4. Summary of results

- 40 [0147] The commercially available AICI<sub>3</sub>-EMIC 60:40 ionic liquid without any additives (B01) led to about 30 micron thick coatings which complied with the requirements for appearance, thickness, compostion, throwing power, corrosion resistance, hydrogen embrittlement and scribe-grid adhesion. However, they had a dendritic morphology and insufficient bend adhesion for the approximately 30 μm thick coatings.
  - [0148] When plating from the AlCl<sub>3</sub>-EMIC 60:40 + 1,10-phenantroline ionic liquid (B01-phen) the morphology was improved, but jeopardizing the throwing power and the bend adhesion for approximately 12 µm thick coatings. Even though, achieving dense and levelled aluminum coatings over the grit blasted high strength steel surfaces was an important breakthrough. This electrolyte allowed an acceptable aluminum plating at higher current density and higher temperature than the AlCl<sub>3</sub>-EMIC 60:40 baseline electrolyte (without any additives), which results in higher electrodeposition rates.
- [0149] Significant improved results were obtained with the AICI<sub>3</sub>-EMIC 60:40 + 1,10-phenantroline + KCI electrolyte (B01-phen-KCI) since the coatings plated were uniform, not powdery, and had a semi-bright metallic appearance. In terms of coating's appearance, the electroplating process was quite robust, since coatings with very similar appearance were produced within all the tested operating range, i.e., with a current density ranging from 5 to 25mA/cm², at a temperature ranging from 40 to 75°C and under a dry inert gas. The coatings had continuous, uniform, levelled and compact cross section morphology, comparable to that of the coatings electroplated from the B01-phen. The adhesion was similar to that of B01-phen coatings.
  - [0150] The throwing power of the electrolyte was considerably improved with respect to that of the B01-phen. This electrolyte also allowed an acceptable aluminum plating at higher current density and higher temperature than the B01

(without any additives), which results in higher electrodeposition rates.

**[0151]** The B01-phen-KCl electroplating bath achieves an improvement of the electrical conductivity of the bath and facilitates the deposition of aluminum because of the shift of the reduction potential of Al towards a nobler direction, so that an improvement of the throwing power can also be achieved.

- **[0152]** The B01-phen-KCl electroplating process also achieved good adhesion properties of the resulting aluminum coating when F-80 to F-36 alumina grit blasting from was used during pre-treatment.
  - **[0153]** The B01-phen-KCI electroplating process also achieved good hydrogen embrittlement resistance when a baking step at  $190 \pm 14^{\circ}$ C for at least 23 hours was used during post-treatment.
  - [0154] These coatings showed comparable or superior behavior to LHE Cd and Alumiplate™ reference coatings.
- [0155] Moreover, the aluminum coating complying with all of the tests reported may be considered a more environmentally friendly coating than other sacrificial coatings for high strength ferrous steel parts such as Cd and Zn-Ni. The process to achieve such coating would be considered more environmentally friendly, more safe and easier to handle than Cd plating, Zn/Ni plating, Al plating from organic solvents or AlumiPlate™ plating process.
  - [0156] Besides, the aluminum coating complying with most of the tests reported (except bend adhesion and/or hydrogen embrittlement) may still be considered a more environmentally friendly coating than other sacrificial coatings for ferrous steel parts such as Cd and Zn-Ni providing similar or superior corrosion resistance performance than Cd or Zn/Ni. The process to achieve such coating would be still considered more environmentally friendly, more safe and easier to handle than Cd plating, Zn/Ni plating, Al plating from organic solvents or AlumiPlate™ plating process.

