



(12) **EUROPEAN PATENT APPLICATION**  
published in accordance with Art. 153(4) EPC

(43) Date of publication:  
**24.06.2020 Bulletin 2020/26**

(51) Int Cl.:  
**C08F 220/18** <sup>(2006.01)</sup> **C08F 220/14** <sup>(2006.01)</sup>  
**C08F 220/06** <sup>(2006.01)</sup> **C09D 11/107** <sup>(2014.01)</sup>

(21) Application number: **19793477.1**

(86) International application number:  
**PCT/CN2019/076911**

(22) Date of filing: **05.03.2019**

(87) International publication number:  
**WO 2019/205809 (31.10.2019 Gazette 2019/44)**

(84) Designated Contracting States:  
**AL AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IS IT LI LT LU LV MC MK MT NL NO PL PT RO RS SE SI SK SM TR**  
Designated Extension States:  
**BA ME**  
Designated Validation States:  
**KH MA MD TN**

(72) Inventors:  
• **ZHANG, Yuan**  
Shanghai 201417 (CN)  
• **ZHANG, Yijun**  
Shanghai 201417 (CN)

(30) Priority: **28.04.2018 CN 201810400560**

(74) Representative: **Wohlfahrt, Jan Günther et al**  
**Gleiss Große Schrell und Partner mbB**  
Patentanwälte Rechtsanwälte  
Leitzstraße 45  
70469 Stuttgart (DE)

(71) Applicant: **Shanghai Xianke Chemical Co., Ltd.**  
Shanghai 201417 (CN)

(54) **NANOSCALE WATER-BASED NARROW-MOLECULAR-WEIGHT DISTRIBUTION ACRYLIC COPOLYESTER AND PREPARATION THEREFOR**

(57) This invention discloses a nanoscale, water-based, narrow molecular weight distribution copolyacrylate synthesized from materials including methyl methacrylate, methacrylic acid and butyl acrylate as base monomers. The nanoscale, water-based, narrow molecular weight distribution copolyacrylate is prepared by polymerization of the base monomers under the action of an emulsifier and an initiator in a purely water-based system. The nanoscale, water-based, narrow molecular weight distribution copolyacrylate has a D50 particle size of 40-65 nm and a polydispersity index (PDI) (Mw/Mn) of <1.05. Also provided is a method of preparing such a copolyacrylate. The nanoscale, water-based, narrow molecular weight distribution copolyacrylate of the invention employs water as the sole dispersion medium, can be polymerized and stably present in a purely water-based system and features a nanoscale size and a narrow, uniform distribution. It also features a low viscosity and high solid content. Additionally, it is not water-absorbent, free of after-tack and highly water-resistant. Further, it allows functional modifications.

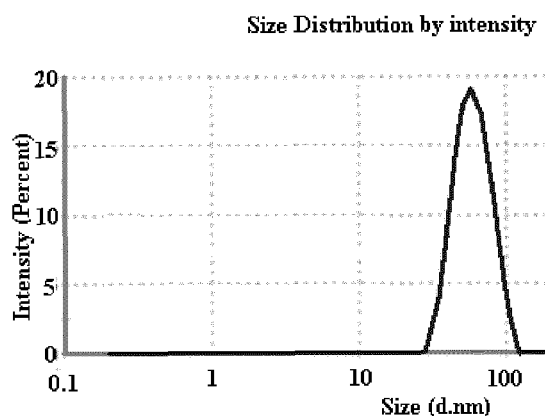


Fig. 10

**Description****Technical Field**

5 **[0001]** The present invention relates to the field of chemical engineering and, in particular, to a nanoscale, water-based, narrow molecular weight distribution copolyacrylate and a method of preparing it.

**Background**

10 **[0002]** In order to reduce the use of volatile organic compound (VOC) substances, water-based resins obtained by performing resin modification or salification, fine particle size emulsification and other processes on raw materials such as polyurethane, epoxy resins, water-soluble acrylic acid are mainly used in resin-related industries both in China and abroad. However, the polymerization of waterborne resins such as polyurethane and epoxy resins deems that only waterborne modification is acceptable to them. Although the modification of such waterborne resins can reduce the use  
15 of VOCs and other toxic and hazardous substances, it cannot completely get rid of these volatile organic substances. Therefore, the production of existing waterborne resins still involves VOC emissions, posing a threat to environmental safety.

**[0003]** Traditional resins used as basic industrial materials have low solid contents and high viscosities, and the production of acrylate resins and preparation of resin-containing products from these resins both require the addition of  
20 great amounts of organic additives (VOC-containing substances) for imparting functions required by their intended use, such as lowering the viscosity of a system. This may lead to much VOC exposure, adding difficulties to environmental governance. Further, the traditional approach often employed by the domestic water-based resin industry to impart desired properties (e.g., adhesion, etc.) to acrylate resins by cross-linking with hydroxyacrylamide has been banned by the EU countries.

25 **[0004]** Therefore, there is an urgent need to develop a novel acrylate resin to overcome the technical deficiencies of the prior art.

**Summary**

30 **[0005]** It is a first object of the present invention to propose a nanoscale, water-based, narrow molecular weight distribution copolyacrylate not requiring the addition of organic additives in its production as well as in its subsequent use and thus having zero VOC emission and significant environmental friendliness.

**[0006]** In order to attain the above object, subject-matter of the present invention lies in:  
a nanoscale, water-based, narrow molecular weight distribution copolyacrylate synthesized from materials including  
35 methyl methacrylate, methacrylic acid and butyl acrylate as base monomers. The nanoscale, water-based, narrow molecular weight distribution copolyacrylate is prepared by polymerization of the base monomers under the action of an emulsifier and an initiator in a purely water-based system, and the preparation includes the steps of:

(A) forming an aqueous solution of the emulsifier from the emulsifier in an amount equal to part of a prescribed  
40 amount for the emulsifier and from water;

(B) forming an aqueous solution of the initiator from the initiator in a prescribed amount for the initiator and from water;

(C) forming a mixed monomer solution from the base monomers in respective prescribed amounts and from the  
45 emulsifier in an amount equal to the remaining part of the prescribed amount for the emulsifier; and

(D) obtaining the nanoscale, water-based, narrow molecular weight distribution copolyacrylate from a reaction occurring upon simultaneous addition of the aqueous solution of the initiator from step (B) and the mixed monomer solution from step (C) into the aqueous solution of the emulsifier from step (A).  
50

**[0007]** According to the present invention, the nanoscale, water-based, narrow molecular weight distribution copolyacrylate may assume the form of a block homopolymer. Here, the term "block homopolymer" is intended to mean that the copolyacrylate is constructed from blocks polymerized in an orderly and homogeneous manner.

**[0008]** According to the present invention, materials from which the nanoscale, water-based, narrow molecular weight  
55 distribution copolyacrylate is synthesized may include, by weight percentage:

methyl methacrylate 12-25%;

(continued)

methacrylic acid	1.5-5%;
butyl acrylate	12-25%;
emulsifier	1.5-2.5%;
initiator	0.03-0.15%;
water	55.4-59.97%

**[0009]** According to the present invention, the emulsifier may be an emulsifier commonly used in the synthesis of acrylate resins in the art. For example, it may be selected from sodium dodecyl sulfate, sodium octadecyl sulfate, AES and NP-10.

**[0010]** The initiator may be a water-soluble radical initiator commonly used in the synthesis of acrylate resins in the art. For example, it may be selected from persulfates such as ammonium persulfate, potassium persulfate, etc.

**[0011]** According to the present invention, the nanoscale, water-based, narrow molecular weight distribution copolyacrylate may be imparted with a function desired by a certain application. The function may be imparted by adding a corresponding functional monomer to the materials from which the copolyacrylate is synthesized. The functional monomer may be a heterocyclic ester or an ester with a long carbon chain. In other words, the materials from which the nanoscale, water-based, narrow molecular weight distribution copolyacrylate is synthesized may further include a heterocyclic ester or an ester with a long carbon chain as a functional monomer.

**[0012]** According to the present invention, in the case of the materials including a heterocyclic ester or an ester with a long carbon chain as a functional monomer, the heterocyclic ester may be present at a weight percentage less than 5%, and the ester with a long carbon chain may be present at a weight percentage less than 5%.

**[0013]** Additionally, the heterocyclic ester may be selected from one or more of isobornyl acrylate and isobornyl methacrylate, and the ester with a long carbon chain may be selected from one or more of phosphoric acid acrylate, dodecyl acrylate and octadecyl acrylate.

**[0014]** According to the present invention, the nanoscale, water-based, narrow molecular weight distribution copolyacrylate may have a D50 particle size of 40-65 nm and a polydispersity index (PDI) (Mw/Mn) of <1.05.

**[0015]** According to the present invention, the nanoscale, water-based, narrow molecular weight distribution copolyacrylate may have a solid content of >30 wt. %.

**[0016]** The solid content may lie in the range of 30-40 wt. %. Preferably, the solid content is in the range of 33.65-35.35 wt. %. The copolyacrylate may have a viscosity in the range of 10-50 cps, preferably 10-11.5 cps.

**[0017]** It is a second object of the present invention to provide a method of preparing the nanoscale, water-based, narrow molecular weight distribution copolyacrylate as defined above, which includes the steps of:

(A) adding an emulsifier in an amount equal to part of a prescribed amount for the emulsifier to water in an amount equal to an appropriate part of a prescribed amount for the water in a reactor, forming an aqueous solution of the emulsifier by stirring the mixture until the emulsifier is completely dissolved, heating the aqueous solution to 70-85 °C and maintaining it at the temperature;

(B) successively adding the water in an amount equal to the remaining part of the prescribed amount for the water and an initiator in a prescribed amount for the initiator to a container and obtaining an aqueous solution of the initiator by stirring the mixture until the initiator is completely dissolved;

(C) homogeneously mixing the base monomers added in respective prescribed amounts to a container, adding thereto the emulsifier in an amount equal to the remaining part of the prescribed amount for the emulsifier and obtaining a mixed monomer solution by slowly stirring the mixture until the monomers are completely dissolved; and

(D) with stirring initiated in the reactor and a temperature therein maintained at 70-85 °C, simultaneously adding the aqueous solution of the initiator from step (B) and the mixed monomer solution from step (C) to the reactor, stopping the stirring after the addition is completed, aging the reaction system for an appropriate period of time, cooling it, adjusting its pH to a desired value and filtering it, thus obtaining the nanoscale, water-based, narrow molecular weight distribution copolyacrylate,

wherein, the base monomers in step (C) include methyl methacrylate, methacrylic acid and butyl acrylate.