Claims

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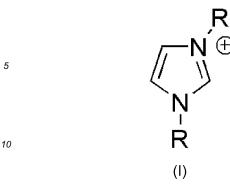
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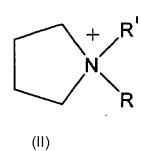
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- 1. An electroplating process for coating a ferrous alloy steel cathode substrate with an aluminum coating, **characterised in that** the process comprises:
  - a) immersing an aluminum anode substrate in an aluminum plating bath formulation comprising:
    - a source of aluminum,
    - an ionic liquid,
    - a brightening agent, and
    - a metal salt;
  - b) etching a ferrous steel alloy cathode substrate by immersing it into the aluminum plating bath formulation of step a) and performing an anodic polarization step;
  - c) electroplating the etched ferrous alloy steel cathode substrate of step b) with the aluminum plating bath formulation of step a), wherein this step is carried out with a current density ranging from 1 to 100 mA/cm<sup>2</sup>, at a temperature ranging from 20 to 100°C and under a dry inert gas; and
  - d) rinsing the aluminum coated ferrous steel alloy obtained in step c).
- 2. The electroplating process of claim 1, wherein the ferrous alloy steel is a high strength steel alloy.
  - 3. The electroplating process of any one of claims 1 and 2, wherein the source of aluminum is an aluminum halide.
  - **4.** The electroplating process of any one of claims 1 to 3, wherein the ionic liquid is a nitrogen-containing compound selected from N-alkyl-pyridinium salts, N-alkyl-N'-alkyl' imidazolium salts, N-alkyl-N-alkyl' pyrrolidinium salts, N-alkyl-N-alkyl' piperidinium salts, quaternary ammonium salts and combinations thereof.
  - 5. The electroplating process of claim 4, wherein the counter-anion of the nitrogen-containing compound is an halide, and the cation is selected from N-alkyl-N'-alkyl' imidazolium (I) and N-alkyl-N-alkyl' pyrrolidinium (II), wherein the sustituents R and R' independently represent an alkyl group.





- 6. The electroplating process of any one of claims 1 to 5, wherein the brightening agent is selected from the group consisting of 1,10-phenantroline, phtalazine, saccharine, isoniazid, coumarin, isonicotinic acid, nicotinic acid, 3,4-(methylenedioxi)toluene, 1,4-butynediol, 2-aminothiazole, 2-mercaptothiazoline, 1-methylimidazole and combinations thereof.
  - 7. The electroplating process of any one of claims 1 to 6, wherein the metal salt is an alkali-metal halide.
  - a range from 95.30 to 99.95 wt% of a mixture of aluminum trichloride and 1-ethyl-3-methylimidazolium chloride, wherein both components are present in the mixture in a molar ratio ranging from 80:40 to 60:40, a range from 0.01 to 1.0 wt% of 1,10-phenantroline, and a range from 0.04 to 3.7 wt% of KCI.

8. The electroplating process of any one of claims 1 to 7, wherein the aluminum plating bath formulation consists of:

- 9. The electroplating process of any one of claims 1 to 8, wherein the electroplating step is carried out with a current density ranging from 5 to 25 mA/cm<sup>2</sup>, a temperature ranging from 40 to 75 °C and stirring the electrolyte at in a range from 500 to 1000 rpm.
- 10. The electroplating process of any one of claims 1 to 9, wherein an aluminum anode substrate is subject to a pretreatment step comprising:
  - i) mechanical polishing an aluminum anode substrate,
  - ii) alkaline cleaning the polished aluminum substrate followed by water rinsing,
  - iii) deoxidizing the cleaned aluminum substrate followed by water rinsing, and
  - iv) drying the deoxidized aluminum substrate to obtain a polished, clean, deoxidized and dry aluminum anode substrate.
- 11. The electroplating process of any one of claims 1 to 10, wherein the ferrous alloy steel cathode is subject to a pretreatment comprising:
  - v) degreasing an steel alloy substrate, and
  - vi) dry-blasting the degreased steel alloy, followed by removing any powder remaining in the surface of the stripped steel alloy to obtain a degreased and blasted ferrous steel alloy cathode substrate.
- 12. The electroplating process of any one of claims 1 to 11, futher comprising an step e), wherein a heat treatment is applied to the aluminum coated ferrous steel alloy obtained in step d).
- 13. The electroplating process of any one of claims 1 to 12, further comprising applying a conversion coating to the aluminum coated ferrous steel alloy obtained in step d) or step e), wherein the conversion coating is selected from a hexavalent chromium conversion coating and a chromiun free conversion coating.
- 55 14. Aluminum coated ferrous steel alloy obtained by the process described in any one of claims 1 to 13.
  - 15. Use of the aluminum coated ferrous steel alloy as described in claim 14 in aeronautical, automotive, marine, construction, industrial and household applications.

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- **16.** Aluminum plating bath formulation comprising:
  - an aluminum halide,

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- a nitrogen-containing compound selected from N-alkyl-N'-alkyl' imidazolium halide and N-alkyl-N-alkyl' pyrrolidinium halide,
- a brightening agent, and
- an alkali metal halide.
- 17. Aluminum plating bath formulation of claim 16, comprising:

a range from 95.30 to 99.95 wt% of a mixture of aluminum trichloride and 1-ethyl-3-methylimidazolium chloride, wherein both components are present in the mixture in a molar ratio ranging from 80:40 to 60:40, a range from 0.01 to 1.0 wt% of 1,10-phenantroline, and a range from 0.04 to 3.7 wt% of KCI.