**[0018]** According to the present invention, in step (A), the appropriate part of the prescribed amount for the water may be 70-90% by weight and the amount equal to the part of the prescribed amount for the emulsifier may be 45-55% by weight.

[0019] According to the present invention, in step (D), the appropriate aging period of time may be  $2 \pm 0.5$  hours.

[0020] According to the present invention, in step (D), the desired pH value may be 5-8.

[0021] It is a third object of the present invention to provide use of the nanoscale, water-based, narrow molecular weight distribution copolyacrylate as defined above as a water-based resin.

[0022] Additionally, the nanoscale, water-based, narrow molecular weight distribution copolyacrylate may be used in the preparation of a fully water-based ink.

[0023] Compared with the prior art, the subject-matter of the present invention has the following beneficial effects:

(1) The nanoscale, water-based, narrow molecular weight distribution copolyacrylate of the invention employs water as the sole dispersion medium, can be polymerized and stably present in a purely water-based system and features a nanoscale size and a narrow bandwidth, uniform distribution. Specifically, it has a D50 particle size of 40-65 nm on the nanoscale, structural consistency, a polydispersity index (PDI) ( $M_w/M_n$ ) of  $<1.05$ , a narrow particle size distribution and a normal molecular weight distribution. Therefore, the production of the nanoscale, water-based, narrow molecular weight distribution copolyacrylate of the invention does not involve VOC emissions, providing excellent benefits to environmental protection.

(2) It features a low viscosity and a solid content  $>30$  wt. %, which allow the copolyacrylate of the present to be used in a system in a downstream application such as the production of a water-based ink without requiring the addition of organic solvents or additives for reducing the system's viscosity while still achieving comparable performance to that obtained by addition of such organic solvent or additives. Thus, the downstream application can achieve zero VOC emission.

(3) The nanoscale, water-based, narrow molecular weight distribution copolyacrylate is not water-absorbent, free of after-tack and highly water-resistant. Specifically, it is directly polymerized and dispersed in a purely water-based system and features stable presence in water and absolute hydrophobicity in a dehydrated form. Thus, it has good water-resistant performance. Since the copolyacrylate of the invention is polymerized in that way, it circumvents the moisture absorption caused after-tack problem found in acrylate resins prepared following the electric double layer (emulsification) theory or hydrated-ion (hydrophilic) theory. Since the resin can be dispersed in water directly, rather than by subsequent dispersion by emulsification or by subsequent dissolution and swelling with the aid of hydrophilic groups, it is not water-absorbent, free of after-tack and highly water-resistant.

(4) The copolyacrylate allows functional modifications while not compromising at all the characteristics of a nanoscale size and a narrow bandwidth, uniform molecular weight distribution.

[0024] Functional groups can impart properties such as resistance to oils, weather, acidic, alkaline or chemical corrosion, salt spray and ultraviolet light. When loaded with such functional groups during its polymerization, the copolyacrylate can meet the special requirements of different applications while not increasing the use of organic solvent or additives (VOC-related substances) and can thus find extremely extensive use.

#### Brief Description of the Drawings

##### [0025]

Fig. 1 shows an FTIR spectrum of a nanoscale, water-based, narrow molecular weight distribution copolyacrylate C30 according to Example 1.

Fig. 2 shows an FTIR spectrum of a nanoscale, water-based, narrow molecular weight distribution copolyacrylate C30 according to Example 2.

Fig. 3 shows an FTIR spectrum of a nanoscale, water-based, narrow molecular weight distribution copolyacrylate C30 according to Example 3.

Fig. 4 shows an FTIR spectrum of a nanoscale, water-based, narrow molecular weight distribution copolyacrylate C30IB-I according to Example 4.

Fig. 5 shows an FTIR spectrum of a nanoscale, water-based, narrow molecular weight distribution copolyacrylate C301590 according to Example 5.

Fig. 6 shows a molecular weight distribution of the nanoscale, water-based, narrow molecular weight distribution copolyacrylate C30 of Example 1.

Fig. 7 shows a molecular weight distribution of the nanoscale, water-based, narrow molecular weight distribution copolyacrylate C30 of Example 2.

Fig. 8 shows a molecular weight distribution of the nanoscale, water-based, narrow molecular weight distribution copolyacrylate C30 of Example 3.

Fig. 9 shows a molecular weight distribution of the nanoscale, water-based, narrow molecular weight distribution copolyacrylate C30IB-I of Example 4.

Fig. 10 shows a molecular weight distribution of the nanoscale, water-based, narrow molecular weight distribution copolyacrylate C301590 of Example 5.

Fig. 11 shows a DCS curve of the nanoscale, water-based, narrow molecular weight distribution copolyacrylate C30 of Example 1.

Fig. 12 shows a DCS curve of the nanoscale, water-based, narrow molecular weight distribution copolyacrylate C30 of Example 2.

Fig. 13 shows a DCS curve of the nanoscale, water-based, narrow molecular weight distribution copolyacrylate C30 of Example 3.

Fig. 14 shows a DCS curve of the nanoscale, water-based, narrow molecular weight distribution copolyacrylate C30IB-I of Example 4.

Fig. 15 shows a DCS curve of the nanoscale, water-based, narrow molecular weight distribution copolyacrylate C301590 of Example 5.

Fig. 16 shows a particle size distribution of a fully water-based ink according to the present invention.

Fig. 17 shows a particle size distribution of a waterborne color paste for ink use from American Color Inc.

Fig. 18 shows a cross-cut test setup for a fully water-based ink of Example 10.

Fig. 19 shows the fully water-based ink of Example 10 that has experienced a water boiling test.

#### Detailed Description

**[0026]** The present invention will be described in greater detail below with reference to the following specific examples. It is to be understood that the following examples are presented merely for the purpose of illustrating the present invention rather than limiting the scope thereof.

**[0027]** All the materials used in the following examples are commercially available products.

**Compositions of Examples 1-5 are shown in Table 1.**

[0028]

Table 1 Compositions of Examples 1-5

Materials	Methyl Methacrylate	Methacrylic Acid	Butyl Acrylate	Isobornyl Acrylate	Phosphoric Acid Acrylate	Emulsifier	Initiator	Water
Examples	Unit (g)							
Example 1	12	5	25	0	0	2.5	0.1	55.4
Example 2	18	3	20	0	0	2.0	0.15	56.85
Example 3	25	1.5	12	0	0	1.5	0.03	59.97
Example 4	20	4	16	5	0	2.3	0.08	52.62
Example 5	15	4.5	22	0	5	1.8	0.12	51.58

**Example 1 Preparation of Nanoscale, Water-based, Narrow Molecular Weight Distribution Copolyacrylate C30**

**[0029]** A nanoscale, water-based, narrow molecular weight distribution copolyacrylate according to the present invention was prepared as follows:

(A) Sodium dodecyl sulfate as the emulsifier was dissolved in an amount equal to 50% by weight of the amount as specified in the corresponding composition given above in ultrapure water contained in a reactor in an amount equal to 90% by weight of the amount as specified in the corresponding composition given above. The mixture was stirred until complete dissolution of the sodium dodecyl sulfate was achieved, thus giving rise to an aqueous solution of the emulsifier, which was then heated to and kept at 80°C.

(B) Ammonium persulfate as the initiator was added in the amount as specified in the corresponding composition given above to water contained in a container in an amount equal to the remaining percentage of the amount as specified in the composition, and the mixture was stirred until the ammonium persulfate was completely dissolved, resulting in an aqueous solution of the initiator.

(C) Methyl methacrylate, methacrylic acid and butyl acrylate were added as base monomers into a container respectively in the amounts as specified in the corresponding composition given above and homogenized by stirring, followed by removal of polymerization inhibitors and addition of the emulsifier in an amount equal to the remaining 50% by weight of the amount as specified in the corresponding composition given above. The mixture was slowly stirred until the emulsifier and base monomers were completely dissolved so that a mixed monomer solution with adjusted phase boundaries was obtained.

(D) Stirring is initiated in the reactor and a temperature therein is kept at 80°C, followed by simultaneous addition of the aqueous solution of the initiator obtained from step (B) and the mixed monomer solution from step (C). The addition was controlled to be completed within a period of time of  $90 \pm 5$  minutes, and stirring was ceased subsequent to the completion of the addition. The reaction system was then aged for 2 hours, cooled to room temperature, adjusted to a pH of 6 and filtered, thereby obtaining the nanoscale, water-based, narrow molecular weight distribution copolyacrylate.

**[0030]** Since the copolyacrylate is to be used as a material for a downstream product, its desired pH may vary depending on the particular downstream product to which it is to be applied. The pH in step (D) may vary as required depending on a subsequent practical application of the copolyacrylate. That is, it may be adjusted to a desired value, typically within the range of 5-8.

**Example 2 Preparation of Nanoscale, Water-based, Narrow Molecular Weight Distribution Copolyacrylate C30**

**[0031]**

(A) Sodium octadecyl sulfate as the emulsifier was dissolved in an amount equal to 45% by weight of the amount as specified in the composition given above in ultrapure water contained in a reactor in an amount equal to 80% by weight of the amount as specified in the corresponding composition given above. The mixture was stirred until complete dissolution of the sodium octadecyl sulfate was achieved, thus giving rise to an aqueous solution of the emulsifier, which was then heated to and kept at 70°C.

(B) Potassium persulfate as the initiator was added in the amount as specified in the corresponding composition given above to water contained in a container in an amount equal to the remaining percentage of the amount as specified in the composition, and the mixture was stirred until the potassium persulfate was completely dissolved, resulting in an aqueous solution of the initiator.

(C) Methyl methacrylate, methacrylic acid and butyl acrylate were added as base monomers into a container respectively in the amounts as specified in the corresponding composition given above and homogenized by stirring, followed by removal of polymerization inhibitors and addition of the emulsifier in an amount equal to the remaining 55% by weight of the amount as specified in the corresponding composition given above. The mixture was slowly stirred until the emulsifier and base monomers were completely dissolved so that a mixed monomer solution was obtained.

(D) The reactor was initiated and the reaction was kept under stirring and at a temperature of 70°C, followed by

simultaneous addition of the aqueous solution of the initiator obtained from step (B) and the mixed monomer solution from step (C). The addition was controlled to be completed within a period of time of  $90 \pm 5$  minutes, and stirring was stopped subsequent to the completion of the addition. The reaction system was then aged for 2.5 hours, cooled to room temperature, adjusted to a pH of 8 and filtered, thereby obtaining the nanoscale, water-based, narrow molecular weight distribution copolyacrylate.

### **Example 3 Preparation of Nanoscale, Water-based, Narrow Molecular Weight Distribution Copolyacrylate C30**

#### **[0032]**

(A) NP-10 as the emulsifier was dissolved in an amount equal to 55% by weight of the amount as specified in the composition given above in ultrapure water contained in a reactor in an amount equal to 70% by weight of the amount as specified in the corresponding composition given above. The mixture was stirred until complete dissolution of NP-10 was achieved, thus giving rise to an aqueous solution of the emulsifier, which was then heated to and kept at 85°C.

(B) Ammonium persulfate as the initiator was added in the amount as specified in the corresponding composition given above to water contained in a container in an amount equal to the remaining percentage of the amount as specified in the composition, and the mixture was stirred until the ammonium persulfate was completely dissolved, resulting in an aqueous solution of the initiator.

(C) Methyl methacrylate, methacrylic acid and butyl acrylate were added as base monomers into a container respectively in the amounts as specified in the corresponding composition given above and homogenized by stirring, followed by removal of polymerization inhibitors and addition of the emulsifier in an amount equal to the remaining 45% by weight of the amount as specified in the corresponding composition given above. The mixture was slowly stirred until the emulsifier and base monomers were completely dissolved so that a mixed monomer solution was obtained.

(D) The reactor was initiated and the reaction was kept under stirring and at a temperature of 85°C, followed by simultaneous addition of the aqueous solution of the initiator obtained from step (B) and the mixed monomer solution from step (C). The addition was controlled to be completed within a period of time of  $90 \pm 5$  minutes, and stirring was stopped subsequent to the completion of the addition. The reaction system was then aged for 1.5 hours, cooled to room temperature, adjusted to a pH of 5 and filtered, thereby obtaining the nanoscale, water-based, narrow molecular weight distribution copolyacrylate.

### **Example 4 Preparation of Nanoscale, Water-based, Narrow Molecular Weight Distribution Copolyacrylate C30IB-I**

**[0033]** A nanoscale, water-based, narrow molecular weight distribution copolyacrylate according to the present invention was prepared as follows:

(A) Sodium dodecyl sulfate as the emulsifier was dissolved in an amount equal to 50% by weight of the amount as specified in the composition given above in ultrapure water contained in a reactor in an amount equal to 90% by weight of the amount as specified in the corresponding composition given above. The mixture was stirred until complete dissolution of the sodium dodecyl sulfate was achieved, thus giving rise to an aqueous solution of the emulsifier, which was then heated to and kept at 80°C.

(B) Ammonium persulfate as the initiator was added in the amount as specified in the corresponding composition given above to water contained in a container in an amount equal to the remaining percentage of the amount as specified in the composition, and the mixture was stirred until the ammonium persulfate was completely dissolved, resulting in an aqueous solution of the initiator.

(C) Methyl methacrylate, methacrylic acid, butyl acrylate and isobornyl acrylate were added as base monomers into a container respectively in the amounts as specified in the corresponding composition given above and homogenized by stirring, followed by removal of polymerization inhibitors and addition of the emulsifier in an amount equal to the remaining 50% by weight of the amount as specified in the corresponding composition given above. The mixture was slowly stirred until the emulsifier and base monomers were completely dissolved so that a mixed monomer solution was obtained.



(D) The reactor was initiated and the reaction was kept under stirring and at a temperature of 80°C, followed by simultaneous addition of the aqueous solution of the initiator obtained from step (B) and the mixed monomer solution from step (C). The addition was controlled to be completed within a period of time of  $90 \pm 5$  minutes, and stirring was stopped subsequent to the completion of the addition. The reaction system was then aged for 2 hours, cooled to room temperature, adjusted to a pH of 6 and filtered, thereby obtaining the nanoscale, water-based, narrow molecular weight distribution copolyacrylate.

#### **Example 5 Preparation of Nanoscale, Water-based, Narrow Molecular Weight Distribution Copolyacrylate C301590**

**[0034]** A nanoscale, water-based, narrow molecular weight distribution copolyacrylate according to the present invention was prepared as follows:

(A) Sodium dodecyl sulfate as the emulsifier was dissolved in an amount equal to 50% by weight of the amount as specified in the composition given above in ultrapure water contained in a reactor in an amount equal to 90% by weight of the amount as specified in the corresponding composition given above. The mixture was stirred until complete dissolution of the sodium dodecyl sulfate was achieved, thus giving rise to an aqueous solution of the emulsifier, which was then heated to and kept at 80°C.

(B) Ammonium persulfate as the initiator was added in the amount as specified in the corresponding composition given above to water contained in a container in an amount equal to the remaining percentage of the amount as specified in the composition, and the mixture was stirred until the ammonium persulfate was completely dissolved, resulting in an aqueous solution of the initiator.

(C) Methyl methacrylate, methacrylic acid, butyl acrylate and phosphoric acid acrylate were added as base monomers into a container respectively in the amounts as specified in the corresponding composition given above and homogenized by stirring, followed by removal of polymerization inhibitors and addition of the emulsifier in an amount equal to the remaining 50% by weight of the amount as specified in the corresponding composition given above. The mixture was slowly stirred until the emulsifier and base monomers were completely dissolved so that a mixed monomer solution was obtained.

(D) The reactor was initiated and the reaction was kept under stirring and at a temperature of 80°C, followed by simultaneous addition of the aqueous solution of the initiator obtained from step (B) and the mixed monomer solution from step (C). The addition was controlled to be completed within a period of time of  $90 \pm 5$  minutes, and stirring was stopped subsequent to the completion of the addition. The reaction system was then aged for 2 hours, cooled to room temperature, adjusted to a pH of 6 and filtered, thereby obtaining the nanoscale, water-based, narrow molecular weight distribution copolyacrylate.

#### **Example 6 Fourier-Transform Infrared Spectroscopy (FTIR) and Analysis**

**[0035]** FTIR was performed on the nanoscale, water-based, narrow molecular weight distribution copolyacrylates of Examples 1-5, and the resulting FTIR spectra are shown in Figs. 1 to 5.

**[0036]** As can be seen from Figs. 1-3, the FTIR spectra of C30 obtained in Examples 1-3 are almost identical to one another. As can be seen from Figs. 1-5, the FTIR spectra of C30, C30IB-I and C301590 are very similar to one another. FTIR was performed by Shanghai Huayi Inspection & Testing Technology Co., Ltd., and the results show that C30, C30IB-I and C301590 are all acrylate resins.

**[0037]** The nanoscale, water-based, narrow molecular weight distribution copolyacrylates C30 each include main chain segments of the following formula as speculated from the FTIR spectra:



for identifying a monodisperse system as specified in the literatures.

[0044] Conclusively, PDI (Mw/Mn) values of the copolyacrylates prepared in accordance with the present invention are all <1.05, indicating that they are monodisperse materials each with a nanoscale size (<100 nm), a narrow particle size distribution and a normal molecular weight distribution. That is to say, they are nanoscale, water-based, narrow molecular weight distribution copolyacrylates.

#### **Example 8 Differential Scanning Calorimetry (DSC) Analysis**

[0045] The copolyacrylates C30, C30IB-I and C301590 of Examples 1-5 were analyzed by differential scanning calorimetry (DSC) under the following DSC conditions: 18 mg of each sample, which was ground to powder and not added with any additive, was scanned at a rate of 10.00°C/min in a nitrogen atmosphere created by a nitrogen flow rate of 66 mL/min. The resulting DSC exotherms are shown in Figs. 11-15.

[0046] The results show a glass transition temperature of 38 °C for C30 and a glass transition temperature of 36 °C for both C30IB-I and C301590. As can be seen from Figs. 11-15, the DSC curves of C30, C30IB-I and C301590 are consistent with one another.

[0047] Therefore, the copolyacrylates C30IB-I and C301590 containing functional groups have similar thermodynamic properties to the copolyacrylates C30.

#### **Example 9 Solid Content and Viscosity**

[0048] Viscosities and solid contents of the copolyacrylates C30, C30IB-I and C301590 of Examples 1-5 were tested and compared to a commercially available water-soluble acrylate resin (Model: HMP-3212) used as a control. The viscosity testing was carried out on an NDJ-1 rotary viscometer. The results are shown in Table 3.

[0049] The solid content of each sample was measured by repeating 120-minute drying cycles on 4 g of the sample in an oven at a constant temperature of 120 °C until it does not lose weight any more.

Table 3 Viscosity and Solid Content Test Results of C30, C30IB-I and C301590

Example	Example 1	Example 2	Example 3	Example 4	Example 5	Comparative Example 1
Sample	C30	C30	C30	C30IB-I	C301590	Commercially Available Water-Soluble Acrylate Resin
Viscosity (cps)	10	10.2	10.8	11.5	10.5	2500
Solid Content (wt. %)	33.87	33.65	33.46	35.35	33.75	33.90

[0050] As can be seen from the data in Table 3, the samples prepared in Examples 1-5 have viscosities in the range of 10-11.5 cps and solid contents in the range of 33.46-35.35 wt. %. By contrast, the commercially available product with a comparable solid content to those of Examples 1-5 exhibits a viscosity of 2500 cps, significantly higher than the viscosities of the copolyacrylates of Examples 1-5. Thus, the copolyacrylates prepared in accordance with the present invention feature low viscosities and high solid contents.

[0051] The low viscosity characteristic allows the copolyacrylates of the present invention to be used in systems in downstream applications without requiring the addition of other organic solvents or additives for reducing the systems' viscosities while still achieving comparable performance to other acrylate resins containing such organic solvent or additives. Dispensing with the use of those organic solvent or additives can significantly reduce the systems' VOC contents. Moreover, as the copolyacrylates of the invention show low viscosities at high solid contents, they can give a great additional convenience to the subsequent processing.