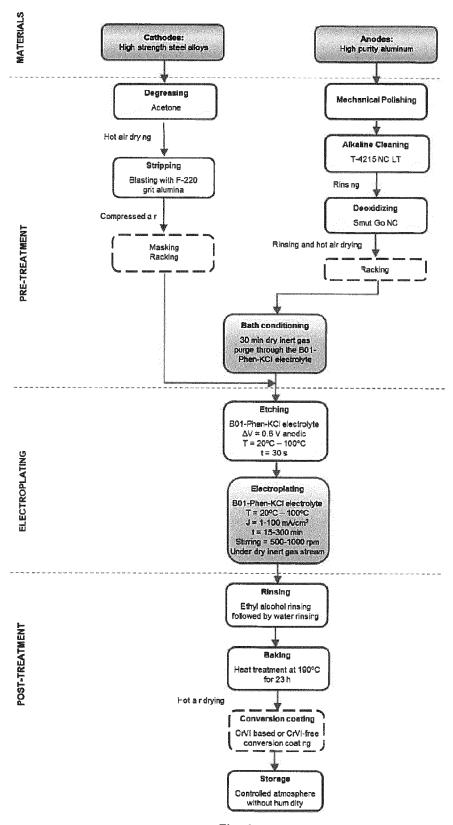


Fig. 1

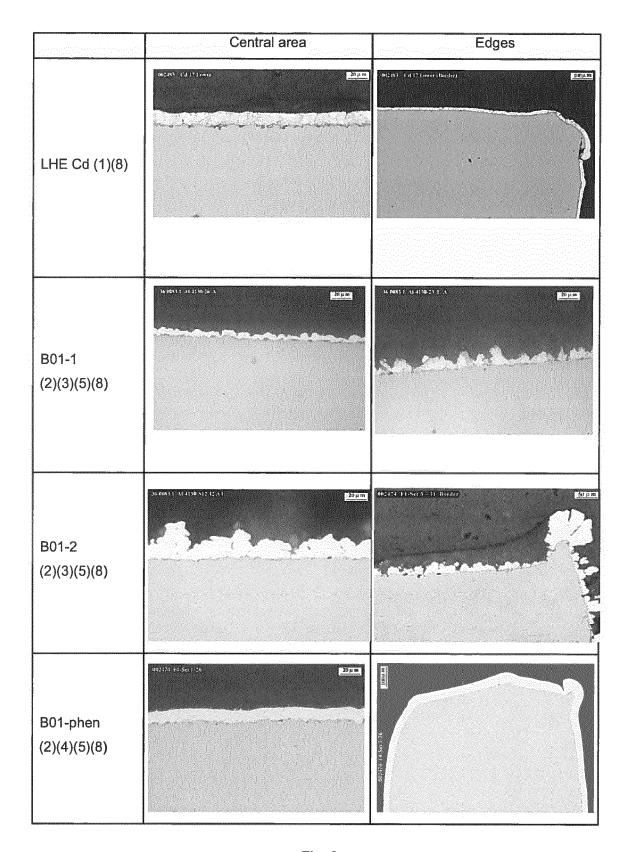


Fig. 2a

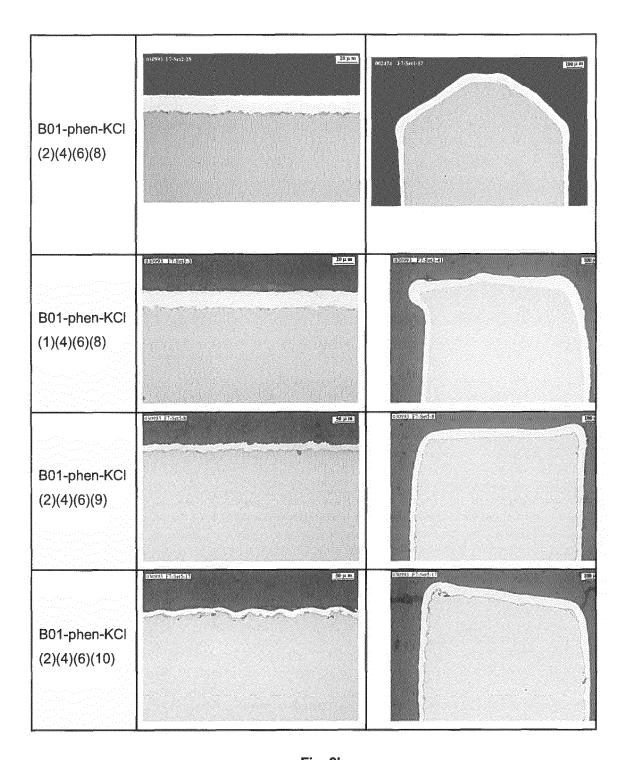


Fig. 2b

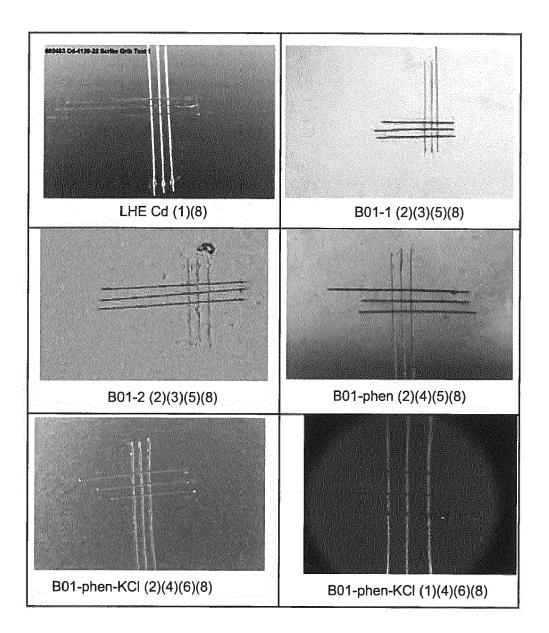


Fig. 3

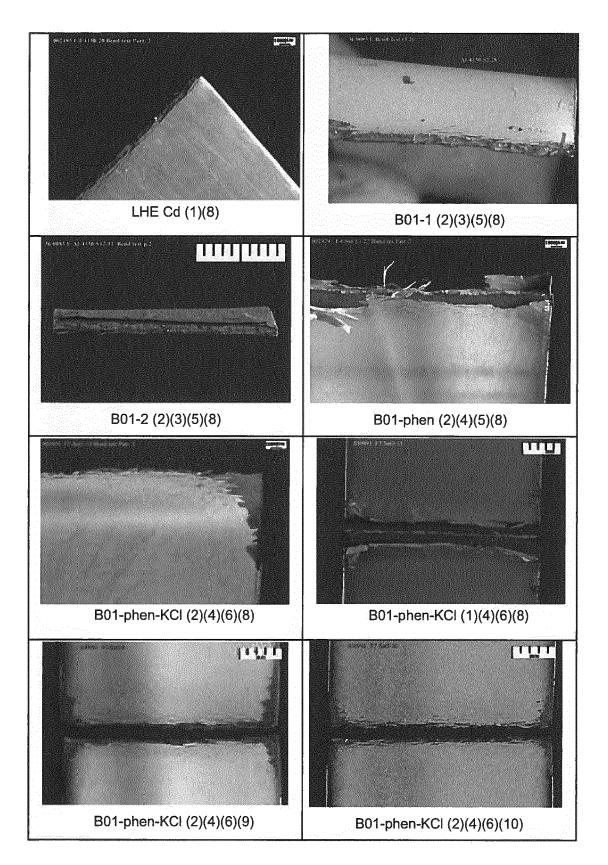


Fig. 4

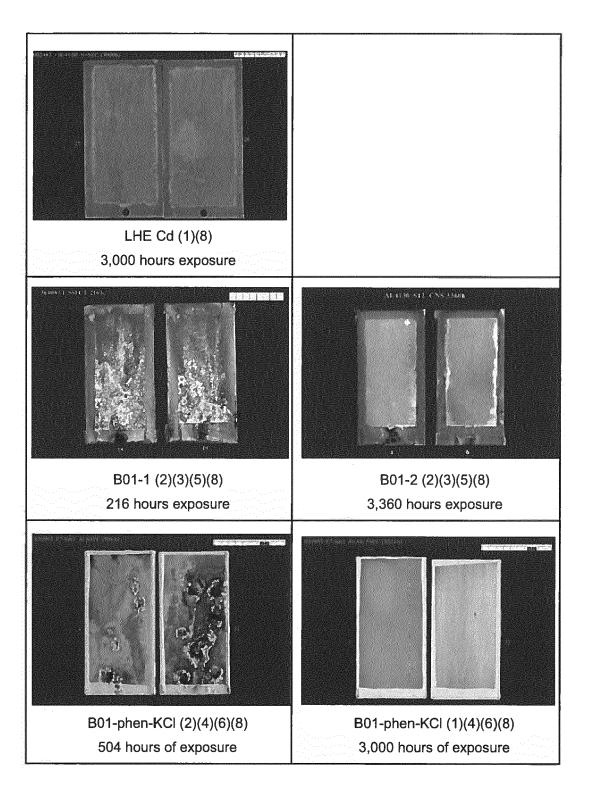


Fig. 5

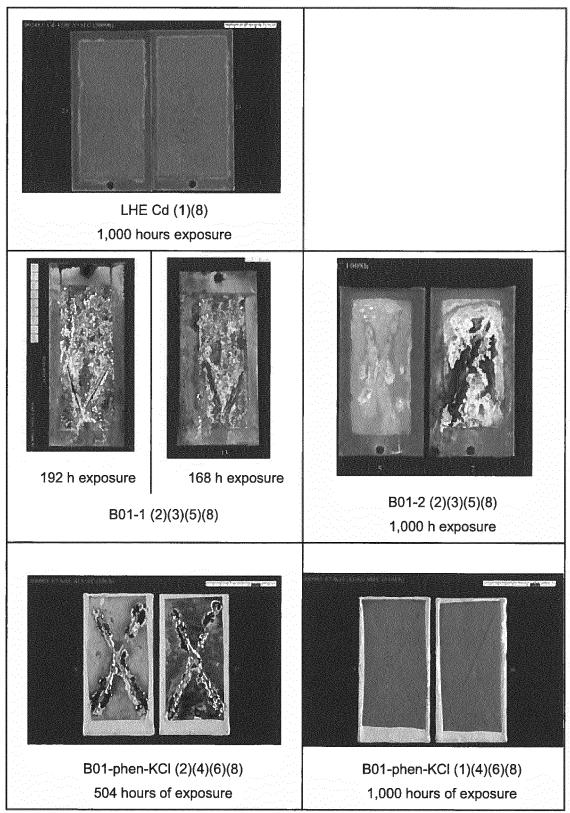


Fig. 6

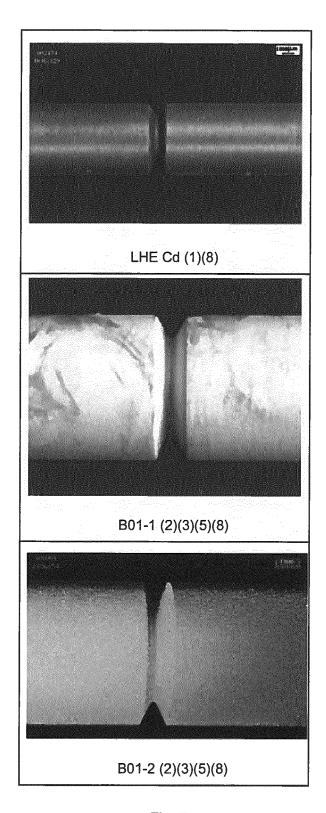


Fig. 7a

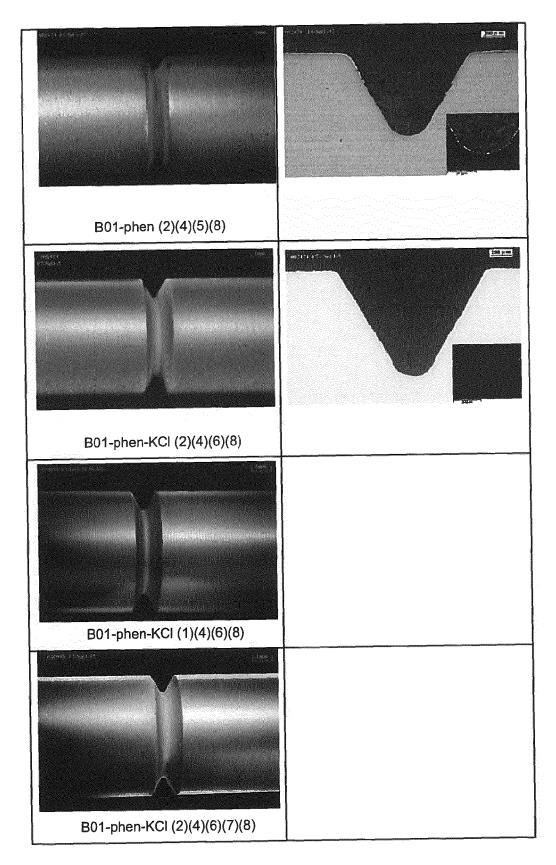


Fig. 7b



#### **EUROPEAN SEARCH REPORT**

**DOCUMENTS CONSIDERED TO BE RELEVANT** 

**Application Number** 

EP 15 38 2212

1	0	

Category	Citation of document with in of relevant pass	Relevant to claim			
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X	US 2012/006688 A1 (AL) 12 January 2012 * abstract * * paragraph [0222] * examples 1, 11 *	1-6,9-15	5		
X	JP H04 72089 A (DIF 6 March 1992 (1992- * abstract * * upper left corner page 4 * * example 1 *	03-06)	1-6,9-15	5	
Υ	US 2012/031766 A1 (AL) 9 February 2012 * abstract * examples 13-16 *	INOUE MANABU [JP] ET (2012-02-09)	16,17	TECHNICAL FIELDS SEARCHED (IPC)  C25D	
Υ	EP 0 339 536 A1 (NI [JP]; MITSUBISHI PE 2 November 1989 (19 * abstract * * page 3, line 38 - * examples 2, 5, 7,	TROCHEMICAL CO [JP]) 89-11-02) line 52 *	16,17		
Α	US 4 966 660 A (SET AL) 30 October 1996 * abstract * * column 2, line 38 * example 1 * * claim 5 *	1-15			
	The present search report has	oeen drawn up for all claims	1		