[0052] The above Examples have demonstrated excellent properties of the nanoscale, water-based, narrow molecular weight distribution copolyacrylates prepared in accordance with the invention. In following Examples 10-14, fully water-based inks containing the copolyacrylates of Examples 1-5 were prepared and assessed for their properties.

#### **Examples 10-14 Preparation of Fully Water-Based Inks**

[0053] Table 4 summarizes compositions of fully water-based inks of Examples 10-14.

Table 4 Compositions of Fully water-based inks

Example		Example 10	Example 11	Example 12	Example 13	Example 14
Ingredient		wt. %				
A: Fully Water-Based Color Paste	Water	60	63	65	68	70
	Lithium Magnesium Silicate	1.5	0.5	1.0	1.2	1.0
	Linear Alkylbenzene Sulfonate (LAS)	0.5	1.5	1.0	0.8	1.0
	Permanent Yellow	38	35	33	30	28
B Fully Water-Based Binder	Aqueous Solution of Wetting Agent (YM-313)	0.3	0.35	0.4	0.45	0.5
	Aqueous solution of Mildewcide (Ixe)	0.6	0.55	0.5	0.45	0.4
	C30	91	91.5	80.82	90.3	81.8
	C30IB-I			8.98		8.0
	Ammonia Dilution	4.9	4.4	6	5.5	6
	Propylene Carbonate	0.1	0.1	0.2	0.2	0.2
	Oxidized Polyethylene Wax Emulsion (E-810)	2.3	0	2.4	2.6	3.0
	Oxidized Polypropylene Wax Emulsion (E-668H)	0.7	3.0	0.6	0.4	0
C Fully Water-Based Ink	Fully Water-Based Binder	70	71.9	76.9	78.9	80
	Color Paste	29.85	28	23	21	19.95
	Fatty Alcohol Defoamer	0.15	0.1	0.1	0.1	0.05

**[0054]** Among the listed ingredients, the wetting agent YM-313, mildewcide Ixe and ammonia are all commercially available products, and the commercially available ammonia has a concentration of 25%. These commercially available products were all diluted with water at a ratio of 1:10 to prepare the aqueous solution of the wetting agent (YM-313), aqueous solution of the mildewcide (Ixe) and ammonia dilution, which were in turn used to prepare the fully water-based binder.

**[0055]** C30 in Example 10 was prepared from Example 1, C30 in Example 11 from Example 2, C30 in Example 12 from Example 3, C30IB-I in Example 12 from Example 4, C30 in Example 13 from Example 1, C30 in Example 14 from Example 1 and C301590 in Example 14 from Example 5.

#### **Method of Preparing Fully Water-Based Inks**

##### **(1) Preparation of Fully Water-Based Color Paste**

**[0056]** Deionized water was added to a container in the amount as specified in the corresponding composition given above and stirring was begun with a rate being controlled at 50-80 rpm. With the stirring being continued, lithium magnesium silicate was added in the amount as specified in the corresponding composition given above and completely dissolved, followed by the addition and complete dissolution of LAS in the amount as specified in the corresponding composition given above. The organic pigment, permanent yellow, was then added in the amount as specified in the corresponding composition given above and uniformly dispersed using a dispersion disc, thus resulting in the fully water-based color paste, which was then preserved for subsequent use.

##### **(2) Preparation of Fully Water-Based Binder**

**[0057]** This was accomplished by the following steps:

a) An aqueous solution of the wetting agent (YM-313) and an aqueous solution of the mildewcide (Ixe) were added to a container in the respective amounts as specified in the corresponding composition given above and stirring was started with a controlled rate of 50-80 rpm.

b) The fully water-based resin(s) C30 and/or C30IB-I was/were added in the amount(s) as specified in the corresponding composition given above and homogenized by stirring and a pH of the system was adjusted to 4.5-7.5 by drop-wise addition of the ammonia dilution in the amount as specified in the corresponding composition given above. The stirring was continued for 15 minutes so that the system became homogeneous. Propylene carbonate was then added in the amount as specified in the corresponding composition given above and homogenized by stirring for 15 minutes.

c) Oxidized polyethylene wax emulsion E-810 and Oxidized polypropylene wax emulsion E-668H were added in the amounts as specified in the corresponding composition given above and homogenized by stirring for 30 minutes, thus giving rise to the fully water-based binder, which was then kept for subsequent use.

### (3) Preparation of Fully Water-Based Ink

**[0058]** The fully water-based binder was added to a container in the amount as specified in the corresponding composition given above and stirring was begun with a rate controlled at 50-80 rpm. The fully water-based color paste was added in the amount as specified in the corresponding composition given above and homogenized by stirring, followed by the addition and homogenization by stirring of the fatty alcohol defoamer in the amount as specified in the corresponding composition given above, thereby obtaining the inventive fully water-based ink.

**[0059]** In following Examples 15-17, the fully water-based inks of Examples 10-14 were evaluated for their properties.

### Example 15 Particle Size Analysis

**[0060]** Particle sizes of the fully water-based inks of Examples 10-14 and a water-based color paste for ink use from American Color Inc. were measured. A particle size distribution of the fully water-based ink of Example 10 is shown in Fig. 16, and the fully water-based inks of Examples 11-14 each have almost the same particle size distribution as in Fig. 16. Fig. 17 shows a particle size distribution of the water-based color paste for ink use from American Color Inc.

**[0061]** As revealed by a comparison of Figs. 16 and 17, the fully water-based inks embodying the compositions of the invention and prepared by the process of the invention have finer particle sizes than the conventional water-based color paste for ink use, promising a good surface gloss of a film formed by any of these fully water-based inks.

### Example 16 VOC Testing of Fully Water-Based Inks

**[0062]** VOC testing was performed on the fully water-based inks of Examples 10-14 in SGS, an internationally recognized organization for certification, according to the standard HJ/T371-2007. The results of all the tested indicators were all "ND", in line with the requirements of China's domestic environmental protection regulations and EU's RoHS directive and SVHC REACH regulation.

### Example 17 Drying Rate Testing

**[0063]** The fully water-based inks of Examples 10-14 were tested for initial dryness according to the standard GB/T13217.5-2008 - Test Method for Initial Dryness of Liquid Ink. The results show that the initial dryness of all the fully water-based inks of Examples 10-14 meets the relevant requirements specified in QB/T 1046-2012 - Gravure Ink for Plastic Film.

**[0064]** Compared with a commercially available water-based ink sample, which usually takes more than 90 seconds to dry naturally on a standard substrate at atmospheric temperature, the fully water-based inks of Examples 10-14 dried naturally on such testing substrates at atmospheric temperature within 60 seconds, indicating faster drying rates of the fully water-based inks of Examples 10-14.

### Example 18 Water Resistance Testing

**[0065]** When a commercially available water-based ink sample applied to a standard substrate is soaked in water at atmospheric temperature, colorant loss and bubbling will be observed typically within 48 hours. By contrast, after applied to a same standard substrate and soaked in water at atmospheric temperature, no obvious changes were seen within more than one week for any of the fully water-based inks of Examples 10-14, and samples taken from the soaking water

remained colorless. This indicates that the fully water-based inks of the invention possess good water resistance.

#### **Example 19 Evaluation of After-Tack Resistance**

**[0066]** Pressing tests were carried out on testing substrates printed with the fully water-based inks of Examples 10-14, and the results show that the fully water-based inks of the invention will not experience after-tack or colorant loss due to moisture regain or other reasons, indicating good after-tack resistance of the fully water-based inks of the invention.

#### **Example 20 Cohesion Testing**

**[0067]** Adhesion of the fully water-based inks of Examples 10-14 to PET films was tested according to GB/T13217.7 - Test Method for Adhesion to Substrate of Liquid Ink with Tape.

**[0068]** According to the results of the tape tests, peel-off percentages of the inks were all lower than 2%, showing their adhesion meeting the relevant requirements of QB/T 1046-2012 - Gravure Inks for Plastic Film.

**[0069]** Cohesion of the fully water-based inks of Examples 10-14 was tested using a cross-cut method. A test setup for the fully water-based ink of Example 10 is shown in Fig. 18. The test results show that the dry fully water-based inks exhibit strong cohesion, which make them free of colorant loss in tape tests and rated at 0 in cross-cut tests (i.e., totally smooth edges of cuts without any formed square peeled off) using a HGQ (1mm) cutter (ISO2409-1974). This demonstrates excellent cohesion of the fully water-based inks of the Examples 10-14.

#### **Example 21 Water Boiling Resistance**

**[0070]** The fully water-based inks of Examples 10-14 were tested by boiling testing substrates printed with them in water at 100 °C for 30 minutes. No significant changes were seen between the boiled and unprocessed testing substrates.

A sample for Example 10 that has experienced a water boiling test is shown in Fig. 19, and water-boiled samples for the other Examples have comparable appearance. No peel-off was recorded in tape tests using dedicated adhesive tape.

**[0071]** Therefore, the fully water-based inks of the present invention have excellent water boiling resistance and can be used for the printing of food packaging materials that are required to be heated for sterilization.

**[0072]** Further, when printed on PET films or other film substrates, the fully water-based inks of the invention will exhibit such great tensile resilience as to enable their complete recovery from stretching with the printed substrates.

**[0073]** While specific examples of the present invention have been described in detail above, they are provided merely by way of example and do not limit the invention in any sense. A person of ordinary skill in the art will appreciate that any equivalent modifications and alternatives made to this invention also fall within the scope of the invention. Accordingly, it is intended that all equivalent changes and modifications made without departing from the spirit and scope of the present invention are embraced within the scope of the invention.

#### **Claims**

1. A nanoscale, water-based, narrow molecular weight distribution copolyacrylate, wherein materials from which the copolyacrylate is synthesized include methyl methacrylate, methacrylic acid and butyl acrylate as base monomers, and wherein the nanoscale, water-based, narrow molecular weight distribution copolyacrylate is prepared by polymerization of the base monomers under the action of an emulsifier and an initiator in a purely water-based system, the preparation comprising the steps of:

(A) forming an aqueous solution of the emulsifier from the emulsifier in an amount equal to part of a prescribed amount for the emulsifier and from water;

(B) forming an aqueous solution of the initiator from the initiator in a prescribed amount for the initiator and from water;

(C) forming a mixed monomer solution from the base monomers in respective prescribed amounts and from the emulsifier in an amount equal to the remaining part of the prescribed amount for the emulsifier; and

(D) obtaining the nanoscale, water-based, narrow molecular weight distribution copolyacrylate from a reaction occurring upon simultaneous addition of the aqueous solution of the initiator from step (B) and the mixed monomer solution from step (C) into the aqueous solution of the emulsifier from step (A).

2. The nanoscale, water-based, narrow molecular weight distribution copolyacrylate according to claim 1, wherein the materials from which the nanoscale, water-based, narrow molecular weight distribution copolyacrylate is synthesized include, by weight percentage:

methyl methacrylate	12-25%;
methacrylic acid	1.5-5%;
butyl acrylate	12-25%;
emulsifier	1.5-2.5%;
initiator	0.03-0.15%;
water	55.4-59.97%

3. The nanoscale, water-based, narrow molecular weight distribution copolyacrylate according to claim 1, wherein the materials from which the nanoscale, water-based, narrow molecular weight distribution copolyacrylate is synthesized further include a heterocyclic ester or an ester with a long carbon chain as a functional monomer.
4. The nanoscale, water-based, narrow molecular weight distribution copolyacrylate according to claim 3, wherein the heterocyclic ester is present at a weight percentage less than 5%, and the ester with a long carbon chain is present at a weight percentage less than 5%.
5. The nanoscale, water-based, narrow molecular weight distribution copolyacrylate according to claim 4, wherein the heterocyclic ester is selected from one or more of isobornyl acrylate and isobornyl methacrylate, and the ester with a long carbon chain is selected from one or more of phosphoric acid acrylate, dodecyl acrylate and octadecyl acrylate.
6. The nanoscale, water-based, narrow molecular weight distribution copolyacrylate according to any one of claims 1 to 5, wherein the nanoscale, water-based, narrow molecular weight distribution copolyacrylate has a D50 particle size of 40-65 nm and a polydispersity index (PDI) (Mw/Mn) of <1.05.
7. The nanoscale, water-based, narrow molecular weight distribution copolyacrylate according to any one of claims 1 to 5, wherein the nanoscale, water-based, narrow molecular weight distribution copolyacrylate has a solid content of >30 wt. %.
8. A method of preparing a nanoscale, water-based, narrow molecular weight distribution copolyacrylate, comprising the steps of:
  - (A) adding an emulsifier in an amount equal to part of a prescribed amount for the emulsifier to water in an amount equal to an appropriate part of a prescribed amount for the water in a reactor, forming an aqueous solution of the emulsifier by stirring the mixture until the emulsifier is completely dissolved, heating the aqueous solution to 70-85 °C and maintaining it at the temperature;
  - (B) successively adding the water in an amount equal to the remaining part of the prescribed amount for the water and an initiator in a prescribed amount for the initiator to a container and obtaining an aqueous solution of the initiator by stirring the mixture until the initiator is completely dissolved;
  - (C) homogeneously mixing base monomers added in respective prescribed amounts to a container, adding thereto the emulsifier in an amount equal to the remaining part of the prescribed amount for the emulsifier and obtaining a mixed monomer solution by slowly stirring the mixture until the base monomers are completely dissolved; and
  - (D) with stirring initiated in the reactor and a temperature therein maintained at 70-85 °C, simultaneously adding the aqueous solution of the initiator from step (B) and the mixed monomer solution from step (C) to the reactor, stopping the stirring after the addition is completed, aging the reaction system for an appropriate period of time, cooling it, adjusting its pH to a desired value and filtering it, thus obtaining the nanoscale, water-based, narrow molecular weight distribution copolyacrylate,

wherein, the base monomers in step (C) include methyl methacrylate, methacrylic acid and butyl acrylate.
9. The method of claim 8, wherein in step (A), the appropriate part of the prescribed amount for the water is 70-90% by weight and the amount equal to the part of the prescribed amount for the emulsifier is 45-55% by weight.
10. The method of claim 8, wherein in step (C), the appropriate aging period of time is  $2 \pm 0.5$  hours.
11. The method of claim 7, wherein in step (D), the desired pH value is 5-8.

12. Use of the nanoscale, water-based, narrow molecular weight distribution copolyacrylate of any one of claims 1 to 7 as a water-based resin.
13. The use of claim 12, wherein the nanoscale, water-based, narrow molecular weight distribution copolyacrylate is used in the preparation of a fully water-based ink.