Place of search  The Hague		Date of completion of the search  26 January 2016	Pic	Examiner card, Sybille	
The Hague  CATEGORY OF CITED DOCUMENTS  X: particularly relevant if taken alone Y: particularly relevant if combined with another document of the same category A: technological background O: non-written disclosure P: intermediate document P: intermediate document P: member of the same patent family, corresponding document				invention ished on, or	



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Application Number

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CLAIMS INCURRING FEES				
The present European patent application comprised at the time of filing claims for which payment was due.				
Only part of the claims have been paid within the prescribed time limit. The present European search report has been drawn up for those claims for which no payment was due and for those claims for which claims fees have been paid, namely claim(s):				
No claims fees have been paid within the prescribed time limit. The present European search report has been drawn up for those claims for which no payment was due.				
LACK OF UNITY OF INVENTION				
The Search Division considers that the present European patent application does not comply with the requirements of unity of invention and relates to several inventions or groups of inventions, namely:				
see sheet B				
All further search fees have been paid within the fixed time limit. The present European search report has been drawn up for all claims.				
As all searchable claims could be searched without effort justifying an additional fee, the Search Division did not invite payment of any additional fee.				
Only part of the further search fees have been paid within the fixed time limit. The present European search report has been drawn up for those parts of the European patent application which relate to the inventions in respect of which search fees have been paid, namely claims:				
None of the further search fees have been paid within the fixed time limit. The present European search report has been drawn up for those parts of the European patent application which relate to the invention first mentioned in the claims, namely claims:				
The present supplementary European search report has been drawn up for those parts of the European patent application which relate to the invention first mentioned in the claims (Rule 164 (1) EPC).				

The Search Division considers that the present European patent application does not comply with the



# LACK OF UNITY OF INVENTION SHEET B

**Application Number** 

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requirements of unity of invention and relates to several inventions or groups of inventions, namely:

1. claims: 1-15

An electroplating process for coating a ferrous alloy steel cathode substrate with an aluminum coating, characterised in that the process comprises: a) immersing an aluminum anode substrate in an aluminum plating bath formulation comprising:- a source of aluminum, - an ionic liquid, - a brightening agent, and - a metal salt; b) etching a ferrous steel alloy cathode substrate by immersing it into the aluminum plating bath formulation of step a) and performing an anodic polarization step; c) electroplating the etched ferrous alloy steel cathode substrate of step b) with the aluminum plating bath formulation of step a), wherein this step is carried out with a current density ranging from 1 to 100 mA/cm2, at a temperature ranging from 20 to 100°C and under a dry inert gas; and d) rinsing the aluminum coated ferrous steel alloy obtained in step c), the product obtained and the use of such product.

2. claims: 16, 17

Aluminum plating bath formulation comprising:- an aluminum halide, - a nitrogen-containing compound selected from N-alkyl-N'-alkyl' imidazolium halide and N-alkyl-N-alkyl' pyrrolidinium halide, - a brightening agent, and - an alkali metal halide.

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# ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.

EP 15 38 2212

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

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For more details about this annex : see Official Journal of the European Patent Office, No. 12/82

#### REFERENCES CITED IN THE DESCRIPTION

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