5

10

15

20

25

30

35

40

45

50

55



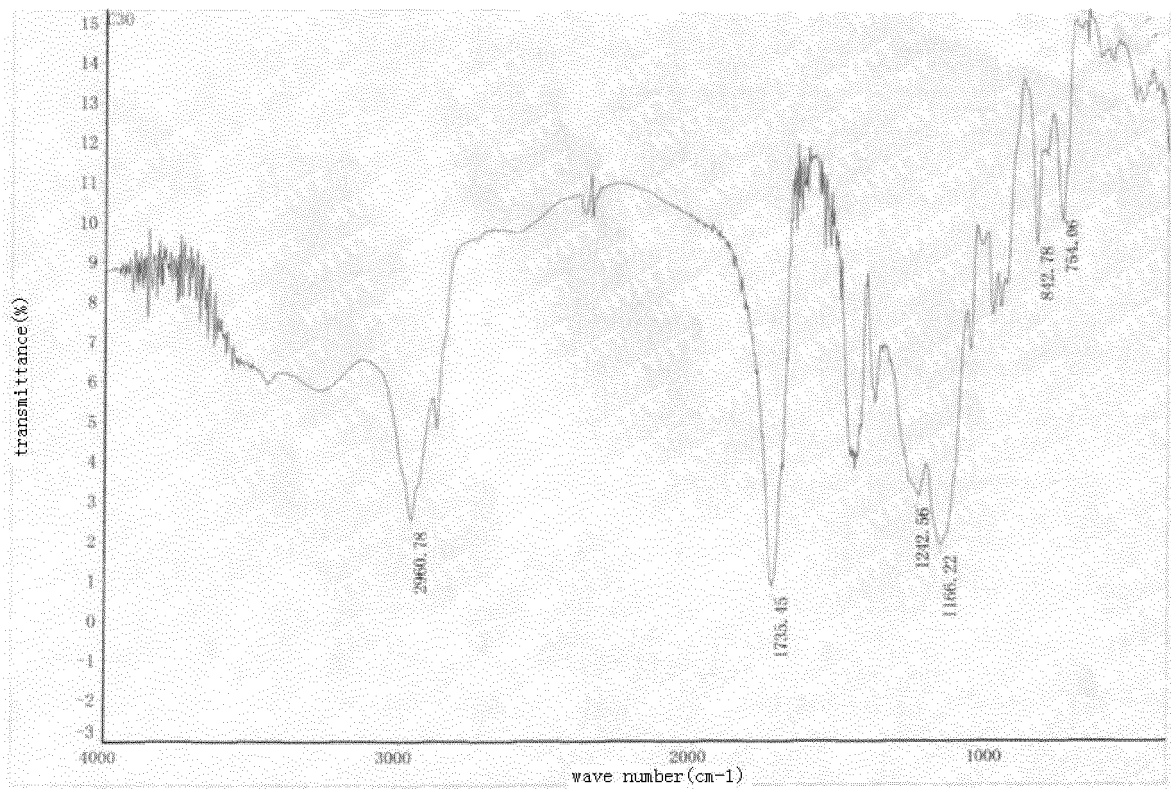


Fig. 1

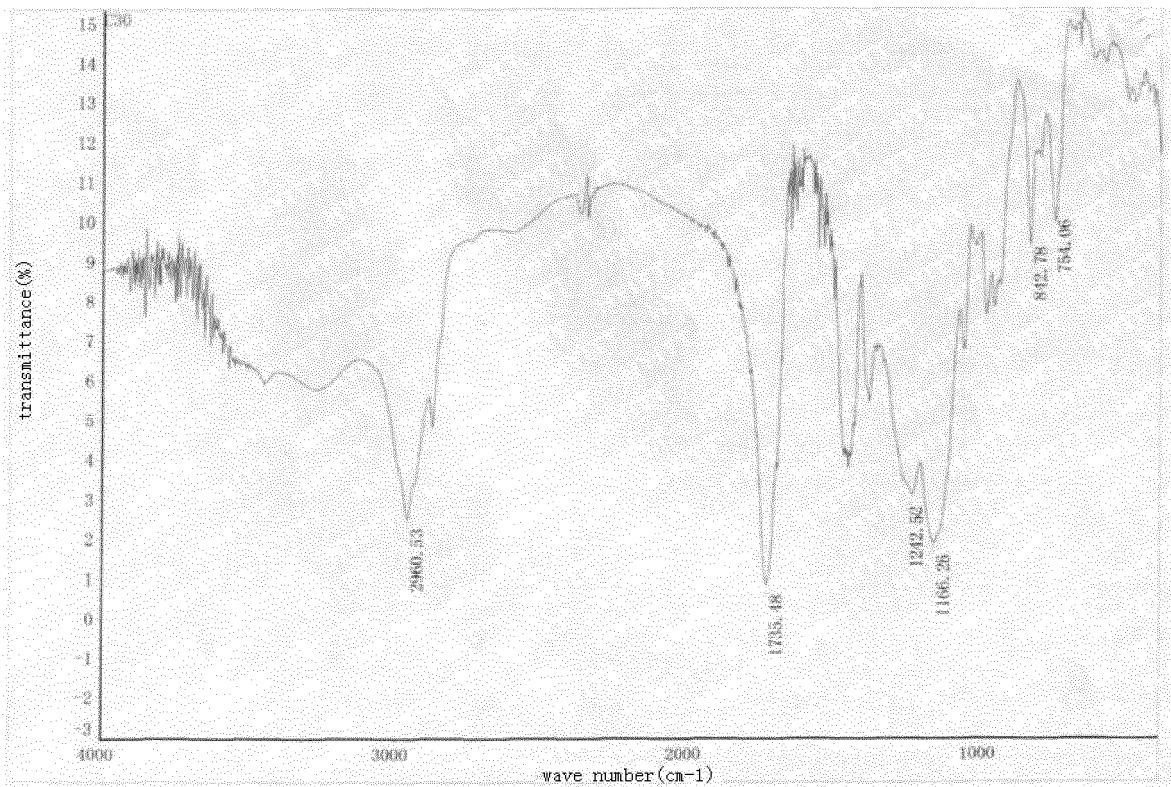


Fig. 2

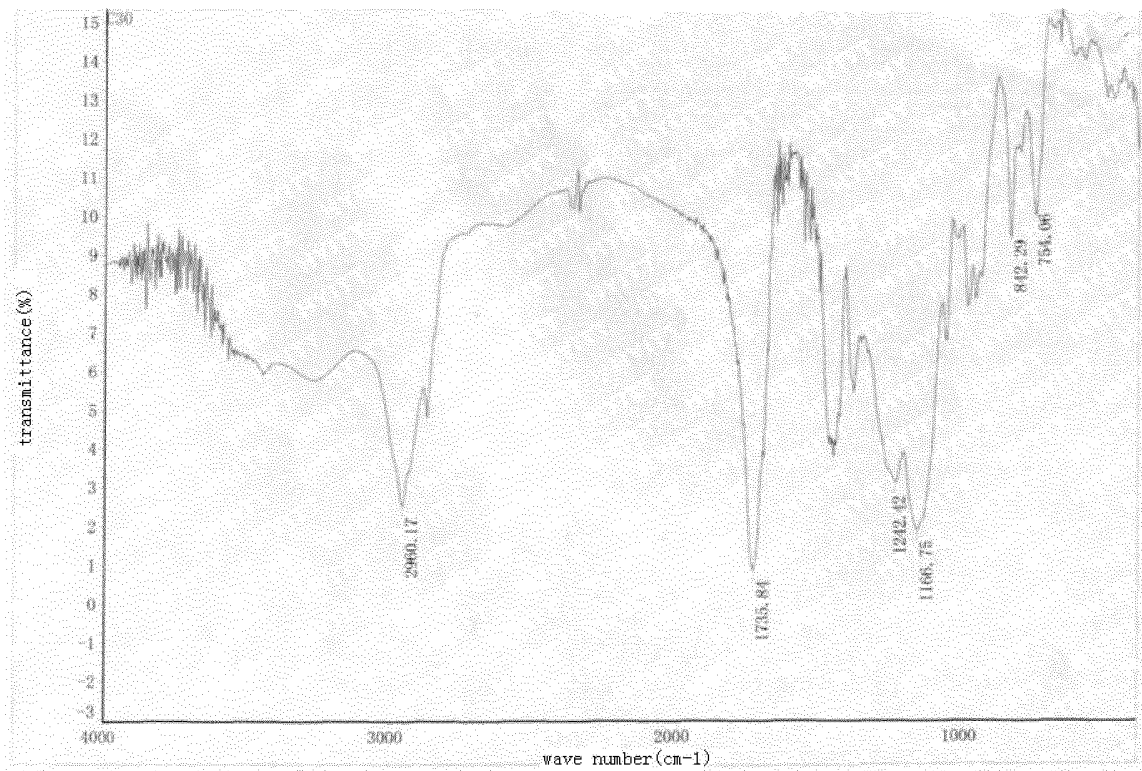


Fig. 3

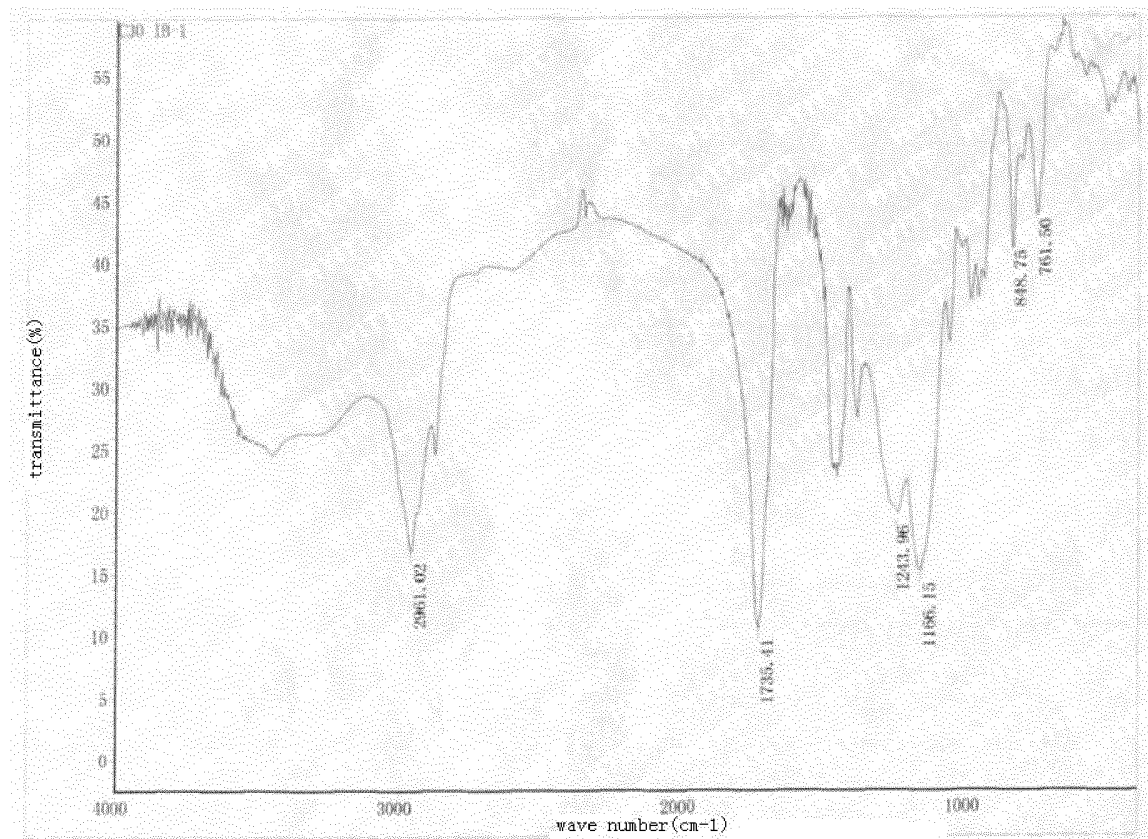


Fig. 4

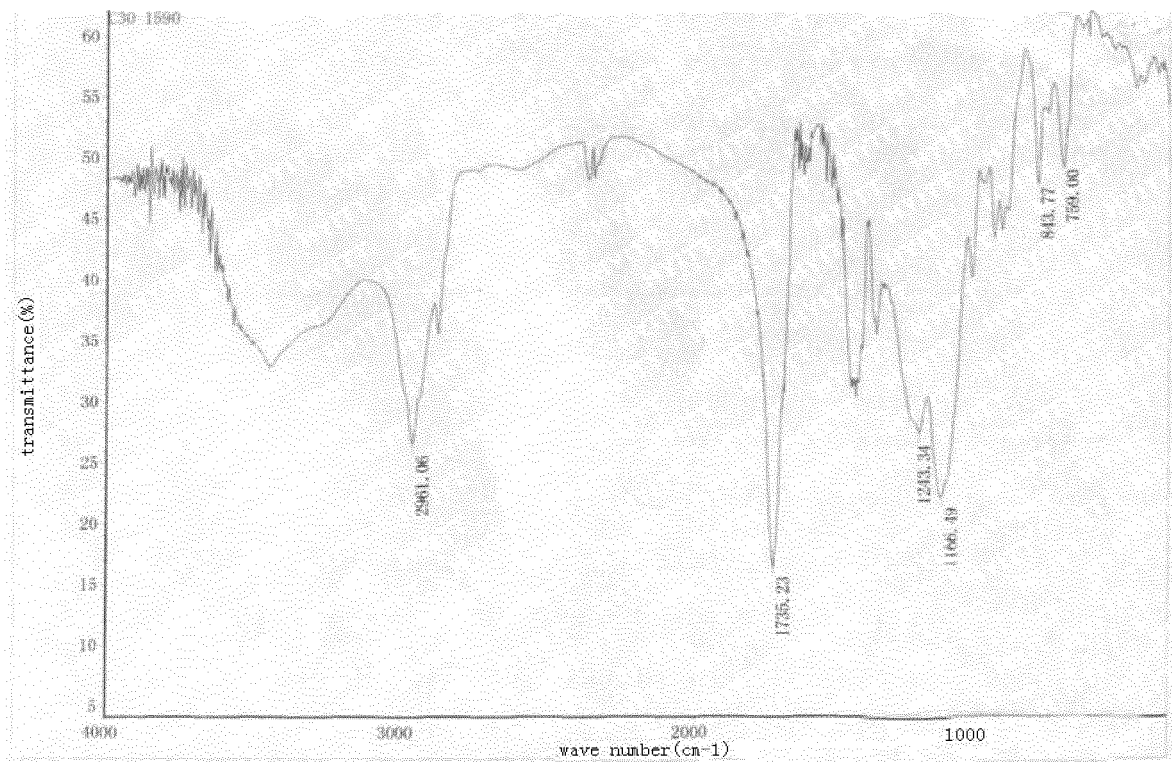


Fig. 5

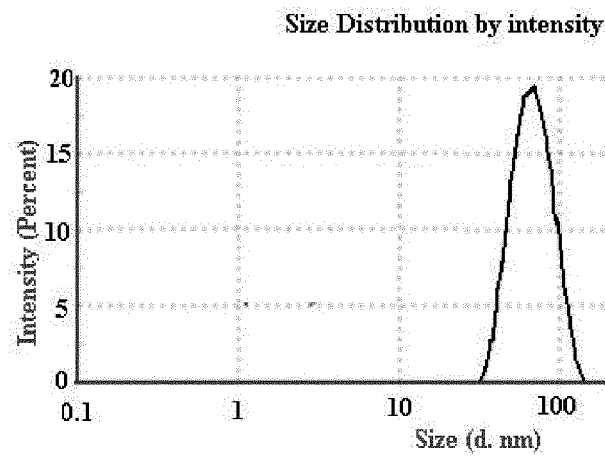


Fig. 6

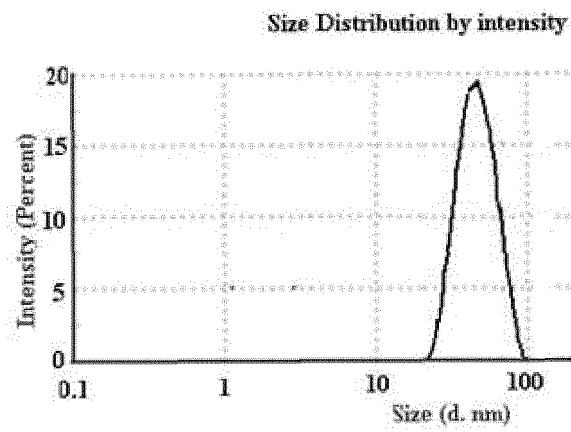


Fig. 7

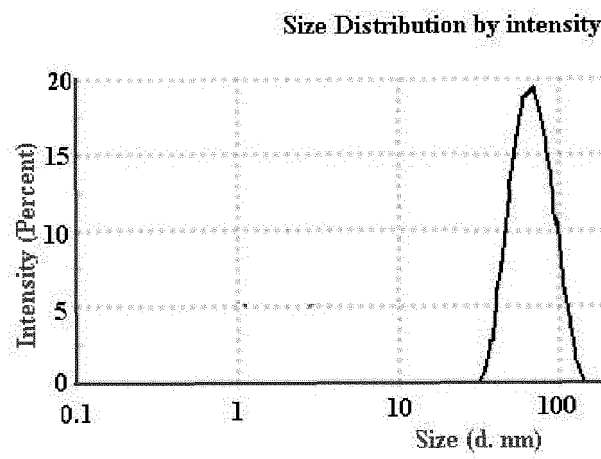


Fig. 8

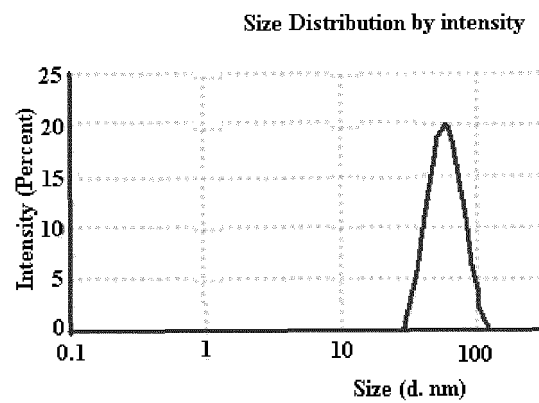


Fig. 9

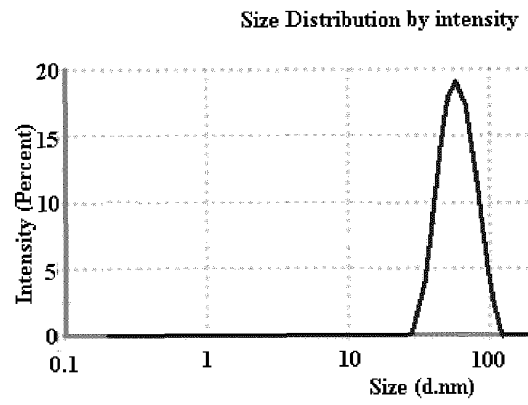


Fig. 10

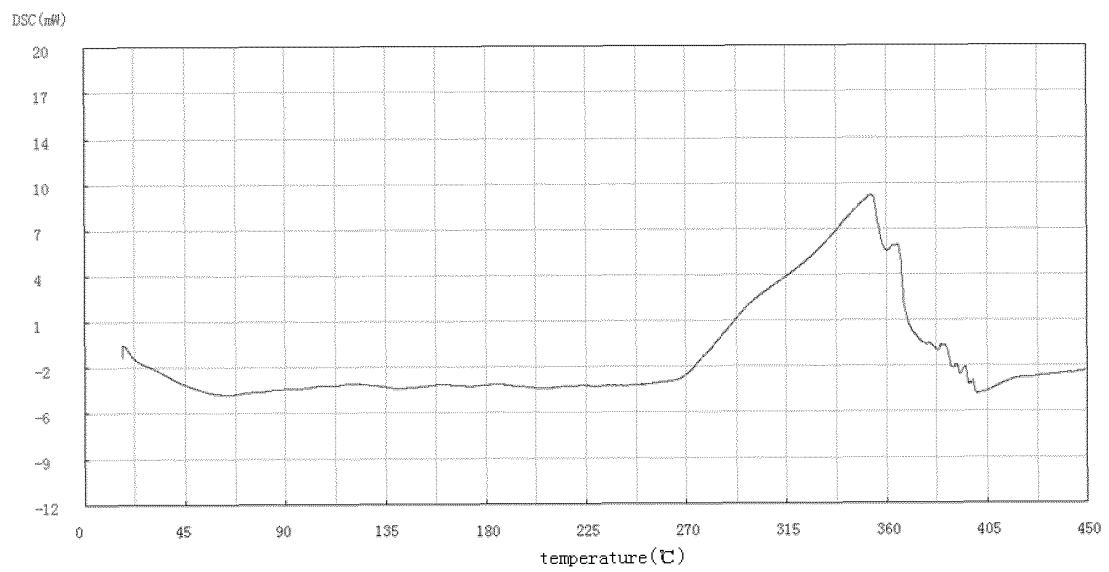


Fig. 11

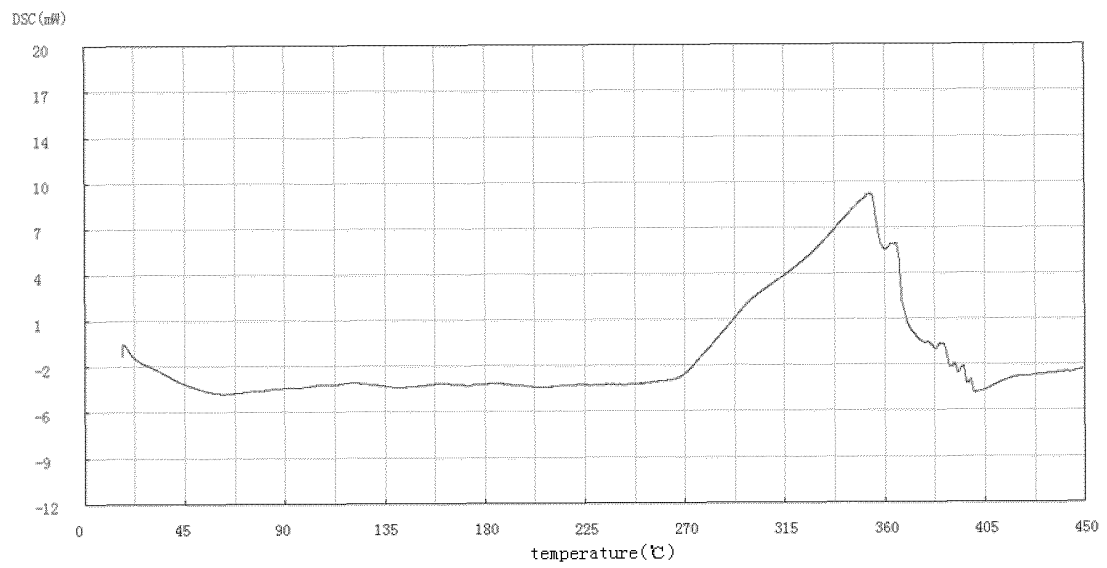


Fig. 12

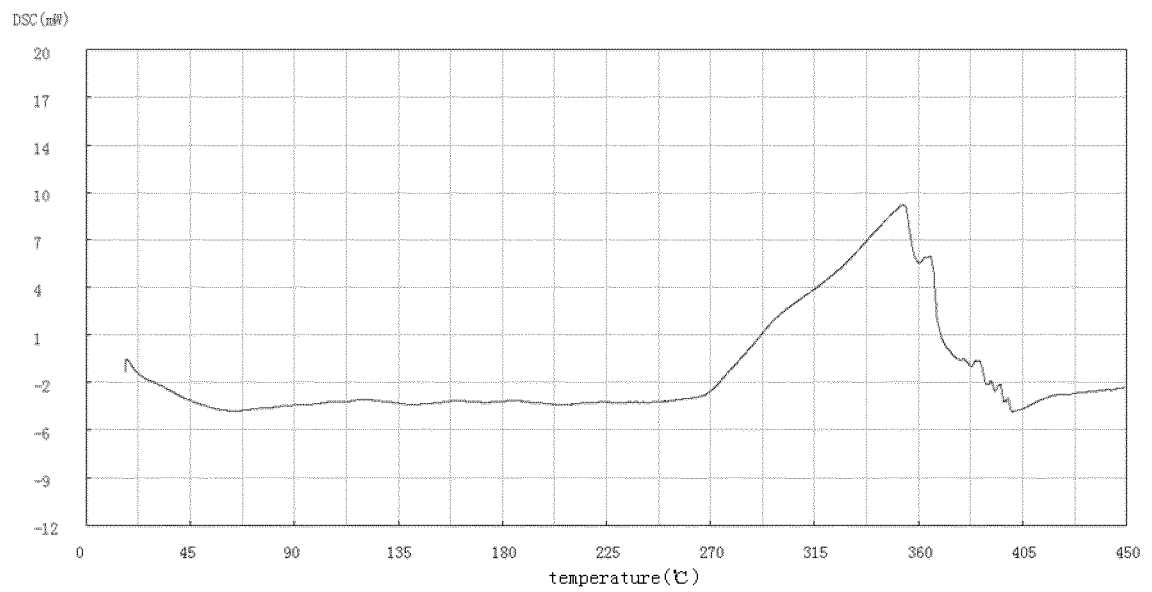


Fig. 13

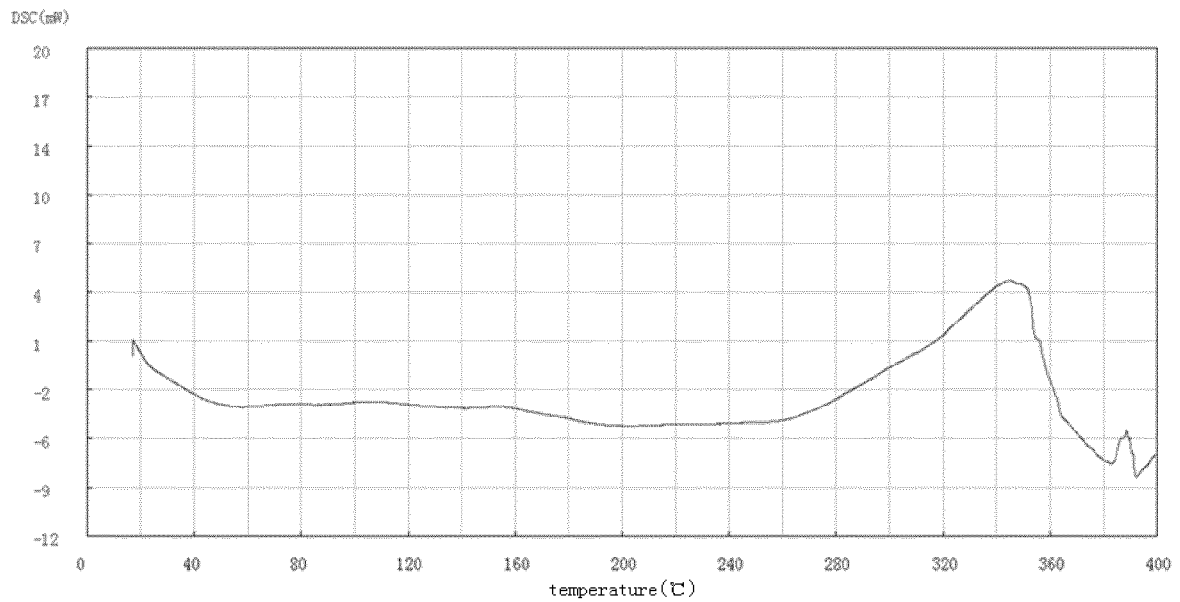


Fig. 14

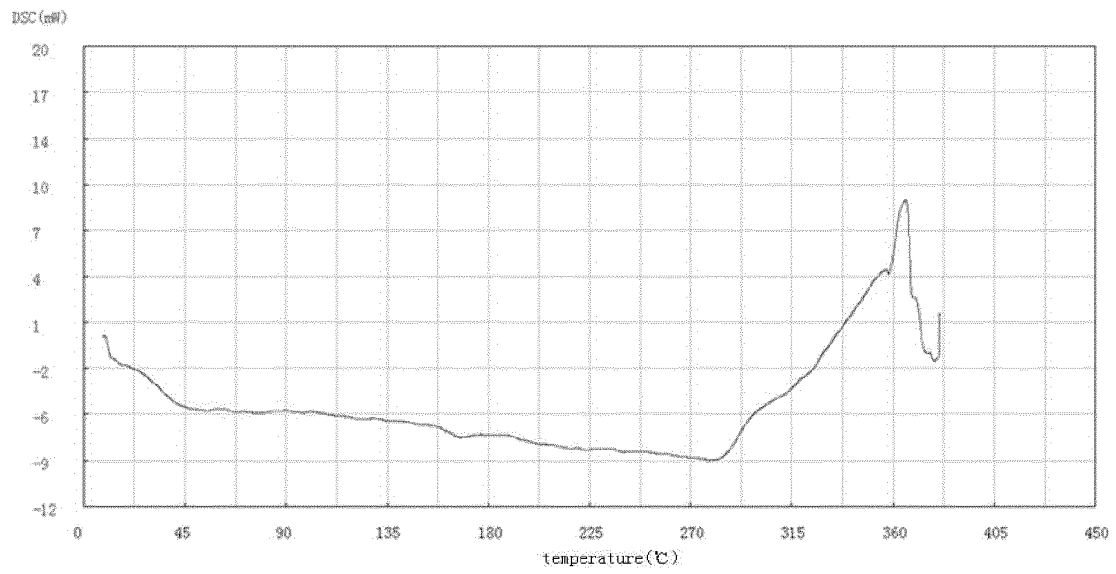


Fig. 15

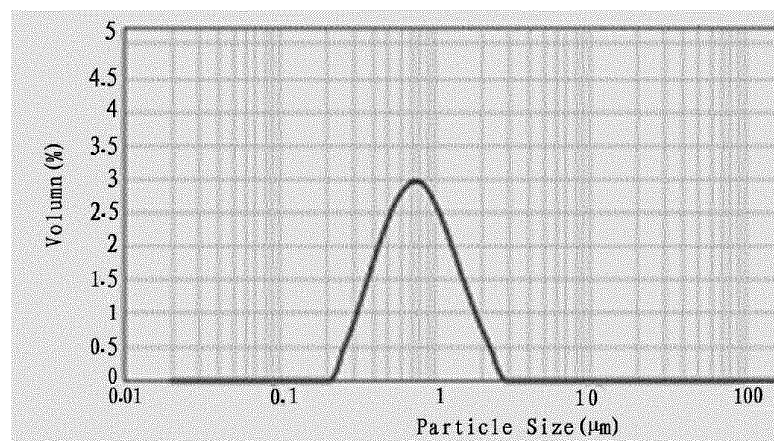


Fig. 16

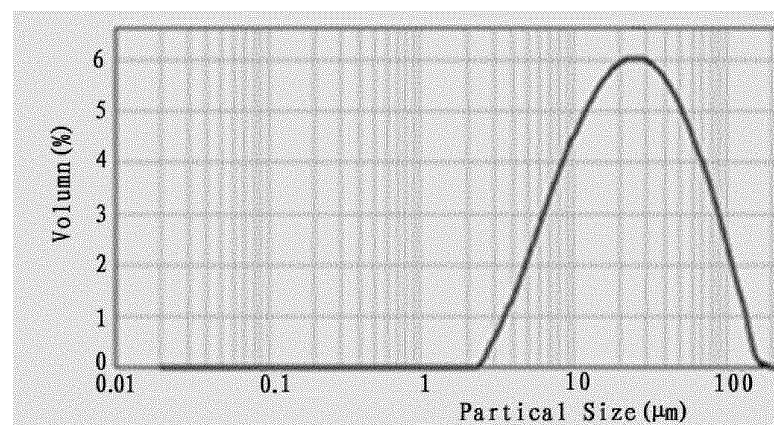


Fig. 17

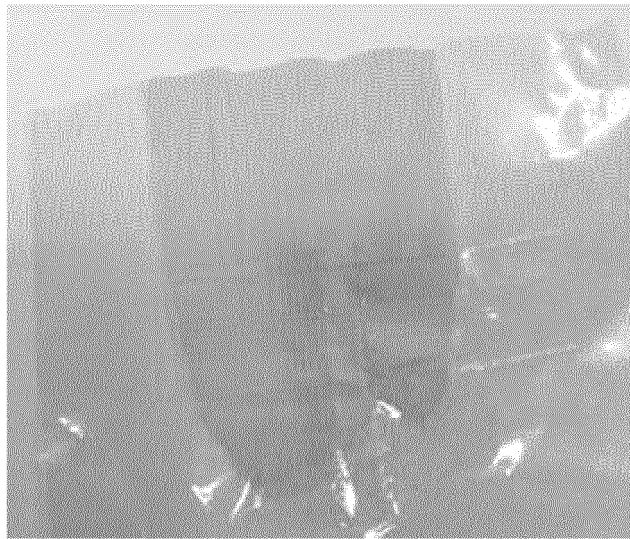


Fig. 18

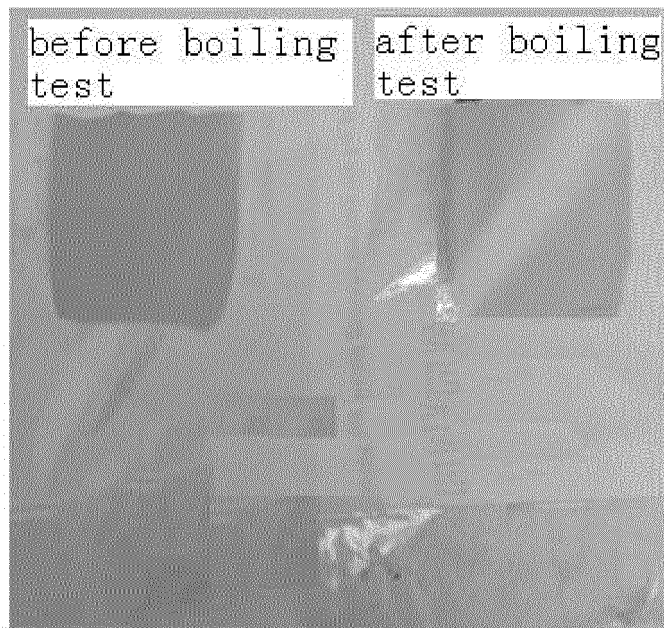


Fig. 19



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/CN2019/076911

**A. CLASSIFICATION OF SUBJECT MATTER**

C08F 220/18(2006.01)i; C08F 220/14(2006.01)i; C08F 220/06(2006.01)i; C09D 11/107(2014.01)i

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

C08F; C09D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

CNABS, VEN, CNKI, CA, 甲基丙烯酸甲酯, 甲基丙烯酸, 丙烯酸丁酯, 乳化, 油墨, methyl methacrylate, MMA, methyl acrylic acid, MA, butyl acrylate, BA, emulsi+, print, ink

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
PX	CN 108559018 A (SHANGHAI XIANKE CHEMICAL CO., LTD.) 21 September 2018 (2018-09-21) description, paragraphs [0007]-[0027], and example	1-13
A	CN 105694772 A (XING, GUO'AI) 22 June 2016 (2016-06-22) entire document	1-13
A	JP 2003192981 A (ASAHI KASEI CORPORATION) 09 July 2003 (2003-07-09) entire document	1-13

☐ Further documents are listed in the continuation of Box C.
 ☒ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier application or patent but published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search <b>04 June 2019</b>	Date of mailing of the international search report <b>11 June 2019</b>
Name and mailing address of the ISA/CN <b>National Intellectual Property Administration, PRC (ISA/CN) No. 6, Xitucheng Road, Jimenqiao, Haidian District, Beijing 100088 China</b>	Authorized officer
Facsimile No. (86-10)62019451	Telephone No.

INTERNATIONAL SEARCH REPORT  
Information on patent family members

International application No.

PCT/CN2019/076911

Patent document cited in search report	Publication date (day/month/year)	Patent family member(s)	Publication date (day/month/year)
CN 108559018 A	21 September 2018	CN 108559018 B	22 March 2019
CN 105694772 A	22 June 2016	None	
JP 2003192981 A	09 July 2003	JP 4080203 B2	23 April 2008

Form PCT/ISA/210 (patent family annex) (January 2015)